

ROBERT F. PHALEN | ROBERT N. PHALEN

INTRODUCTION TO

AIR POLLUTION SCIENCE

A PUBLIC HEALTH PERSPECTIVE



Introduction to Air Pollution Science

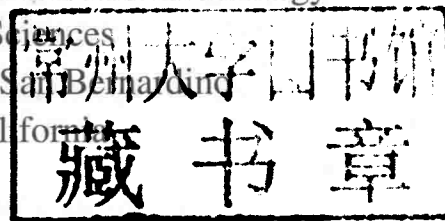
A Public Health Perspective

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Dedication



Figure FM-1 Paracelsus

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To Philippus Aureus Theophrastus Bombastus von Hohenheim—Paracelsus (1493–1541), who probably made the single most important contribution to air pollution science by proclaiming:

“All substances are poisons; there is none which is not a poison. The right dose differentiates a poison from a remedy.”

(Quote from Gallo, M. A. (2008) in Casarett and Doull's *Toxicology, The Basic Science of Poisons*, 7th Edition, Klaassen, C. D., Ed., McGraw–Hill Medical, New York.)

Preface

Air pollution science is both interesting and elegant because it integrates many disciplines. Responsibly managing air pollution requires the expertise and cooperation of a diverse array of specialists. Chemists, physicists, and engineers must have a working knowledge of public health, as well as the basic principles of toxicology, epidemiology, and the regulatory process. Also, public health professionals (including epidemiologists, toxicologists, and regulators) need to acquire a working knowledge of air pollution chemistry, physics, and engineering in order to be relevant and effective. In the interest of public health and welfare, it is no longer acceptable to pursue and promote one's own scientific discipline in isolation. A holistic approach is necessary, with the ultimate goal of making sound decisions that will best protect public health and the environment. To serve this end, this book covers essential traditional topics, as well as some that are new to air pollution textbooks. For example, full chapters are dedicated to risk assessment, toxicology, epidemiology, and ethics.

Traditional topics have been updated to address current issues in air pollution science (e.g., climate change). Individual chapters cover Sources and Emissions; Properties of Air Pollutants (Chemistry and Physics); Sampling and Analysis; Visibility, Climate, and the Ozone Layer; Regulation and Abatement; Human Exposures; Effects on Human Health; Toxicology; Epidemiology; Risk Assessment; and Ethics. The authors believe these are essential basic topics that students and professionals must appreciate in order to understand air pollution science. The chapters are scientifically current, and they introduce important basic

concepts, online databases, and even some of the relevant peer-reviewed literature.

The authors have proven records in research and education in the air pollution sciences, and their formal scientific training, professional experience, and viewpoints are complementary. Their combined expertise and interests include air sampling, chemical analyses, aerosol science, industrial hygiene, inhalation toxicology, occupational health, biophysics, dermal toxicology, pollutant control technologies, applied ethics, and undergraduate, graduate, and post-graduate education. They have discussed, reviewed, and edited each other's contributions, and they have had many stimulating discussions and debates regarding the content presented in this text.

This textbook is necessary because it is: (1) motivated by a concern for public health and welfare, but also (2) current from a basic science viewpoint. As the Earth's population expands, air quality will worsen unless cleaner and/or more efficient technologies are developed for generating power, providing food, manufacturing goods, transporting goods, and enjoying life. On the other hand, many people throughout the world are still dealing with serious and very real health problems that are not associated with air pollution. These problems include poor nutrition, infectious disease, and natural disasters. Therefore, air pollution must be placed into a proper perspective within each society or community. Presenting this public health perspective is an important goal of this textbook. After all, the public must deal with all of the potential consequences of a regulatory action, not just the intended benefits.

The authors must thank more people than they can name. First is Mrs. Leslie Kimura, who word-processed every chapter many times and served as our Administrative Assistant. Leslie's young daughter Kayla inspired us all with her patience and healthy scientific curiosity. She was also an invaluable companion to the authors' children and grandchildren, Joseph and Samuel.

