



2016 Chinese Yearbook of Space Law

中国空间法年刊

李寿平 主编



世界知识出版社

中国空间法年刊

Chinese Yearbook of Space Law

2016

李寿平 主编



世界知识出版社

图书在版编目(CIP)数据

中国空间法年刊. 2016 / 李寿平主编. —北京: 世界知识出版社,
2017. 5

ISBN 978-7-5012-5471-2

I. ①中… II. ①李… III. ①空间法—中国—2016—年刊

IV. ①D999. 1 – 54

中国版本图书馆 CIP 数据核字(2017)第 094854 号

书 名	中国空间法年刊. 2016 Zhongguo Kongjianfa Niankan. 2016
主 编	李寿平
责任编辑	柏 英
责任出版	王勇刚
出版发行	世界知识出版社
地址邮编	北京市东城区干面胡同 51 号(100010)
投稿信箱	xueshuchuban@126. com
网 址	www. ishizhi. cn
电 话	010 - 65265923(发行) 010 - 85119023(邮购)
经 销	新华书店
印 刷	北京京华虎彩印刷有限公司
开本印张	720 × 1020 毫米 1/16 27 印张
字 数	382 千字
版次印次	2017 年 6 月第一版 2017 年 6 月第一次印刷
标准书号	ISBN 978-7-5012-5471-2
定 价	68. 00 元

版权所有 侵权必究

《中国空间法年刊》编辑委员会名单

顾 问

田玉龙（国家航天局秘书长）
李 巍（工业和信息化部政策法规司司长）
马新民（外交部条法司副司长）
李国平（国防科技工业局系统一司司长）
李 明（中国空间技术研究院副院长）

主 编

李寿平（北京理工大学空间法研究所教授）

编 委 (按姓氏音序排列)

蔡高强 邓 烈 龚向前 黄德明 李居迁
凌 岩 龙卫球 王国语 杨成铭 姚艳霞
尹玉海 赵海峰

Editorial Committee of Chinese Yearbook of Space Law

Consultants

Mr. Tian Yulong, General Secretary of China National Space Administration

Mr. Li Wei, Director of Policy and regulations of Department, Ministry of Industry and Information Technology

Mr. Ma Xinmin, Deputy Director General of the Department of Treaty and Law, Ministry of Foreign Affairs

Mr. Li Guoping, Director General of the Department of System Engineering, China National Space Administration

Mr. Li Ming, Vice President of China Academy of Space Technology

Editor-in-Chief

Prof. Li Shouping, Director of Institute of Space Law of Beijing Institute of Technology

Members

Prof. Cai Gaoqiang

Prof. Den Lie

Prof. Gong Xiangqian

Pro. Huang Deming

Prof. Li Jujian

Prof. Ling Yan

Pro. Long Weiqu

Prof. Wang Guoyu

Prof. Yang Chengming

Prof. Yao Yanxia

Prof. Yin Yuhai

Prof. Zhao Haifeng

总 序

随着人类空间技术和空间活动的飞速发展，二十一世纪必将是空间活动方兴未艾的世纪！半个多世纪以来，中国已经在探索与利用空间技术领域奠定了世界主要空间大国的地位。二十一世纪必将是中国从空间大国跻身空间强国的世纪！

然而，我国无论是空间立法还是空间法研究都远远滞后于空间技术的发展。在国家作为空间活动主要主体的过去50年，国家通过政策、行政指令足以调整空间活动中的各类关系。在空间活动私营化、商业化发展的今天，加强空间立法成为我国空间事业发展的必然选择。完备的空间法律制度是推动中国空间事业的深远、稳健发展的制度保障。

空间法作为二十世纪后半期形成的新兴法律部门，随着空间活动的发展在欧美得到了飞速发展。欧美空间法学者对空间法的研究促进了空间立法的完善，促进了国家空间活动的有序发展。当今世界主要空间法研究基地都在欧美地区，其对空间活动发展中产生的新问题提供极具影响的法律对策。然而，空间法的研究在中国一直没有受到重视。在世界主要空间法论坛也很少发现中国空间法学者有见地、有影响的见解。近年来，这种现象虽有改变，但不容讳言，中国空间法研究尚处于起步阶段，与世界空间法研究先进水平的差距明显。

北京理工大学空间法研究所成立于2006年，是国内最早成立的空间法教学、研究专门机构之一。自成立以来，北京理工大学空间法研究所在教学、科研和资料建设方面均取得了骄人的成绩，特别

是其国际空间法模拟法庭教学在国内外更具影响。在科学的研究方面，该所承担了国家社科基金等国家、省部级课题多项，特别是其国内资料最齐全的空间法资料中心，为从事空间法研究的广大学者提供了很好的资料平台。

为进一步提高中国空间法研究水平，为广大空间法研究学者提供系统的研究资料，北京理工大学空间法研究所立足于对每年的空间法资料的系统整理，主办了《中国空间法年刊》。我们期望通过自己的努力，将该刊办成一个学术交流的平台和经典资料平台。当然，我们的能力有限，在编辑过程中难免会有一些不当之处，还望各位同仁予以指正。

