

INTRODUCTION
TO
PERSONALITY
Walter Mischel

INTRODUCTION TO PERSONALITY

SECOND EDITION

Walter Mischel STANFORD UNIVERSITY

Library of Congress Cataloging in Publication Data

Mischel, Walter.

Introduction to personality.

Bibliography: p. 515 Includes indexes. 1. Personality. I. Title. BF698.M555 1976 155.2 75-11626 ISBN 0-03-089537-5

Copyright © 1971 by Holt, Rinehart and Winston, Inc.; copyright © 1976 by Holt, Rinehart and Winston All rights reserved Printed in the United States of America $6\ 7\ 8\ 9\ 0$ 032 $9\ 8\ 7\ 6\ 5\ 4\ 3\ 2$

Cover Art: From Santa Monica, 1973 by Sam Francis. Grateful acknowledgment is made to the artist for permission to reproduce his work.

Grateful acknowledgment is made for photographs from the following sources:

Aureon Institute, p. 277 Authenticated News International, pp. 114, 510 Ilona Baldassano, p. 403 Jon Brenneis from Scientific American, p. 477 L. Courteville-Agence TOP, p. 47 Russell Dian, p. 341 Benedict J. Fernandez, p. 376 Bob Fletcher, p. 474 Rapho Guillimette, p. 354 Steve Hurwitz, pp. 127, 470 Iris Kleinman, p. 90 Hank Kranzler, pp. 82, 300 Tony Pacheco, Authenticated News International, p. 219 Carl Purcell, National Education Association, p. 77 Sal Rovetto, p. 160 Arthur Singer, p. 249 Rick Smolan, pp. 77, 106, 189, 252, 501 Suzanne Szasz, pp. 193, 314 Vista, pp. 35, 423 Fred Weiss, pp. 15, 49, 67, 293, 331

For permission to reprint from copyright material, the author is indebted to the following:

Aldus Books. Table 3-4. From Man and His Symbols by Carl G. Jung. Copyright 1964 Aldus Books.

(continued p. 575)

INTRODUCTION TO PERSONALITY

Second Edition

HOLT, RINEHART AND WINSTON

New York Chicago San Francisco Atlanta Dallas Montreal Toronto London Sydney

试读结束: 需要全本请在线购买: www.ertongbook.com

To my parents

PREFACE to First Edition

This book is an introduction to major approaches, methods, and findings in the field of personality. It presents an overview of basic theories, strategies, issues, and conclusions in the psychological study of personality.

Traditionally, students have been introduced to personality psychology by reading about the ideas of major theorists. Theoretical orientations to personality study, in my view, are a necessary beginning but not an end in themselves. To offer a theoretical overview and historical perspective this book begins with a survey of the basic concepts and assumptions that major approaches share, and the fundamental differences between them.

But to go beyond an exposition of the alternative conceptions of personality proposed by different theorists, to move beyond views of man-in-general, also requires attention to tactics. The transition from abstractions concerning the possible nature of human beings to specific investigations of individuals is, after all, a chief difference between philosophy and psychology. Therefore this book surveys the distinctive tactics for personality study associated with each of the theoretical orientations discussed. A study of the methods that these orientations provide to assess people, and an overview of the results that come from these assessments, in turn should inform us about the strengths and weaknesses of the theories that guide the assessments.

It has long been fashionable to call personality psychology a young field and to profess how little we know about the mysteries of man. While no one can quarrel with modesty, in fact the field is quickly getting older and, fortunately, apologies for its youth and ignorance are becoming less necessary. Indeed, studies that clarify the value and limits of our theories are accumulating rapidly. In recent years empirical findings have been pouring in from many sources as more researchers are studying socially relevant behaviors, gradually illuminating the nature of personality processes and the causes of social behavior. It therefore is becoming possible now to introduce students of personality not only to the hypotheses of authorities but also to some of the findings and conclusions (albeit tentative) that are emerging. This book therefore includes an integration of research on various core topics of the field (such as identification, frustration-aggression, anxiety, defense, self-conceptsto name but a few). These topics are presented not as a catalogue of facts but in the hope of increasing the student's understanding of complex human behavior so that he may learn about its substantive nature and not merely about theoretical speculations. Wherever possible research findings, in turn, are related to the relevant theoretical orientations and methods, as well as to specific case examples, so that their implications for understanding basic issues become evident.

