

Claude Carlet
Berk Sunar (Eds.)

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Arithmetic of Finite Fields

First International Workshop, WAIFI 2007
Madrid, Spain, June 2007
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Preface

These are the proceedings of WAIFI 2007. The conference was held in Madrid, Spain, during June 21–22, 2007. We are very grateful to the Program Committee members and to the external reviewers for their hard work! The conference received 94 submissions out of which 27 were finally selected for presentation. Each paper was refereed by at least two reviewers, and at least by three in the case of papers (co)-authored by Program Committee members. All final decisions were taken only after a clear position was clarified through additional reviews and comments. The Committee also invited Harald Niederreiter and Richard E. Blahut to speak on topics of their choice and we thank them for having accepted.

Special compliments go out to José L. Imaña, the general Co-chair and local organizer of WAIFI 2007, who brought the workshop to beautiful Madrid, Spain. WAIFI 2007 was organized by the department Computer Architecture of Facultad de Informática of the Universidad Complutense, in Madrid. We also would like to thank the General Co-chair Çetin K. Koç for his guidance. Finally, we would like to thank the Steering Committee for providing us with this wonderful opportunity.

The submission and selection of papers were done using the iChair software, developed at EPFL by Thomas Baignères and Matthieu Finiasz. Many thanks for their kind assistance! We also thank Gunnar Gaubatz for his precious help in this matter.

June 2007

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Explicit Factorizations of Cyclotomic and Dickson Polynomials over Finite Fields

Robert W. Fitzgerald and Joseph L. Yucas

Southern Illinois University Carbondale

Abstract. We give, over a finite field F_q , explicit factorizations into a product of irreducible polynomials, of the cyclotomic polynomials of order $3 \cdot 2^n$, the Dickson polynomials of the first kind of order $3 \cdot 2^n$ and the Dickson polynomials of the second kind of order $3 \cdot 2^n - 1$.

Keywords: finite field, cyclotomic polynomial, Dickson polynomial.

1 Introduction

Explicit factorizations, into a product of irreducible polynomials, over F_q of the cyclotomic polynomials $Q_{2^n}(x)$ are given in [4] when $q \equiv 1 \pmod{4}$. The case $q \equiv 3 \pmod{4}$ is done in [5]. Here we give factorizations of $Q_{2^n r}(x)$ where r is prime and $q \equiv \pm 1 \pmod{r}$. In particular, this covers $Q_{2^n 3}(x)$ for all F_q of characteristic not 2, 3. We apply this to get explicit factorizations of the first and second kind Dickson polynomials of order $2^n 3$ and $2^n 3 - 1$ respectively.

Explicit factorizations of certain Dickson polynomials have been used to compute Brewer sums [1]. But our basic motivation is curiosity, to see what factors arise. Of interest then is how the generalized Dickson polynomials $D_n(x, b)$ arise in the factors of the cyclotomic polynomials and how the Dickson polynomials of the first kind appear in the factors of both kinds of Dickson polynomials.

Let q be a power of an odd prime and let $v_2(k)$ denote the highest power of 2 dividing k . We will only consider the case where r is prime and $q \equiv \pm 1 \pmod{r}$. We recall the general form of the factors of cyclotomic polynomials in this case (see [4] 3.35 and 2.47).

Proposition 1. *Let $L = v_2(q^2 - 1)$, and work over F_q .*

1. *Suppose $q \equiv 1 \pmod{r}$. Then:*
 - (a) *For $0 \leq n \leq v_2(q - 1)$, $Q_{2^n r}(x)$ is a product of linear factors.*
 - (b) *For $v_2(q - 1) < n \leq L$, $Q_{2^n r}(x)$ is a product of irreducible quadratic polynomials.*
 - (c) *For $n > L$, $Q_{2^n r}(x) = \prod f_i(x^{2^{n-L}})$, where $Q_{2^L r}(x) = \prod f_i(x)$.*
2. *Suppose $q \equiv -1 \pmod{r}$. Then:*
 - (a) *For $0 \leq n \leq L$, $Q_{2^n r}(x)$ is a product of irreducible quadratic factors.*
 - (b) *For $n > L$, $Q_{2^n r}(x) = \prod f_i(x^{2^{n-L}})$, where $Q_{2^L r}(x) = \prod f_i(x)$.*

2 Factors of Cyclotomic Polynomials

As before, $L = v_2(q^2 - 1)$ and $r = 2s + 1$ be a prime. Let $\Omega(k)$ denote the primitive k th roots of unity in F_{q^2} .