北京理工大学空间法研究所所长
北京理工大学法学院教授



目 录

学术论文

联合国、国际法协会国内空间立法示范法和空间条约与法律在

- 韩国、朝鲜、澳大利亚和日本 [韩国] 金斗焕 (3)
 国际法协会国内空间立法示范法 [德] 史蒂芬·霍伯 (24)
 论国家空间立法在空间碎片减缓国际准则法律化中的作用 廖敏文 (40)
 外层空间智力成果的知识产权保护 陈志杰 (84)
 空间碎片天基主动移除的法律责任 张 政 (94)

国际文件

- 第 71 届联合国大会决议：和平利用外层空间方面的国际合作 (109)
第 71 届联合国大会决议：防止外层空间的军备竞赛 (117)
第 71 届联合国大会决议：不首先在外层空间放置武器 (121)
第 71 届联合国大会决议：外层空间活动中的透明度和建立
 信任措施 (123)
第 71 届联合国大会通过的第 59 届和平利用外层空间委员会报告 (127)
联合国五项外层空间条约现状和适用情况工作组主席的报告 (187)
外层空间定义和划界问题工作组主席的报告 (196)

审查和平探索及利用外层空间国际合作机制工作组主席的报告	(200)
科技小组委员会外层空间活动长期可持续性工作组的报告	(203)
外层空间活动长期可持续性准则工作组主席的工作文件	(206)
联合国探索与和平利用外层空间会议五十周年：和平利用外层空间	
委员会和全球外层空间活动治理	(250)
和平利用外层空间委员会秘书处说明：关于科学飞行任务和/或载人	
运输亚轨道飞行的问题	(276)
加拿大、法国、德国、意大利、日本、罗马尼亚、瑞典、英国和美国	
给空间物体和事件专家组的建议	(287)
政府专家组报告中与联合国系统有关内容执行情况的特别报告：	
联合国实体在支持会员国落实外层空间活动透明度和建立	
信任措施方面的作用	(290)

外国空间政策与空间立法

美国国家海洋和大气管理局商业空间政策	(311)
日本宇宙基本计划	(319)

中国空间立法与政策性文件

2016 中国的航天	(345)
中国北斗卫星导航系统	(363)
民用卫星工程管理暂行办法	(373)
中国代表在联合国外空委科技小组委员会第 53 届会议一般性	
辩论中的发言	(380)
中国代表在联合国外空委法律小组委员会第 55 届会议一般性	

辩论中的发言	(384)
中国代表在联合国外空委法律小组委员会第 55 届会议阐明对和平探索 及利用外空国际合作机制的立场	(387)
中国代表在联合国外空委法律小组委员会第 55 届会议上介绍中方对 空间法能力建设的立场和情况	(389)
中国代表团团长傅聪大使在日内瓦外空安全研讨会上的发言	(391)
史忠俊大使出席第 59 届联合国外空委会议并发言	(395)
中国代表在第 59 届外空委会议就科技小组委员会会议报告发言	(398)
中国代表在第 59 届外空委会议就法律小组委员会会议报告发言	(401)
中国代表在第 59 届外空委会议上介绍中方对外空委未来作用的 立场和主张	(403)
史忠俊大使出席第 10 届联合国外空法研讨会开幕式并做主旨发言	(405)
外交部条法司马新民副司长在联合国“外空会议 50 周年”高级别 论坛做“中国的空间外交：政策与实践”主旨发言	(409)

Contents

Acedamic Articles

UN, ILA National Space Legislation and Space Conventions & Laws in the Republic of Korea, the Democratic People's Republic of North Korea, Australia and Japan	Doo Hwan Kim (3)
Model Law of Domestic Space Legislation of ILA	Stephan Hobe (24)
Research on the Role of National Space Legislation in the Legalization of International Space Debris Mitigation ...	Liao Minwen (40)
The Protection of Intellectual Creation in Outer Space through Intellectual Property Law	Chen Zhijie (84)
The Legal Responsibility of Space-based Debris Removal	Zhang zheng (94)

International Documents

UN Resolution of the 71th Session of the General Assembly: International cooperation in the peaceful uses of outer space	(109)
UN Resolution of the 71th Session of the General Assembly: Prevention of an arms race into outer space	(117)
UN Resolution of the 71th Session of the General Assembly: No first placement of weapons in outer space	(121)
UN Resolution of the 71th Session of the General Assembly: Transparency and confidence-building measures in outer space activities	(123)
UN Resolution of the 71th Session of the General Assembly: Report of the Committee on the Peaceful Uses of Outer Space of the 59th session ...	(127)
Report of the Chair of the Working Group on the Status and Application of the Five United Nations Treaties on Outer Space	(187)