While some theories of personality address themselves to different phenomena and thus may co-exist and even be complementary, other positions are essentially incompatible. Perhaps the most lucid confrontations between theories, and the most dramatic tests of their utility, have come from studies of personality development and change, and of deviance and therapeutic behavior modification. In the context of the growth and modification of personality the theoretical problems of the field become especially meaningful and vivid, and the student can more easily see their implications. Consequently the text also includes some coverage of content often relegated to the fields of socialization and abnormal personality but only when the issues and findings influence basic conceptions of personality.

The four parts of this book are presented in a sequence that I hope will provide a coherent, integrated survey of the field. At the same time, the presentation was deliberately designed to permit the instructor great flexibility in selecting material for the specific objectives of his course. For example, the present progression from personality theories in Part I to personality assessment in Part II can be altered easily. Rather than reading all the theories in Part I first and then studying their associated assessment strategies in Part II the student can be assigned any one theoretical orientation and its relevant strategies as a single unit before he progresses to the next orientation and its methods. To maximize flexibility the parts and chapters were written to serve as self-contained units that can be assigned in almost any pattern, depending on the instructor's goals and the background of the students. For example, while theory-oriented introductions to personality might focus on Parts I and II, more research-oriented and advanced courses might put greater emphasis on the content of Parts III and IV. The accompanying *Instructor's Manual* provides objective and discussion questions to facilitate such a flexible use of the text.

It is a pleasure to acknowledge my debt to the many people who helped with constructive comments and careful readings of manuscript drafts. Some of the most valuable criticisms came from Gerald Davison, Rogers Elliott, Joan Grusec, Perry London, Peter Madison, Paul Mussen, Toni Raskoff, David Rosenhan, Carla Senders, and Jack Shaffer. The Instructor's Manual and the subject index for the text were meticulously prepared by Toni Raskoff, who also served as my most helpful assistant throughout this project. I am grateful to Darlene Lapham who performed the work of many secretaries and transformed endless yellow scraps into typed manuscript. My daughter Judith assisted with clerical work. My wife Harriet contributed to many aspects of the writing and, except for her own modesty, would be credited as the text's co-author.

Walter Mischel Stanford, California



In addition to taking account of the many new developments in the area, the second edition of *Introduction to Personality* includes some significant organizational changes. These changes are intended to increase the effectiveness and coherence of the presentation while retaining the basic structure of the first edition.

Part 1 still presents an overview of the theoretical conceptions provided by each of the major approaches to personality. Part 2, however, has been expanded substantially to survey more broadly the important applications associated with each theoretical approach. In the first edition, Part 2 was limited to the assessment strategies of each approach while

the topics of deviance and personality change were relegated to a separate section at the end of the book (Part 4). The current edition, instead, integrates material on adaptation (the "healthy, fully functioning personality"), deviance, and personality change into Part 2 insofar as it fits with other applications of each of the theoretical approaches with which it is associated. Materials central to personality psychology from Part 4 of the first edition have been incorporated into Part 2 wherever relevant and Part 4 no longer exists as a separate section. This reorganization is intended to integrate more closely the links between theoretical conceptions of personality and their important applications, while avoiding overlap with abnormal psychology courses.