We will often use the following, which is equation 7.10 in [4]. For $m \geq 0$

$$D_{2m}(x, c) = D_m(x^2 - 2c, c^2).$$

Lemma 1. *Suppose $q \equiv -1 \pmod{r}$. Let N denote the norm $F_{q^2} \rightarrow F_q$.*

1. *If $q \equiv 3 \pmod{4}$ and $\rho \in \Omega(2^n)$, for $n \leq L$, then*

$$N(\rho) = \begin{cases} 1, & \text{if } 2 \leq n < L \\ -1, & \text{if } n = L. \end{cases}$$

2. *If $\omega \in \Omega(r)$ then $N(\omega) = 1$.*

3. *If $\alpha \in F_{q^2}$ and $N(\alpha) = a$ then $\alpha + a/\alpha \in F_q$.*

Proof. (1) Since $q - 1 \equiv 2 \pmod{4}$, $L - 1$ is the highest power of 2 dividing $q + 1$. Let $\rho \in \Omega(2^L)$. Now $N(\rho) = \rho^{q+1}$ so that $N(\rho)^2 = \rho^{2(q+1)} = 1$ and $N(\rho) = \pm 1$. If $N(\rho) = 1$ then $\rho^{q+1} = 1$ and $2^L = o(\rho)$ divides $q + 1$, a contradiction. Hence $N(\rho) = -1$. If $\omega \in \Omega(2^n)$ for $n < L$, then ω is an even power of ρ and so $N(\omega) = 1$.

(2) $N(\omega)^r = N(\omega^r) = 1$ and, as r is prime, the only r th root of unity in F_q is 1. So $N(\omega) = 1$.

(3) We have $\alpha\alpha^q = a$ so that $\alpha + a/\alpha = \text{tr}(\alpha) \in F_q$. □

Theorem 1. 1. *Suppose $q \equiv -1 \pmod{r}$ and $q \equiv 3 \pmod{4}$.*

- (a) $Q_r(x) = \prod_{a \in S_1} (x^2 - ax + 1)$ and $Q_{2r}(x) = \prod_{a \in S_1} (x^2 + ax + 1)$, where S_1 is the set of roots of $1 + \sum_{i=1}^s D_i(x, 1)$
- (b) For $2 \leq n < L$, $Q_{2^n r}(x) = \prod_{a \in S_n} (x^2 + ax + 1)$, where S_n is the set of roots of $1 + \sum_{i=1}^s (-1)^s D_{2^{n-1}i}(x, 1)$.
- (c) For $n \geq L$, $Q_{2^n r}(x) = \prod_{b \in T_L} (x^{2^{n-L+1}} + bx^{2^{n-L}} - 1)$, where T_L is the set of roots of $1 + \sum_{i=1}^s (-1)^s D_{2^{L-1}i}(x, -1)$.

2. *Suppose $q \equiv -1 \pmod{r}$ and $q \equiv 1 \pmod{4}$.*

- (a) $Q_r(x) = \prod_{a \in S_1} (x^2 - ax + 1)$ and $Q_{2r}(x) = \prod_{a \in S_1} (x^2 + ax + 1)$.
- (b) For $2 \leq n \leq L$,

$$Q_{2^n r}(x) = \prod_{\rho \in \Omega(2^{n-1})} \prod_{b \in T(\rho)} (x^2 + bx + \rho),$$

where $T(\rho)$ is the set of roots in F_q of $1 + \sum_{i=1}^s (-1)^i D_{2^{n-1}i}(x, \rho)$.