Without the advice and help of Dr. Robert H. Friis, our role model as a textbook author, this project could neither have been begun nor completed. We are also appreciative of the guidance from Michael Brown, Maro Gartside, Rebekah Linga, Chloe Falivene, Grace Richards, Sophie Fleck, Teresa Reilly, Catie Heverling, and several other Jones & Bartlett Learning staff. Erica Martinetti, Robert N. Phalen, and Joshua Bracks expertly prepared several figures. The authors' families,

Katherine Phalen, Michelle Phalen, and Nancy Phalen, tirelessly performed essential research, editing, and checking. Kathryn E. Terry, attorney at law and member of the California State Bar Committee on Ethics, offered expert suggestions on our ethics chapter. Rowe Yates contributed quiz questions. Dr. Loyda Mendez provided valuable advice.

The authors' children and grandchildren gave up valuable time with their parents and grandparents. They inspired us and helped us to relax during tense times by playing baseball with us. They deserve our most sincere appreciation.

Robert F. Phalen and Robert N. Phalen

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Foreword

Air, water, and earth sustain all living things, both plants and animals. They are the source of foodstuffs and energy critical to the well-being of human kind. Constant availability of oxygen within narrow concentration limits is essential for humans and all other mammalian organisms. Likewise, the constant availability of carbon dioxide within critical concentration limits is essential fuel for plants. The evolution of humankind has been strongly influenced by combustion, the interaction of carbonaceous materials and oxygen and the release of thermal energy. Primitive man learned to use fire to enhance the well-being of individuals and small communities of hunters and gatherers. This was soon followed by development of agricultural-based communities. The industrial revolution soon emerged with its strong dependence on the use of energy from available natural resources. That revolution was initially fueled by wood, then coal, and continues today with extensive use of coal, oil, natural gas, and to a lesser extent, uranium fuel for nuclear reactors as the primary energy sources. The availability of refined oil products, gasoline, diesel, and aviation fuel, has been the cornerstone of a transportation sector that has helped create a global economy. Increased agricultural productivity has been key to feeding a growing global population. Enhanced agricultural productivity has benefited from improved germ stocks, the use of petroleum product fueled equipment, and increased use of fertilizers. Nitrogen, extracted from the air, has played a critical role as a fertilizer.

Uses of carbon-based fuel stocks were initially very inefficient and resulted in significant emissions of a variety of gaseous and particulate pollutants primarily

to air. Initially, the impacts were local, then observed regionally, and now are recognized as being of global concern. Air pollutants may directly impact the health of individuals and, in some cases, only be identified by studying very large populations. Other impacts on human populations may arise indirectly via contamination of water, soil, and plants. It is clear that the development of modern society has been dependent on the complex inter-relationships between air, water, earth, energy, and food production, and these, in turn, impact the health of the world's population. In both developed and developing countries, people are living longer on average than at any time in the history of human kind.

This text, by a father-son team, Robert F. and Robert N. Phalen, will be useful for undergraduate and graduate students and the lay public who want to better understand the multi-faceted nature of air pollution, its impact on society, and how the impacts can be mitigated. Their decades of experience as researchers studying the health effects of air pollution and as teachers have provided them with a valuable perspective often lacking in textbooks. They understand the scientific information being communicated. Equally as important, they understand the importance of communicating basic principles and using specific examples of the science to illustrate the principles.

Readers of the text will quickly identify a series of conceptual paradigms highly relevant to air quality that are recurring in the book. These include an emphasis on studying air pollution linkages from the sources of pollutants to the ambient air to the breathing zone of people to how inhaled materials are deposited and impact the respiratory tract and remote tissues. The individual chapters on

toxicological and epidemiological studies help the reader understand the strengths and weaknesses of each approach and how the resulting knowledge can be integrated. The Phalens wisely provide a chapter on the risk assessment process, which has emerged over the past half century as an approach to synthesizing information from multiple sources to understand human health hazards and risks. Every chapter provides not only coverage of science but, most importantly, places that science in the context of the global society in which we all live.

