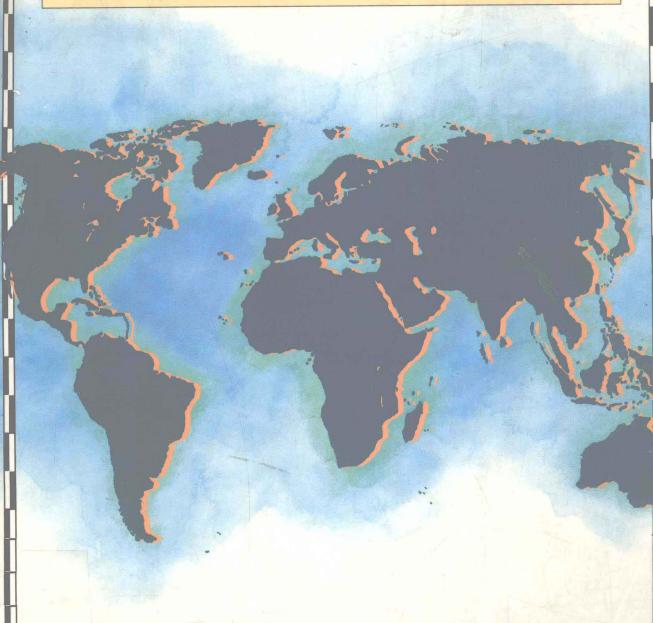
THE GLOBAL CONDITION IN THE LATE TWENTIETH CENTURY



FREDERIC S. PEARSON . J. MARTIN ROCHESTER

THIRD EDITION

The Global Condition in the Late Twentieth Century

THIRD EDITION

Frederic S. Pearson

Wayne State University

J. Martin Rochester

University of Missouri-St. Louis

McGraw-Hill, Inc.

New York St. Louis San Francisco Auckland Bogotá Caracas Lisbon London Madrid Mexico Milan Montreal New Delhi Paris San Juan Singapore Sydney Tokyo Toronto

The Global Condition in the Late Twentieth Century

Copyright © 1992 by McGraw-Hill, Inc. All rights reserved. Copyright © 1988, 1984 by Newbery Award Records, Inc. All rights reserved. Printed in the United States of America. Except as permitted under the United States Copyright Act of 1976, no part of this publication may be reproduced or distributed in any form or by any means, or stored in a data base or retrieval system, without the prior written permission of the publisher.

234567890 HAL HAL 9098765432

ISBN 0-07-049079-1

This book was set in Trump by Better Graphics, Inc. The editors were Bert Lummus and Fred H. Burns; the production supervisor was Friederich W. Schulte; the photo researcher was Rita Geffert. The cover was designed by John Hite. Arcata Graphics/Halliday was printer and binder.

Photo credits appear on page 652, and on this page by reference.

Library of Congress Cataloging-in-Publication Data

Pearson, Frederic S.

International relations: the global condition in the late twentieth century / Frederic S. Pearson, J. Martin Rochester. —3rd ed.

p. cm.

Includes bibliographical references and index.