- (c) For $n > L$, $Q_{2^n r}(x) = \prod_{\rho \in \Omega(2^{L-1})} \prod_{b \in T(\rho)} (x^{2^{n-L+1}} + bx^{2^{n-L}} + \rho)$.

3. *Suppose $q \equiv 1 \pmod{r}$ and $q \equiv 3 \pmod{4}$.*

- (a) $Q_r(x) = \prod (x - \omega)$, $Q_{2r}(x) = \prod (x + \omega)$ and $Q_{4r}(x) = \prod (x^2 + \omega)$, with each product over $\Omega(r)$.

(b) For $3 \leq n < L$,

$$Q_{2^n r}(x) = \prod_{\omega \in \Omega(r)} \prod_{c \in U_n} (x^2 + c\omega x + \omega^2)$$

where U_n is the set of roots in F_q of $D_{2^n-2}(x, 1)$.

(c) For $n \geq L$,

$$Q_{2^n r}(x) = \prod_{\omega \in \Omega(r)} \prod_{d \in V_L} (x^{2^{n-L+1}} + d\omega x - \omega^2)$$

where V_L is the set of roots in F_q of $D_{2^L-2}(x, -1)$.

4. Suppose $q \equiv 1 \pmod{r}$ and $q \equiv 1 \pmod{4}$.

(a) $Q_r(x) = \prod_{\omega \in \Omega(r)} (x - \omega)$.

(b) For $1 \leq n < L$,

$$Q_{2^n r}(x) = \prod_{\omega \in \Omega(r)} \prod_{\rho \in \Omega(2^n)} (x + \omega\rho)$$

(c) For $n \geq L$,

$$Q_{2^n r}(x) = \prod_{\omega \in \Omega(r)} \prod_{\rho \in \Omega(2^{L-1})} (x^{2^{n-L+1}} + \omega\rho).$$

Proof. (1) If $\omega \in \Omega(r)$ then $N(\omega) = 1$ and $\omega + 1/\omega \in F_q$ by Lemma 1. So

$$Q_r(x) = \prod_{\omega \in \Omega(r)} (x - \omega) = \prod (x^2 - ax + 1),$$

is a factorization over F_q , where a runs over all distinct $\omega + \omega^{-1}$. The quadratic factors are irreducible by Corollary 1. Also,

$$\begin{aligned} 1 + \sum_{i=1}^s D_i(a, 1) &= 1 + \sum_{i=1}^s (\omega^i + \omega^{-i}) \\ &= \omega^{-s} \left(\sum_{j=0}^{2s} \omega^j \right) = 0. \end{aligned}$$

As $\deg(1 + \sum D_i(x, 1)) = s$, the a are all of the roots. Further,

$$Q_{2r}(x) = Q_r(-x) = \prod (x^2 + ax + 1),$$

which completes the proof of (1)(a).

For (1)(b), the case $n = 2$ can be checked directly. So suppose $3 \leq n < L$. Note that $a_2 = \rho\omega + (\rho\omega)^{-1}$ as $\rho^{-1} = -\rho$. Let $\rho_n \in \Omega(2^n)$ and set $a_n = \rho\rho_n\omega + (\rho\rho_n\omega)^{-1}$. We claim that $a_n \in F_q$ and that $a_n^2 = 2 - a_{n-1}$ (with a_{n-1}

defined via a different choice of ω). Namely, $N(\rho\rho_n\omega) = 1$ as $n < L$ and so $a_n \in F_q$. And

$$\begin{aligned} a_n^2 &= \rho^2 \rho_n^2 \omega^2 + (\rho^2 \rho_n^2 \omega^2)^{-1} + 2 \\ &= -\rho_{n-1} \omega^2 - (\rho_{n-1} \omega^2)^{-1} + 2 = 2 - a_{n-1}. \end{aligned}$$

