

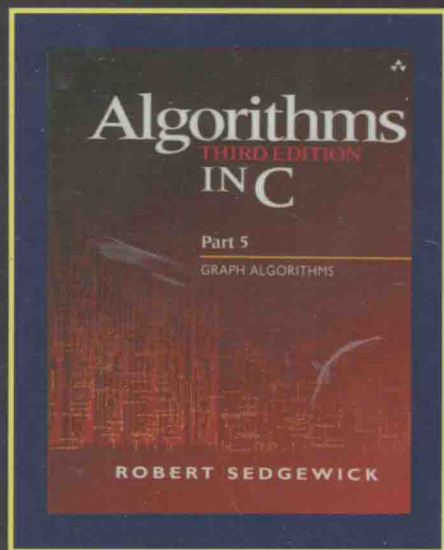


Algorithms in C Parts 5:
Graph Algorithms
(Third Edition)

算法 V (C 实现) —— 图算法

(第三版 · 影印版)

[美] Robert Sedgewick 著



中国电力出版社

www.infopower.com.cn

原 版 风 暴 系 列

Algorithms in C Parts 5:

Graph Algorithms

(Third Edition)

算法 V (C 实现) ——

图算法

(第三版 · 影印版)

[美] Robert Sedgewick 著

中国电力出版社

Algorithms in C Part 5: Graph Algorithms, 3rd edition (ISBN 0-201-31663-3)

Robert Sedgewick

Copyright © 2002 Addison-Wesley Publishing Company, Inc.

Original English Language Edition Published by Addison Wesley Publishing Company, Inc.

All rights reserved.

Reprinting edition published by PEARSON EDUCATION ASIA LTD and CHINA ELECTRIC POWER PRESS, Copyright © 2003.

本书影印版由 Pearson Education 授权中国电力出版社在中国境内（香港、澳门特别行政区和台湾地区除外）独家出版、发行。

未经出版者书面许可，不得以任何方式复制或抄袭本书的任何部分。

本书封面贴有 Pearson Education（培生教育出版集团）激光防伪标签，无标签者不得销售。

For sale and distribution in the People's Republic of China exclusively (except Taiwan, Hong Kong SAR and Macao SAR).

仅限于中华人民共和国境内（不包括中国香港、澳门特别行政区和中国台湾地区）销售发行。

北京市版权局著作合同登记号：图字：01-2003-7038

图书在版编目（CIP）数据

算法 V（C 实现）——图算法：第 3 版 /（美）塞奇威克（Sedgewick, R.）著. —影印本. —北京：中国电力出版社，2003

（原版风暴系列）

ISBN 7-5083-1811-0

I. 算... II. 塞... III. 算法—英文 IV. O242.23

中国版本图书馆 CIP 数据核字（2003）第 090579 号

丛 书 名：原版风暴系列

书 名：算法 V（C 实现）——图算法（第三版·影印版）

编 著：（美）Robert Sedgewick

责任编辑：陈维宁

出版发行：中国电力出版社

地址：北京市三里河路6号

邮政编码：100044

电话：（010）88515918

传 真：（010）88518169

印 刷：汇鑫印务有限公司

开 本：787×1092 1/16

印 张：31.5

书 号：ISBN 7-5083-1811-0

版 次：2003年12月北京第一版

2003年12月第一次印刷

定 价：54.00 元

版权所有，翻印必究

Algorithms

THIRD EDITION

in C

PART 5

GRAPH ALGORITHMS

Robert Sedgewick

Princeton University

Preface

GRAPHS AND GRAPH algorithms are pervasive in modern computing applications. This book describes the most important known methods for solving the graph-processing problems that arise in practice. Its primary aim is to make these methods and the basic principles behind them accessible to the growing number of people in need of knowing them. The material is developed from first principles, starting with basic information and working through classical methods up through modern techniques that are still under development. Carefully chosen examples, detailed figures, and complete implementations supplement thorough descriptions of algorithms and applications.