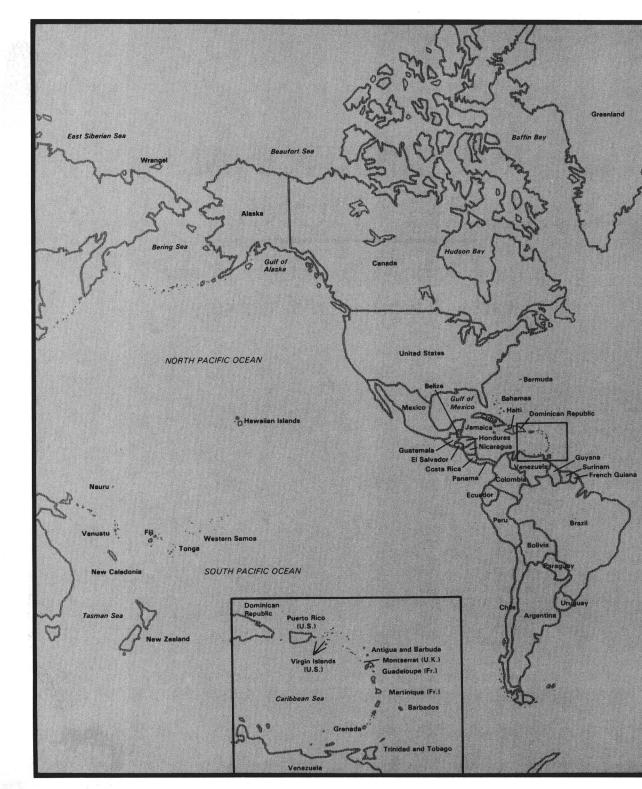
ISBN 0-07-049079-1

1. International relations. I. Rochester, J. Martin. II. Title.

JX1391.P4 1992

327—dc20 91-17821

The Global Condition in the Late Twentieth Century



此为试读.需要完整PDF请访问: www.ertongbook.com



Kilometers

1000

About the Authors

FREDERIC S. PEARSON is Director of the Center for Peace and Conflict Studies at Wayne State University in Detroit, where he is Professor of Political Science. He previously had taught at the University of Missouri-St. Louis. He earned his doctoral degree in Political Science at the University of Michigan and has since been involved in research in the Netherlands, Britain, and Germany, on a wide range of topics including the international oil crisis, crisis decision making, and the international arms trade. He has been the recipient of two Fulbright awards, a Ford Foundation sponsored fellowship, and grants from the MacArthur Foundation and the U.S. Institute of Peace. Dr. Pearson's current research includes major data collection on international military interventions and analysis of the effect of arms transfers on international conflict.

Dr. Pearson's publications include a book, The Weak State in International Crisis: The Case of the Netherlands in the German Invasion Crisis of 1939-40 (1981) and several articles in Armed Forces and Society, The Journal of Conflict Resolution, International Studies Quarterly, Orbis, Arms Control, International Affairs, West European Politics, and International Interactions. His courses cover issues such as arms control, Middle Eastern politics, the causes of war and peace, as well as global and technological problems in general, comparative foreign policy, and graduate level international relations. Among his favorite classroom teaching techniques is the use of role playing and simulations to acquaint students with decision-making perspectives on many of the issues they deal with in their studies in international relations.

J. MARTIN ROCHESTER is Associate Professor of Political Science and a Fellow in the Center for International Studies at the University of Missouri-St. Louis, where he has taught since 1972, after receiving his Ph.D. degree in political science from Syracuse University. In addition to teaching courses in international politics, international organization and law, and U.S. foreign policy, Professor Rochester has served as Chairperson of the Political Science Department at UM-St. Louis. Along with authoring several books and monographs, his published articles appear in such scholarly journals as *The American Political Science Review, International Studies Quarterly, International Organization, Western Political Quarterly,* and *Journal of Peace Research*. He was one of the founders of the Consortium for International Studies Education and was project director of CISE's International Studies Learning Package project funded by the National Science Foundation, which was designed to develop and disseminate innovative educational materials combining the

ABOUT THE AUTHORS

latest advances in both scholarly research and instructional technology. Dr. Rochester has been President of the International Studies Association (Midwest) and a member of the Governing Council of the International Studies Association (National) and the Midwest Political Science Association. He is currently at work on a book on the United Nations and world order, supported by a grant from the U.S. Institute of Peace.

For Melvadean and Ruth, experts in the fine art of conflict resolution, and for Nathaniel, Helen, Emma, Stephen, Sean (and Molly) and all those for whom the global condition will be a way of life.

Preface

When we began this project, the immediate question we faced was: Does the world really need another international relations textbook, given the many books already available on this subject? We answered in the affirmative, convinced that we could write a book that would be somewhat distinctive in content as well as style. In particular, we were seeking to write a book that would somehow appeal to beginning students—its primary audience—as well as to more knowledgeable readers, a book that would combine in a balanced fashion the traditional as well as the new approaches to the study of international relations. We believe we succeeded in this goal, but we will let the reader be the judge.

In terms of content, we have tried to give comprehensive coverage to the major topics in the field. The general theme of the book can be summarized as the need for scholars, policymakers, and laymen to take into account the complex variety of relationships that exist today—among more than 5 billion people, 160 countries (nation-states), and 4000 international organizations in order to understand the "global condition" in the late twentieth century and to make intelligent judgments about international affairs. Although nationstates remain the key actors in the arena of world politics, and national security the fundamental concern, other actors and issues are competing for attention, with these forces containing the seeds of both increased conflict and increased cooperation among peoples. The organization of the text carefully reflects this theme. Part I introduces international relations as a field of study and provides historical background for contemporary world politics. Part II focuses on states as actors and examines the determinants of foreign policy, international bargaining and diplomacy, and war. Part III focuses on international institutions, including international law and international organizations. Part IV examines the congeries of forces involved in global problem solving, with separate chapters devoted to arms races, terrorism, coordination of the world economy, economic development, and the management of renewable and nonrenewable resources. Part V contains a concluding chapter that looks to the future—the year 2000 and beyond—and offers an analysis of alternative world order models.