Part 3 (Personality Development and Basic Processes) continues to offer a systematic overview of major current research issues and findings. Changes throughout Part 3 reflect the vigorous growth of research in the field, including new developments in such topics as genetic antecedents, sex bias and sex-role stereotypes, aggression and televised violence, self-control (including biofeedback and moral behavior), and attribution theory. There also has been a flood of theoretical and empirical developments dealing with the role of the environment, the analysis of situations, the conceptualization of individual differences, and the interaction of the person with specific conditions. The growth of interest in these topics has been so great that a completely new chapter has been added to treat them in detail (Chapter 21, The Interaction of Person and Situation). In that chapter I also try to be explicit about my own theoretical preferences so that readers interested in the author's orientation can identify it easily.

The sequence of chapters can be adapted readily to emphasize even more the specific links between each theoretical approach and the research most relevant to it. For example, the following chapter sequences group the conceptions and applications of each theoretical approach with research closely connected to it:

	APPROACHES				
	TRAIT	PSYCHODYNAMIC	BEHAVIORAL	PHENOMENOLOGICAL	
Conceptions	2	3, 4	4, 5	6	
Applications	7, 8	9	10, 11	12	
Research	14, 15, 17, 20	15, 16, 17, 18, 19	13, 15, 16, 19	19, 20	

The introduction (1) and the final chapter (21) cut across issues relevant to all the approaches. Of course, each of the research chapters also has some bearing on all the approaches, making conceptual overlap inevitable in Part 3, and permitting alternative groupings of that material.

Readings in Personality (edited by Harriet N. Mischel and Walter Mischel, published by Holt, Rinehart and Winston) provides readings from original sources in a sequence that parallels the present text's organization. The text is also supplemented by a new *Instructor's Manual* for the second edition, developed by Professor James Calhoun.

Preparation of the second edition was greatly helped by the thoughtful, detailed comments of many reviewers. Especially useful were the analyses of the manuscript by James Calhoun, Kent Houston, Robert Kaplan, James D. Laird, Alan Marlatt, Paul Mussen, and Susan Pepper. Susan Arbuckle prepared the index with diligence and care. The publisher's

x PREFACE TO SECOND EDITION

staff did much to facilitate the production of the work; Deborah Doty and Elyce Misher were consistently attentive and deeply involved in the manuscript's transformation into book form.

My wife Harriet remains a major force behind the book. She contributed continuously and significantly at every point in the work's development, from the first general conception of the organization to the last specific summary point.

Walter Mischel Stanford, California

INTRODUCTION TO PERSONALITY

Second Edition

CONTENTS

PREFACE TO FIRST EDITION VI
PREFACE TO SECOND EDITION VIII

	1. INTRODUCTION What Is Personality? 1 The Study of Personality 2 Summary 9	1
PART 1.	PERSONALITY THEORIES: Conceptions	10
	2. TRAIT THEORIES: Conceptions Types and Traits 13 Common Features of Trait Theories 23 Summary 27	13
	3. PSYCHODYNAMIC THEORIES: Conceptions Psychic Structure 30 Personality Dynamics 33 Psychosexual Stages and Personality Development 40 Neo-Freudian Psychoanalytic Developments 44 Implications and Overview 51 Summary 54	29
	 PSYCHODYNAMIC BEHAVIOR THEORY: Conceptions Dollard and Miller 58 Beyond Primary Drives 66 Summary 68 	57
	 5. SOCIAL BEHAVIOR THEORIES: Conceptions B. F. Skinner's Radical Behaviorism 70 Social Learning and Social Behavior Theory 79 Overview and Implications 92 Summary 95 	70

	Conceptions Sources of the Phenomenological Approach 99 Carl Rogers' Self Theory 104 George Kelly's Psychology of Personal Constructs 108 Feeling and Fulfillment 111 Critical Implications 114 Summary 119	98
PART 2.	PERSONALITY THEORIES: Applications	122
	7. TRAIT THEORIES: Applications (I) The Beginnings of the Psychometric Approach 126 The Nature of Trait Tests 129 The Case of Gary W. 134	125
	Psychometric Scales: Examples and Problems 136 Summary 144	
	8. TRAIT THEORIES: Applications (II) From Test Responses to Trait Factors 146	146
	Evaluating Trait Tests 149 Construct Validity: An Example 154 The Role of Situations and Interactions 158 Adaptation, Deviance, and Change 164 Summary 166	
	9. PSYCHODYNAMIC THEORIES: Applications Global Psychodynamic Orientation 168 Projective Techniques 172 Clinical Judgment 181 Adaptation, Deviance, and Change 188 Implications 194	168
	Summary 196	
	10. BEHAVIORAL THEORIES: Applications (I) Characteristics of Social Behavior Applications 199 Direct Behavior Measurement 201 Assessing the Conditions Controlling Behavior 212 Adaptation and Deviance 218 Summary 222	198