Then inductively,

$$Q_{2^n r}(x) = \prod (x^4 + a_{n-1}x^2 + 1) = \prod (x^2 + a_n x + 1)(x^2 - a_n x + 1),$$

where again the quadratic factors are irreducible over F_q . Lastly, again by induction, the a_n are roots of

$$1 + \sum_{i=1}^s (-1)^i D_{2^{n-2}i}(-(x^2 - 2), 1) = 1 + \sum_{i=1}^s (-1)^i D_{2^{n-1}i}(x, 1).$$

This has degree $2^{n-1}s$ and there are $2^{n-1}s = \frac{1}{2} \deg Q_{2^n r}(x)$ many a_n 's. So the a_n 's are all of the roots of the above polynomial.

We finish the proof of (1) by checking the case $n = L$ (the cases $n > L$ then follow from Corollary 1). Now $N(\rho\rho_L\omega) = -1$ by Lemma 1, so that $b = \rho\rho_L\omega - (\rho\rho_L\omega)^{-1} \in F_q$. And $b^2 = -a_{L-1} - 2$. Hence

$$x^4 + a_{L-1}x^2 + 1 = (x^2 + bx - 1)(x^2 - bx - 1)$$

is an irreducible factorization over F_q . Lastly, b is a root of

$$1 + \sum_{i=1}^s (-1)^i D_{2^{L-2}i}(-(x^2 + 2), 1) = 1 + \sum_{i=1}^s (-1)^i D_{2^{L-1}i}(x, -1).$$

As before, the b 's are all of the roots.

(2) First note that $L = v_2(q-1) + 1$ so that $\Omega(2^n) \subset F_q$ for $n < L$. The factorization of $Q_r(x)$ and $Q_{2^n r}(x)$ is the same as in (1). For (2)(b), again the case $n = 2$ can be checked directly. For $2 < n < L$ we work by induction. Set $b_n = \rho_n(\omega + \omega^{-1})$, for $\rho_n \in \Omega(2^n)$. Then $b_n \in F_q$ and $b_n^2 = b_{n-1} + 2\rho_{n-1}$. Note that the set of b_{n-1} 's is closed under multiplication by -1 . Hence we need only check that

$$x^4 - a_{n-1}x^2 + \rho_{n-1} = (x^2 + b_n x + \rho_n)(x^2 - b_n x + \rho_n).$$

Further, b_n is a root of

$$1 + \sum_{i=1}^s (-1)^i D_{2^{n-2}i}(x^2 - 2\rho_{n-1}, \rho_{n-2}) = 1 + \sum_{i=1}^s (-1)^i D_{2^{n-1}i}(x, \rho_{n-1}).$$

Set $\delta_{\rho_{n-1}}(x) = 1 + \sum_{i=1}^s (-1)^i D_{2^{n-1}i}(x, \rho_{n-1})$. Fix a ρ_{n-1} and pick a ρ_n with $\rho_n^2 = \rho_{n-1}$. To complete the proof of (2)(b) we need to check that the b_n 's are all of the roots of $\delta_{\rho_{n-1}}(x)$ in F_q .

For $n = 2$, $\deg \delta_{\rho_1} = 2s$ which is the number of b_2 's so δ_{ρ_1} has no other roots. Inductively assume that

$$\delta_{\rho_{n-1}}(x) = \prod (x - b_n) \cdot h(x),$$

where $h(x)$ is a product of non-linear factors. Then

$$\delta_{\rho_n}(x) = \delta_{r h o_{n-1}}(x^2 - 2\rho_n) = \prod (x^2 - 2\rho_n - b_n) \cdot h(x^2 - 2\rho_n).$$

Now $x^2 - 2\rho_n - b_n$ splits in F_q iff $2\rho_n + b_n$ is a square in F_q . The b_n 's in $T(\rho_n)$ are $\pm \rho_n(\omega + \omega^{-1})$. And $2\rho_n + \rho_n(\omega + \omega^{-1}) = \rho_n(\omega^r + \omega^{-r})^2$ is a square (in fact, the square of a b_{n+1}) while $2\rho_n - \rho_n(\omega + \omega^{-1}) = -\rho_n(\omega^r - \omega^{-r})^2$ is not a square (as $\omega^r - \omega^{-r} \notin F_q^2$). Hence the roots of δ_{ρ_n} in F_q are precisely the b_{n+1} 's.