In terms of *style*, we have sought to combine high scholarly standards with readability. The text discusses the latest theoretical ideas and research findings in a lively, readable manner—complete with photographs, cartoons, tables, graphs, case studies, and vignettes. We feel that these "supplementary" materials have not in any way compromised academic integrity but instead have

PREFACE XIX

added another dimension to the text. For the beginning student, we have employed several pedagogical aids, including a summary of important points and suggested readings at the end of each chapter, and a glossary of terms. For the more scholarly reader, a notes section is provided at the end of the book.

Admittedly, it is often difficult to find a lighter side to a world in which several million people lack access to drinkable water, and in which the stockpile of nuclear weapons amounts to roughly fifteen tons of TNT for every man, woman, and child on earth. Notwithstanding the cartoons and sidelights, the reader will find that the authors consider international relations a deadly serious subject—a subject where the ability to develop and communicate sound knowledge will have much bearing on humanity's future prospects for survival and prosperity. We hope this book makes at least some small contribution in this regard.

It should be added that much has happened since the publication of the second edition of the text. As General Colin Powell, Chairman of the Joint Chiefs of Staff of the United States, commented in 1989—reflecting on the dismantling of the Berlin Wall and other shattering events at the time—"the future ain't what it used to be." The third edition, aside from incorporating substantial updating of textual material, figures, and tables, aims to capture the mounting turbulence and complexity surrounding international relations in the last decade of the twentieth century. Taking into account the latest developments, this edition shows how the trends discussed in the earlier editions are becoming even more pronounced and accelerated, including the growing diffusion and ambiguity of power, the growing fluidity of alignments, ever more intricate patterns of interdependence associated with an expanding agenda of concerns, and the growing role of nonstate actors and the increasing linkages between subnational, transnational, governmental, and intergovernmental levels of activity. In short, the revised edition attempts to come to grips with the major dramas now being played out between the forces of globalism and regionalism, nationalism and transnationalism, security and welfare, and order and change.

On the subject of order and change, the authors recognize that many of the happenings which occasioned euphoria at the outset of the 1990s—particularly the democratization movements in Eastern Europe and elsewhere and the "breaking out" of peace in various parts of the world—remain fragile developments capable of being reversed or evolving in a manner that could replace the remnants of the familiar, established postwar order anchored in the American-Soviet rivalry with a new, perhaps even more unstable, world order. (As we went to press, the coup attempt against Soviet leader Mikhail Gorbachev was a reminder of the volatility of the international environment.) The book puts all this into a larger context, tracing the roots of the current global condition and projecting where humanity seems to be heading as the postwar era passes into history and we wait for the next century (and millennium) to arrive.

As with any project that has consumed several years of effort, we are indebted to many people. At the very top we must acknowledge the special contribution of Professor Edwin Fedder, who as Director of the Center for International Studies at the University of Missouri-St. Louis provided the kind of personal, intellectual, and material support for this project that was indispensable. We wish to thank the Center for International Studies itself, including staff members Robert Baumann, Mary Hines, and Anita Cleaver, who provided a myriad of services too numerous to mention, as well as Ian Frantzen, Lana Sink, and John Kalinowski of the Political Science Department. We greatly appreciate the cooperation of the many publishers who granted us permission to use copyrighted material. We also owe considerable thanks to the many reviewers who offered helpful critiques of the manuscript. A long-standing intellectual debt must be acknowledged to our "mentors," William Coplin at Syracuse University and J. David Singer at the University of Michigan, both of whom in their own way taught us the importance of sound scholarship in collecting evidence and of sound pedagogy in presenting it. Finally, our acknowledgments would be incomplete without expressing gratitude to Bert Lummus, our editor, and to his superb staff at McGraw-Hill particularly Editing Supervisor Fred Burns and Assistant Editor William Barter—who all contributed greatly to the final project.

For our families who have had to live with us for the past several years, amid the conflict that always attends collaboration, we reserve a well-earned dedication.

Frederic S. Pearson J. Martin Rochester

Contents

Preface	xviii
110,000	** * ***

or Déjà Vu?