The senior Dr. Phalen received his undergraduate and early graduate education in Physics and then received his PhD in Radiation Biology and Biophysics. I had the pleasure of working with Robert F. Phalen at the Lovelace Inhalation Toxicology Research Institute (now the Lovelace Respiratory Research Institute), an institute whose successful research program was grounded on issue-resolving multi-disciplinary collaboration. At the University of California, Irvine, he has had an outstanding career as a research scientist and teacher. The junior Dr. Phalen received his undergraduate education in biology, gained experience as an Industrial Hygienist, and then received his PhD in Environmental Health. He has worked at the interface of applying science to resolving environmental and occupational health issues. The rich and varied experiences of the Phalens have taught them the importance of applying the skills of multiple disciplines in the physical, biological, and biomedical sciences, mathe-

matics, information technology, engineering, the societal sciences, and philosophy to increase our knowledge base on air pollution and then use that knowledge to assist in resolving important societal issues. Students with an inquiring mind will identify many potential opportunities for developing a future career in one of the disciplines key to developing and using scientific knowledge of air pollution science.

Unlike many texts in the field, this book is not an encyclopedia of the knowledge of air pollution written from the perspective of multiple super specialists. Neither is this a doom and despair text with finger pointing to establish blame and advocate narrow viewpoints as to how society should move forward. This book exemplifies how science has an important role in helping human kind prosper and live healthy lives with thoughtful attention given to the quality of our air, water, and earth and the wise use of energy resources. Air quality is a crucial interface issue for the future of human kind. As William Shakespeare noted, "The golden age is before us and not behind us," and "What is past is prologue." This textbook will provide readers with an understanding of the past and current science of air pollution so they can be better contributors in the future.

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The authors have approximately 150 combined scientific publications.

Table of Contents

Preface.....	xiii
Reviewers	xv
Foreword.....	xvi
About the Authors.....	xviii
CHAPTER 1: INTRODUCTION TO AIR POLLUTION SCIENCE.....	1
I. INTRODUCTION: HISTORY	2
Early History and Natural Events	2
Use of Fuels by Humans.....	3
History of Attitudes and Perceptions	4
Impact of the Industrial Revolution.....	5
II. THE GREAT AIR POLLUTION DISASTERS.....	5
Meuse River Valley, 1930.....	5
Donora Pennsylvania, 1948.....	6
London, 1952.....	6
Conclusions from the Three Air Pollution Disasters	8
III. MODERN AIR POLLUTION ISSUES.....	8
IV. RISKS VS. BENEFITS ASSOCIATED WITH AIR POLLUTANT PRODUCING ACTIVITIES.....	9
V. AGENCIES INVOLVED IN AIR POLLUTION ASSESSMENT AND CONTROL	10
VI. THE SCOPE OF MODERN AIR POLLUTION SCIENCE.....	12
Earth Science, Meteorology, and Climate	12
Ecology.....	12
Epidemiology and Controlled Studies	13
Air Chemistry	14
Dosimetry	14
Risk Assessment	14
Regulations.....	15
Environmental Justice	15
VII. SUMMARY OF MAJOR POINTS	16

VIII. QUIZ AND PROBLEMS	16
Quiz Questions	16
Problems	17
IX. DISCUSSION TOPICS	17
References and Recommended Reading	18
CHAPTER 2: SOURCES AND EMISSIONS OF AIR POLLUTANTS	21
I. INTRODUCTION	22
Structure of the Earth's Atmosphere	22
Vertical Mixing and Inversions	23
Tropospheric vs. Stratospheric Pollutant Effects	23
II. MEASUREMENT BASICS	23
Quantitation and Scale	23
Variations in Units of Measurement	24
III. UNPOLLUTED VS. POLLUTED AIR	25
Clean Air, Can It Be Harmful?	25
Defining Air Pollutants	26
IV. AIR POLLUTANT SOURCES AND THEIR EMISSIONS	26
Terminology and Pollutant Source Categories	26
Natural vs. Anthropogenic	26
Enclosed Settings and Workplaces	28
Criteria Air Pollutants vs. Hazardous Air Pollutants	33
Accidents and Disasters	33
V. POLLUTANT TRANSPORT	34
Overview	34
Gaussian Plume Model	35
Plumes and Smokestacks	35
VI. SUMMARY OF MAJOR POINTS	37
VII. QUIZ AND PROBLEMS	37
Quiz Questions	37
Problems	38
VIII. DISCUSSION TOPICS	39
References and Recommended Reading	39
CHAPTER 3: IMPORTANT PROPERTIES OF AIR POLLUTANTS	41
I. INTRODUCTION	42
Understanding Aerosols	42
Which Particles and Gases Are Important?	42
II. PARTICLE BASICS	43
Aerosol Terminology	43
Particle Size	45
Particle Aerodynamic Equivalent Diameter	46
Brownian Motion (Particle Diffusion)	48
Distributions of Particle Sizes	49
Particle Shape	51
Particle Surface Area	52
Particle Density	53
Electrical Charges on Particles	54
Light Scattering by Particles	55
Hygroscopic Growth of Particles	55