6. PHENOMENOLOGICAL THEORIES:

		Contents	xiii
	11. BEHAVIORAL THEORIES: Applications (II) Behavior Therapy: Basic Strategies 226 Behavior Therapy: Complications 242 Behavior Change and Personality Change 245 Summary 256		225
	12. PHENOMENOLOGICAL THEORIES: Applications The Person as His Own Expert 258 Techniques for Studying Subjective Experience 263 Adaptation, Deviance, and Change 273 Summary 283		258
PART 3.	PERSONALITY DEVELOPMENT AND BASIC PROCESSES		286
	13. EARLY PERSONALITY DEVELOPMENT Patterns of Development 289 Early Childhood 292 Later Development 301 Social Learning in Personality Development 306 Summary 315		289
	14. SEX-TYPING AND PERSONALITY DEVELOPMENT Psychological Sex Differences 320 The Development of Sex-Typing 327 Changing Stereotypes and Sex-Role Bias 339 Summary 345		319
	15. IDENTIFICATION AND OBSERVATIONAL LEARNING Identification 350 Observational Learning in Personality Development 35 Model and Child Characteristics Influencing Observational Learning 355 Products of Identification 364 Summary 369	3	349
	16. FRUSTRATION AND AGGRESSION Defining Frustration 372 Does Frustration Have Unitary Effects? 374		371

L	ggression as a Drive Response to Frustration 376 earned Responses to Frustration 383 ummary 390	
Co Tr Ar Ar Ar	7. ANXIETY onceptualizing Anxiety 393 rait Anxiety 397 nxiety and Performance 400 nxiety as a Reaction to Stress 405 nxiety and Avoidance 408 nmmary 410	393
Re Pe	B. DEFENSE epression 414 erceptual Defense 418 atterns of Defense and Their Determinants 427 ummary 433	413
Fr M Se Co	custration Tolerance and Voluntary Delay 436 fechanisms During Self-Imposed Delay of Gratification 441 elf-Reactions and Self-Regulation 448 ontrols as Traits: Moral Behavior 457 mmary 465	436
In Se Tl Co	D. SELF-CONCEPTS AND ATTRIBUTION in pression Formation 468 self-Concept Formation 473 he Self-Perception of Emotions 479 ognitive Consistency 483 mmary 489	468
So Co Co	1. THE INTERACTION OF PERSON AND SITUATION ome Basic Issues in Personality Psychology 493 onceptualizing the Person 499 onceptualizing Interaction 507 ummary 512	492
AU	eferences 515 othor index 553 bject index 559	

CHAPTER 1 INTRODUCTION

WHAT IS PERSONALITY?

Most thoughtful people have asked the question, "What is personality?" but few agree on an answer. The term "personality" has many definitions, but no single meaning is accepted universally.

MANY DEFINITIONS—LITTLE CONSENSUS

In popular usage, personality is often equated with social adroitness and effectiveness. In this usage, personality is the ability to elicit positive reactions from other people in one's typical dealings with them. For example, we may speak of someone as having "a lot of personality" or a "popular personality," and advertisements for glamour courses promise to give those who enroll "more personality."

Less superficially, personality may be taken to be an individual's most striking or dominant characteristics. In that sense a person may be said to be a "shy personality" or a "neurotic personality," meaning that his most dominant attribute appears to be shyness or neurosis.