Sui generis

CHAPTER 1 The Study of International Relations, or Getting a Handle on the World 3
From Poughkeepsie to Peoria to Portland: The Relevance of International
Relations 6
Linkages in an Interdependent World 7
Making the Public More Worldly 12
The "What" Question: Defining International Relations 13
Definitional Problems 13
The Substance of International Relations 15
The "How" Question: Alternative Approaches 17
Alternative Paradigms 18
Alternative Methodologies 22
The Concerns of Policymakers, Scholars, and Laymen 24
Questions for Scholars and Policymakers 24
Questions for Laymen 25
Modes of Analysis 25
The Plan of This Book 28
Summary 29
Suggestions for Further Reading and Study 30

Development of the International System

33

CHAPTER 2 A Glimpse into the Past: The Historical

35

35

Change and Continuity in International Relations: Sui Generis

PART I

Introduction 1

Déjà vu 35

CONTENTS

The International System in Flux 36
The Classical International System (1648-1789) 37
The Birth of the Nation-State 38
Actors in the Classical System 41
Power and Wealth 42
Degree of Polarization 45
Objectives and Means 46
Degree of Interdependence 46
The Transitional International System (1789–1945) 47
Actors 48
Power and Wealth 50
Degree of Polarization 52
Objectives and Means 53
Degree of Interdependence 54
The Rise of Nonstate Actors: International Organizations 55
The Post-World War II International System (1945-1973) 58
Distinctive Features of the Postwar System: Superpowers and
Bipolarity 59
Fissures in the Postwar System 60
Larger Cracks in the Postwar System 61
Conclusion 64
Summary 64
Suggestions for Further Reading and Study 66
CHAPTER 3 A Bird's-Eye View of the Present: The Contemporary International System 69
International System
The Oil Embargo of 1973 70
1110 011 211101100
Background 70
Background 70 The Sequence of Events 71
Background 70 The Sequence of Events 71 Postscript 74
Background 70 The Sequence of Events 71 Postscript 74 Characteristics of the Contemporary International System 75
Background 70 The Sequence of Events 71 Postscript 74 Characteristics of the Contemporary International System 75 Distribution of Power 75
Background 70 The Sequence of Events 71 Postscript 74 Characteristics of the Contemporary International System 75 Distribution of Power 75 Distribution of Wealth 83
Background 70 The Sequence of Events 71 Postscript 74 Characteristics of the Contemporary International System 75 Distribution of Power 75 Distribution of Wealth 83 Degree of Polarization 86
Background 70 The Sequence of Events 71 Postscript 74 Characteristics of the Contemporary International System 75 Distribution of Power 75 Distribution of Wealth 83 Degree of Polarization 86 Objectives and Means 89
Background 70 The Sequence of Events 71 Postscript 74 Characteristics of the Contemporary International System 75 Distribution of Power 75 Distribution of Wealth 83 Degree of Polarization 86 Objectives and Means 89 Degree of Interdependence 94
Background 70 The Sequence of Events 71 Postscript 74 Characteristics of the Contemporary International System 75 Distribution of Power 75 Distribution of Wealth 83 Degree of Polarization 86 Objectives and Means 89 Degree of Interdependence 94 Some Further Complications 96
Background 70 The Sequence of Events 71 Postscript 74 Characteristics of the Contemporary International System 75 Distribution of Power 75 Distribution of Wealth 83 Degree of Polarization 86 Objectives and Means 89 Degree of Interdependence 94 Some Further Complications 96
Background 70 The Sequence of Events 71 Postscript 74 Characteristics of the Contemporary International System 75 Distribution of Power 75 Distribution of Wealth 83 Degree of Polarization 86 Objectives and Means 89 Degree of Interdependence 94 Some Further Complications 96 Regionalization 97
Background 70 The Sequence of Events 71 Postscript 74 Characteristics of the Contemporary International System 75 Distribution of Power 75 Distribution of Wealth 83 Degree of Polarization 86 Objectives and Means 89 Degree of Interdependence 94 Some Further Complications 96 Regionalization 97 Nonstate Actors 98

PART II

National Actors and International Interactions 107

CHAPTER 4 Describing Foreign Policy Behavior: What Is It Nation-States Do? 109

The Nature of Foreign Policy 110

Patterns of Foreign Policy Behavior 111

Alignment 112

Scope 114

Modus operandi 115

Four Case Studies 121

American Foreign Policy: A Profile 121

Soviet Foreign Policy: A Profile 131

Soviet Foreign Policy: A Profile 131
Chinese Foreign Policy: A Profile 140
Indian Foreign Policy: A Profile 147

Conclusion 154 Summary 155

Suggestions for Further Reading and Study 156

CHAPTER 5 Explaining Foreign Policy Behavior: Why Do Nation-States Do What They Do? 157