Particle Motion in the Air	55
Coagulation	57
Nanoparticles	57
Bioaerosols	58
Review of Particle Basics	60
III. PARTICLE MORPHOLOGY AND TOXICITY	60
Overview	60
Particle Mass	60
Fibers and Metal Fumes	60
Surface Area and Dissolution Rate	61
Other Size Dependent Factors and Toxicity	62
IV. GASES AND VAPORS	62
What Are Gases and Vapors?	62
Ideal Gas Laws	63
Vapor Pressure	63
Partial Pressure	63
Physiologic Implications of Gas Partitioning	64
Inhaled Gases	65
Expressing Gas Concentrations	65
Gas Solubility and the Role of Particles in Transporting Inhaled Gases	66
V. IMPORTANT PHOTOCHEMICAL AND OTHER REACTIONS	66
Gas Spectroscopy and Photochemistry	66
Photochemistry of Ozone and Nitrogen Dioxide	67
Hydrocarbons and Their Derivatives	68
Sulfur	68
Nitrogen	69
VI. PRIMARY AND SECONDARY AIR POLLUTANTS	70
Overview	70
Primary Particulate Matter	71
Secondary Particulate Matter	71
Secondary Gases and Vapors	73
VII. UNCERTAINTIES RELATED TO PUBLIC HEALTH ISSUES	73
VIII. SUMMARY OF MAJOR POINTS	74
IX. QUIZ AND PROBLEMS	75
Quiz Questions	75
Problems	76
X. DISCUSSION TOPICS	76
References and Recommended Reading	76
CHAPTER 4: SAMPLING AND ANALYSIS FOR HEALTH ASSESSMENTS	79
I. INTRODUCTION	80
Overview	80
Sampling	80
Analysis	81
II. QUALITY ASSURANCE AND STATISTICAL CONSIDERATIONS	81
Accuracy and Precision	82
Field Blanks	84
Detection and Quantification Limits	84
Calibration	85
Reporting Analytical Results and Errors	85

III. THE HUMAN AS AN AIR SAMPLER	86
Human Respiratory Tract	86
Gases and Vapors	87
Aerosol Particles	87
Deposition Mechanisms for Aerosol Particles	88
IV. PARTICLE SAMPLING	89
Filtration	89
Inertial Collection	92
Additional Sampling Methods	94
Isokinetic Sampling	95
V. PARTICLE ANALYSIS	95
Introduction to Particle Sizing Instrumentation	96
Particle Distributions	99
Mass-Based Instrumentation	99
Particle Microscopy	100
VI. GAS SAMPLING	101
Introduction to Gas Sampling and Analysis	101
Air Sampling Methods	102
Active vs. Passive Sampling	105
VII. GAS ANALYSIS	107
Common Detection Techniques	108
Common Separation Techniques	113
VIII. SUMMARY OF MAJOR POINTS	116
IX. QUIZ AND PROBLEMS	117
Quiz Questions	117
Problems	118
X. DISCUSSION TOPICS	119
References and Recommended Reading	119
CHAPTER 5: VISIBILITY, CLIMATE, AND THE OZONE LAYER	121
I. INTRODUCTION: VISIBILITY, CLIMATE, AND THE OZONE LAYER	122
Some Basic Concepts	122
II. VISIBILITY AND AIR POLLUTION	124
Vision	124
Visibility	125
Air Pollutants that Impair Visibility	127
Modeling Light Extinction	127
Spatial and Temporal Trends in Visibility	128
III. CLIMATE AND AIR POLLUTION	130
Introduction	130
The Greenhouse Effect and Greenhouse Gases	131
Climate Models	132
Climate and Particulate Air Pollution	135
IV. STRATOSPHERIC OZONE	136
Why Is Stratospheric Ozone Important?	136
Ozone Measurement, Formation, and Destruction	137
V. SUMMARY OF MAJOR POINTS	139
VI. QUIZ AND PROBLEMS	140
Quiz Questions	140
Problems	140