Report of the Chair of the Working Group on the Definition and Delimitation of Outer Space	(196)
Report of the Chair of the Working Group on the Review of International Mechanisms for Cooperation in the Peaceful Exploration and Use of Outer Space	(200)
Scientific and Technical Subcommittee Report of the Working Group on the Use of Nuclear Power Sources in Outer Space	(203)
Scientific and Technical Subcommittee Report of the Working Group on the Long – term sustainability of outer space activities	(206)
50th anniversary of the United Nations Conference on the Exploration and Peaceful Uses of Outer Space: the Committee on the Peaceful Uses of Outer Space and global governance of outer space activities	(250)
Note by the Secretariat of COPUOS: Questions on suborbital flights for scientific missions and/or for human transportation	(276)
Proposal by Canada, France, Germany, Italy, Japan, Romania, Sweden, the United Kingdom of Great Britain and Northern Ireland, and the United States of America for an expert group on space objects and events	(287)
Role of United Nations entities in supporting Member States in the implementation of transparency and confidence-building measures in outer space activities	(290)

Foreign Space Policy and Space Legislation

NOAA Commercial Space Policy (USA)	(311)
Cosmos Basic Plan (JAPAN)	(319)

Space Legislation and Political Documents of China

China's Space Activities in 2016	(345)
China's Beidou Satellite Navigation System	(363)

Interim Measures for the Administration of Civil Satellite Projects	(373)
Statement Delivered by Chinese Representatives at the General Debate at the 53th session Scientific and Technical Subcommittee of COPUOS	(380)
Statement Delivered by Chinese Representatives at the General Debate at the 55th session Legal Subcommittee of COPUOS	(384)
Chinese Representatives Clarified the Position of the International Cooperation Mechanism for Peaceful Exploration and Use of Outer Space at the 55th session Legal Subcommittee of COPUOS	(387)
Chinese Representatives Introduce Chinese Position and Ability of Capacity building in Space Law at the 55th session Legal Subcommittee of COPUOS	(389)
Statement Delivered by Mr. Fu Cong, Head of the Chinese Delegation, at Geneva Space Security Conference	(391)
Ambassador Shi Zhongjun attended the 59th COPUOS and Delivered a Speech	(395)
Statement Delivered by Chinese representatives on the Report of the Scientific and Technical Subcommittee at the 59th session of COPUOS	(398)
Statement Delivered by Chinese representatives on the Report of the Legal subcommittee at the 59th session of COPUOS	(401)
Statement Delivered by Chinese representatives on Future Function of COPUOS at the 59th session of COPUOS	(403)
Ambassador Shi Zhongjun attended the opening ceremony of 10th UNCOPUOS Seminar and Delivered a Keynote Speech	(405)
Statement Delivered by Mr. Ma Xinmin, Duty director of Department of Treaties and Law of Ministry of Foreign Affairs, on Chinese Space Diplomacy : Policy and Practice at the High Level Forum on the 50th anniversary of the United Nations Conference on he Exploration and Peaceful Uses of Outer Space	(409)

学术论文

UN, ILA National Space Legislation and Space Conventions & Laws in the Republic of Korea, the Democratic People's Republic of North Korea, Australia and Japan

Prof. Dr. Doo Hwan Kim*

I . Introduction

Space has become an important sector in the world, which will develop with greater rapidity in the 21st century. Thanks to the expansion of the mobile communication, artificial intelligence and high technology industry, an increase in space exploration activities including Moon, Mars, Venus and other celestial bodies, the implementation of the International Space Station (ISS) program, the industry will grow continuously and the market size of the global space industry.

For this reason government of the Republic of Korean decided to actively foster the aerospace industry in 2011. The Republic of Korea now has a rapidly expanding space program with exploration aspirations. The South Korean space policy is based on the national space program and the following three space Acts. The Space Relationship Law of the South Korea is divided into three branches: (1) Aerospace Industry Development Promotion Act of 1987, (2) Space Development Promotion

* Honorary President, The Korean Association of Air and Space Law at Seoul, Korea, Visiting Professor of School of Law, Beijing Institute of Technology and Nanjing University of Aeronautics and Astronautics in China.

Act of 2005 and (3) the Act on Compensation for Damage Caused by Space Objects of 2007.

These Acts deals with the establishment of institutions and plans to assist the Korean space effort such as a the National Space Committee and Basic Plan for Promoting Space, launch licensing, registration of space objects, use of satellite information, astronaut rescue, compensation for damage caused by the space accidents and third party liability insurance, rescue of astronauts and pursues the objective of controlling space accidents mitigation and the regulation of compensation in case of damage, the financial support of aerospace industry.

Space law is an area of the law that encompasses national and international law governing activities in outer space.^① Space law can be described as the body of law applicable to and governing space – related activities.

The term “space law” is most often associated with the rules, principles and standards of international law appearing in the five international space treaties. However, international space law also includes agreements, treaties, conventions, rules and regulations of international organiz – ations (eg. the International Telecommunications Union), national laws, rules and regulations, executive and administrative orders, and judicial decisions.

I would like to mention briefly the study for the model law of national space legislation proposed by UNCOPUOS and International Law Association (ILA)^② as the followings.

II. Study for the Model Law of National Space Legislation proposed by UNCOPUOS and International Law Association (ILA)

1. The Legal Sub-Committee of UNCOPUOS^③

① http://en.wikipedia.org/wiki/Space_law.

② <http://www.ila-hq.org/>.

③ <http://www.oosa.unvienna.org/oosa/COPUOS/copuos.html>.