Determinants of Foreign Policy Behavior: An Explanatory

Framework 158

The Concept of National Interests 158

An Explanatory Framework 160

The Role of Systemic Factors 161

Geography 162

International Interactions and Links 166

International System Structure 167

The Role of National Attributes 171

Demographic Attributes 172

Economic Attributes 173
Military Attributes 175

Governmental Attributes 179

The Role of Idiosyncratic Factors 185

Conclusion 191	
Summary 192	
Suggestions for Further Reading and Study 193	
CHAPTER 6 The Foreign Policy Process: A View from the Inside	197
Does Each Country Have a Foreign Policy? 198 Types of Foreign Policy Decisions 200 Macro-decisions 201 Micro-decisions 202 Crisis decisions 203 The Conventional View of Foreign Policy: States as Rational Actors	206
The Rational Actor Model 207 An Alternative View: States as Collections of Individuals, Groups, and Organizations That May or May Not Act Rationally 208 Nonrational Factors in Foreign Policy 213 Definition of the Situation 213 Consideration of Goals and Means 218 Implementation and Evaluation 221	200
Ethics and Foreign Policy 223 Conclusion (One Last Thought on Rationality) 227 Summary 229 Suggestions for Further Reading and Study 231	
CHAPTER 7 Playing the Game of International Relations: Diplomacy before Force 233	
The Changing Nature of Diplomacy 235 The Role of the Embassy and the Ambassador 235 Public versus Secret Diplomacy 240 Multilateral versus Bilateral Diplomacy 241 Tacit versus Formal Diplomacy 242 Other Changes 244	
Other Changes 244 The Concept of Bargaining 244	
The Dynamics of International Bargaining 246	
The Manipulation of Carrots and Sticks 246	
The Ingredients of Successful Bargaining 248	
Game Theory 251	
The Good Diplomat and Good Diplomacy: Rules to Follow	
in Negotiations 254 Rules for Good Diplomats 254	
Kutes for Good Diplomats 254	

255

Rules for Good Diplomacy

CONTENTS

267

The Instruments of International Bargaining: Military Resources

Military Deterrence 259

The Instruments of International Bargaining: Economic Resources

Conclusion 264

Summary 266

CHAPTER 8 Breakdown in the Game: The Resort to Armed Force 269

Trends in the Use of Armed Force 273

Suggestions for Further Reading and Study

International War 274
Force without War 275
Civil War 276

Has the World Become More Peaceful or More Warlike? 278

The Causes of War: Theories and Evidence 280

A Potpourri of Theories 280
Background Conditions 285
Immediate Causes 290

The Outcomes and Consequences of War 292

Outcomes of War: Who Wins? 292 Historical Consequences of War 293

Potential Consequences of War Today 294

The Causes of Peace: Approaches to World Order 296

Balance of Power, Concert of Powers, and Hegemony 29

Arms Control and Disarmament 301

International Organization, Peaceful Settlement, and Collective

Security 302 Conclusion 304

Summary 304

Suggestions for Further Reading and Study 305

PART III

International Institutions 309

CHAPTER 9 International Law: Myth or Reality? 311

Is International Law Really Law? 313

The Making of International Law: Where Does the Law Come From? 314

Customary Law 315 Treaties 316 The Breaking of International Law: How Is the Law Enforced? 319
The Adjudicating of International Law: Who Are the Judges? 322
Special Problems in Contemporary International Law 324
Laws of War 327
Treatment of Aliens 330

Human Rights 331
Conclusion 333
Summary 334

Suggestions for Further Reading and Study 336

CHAPTER 10 International Organizations: Links between Governments and between Peoples 339

A Typology of International Organizations: IGOs and NGOs 341

Membership 342

Geographical Scope 344

Functional Scope 345

The Causes and Effects of International Organization 346

The Logic of NGOs 346
The Logic of IGOs 350

Global Intergovernmental Organizations: The United Nations and the UN System 354

Structure and Activities 355

An Appraisal: The UN Balance Sheet 364

Regional Intergovernmental Organizations: The European

Community 368 Conclusion 375 Summary 376

Suggestions for Further Reading and Study 377

PART IV

The Global Condition: The Politics of Global Problem Solving 379

CHAPTER 11 The Control of Violence: Arms Races and Arms Control in the Nuclear Age 381

The Nature and Magnitude of the Problem 383

Economic Aspects of the Problem 384

Arms Transfers 388