VII. DISCUSSION TOPICS.....	141
References and Recommended Reading	141
CHAPTER 6: REGULATION AND ABATEMENT OF AIR POLLUTANTS	143
I. INTRODUCTION AND SCOPE	144
Introduction	144
Scope of this Chapter.....	145
II. REGULATORY AGENCIES	145
III. REGULATIONS AND STANDARDS	147
Air Pollution Regulations and Air Quality Standards.....	147
Components of an Air Quality Standard.....	148
The U.S. Clean Air Act.....	151
Other Clean Air Acts	152
Tobacco-Use Controls	152
IV. TRENDS, BENEFITS, AND TRADE-OFFS.....	153
Trends, Benefits, and New Questions.....	153
Regulatory Trade-Offs of Air Pollution Regulations.....	156
V. ABATEMENT AND COMPLIANCE STRATEGIES	158
Introduction: Definitions and Scope.....	158
VI. CONTROL OF PARTICULATE AND GASEOUS EMISSIONS.....	159
Basic Principles for the Collection of Particles	159
Particle Collection Devices	159
Acoustic Agglomerators	166
Additional Methods for Controlling Gas Emissions	166
Selection of Aerosol and Gas Collectors	167
VII. CASE STUDY: COAL-FIRED POWER PLANT	168
Overview	168
Pulverized Fuel Coal-Fired Power Plants.....	169
VIII. CASE STUDY: AUTOMOBILES AND TRUCKS.....	171
Regulatory Pressure and Overview of Controls	171
Emission Controls	171
There Are Positive Results, but Some Persistent Problems.....	173
IX. SUMMARY OF MAJOR POINTS	174
X. QUIZ AND PROBLEMS.....	175
Quiz Questions	175
Problems.....	175
XI. DISCUSSION TOPICS.....	176
References and Recommended Reading	176
CHAPTER 7: HUMAN EXPOSURES TO AIR POLLUTANTS.....	179
I. INTRODUCTION: BREATHING—AN OLD HABIT	180
Gas Exchange	180
Other Critical Functions	180
Inhaled Air Volumes	180
II. RESPIRATORY TRACT COMPARTMENTS FOR INHALATION CONSIDERATIONS.....	182
Compartmental Models	182
Pollutant Deposition and Clearance Models	182
III. POLLUTANT DEPOSITION IN THE BODY.....	182
Inhaled Particle Deposition	182
Inhaled Gases	185