Algorithms

This book is the second of three volumes that are intended to survey the most important computer algorithms in use today. The first volume (Parts 1–4) covers fundamental concepts (Part 1), data structures (Part 2), sorting algorithms (Part 3), and searching algorithms (Part 4); this volume (Part 5) covers graphs and graph algorithms; and the (yet to be published) third volume (Parts 6–8) covers strings (Part 6), computational geometry (Part 7), and advanced algorithms and applications (Part 8).

The books are useful as texts early in the computer science curriculum, after students have acquired basic programming skills and familiarity with computer systems, but before they have taken specialized courses in advanced areas of computer science or computer applications. The books also are useful for self-study or as a reference for people engaged in the development of computer systems or applications programs because they contain implementations of useful algorithms and detailed information on these algorithms' performance characteristics. The broad perspective taken makes the series an appropriate introduction to the field.

Together the three volumes comprise the *Third Edition* of a book that has been widely used by students and programmers around the world for many years. I have completely rewritten the text for this edition, and I have added thousands of new exercises, hundreds of new figures, dozens of new programs, and detailed commentary on all the figures and programs. This new material provides both coverage of new topics and fuller explanations of many of the classic algorithms. A new emphasis on abstract data types throughout the books makes the programs more broadly useful and relevant in modern object-oriented programming environments. People who have read previous editions will find a wealth of new information throughout; all readers will find a wealth of pedagogical material that provides effective access to essential concepts.

These books are not just for programmers and computer-science students. Nearly everyone who uses a computer wants it to run faster or to solve larger problems. The algorithms that we consider represent a body of knowledge developed during the last 50 years that has become indispensable in the efficient use of the computer for a broad variety of applications. From N -body simulation problems in physics to genetic-sequencing problems in molecular biology, the basic methods described here have become essential in scientific research; and from database systems to Internet search engines, they have become essential parts of modern software systems. As the scope of computer applications becomes more widespread, so grows the impact of basic algorithms, particularly the fundamental graph algorithms covered in this volume. The goal of this book is to serve as a resource so that students and professionals can know and make intelligent use of graph algorithms as the need arises in whatever computer application they might undertake.

Scope

This book, *Algorithms in C, Third Edition, Part 5: Graph Algorithms*, contains six chapters that cover graph properties and types, graph search, directed graphs, minimal spanning trees, shortest paths, and networks. The descriptions here are intended to give readers an understanding of the basic properties of as broad a range of fundamental graph algorithms as possible.

You will most appreciate the material here if you have had a course covering basic principles of algorithm design and analysis and programming experience in a high-level language such as C, Java, or C++. *Algorithms in C, Third Edition, Parts 1–4* is certainly adequate preparation. This volume assumes basic knowledge about arrays, linked lists, and ADT design, and makes use of priority-queue, symbol-table, and union-find ADTs—all of which are described in detail in Parts 1–4 (and in many other introductory texts on algorithms and data structures).

Basic properties of graphs and graph algorithms are developed from first principles, but full understanding of the properties of the algorithms can lead to deep and difficult mathematics. Although the discussion of advanced mathematical concepts is brief, general, and descriptive, you certainly need a higher level of mathematical maturity to appreciate graph algorithms than you do for the topics in Parts 1–4. Still, readers at various levels of mathematical maturity will be able to profit from this book. The topic dictates this approach: some elementary graph algorithms that should be understood and used by everyone differ only slightly from some advanced algorithms that are not understood by anyone. The primary intent here is to place important algorithms in context with other methods throughout the book, not to teach all of the mathematical material. But the rigorous treatment demanded by good mathematics often leads us to good programs, so I have tried to provide a balance between the formal treatment favored by theoreticians and the coverage needed by practitioners, without sacrificing rigor.

Use in the Curriculum

There is a great deal of flexibility in how the material here can be taught, depending on the taste of the instructor and the preparation of the students. The algorithms described have found widespread use for years, and represent an essential body of knowledge for both the practicing programmer and the computer science student. There is sufficient coverage of basic material for the book to be used in a course on data structures and algorithms, and there is sufficient detail and coverage of advanced material for the book to be used for a course on graph algorithms. Some instructors may wish to emphasize

implementations and practical concerns; others may wish to emphasize analysis and theoretical concepts.

