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*International
Economics*
(Tenth Edition)
经济学经典教材·双语教学用书

国际
经济学

(第10版)

罗伯特·J·凯伯(Robert J. Carbaugh) 著

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 中国人民大学出版社

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前言

我相信使学生有动力去学习一门课程的最佳方法是让他们看到这门课程在实践中的应用。《国际经济学》的前九版反映了上述观点，对国际经济理论作了严密的陈述，同时强调了在实践中的应用。采用前九版的老师和学生非常支持把国际经济理论和当前经济事件结合起来。

我们对新版进行了修改，着眼于完善理论的陈述，展示应用和理论的最新发展。和前几版类似，本版可供那些缺少经济背景和基本经济原理的学生使用一个学期。本书优点是清晰、结构合理、密切联系实际，让学生看到理论在现实中的作用。本版中修订和更新的资料强调了经济理论在当今实践中的运用，包括了国际贸易和金融领域中理论和政策的最新发展。

国际经济的主题

本版突出了五个新闻媒体广泛讨论的主题：（1）经济活动的全球化；（2）关于自由贸易的争论；（3）发展中国家和工业国家之间的贸易冲突；（4）使贸易自由——WTO 和地区性贸易安排；（5）美元作为关键货币。这些主题在全书论述如下：

- 经济活动的全球化
 - 全球化浪潮 —— 第 1 章
 - 全球化为何如此重要？ —— 第 1 章
 - 全球化和竞争力 —— 第 1 章
 - 全球化走得太远了吗？ —— 第 1 章
 - 恐怖主义冲击全球经济 —— 第 1 章和第 3 章
 - 外包和自由贸易 —— 第 2 章
 - 全球化背后的忧虑 —— 第 2 章
 - 自由贸易和比较优势原则 —— 第 2 章
- 自由贸易和生活质量
 - 非熟练工作必须要转移到国外吗？ —— 第 2 章
 - 贸易使贫穷加剧吗？ —— 第 3 章
 - “血汗工厂” 和美国工人 —— 第 3 章
 - 工资保险使工人更愿意接受自由贸易吗？ —— 第 6 章
 - 自由贸易政策和环境 —— 第 6 章
 - 《京都议定书》是否只是一纸空文？ —— 第 6 章

- 发展中国家和工业国家的贸易冲突
 - 发展中国家的贸易问题 —— 第 7 章
 - 经济发展战略 —— 进口替代和出口导向 —— 第 7 章
 - 所有的发展中国家都能实现出口引致的增长吗? —— 第 7 章
 - 中国入世 —— 第 7 章
 - 发达国家能在贸易中和发展中国家共同受益吗? —— 第 7 章
 - 美国跨国公司剥削外国工人吗? —— 第 9 章
- 使贸易自由; 世界贸易组织和地区性贸易协定
 - 从关贸总协定到世界贸易组织 —— 第 6 章
 - 世界贸易组织削弱了国家主权吗? —— 第 6 章
 - 区域一体化和多边贸易体制 —— 第 8 章
 - 欧盟 —— 第 8 章
 - 北美自由贸易区 —— 第 8 章
- 美元作为关键货币
 - 欧元和美元为争夺主导地位的竞争 —— 第 8 章
 - 北美自由贸易区: 是最优货币区吗? —— 第 7 章
 - 稳定发展中国家的货币: 货币局制度与美元化 —— 第 15 章
 - 阿根廷, 美元化不是万能药 —— 第 15 章

除了一些原有主题, 第 10 版还包括了很多新的、做了重大修改的问题, 如:

- 来自中国的进口布料威胁着意大利的纺织品制造商 —— 第 1 章
- 全球贸易的成果 —— 第 1 章
- Maytag 杀价以在国际市场中求生 —— 第 2 章
- Babe Ruth 与比较优势原则 —— 第 2 章
- 要素价格不均等的原因 —— 第 3 章
- 为保卫国家安全必须对进口钢铁征税吗? —— 第 4 章
- 应该使用报复性关税以保证 WTO 规则的强有力实施吗? —— 第 7 章
- 世界银行和国际货币基金组织 —— 第 7 章
- 美国的农业补贴和发展中国家 —— 第 7 章
- 美国 — 智利自由贸易区 —— 第 8 章
- 美国移民政策伤害了国内工人吗? —— 第 9 章
- Makerl 公司如何应对汇率波动? —— 第 11 章
- 美元的上下波动 —— 第 12 章
- 美国经常项目赤字会影响美元汇率吗? —— 第 12 章
- 汇率传递 —— 第 14 章
- 为抵抗强势日元, 日本国内产业海外转移 —— 第 14 章