IV. FATES OF AIR POLLUTANTS IN THE BODY.....	186
Introductory Comments.....	186
Fates of Deposited Particles	186
More on Fates of Inhaled Gases	188
ADMSE and PBPK Models	189
V. POPULATION VARIABILITY	189
How Variable Is Exposure?	189
Where the Exposure Occurs and the Personal Cloud	190
Proximity to Significant Pollutant Sources	191
Geographical Factors.....	191
Comments.....	191
More on How Biological and Physiological Factors Influence Exposures	191
VI. EXPOSURE IN THE WORKPLACE.....	192
Introduction	192
Exposure Characteristics	192
Inhaled Dose vs. Exposure Dose	193
Exposure Control Methods Used to Protect Workers.....	194
VII. SUMMARY OF MAJOR POINTS	195
VIII. QUIZ AND PROBLEMS.....	195
Quiz Questions	195
Problems.....	196
IX. DISCUSSION TOPICS.....	196
References and Recommended Reading	196
CHAPTER 8: EFFECTS ON HUMAN HEALTH.....	199
I. INTRODUCTION TO AIR POLLUTION AND HEALTH.....	200
Key Concepts	200
II. SOURCES OF HEALTH DATA	203
III. HEALTH EFFECTS OF SELECTED AIR POLLUTANTS.....	204
Introduction	204
U.S. EPA's Criteria Pollutants	205
Other Air Pollutants	208
Paradoxical Effects of Low Dose Exposures.....	210
Sick Building Syndrome vs. Building Related Illness.....	210
IV. SUSCEPTIBLE POPULATIONS.....	211
V. SOURCES OF INFORMATION ON HEALTH EFFECTS OF AIR POLLUTANTS	211
VI. SUMMARY OF MAJOR POINTS	212
VII. QUIZ AND PROBLEMS.....	212
Quiz Questions	212
Problems.....	213
VIII. DISCUSSION TOPICS.....	213
References and Recommended Reading	214
CHAPTER 9: TOXICOLOGY STUDIES.....	215
I. INTRODUCTION	216
Definition, Scope, and Tools	216
Concepts in Toxicology	216
II. AIR POLLUTION TOXICOLOGY.....	226
Introduction	226
III. IN VITRO STUDIES AND MECHANISMS OF TOXICITY	227
Overview	227
Macrophages and Other Cells Used to Study Air Pollutants.....	227

	<i>In Vitro</i> Toxicity Testing Used for Air Pollutants.....	228
	Additional Comments.....	229
IV.	ANIMAL STUDIES	230
	Why Are Animal Studies Performed?	230
	Rationale for Animal Studies.....	231
	Main Species Used in Inhalation Toxicology	231
V.	HUMAN CLINICAL STUDIES	232
	Pulmonary Function	233
	Cardiac Function	233
	Behavioral Studies.....	234
VI.	EXPOSURE METHODS	234
	Overview	234
	Inhalation System Requirements.....	234
	Laboratory Animal and Human Exposures	234
VII.	UNSOLVED PROBLEMS IN AIR POLLUTION TOXICOLOGY	235
VIII.	SUMMARY OF MAJOR POINTS	237
IX.	QUIZ AND PROBLEMS	238
	Quiz Questions	238
	Problems.....	239
X.	DISCUSSION TOPICS	239
	References and Recommended Reading	239
CHAPTER 10: EPIDEMIOLOGY AND AIR POLLUTION		241
I.	INTRODUCTION: WHAT IS EPIDEMIOLOGY AND WHY IS IT IMPORTANT?	242
	Definition and Scope	242
	Air Pollution Studies	242
II.	IMPORTANT CONCEPTS IN EPIDEMIOLOGY	242
	Overview of Statistical Techniques and Concepts Used by Epidemiologists.....	242
III.	TYPES OF EPIDEMIOLOGY STUDIES	252
	Common Study Designs	252
IV.	AIR POLLUTION EPIDEMIOLOGY	255
	Overview	255
	Early Epidemiological Studies	255
	Recent Epidemiological Studies of Ozone and Particulate Material.....	256
V.	POTENTIALLY SUSCEPTIBLE SUB-POPULATIONS	263
VI.	SUMMARY OF MAJOR POINTS	264
VII.	QUIZ AND PROBLEMS	265
	Quiz Questions	265
	Problems.....	266
VIII.	DISCUSSION TOPICS	266
	References and Recommended Reading	266
CHAPTER 11: RISK ASSESSMENT		269
I.	INTRODUCTION	270
	What Is Risk?	270
	Early Beginnings of Formal Risk Assessment	273
	Air Pollution Risk Assessment	274
	Additional Considerations for Carcinogens	276
II.	HAZARD IDENTIFICATION	277
	Epidemiological Studies	278
	<i>In Vivo</i> Bioassays	278