For a more comprehensive course, this book is also available in a special bundle with Parts 1–4; thereby instructors can cover fundamentals, data structures, sorting, searching, and graph algorithms in one consistent style. A complete set of slide masters for use in lectures, sample programming assignments, interactive exercises for students, and other course materials may be found by accessing the book's home page.

The exercises—nearly all of which are new to this edition—fall into several types. Some are intended to test understanding of material in the text, and simply ask readers to work through an example or to apply concepts described in the text. Others involve implementing and putting together the algorithms, or running empirical studies to compare variants of the algorithms and to learn their properties. Still other exercises are a repository for important information at a level of detail that is not appropriate for the text. Reading and thinking about the exercises will pay dividends for every reader.

Algorithms of Practical Use

Anyone wanting to use a computer more effectively can use this book for reference or for self-study. People with programming experience can find information on specific topics throughout the book. To a large extent, you can read the individual chapters in the book independently of the others, although, in some cases, algorithms in one chapter make use of methods from a previous chapter.

The orientation of the book is to study algorithms likely to be of practical use. The book provides information about the tools of the trade to the point that readers can confidently implement, debug, and put to work algorithms to solve a problem or to provide functionality in an application. Full implementations of the methods discussed are included, as are descriptions of the operations of these programs on a consistent set of examples. Because we work with real code, rather than write pseudo-code, the programs can be put to practical use quickly. Program listings are available from the book's home page.

Indeed, one practical application of the algorithms has been to produce the hundreds of figures throughout the book. Many algo-

rithms are brought to light on an intuitive level through the visual dimension provided by these figures.

Characteristics of the algorithms and of the situations in which they might be useful are discussed in detail. Although not emphasized, connections to the analysis of algorithms and theoretical computer science are developed in context. When appropriate, empirical and analytic results are presented to illustrate why certain algorithms are preferred. When interesting, the relationship of the practical algorithms being discussed to purely theoretical results is described. Specific information on performance characteristics of algorithms and implementations is synthesized, encapsulated, and discussed throughout the book.

Programming Language

The programming language used for all of the implementations is C (versions of the book in C++ and Java are under development). Any particular language has advantages and disadvantages; we use C in this book because it is widely available and provides the features needed for the implementations here. The programs can be translated easily to other modern programming languages because relatively few constructs are unique to C. We use standard C idioms when appropriate, but this book is not intended to be a reference work on C programming.

We strive for elegant, compact, and portable implementations, but we take the point of view that efficiency matters, so we try to be aware of the code's performance characteristics at all stages of development. There are many new programs in this edition, and many of the old ones have been reworked, primarily to make them more readily useful as abstract-data-type implementations. Extensive comparative empirical tests on the programs are discussed throughout the book.

A goal of this book is to present the algorithms in as simple and direct a form as possible. The style is consistent whenever possible so that similar programs look similar. For many of the algorithms, the similarities remain regardless of which language is used: Dijkstra's algorithm (to pick one prominent example) is Dijkstra's algorithm, whether expressed in Algol-60, Basic, Fortran, Smalltalk, Ada, Pascal,

C, C++, Modula-3, PostScript, Java, or any of the countless other programming languages and environments in which it has proved to be an effective graph-processing method.

Acknowledgments

Many people gave me helpful feedback on earlier versions of this book. In particular, hundreds of students at Princeton and Brown have suffered through preliminary drafts over the years. Special thanks are due to Trina Avery and Tom Freeman for their help in producing the first edition; to Janet Incerpi for her creativity and ingenuity in persuading our early and primitive digital computerized typesetting hardware and software to produce the first edition; to Marc Brown for his part in the algorithm visualization research that was the genesis of so many of the figures in the book; to Dave Hanson for his willingness to answer all of my questions about C; and to Kevin Wayne, for patiently answering my basic questions about networks. I would also like to thank the many readers who have provided me with detailed comments about various editions, including Guy Almes, Jon Bentley, Marc Brown, Jay Gischer, Allan Heydon, Kennedy Lemke, Udi Manber, Dana Richards, John Reif, M. Rosenfeld, Stephen Seidman, Michael Quinn, and William Ward.