- 汇率稳定有效吗? —— 第 15 章
- 国际货币危机 —— 第 16 章

结构框架

虽然在国际经济学课程的基本内容上,教员们基本达成共识,但是在结构框架上,意见不同。本书在结构上具有很大的灵活性。国际贸易关系问题放在国际货币关系之前,但是,如果教师倾向于从货币理论讲起,上述顺序可以调换。教员们可以先讲第 10 至 17 章,再讲第 2 至 9 章。如果教师不想覆盖书中所有内容,也可以略过第 6 章至第 9 章、第 10 章、第 15 章至第 17 章中的所有或部分内容而不会影响连贯性。

作为对前几版使用者意见的反馈,《国际经济学》第 10 版流线型地叙述了理论以便使教师们在使用时具有更大的灵活性。首先,把前几版中的第 2 章和第 3 章合并在一起,就是新版中的第 2 章,并把无差异曲线放在了附录中。国际货币基金组织和世界银行的有关内容,从旧版的第 18 章“国际银行业”移至第 10 章“发展中国家的贸易政策”,并进行了扩展。一些理论性更强的内容,则放进了附录中,或在章节中简要阐述。

另外,新版更充分利用了每章最后的“进一步探索”部分,来讨论更深刻的理论问题。这些修改可以使教师们便于分析国际经济学在实际中的应用,如果他们乐意的话。同时,也可以满足他们希望在课程中加入更深的理论的要求。

补充材料

国际经济学网站 (<http://carbaugh.swlearning.com>)

在这个技术时代,没有网络资源的教科书是不完整的。第 10 版《国际经济学》由网站支持,网址是 <http://carbaugh.swlearning.com>。其中有很多有用的教学资源,包括相关链接,就是每章后面所列出的那些。网络链接在指导学生搜集资料和相关信息的同时,还允许学生进入网络回答与国际经济学有关的实际问题。为丰富内容,加入一个 Visual Scavenger Hunt,鼓励学生们在因特网上搜索国际经济学的资料。这些内容可以在 Carbaugh 网站的章节资源部分找到。

另外,教师和学生可以在 Talk to Author 部分直接向作者提出问题和发表评论。

如需要其他高科技的学习工具,请查阅网站 <http://economics.swlearning.com> 的 South-Western Economics Resource Center 部分。

幻灯片

第 10 版有配套的幻灯片。该幻灯片是由密歇根 Okno 咨询公司的 Steve Norcon 制作的。可以在 <http://aise.swlearning.com> 上下载幻灯片,以便利教授们作演讲。你还可以根据个人需要编辑幻灯片。

教师手册

为了有助于教学,我编写了教师手册。其中包括课后习题的简要答案,每章的多项选择题和判断题答案。有资格的教员可以在 <http://aise.swlearning.com> 上下载。

学习指导

伴随着第 10 版的发行, 威拉米特大学的 Jim Hanson 教授为学生们准备了学习指导 (ISBN: 0-234-32020-5), 通过复习课文内容和提供实务问题、判断题、多选题和简答题来强化对关键概念的理解。

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欢迎任何教师和同学们发表评论, 批评指正, 提出建议。这样, 我就能继续完善本书。请联系我。谢谢你们支持第 10 版的问世。

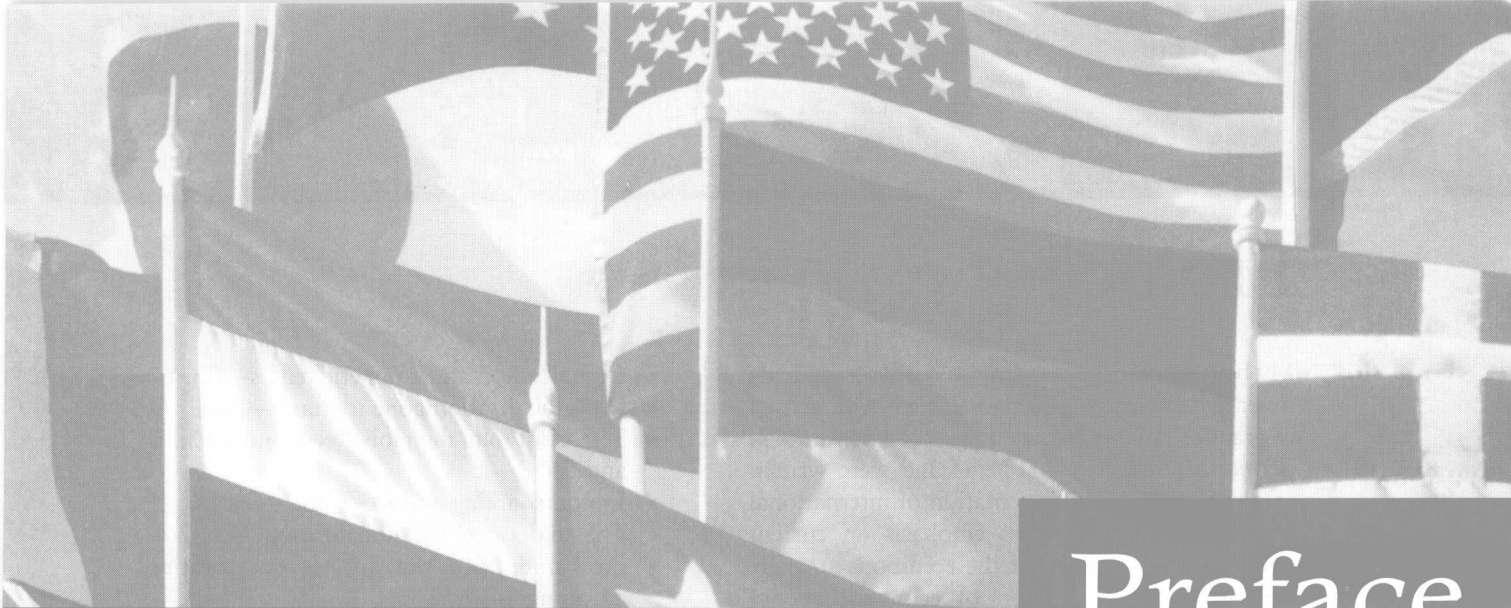
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Preface