(2)(c) The case $n = L$ must be done separately as $\rho_L \notin F_q$. Set $b_L = \rho \rho_L(\omega - \omega^{-1})$. As in the proof of (a), $(\omega - \omega^{-1})^2 \in F_q \setminus F_q^2$. And $\rho_{L-1} \in F_q \setminus F_q^2$. Hence $\rho_{L-1}(\omega - \omega^{-1})^2 \in F_q^2$ and its square root, b_L , is in F_q . Also $b_L^2 = -b_{L-1} + 2\rho_{L-1}$. Then

$$x^4 + b_{L-1}x^2 + \rho_{L-2} = (x^2 + b_Lx + \rho_{L-1})(x^2 - b_Lx + \rho_{L-1}),$$

giving the desired factorization. Further, $b_{L-1} = -b_L^2 + 2\rho_{L-1}$ so that b_L is a root of

$$1 + \sum_{i=1}^s (-1)^i D_{2^{L-2}i}(-(x^2 - 2\rho_{L-1}), \rho_{L-2}) = 1 + \sum_{i=1}^s (-1)^i D_{2^{L-1}i}(x, \rho_{L-1}).$$

As before, these are all of the roots in F_q . Finally, the cases $n > L$ follow from Corollary 1.

(3) As $q \equiv 1 \pmod{r}$, we have $\Omega(r) \subset F_q$. The factorizations for Q_r and Q_{2r} are clear and that of Q_{4r} follows from Corollary 1. We do the case $n = 3 < L$ (the case $n = 3 = L$ will follow from the case $n = L$ to be done later). Let $\rho_3 \in \Omega(2^3)$. Then $\rho_3 \in F_q^2 \setminus F_q$, $N(\rho_3) = 1$ as $n < L$, and $c_3 = \rho_3 + \rho_3^{-1} \in F_q$. Also $c_3^2 = \rho + \rho^{-1} + 2 = 2$. A typical factor of Q_{4r} can be written as $x^2 + \omega^4$ and we have

$$x^4 + \omega^4 = (x^2 + c_2\omega x + \omega^2)(x^2 - c_2\omega x + \omega^2),$$

giving the desired factorization of $Q_{2^3r}(x)$. Note that $c_3 = \pm\sqrt{2}$, the roots of $D_2(x, 1) = x^2 - 2$.

Now suppose $3 < n < L$ and work inductively. We have $N(\rho_n) = 1$ so that $c_n = \rho_n + \rho_n^{-1} \in F_q$. And $c_n^2 = c_{n-1} + 2$. A typical factor of $Q_{2^{n-1}r}(x)$ can be written as $x^2 - c_{n-1}\omega^2x + \omega^4$ and we have

$$x^4 - c_{n-1}\omega^2x^2 + \omega^4 = (x^2 + c_n\omega x + \omega^2)(x^2 - c_n\omega x + \omega^2),$$

giving the desired factorization. Further, c_n is a root of $D_{2^{n-3}}(x^2 - 2, 1) = D_{2^{n-2}}(x, 1)$. A counting argument shows the c_n 's are all of the roots.

Next suppose $n = L$. We have $N(\rho_L) = -1$ so that $c_L = \rho_L - \rho_L^{-1} \in F_q$. And $c_L^2 = c_{L-1} - 2$. Then

$$x^4 - c_{L-1}\omega^2x^2 + \omega^4 = (x^2 + c_L\omega x - \omega^2)(x^2 - c_L\omega x - \omega^2),$$