To produce this new edition, I have had the pleasure of working with Peter Gordon and Helen Goldstein at Addison-Wesley, who have patiently shepherded this project as it has evolved from a standard update to a massive rewrite. It has also been my pleasure to work with several other members of the professional staff at Addison-Wesley. The nature of this project made the book a somewhat unusual challenge for many of them, and I much appreciate their forbearance.

I have gained two new mentors in writing this book, and particularly want to express my appreciation to them. First, Steve Summit carefully checked early versions of the manuscript on a technical level, and provided me with literally thousands of detailed comments, particularly on the programs. Steve clearly understood my goal of providing elegant, efficient, and effective implementations, and his comments not only helped me to provide a measure of consistency across the implementations, but also helped me to improve many of them substantially. Second, Lyn Dupre also provided me with thousands of detailed com-

Second, Lyn Dupre also provided me with thousands of detailed comments on the manuscript, which were invaluable in helping me not only to correct and avoid grammatical errors, but also—more important—to find a consistent and coherent writing style that helps bind together the daunting mass of technical material here. I am extremely grateful for the opportunity to learn from Steve and Lyn—their input was vital in the development of this book.

Much of what I have written here I have learned from the teaching and writings of Don Knuth, my advisor at Stanford. Although Don had no direct influence on this work, his presence may be felt in the book, for it was he who put the study of algorithms on the scientific footing that makes a work such as this possible. My friend and colleague Philippe Flajolet, who has been a major force in the development of the analysis of algorithms as a mature research area, has had a similar influence on this work.

I am deeply thankful for the support of Princeton University, Brown University, and the Institut National de Recherche en Informatique et Automatique (INRIA), where I did most of the work on the books; and of the Institute for Defense Analyses and the Xerox Palo Alto Research Center, where I did some work on the books while visiting. Many parts of these books are dependent on research that has been generously supported by the National Science Foundation and the Office of Naval Research. Finally, I thank Bill Bowen, Aaron Lemonick, and Neil Rudenstine for their support in building an academic environment at Princeton in which I was able to prepare this book, despite my numerous other responsibilities.

Robert Sedgewick
Marly-le-Roi, France, February, 1983
Princeton, New Jersey, January, 1990
Jamestown, Rhode Island, May, 2001

*To Adam, Andrew, Brett, Robbie,
and especially Linda*

Notes on Exercises

Classifying exercises is an activity fraught with peril, because readers of a book such as this come to the material with various levels of knowledge and experience. Nonetheless, guidance is appropriate, so many of the exercises carry one of four annotations, to help you decide how to approach them.

Exercises that *test your understanding* of the material are marked with an open triangle, as follows:

▷ 17.2 Consider the graph

3-7 1-4 7-8 0-5 5-2 3-8 2-9 0-6 4-9 2-6 6-4.

Draw its DFS tree and use the tree to find the graph's bridges and edge-connected components.

Most often, such exercises relate directly to examples in the text. They should present no special difficulty, but working them might teach you a fact or concept that may have eluded you when you read the text.

Exercises that *add new and thought-provoking* information to the material are marked with an open circle, as follows:

○ 18.2 Write a program that counts the number of different possible results of topologically sorting a given DAG.

Such exercises encourage you to think about an important concept that is related to the material in the text, or to answer a question that may have occurred to you when you read the text. You may find it worthwhile to read these exercises, even if you do not have the time to work them through.

Exercises that are intended to *challenge you* are marked with a black dot, as follows:

● 19.2 Describe how you would find the MST of a graph so large that only V edges can fit into main memory at once.

Such exercises may require a substantial amount of time to complete, depending upon your experience. Generally, the most productive approach is to work on them in a few different sittings.

A few exercises that are *extremely difficult* (by comparison with most others) are marked with two black dots, as follows:

●● 20.2 Develop a reasonable generator for random graphs with V vertices and E edges such that the running time of the PFS implementation of Dijkstra's algorithm is nonlinear.