Preface

My belief is that the best way to motivate students to learn a subject is to demonstrate how it is used in practice. The first nine editions of *International Economics* reflected this belief and were written to provide a serious presentation of international economic theory with an emphasis on current applications. Adopters of these editions strongly supported the integration of economic theory with current events.

This edition has been revised with an eye toward improving this presentation and updating the applications as well as toward including the latest theoretical developments. Like its predecessors, this edition is intended for use in a one-quarter or one-semester course for students who have no more background than principles of economics. This book's strengths are its clarity and organization and its applications, which demonstrate the usefulness of theory to students. The revised and updated material in this edition emphasizes current applications of economic theory and incorporates recent theoretical and policy developments in international trade and finance.

International Economics Themes

This edition highlights five themes that are widely discussed by the news media: (1) globalization of economic activity; (2) the controversy over free trade—the gap between economists and the general public; (3) trade conflicts between developing nations and industrial nations; (4) liberalizing trade—the WTO versus regional trading arrangements; and (5) the dollar as a key currency. These themes are emphasized throughout the text as follows:

- **Globalization of economic activity**
 - Waves of globalization—Ch. 1
 - Why globalization is important—Ch. 1

- Globalization and competitiveness—Ch. 1
- Has globalization gone too far?—Ch. 1
- Terrorism jolts the global economy—Ch. 1 and Ch. 3
- Job outsourcing and free trade—Ch. 2
- The anxiety behind globalization—Ch. 2
- Free trade and the law of comparative advantage—Ch. 2
- **Free trade and quality of life issues**
 - Do low-skilled jobs have to shift overseas?—Ch. 2
 - Does trade make the poor even poorer?—Ch. 3
 - Sweatshop labor competes against American workers—Ch. 3
 - Does wage insurance make free trade more acceptable to workers?—Ch. 6
 - Free trade policies and the environment—Ch. 6
 - Is the Kyoto Protocol a lot of hot air?—Ch. 6
- **Trade conflicts between developing nations and industrial nations**
 - Trade problems of the developing nations—Ch. 7
 - Economic growth strategies—import substitution versus export-led growth—Ch. 7
 - Can all developing nations achieve export-led growth?—Ch. 7
 - China enters the WTO—Ch. 7
 - Do advanced nations gain from trade liberalization with developing nations?—Ch. 7
 - Do U.S. multinationals exploit foreign workers?—Ch. 9
- **Liberalizing trade: The WTO versus regional trading arrangements**
 - From GATT to the WTO—Ch. 6
 - Does the WTO reduce national sovereignty?—Ch. 6
 - Regional integration versus multilateralism—Ch. 8

- The European Union—Ch. 8
- The North American Free Trade Agreement—Ch. 8
- **The dollar as a key currency**
 - Euro and dollar compete for supremacy—Ch. 8
 - NAFTA: An optimum currency area?—Ch. 7
 - Stabilizing currencies of developing countries: Currency boards versus dollarization—Ch. 15
 - For Argentina, no panacea in dollarization—Ch. 15

Besides emphasizing several contemporary themes, the tenth edition of this text contains many new or substantially revised topics such as:

- Cloth Imports from China Threaten Italy's Textile Makers—Ch. 1
- The Fruits of Global Trade—Ch. 1
- Maytag Slashes Costs to Survive in a Global Market—Ch. 2
- Babe Ruth and the Principle of Comparative Advantage—Ch. 2
- Why Factor Prices Don't Equalize—Ch. 3
- Should Tariffs Be Placed on Steel Imports to Protect National Security?—Ch. 4
- Should Retaliatory Tariffs Be Used for WTO Enforcement?—Ch. 7
- The World Bank and International Monetary Fund—Ch. 7
- U.S. Farm Subsidies and Developing Countries—Ch. 7
- U.S.–Chile Free Trade Agreement—Ch. 8
- Does U.S. Immigration Policy Harm Domestic Workers?—Ch. 9
- How Markel Co. Rides Foreign-Exchange Fluctuations—Ch. 11
- The Ups and Downs of the Dollar—Ch. 12
- Does the U.S. Current Account Deficit Weigh on the Dollar?—Ch. 12
- Exchange Rate Pass-Through—Ch. 14
- Japanese Firms Move Output Overseas to Limit Effects of Strong Yen—Ch. 14
- Is Exchange Rate Stabilization Effective?—Ch. 15
- International Currency Crises—Ch. 16

Organizational Framework

Although instructors generally agree on the basic content of the international economics course, opinions vary widely about what arrangement of material is appropriate. This book is structured to provide considerable organizational flexibility. The topic of international trade relations is presented before international monetary relations, but the order can be reversed by instructors who choose to start with monetary theory. Instructors can begin with Chapters 10–17 and conclude with Chapters 2–9. Those who do not wish to cover all the material in the book can easily omit all or parts of Chapters 6–9 and Chapter 13 and Chapters 15–17 without loss of continuity.

In response to the comments of adopters of previous editions, the tenth edition of *International Economics* streamlines its presentation of theory so as to provide greater flexibility for instructors. First, the major topics of Chapters 2 and 3 of the previous edition are combined into a single chapter, Chapter 2, in the tenth edition, with the indifference curve material now in an appendix. Much of the IMF and World Bank coverage from old Chapter 18 “International Banking” is moved to Chapter 7 “Trade Policies for Developing Nations” and expanded. Some of the more rigorous theoretical material is now placed into appendices or streamlined within the chapters.

Also, the new edition makes greater use of “Exploring Further” sections at the end of chapters to discuss more advanced theoretical topics. These revisions enhance the ability of instructors to emphasize contemporary applications of international economics if they desire. At the same time, more advanced theoretical topics are available to those instructors who wish to include them in their courses.

Supplementary Materials

International Economics Web Site
(<http://carbaugh.swlearning.com>)

In this age of technology, no text package would be complete without Web-based resources. An

international economics Web site is offered with the tenth edition. This site, <http://carbaugh.swlearning.com>, contains many useful pedagogical enrichment features including NetLink Exercises, which draw upon the expanded NetLinks feature at the end of each chapter. While the NetLinks direct the student to an appropriate international economics Web site to gather data and other relevant information, the NetLink Exercises allow students to access these Web sites to answer pertinent and practical questions that relate to international economics. As an added enrichment feature, a Virtual Scavenger Hunt engages and encourages students to search for international economics answers at various Internet Web sites. These features are found within the Chapter Resources section of the Carbaugh Web site.

In addition, students and instructors alike can address questions and provide commentary directly to the author with the Talk to the Author feature.

For other high-tech study tools, visit the South-Western Economics Resource Center at <http://economics.swlearning.com>.

PowerPoint Slides

The tenth edition also includes PowerPoint slides created by Steve Norton of Okno Consulting Group, Ann Arbor, Michigan. These slides can be easily downloaded at <http://aise.swlearning.com>. The slides offer professors flexibility in enhancing classroom lectures. Slides may be edited to meet individual needs.

Instructor's Manual

To assist instructors in the teaching of international economics, I have written an *Instructor's Manual with Test Bank* that accompanies the tenth edition. It contains (1) brief answers to end-of-chapter study questions; (2) multiple-choice questions for each chapter; and (3) true-false questions for each chapter. The *Instructor's Manual with Test Bank* is available for download for qualified instructors at <http://aise.swlearning.com>.

Study Guide

To accompany the tenth edition of the international economics text, Professor Jim Hanson of Willamette University has prepared a *Study Guide* (ISBN: 0-324-32020-5) for students. This guide reinforces key concepts by providing a review of the text's main topics and offering practice problems, true-false and multiple-choice questions, and short-answer questions.

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I am pleased to acknowledge those who aided me in preparing the current and past editions of this textbook. Helpful suggestions and often-detailed reviews were provided by:

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I would appreciate any comments, corrections, or suggestions that faculty or students wish to make so I can continue to improve this text in the years ahead. Please contact me! Thank you for permitting this text to evolve to the tenth edition.

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