These exercises are similar to questions that might be addressed in the research literature, but the material in the book may prepare you to enjoy trying to solve them (and perhaps succeeding).

The annotations are intended to be neutral with respect to your programming and mathematical ability. Those exercises that require expertise in programming or in mathematical analysis are self-evident. All readers are encouraged to test their understanding of the algorithms by implementing them. Still, an exercise such as this one is straightforward for a practicing programmer or a student in a programming course, but may require substantial work for someone who has not recently programmed:

- **17.2** Write a program that generates V random points in the plane, then builds a network with edges (in both directions) connecting all pairs of points within a given distance d of one another (see Program 3.20), setting each edge's weight to the distance between the two points that it connects. Determine how to set d so that the expected number of edges is E .

In a similar vein, all readers are encouraged to strive to appreciate the analytic underpinnings of our knowledge about properties of algorithms. Still, an exercise such as this one is straightforward for a scientist or a student in a discrete mathematics course, but may require substantial work for someone who has not recently done mathematical analysis:

- **18.2** How many digraphs correspond to each undirected graph with V vertices and E edges?

There are far too many exercises for you to read and assimilate them all; my hope is that there are enough exercises here to stimulate you to strive to come to a broader understanding on the topics that interest you than you can glean by simply reading the text.

Contents

Graph Algorithms

Chapter 17. Graph Properties and Types **3**

- 17.1 Glossary · 7
- 17.2 Graph ADT · 16
- 17.3 Adjacency-Matrix Representation · 21
- 17.4 Adjacency-Lists Representation · 27
- 17.5 Variations, Extensions, and Costs · 31
- 17.6 Graph Generators · 40
- 17.7 Simple, Euler, and Hamilton Paths · 50
- 17.8 Graph-Processing Problems · 64

Chapter 18. Graph Search **75**

- 18.1 Exploring a Maze · 76
- 18.2 Depth-First Search · 81
- 18.3 Graph-Search ADT Functions · 86

18.4	Properties of DFS Forests · 91	
18.5	DFS Algorithms · 99	
18.6	Separability and Biconnectivity · 106	
18.7	Breadth-First Search · 114	
18.8	Generalized Graph Search · 124	
18.9	Analysis of Graph Algorithms · 133	
Chapter 19.	Digraphs and DAGs	141
19.1	Glossary and Rules of the Game · 144	
19.2	Anatomy of DFS in Digraphs · 152	
19.3	Reachability and Transitive Closure · 161	
19.4	Equivalence Relations and Partial Orders · 174	
19.5	DAGs · 178	
19.6	Topological Sorting · 183	
19.7	Reachability in DAGs · 193	
19.8	Strong Components in Digraphs · 196	
19.9	Transitive Closure Revisited · 208	
19.10	Perspective · 212	
Chapter 20.	Minimum Spanning Trees	219
20.1	Representations · 222	
20.2	Underlying Principles of MST Algorithms · 228	
20.3	Prim's Algorithm and Priority-First Search · 235	
20.4	Kruskal's Algorithm · 246	
20.5	Boruvka's Algorithm · 252	
20.6	Comparisons and Improvements · 255	
20.7	Euclidean MST · 261	

Chapter 21. Shortest Paths **265**

- 21.1 Underlying Principles · 273
- 21.2 Dijkstra's algorithm · 280
- 21.3 All-Pairs Shortest Paths · 290
- 21.4 Shortest Paths in Acyclic Networks · 300
- 21.5 Euclidean Networks · 308
- 21.6 Reduction · 314
- 21.7 Negative Weights · 331
- 21.8 Perspective · 350

Chapter 22. Network Flows **353**

- 22.1 Flow Networks · 359
- 22.2 Augmenting-Path Maxflow Algorithms · 370
- 22.3 Preflow-Push Maxflow Algorithms · 396
- 22.4 Maxflow Reductions · 411
- 22.5 Mincost Flows · 429
- 22.6 Network Simplex Algorithm · 439
- 22.7 Mincost-Flow Reductions · 457
- 22.8 Perspective · 467

References for Part Five **473**

Index **475**