Charles Teller Assefa Hailemariam *Editors*

The Demographic Transition and Development in Africa

The Unique Case of Ethiopia

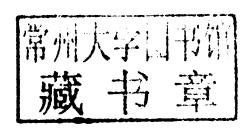


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Foreword by William Butz





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Cover image: Four different stages of the fertility transition in 24 sub-Saharan Africa countries with at least two comparable demographic and health surveys (DHSs), ca. 1990- ca. 2008. See Chapter 2 for further details and definitions

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Foreword

Ethiopia is indeed unique. Geographically and culturally diverse, this huge country displays among the best and the worst demographic and development outcomes in Africa. How can it be that all the health, nutrition, and education objectives in the Millennial Development Goals, save one (maternal mortality), appear to be on track (in 2010) for the year 2015 – among the best prospects in Africa – while the percentage of illiterate women and the number of food-insecure persons are the highest on the Continent? In the international setting, little about Ethiopia is average.

As a promising setting for research, Ethiopia also stands apart. The demographic transition's early and latest phases contrast starkly across rural and urban areas, as starkly as anywhere on the globe. The country has become a natural laboratory for studying how persons and families respond to this palpable disequilibrium. Looking beyond research toward policy, Ethiopia could also become a laboratory for realizing the human investment opportunities generated predictably during the demographic transition.

Ethiopia's uniqueness fascinates the authors of this timely book. From the first national census in 1984 through surveys of labor force, migration, health, gender, and development in the last decade, they document trends and highlight disparities in a broad array of outcomes: contraceptive prevalence; fertility trends and differentials; children's and women's nutritional status; food insecurity; child stunting; infant, under-five, and maternal mortality; temporary, circular, and rural-urban migration and urbanization; social and occupational mobility; unemployment; and poverty.

Beyond describing these characteristics and outcomes, these papers investigate correlates and causes of the documented trends and variations. This search for possible policy levers includes: place of residence; land tenure security; age at first marriage; household structure; women's education, literacy and decision making autonomy; labor force participation and off-farm employment; access to arable land, draft animals and adult labor; savings, assets, and access to credit; maternal health and family planning services; resettlement and urbanization.

These eclectic outcomes and policy influences promise breadth. Prominent sociological and demographic theories – Malthus, Boserup, K. Davis, Adepoju, Caldwell, Bilsborrow – provide the focus. After bringing existing theories to the data, the

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authors argue for an expanded demographic transition theory, including demographic responses to poverty, environmental and climate vulnerability, life course aspirations, and delayed family formation norms.

And what of the data? In this respect, the Ethiopian situation, although not unique in Africa, is unsatisfactory. A theme of the book is that data deficiencies – old, inconsistent, unharmonized, undisaggregated, delayed, undisseminated, unevaluated and unanalyzed – are increasingly frustrating government officials' and their international partners' growing needs for reliable information. Yet, the authors do not whine about the data. Instead, they set their empirical tools to the task, making the best of the materials at hand and, in important instances, improving on them through new and on-going data collection efforts. Although the data deficiencies are clearly identified, the refreshing sense of what we can know is much stronger in these papers than the debilitating sense of what we wish we could know.

The authors do not shy away from projections, even predictions of an accelerating rural demographic transition. Nor from policy critiques and recommendations. There are many specifics here, both in assessments of demographic and economic challenges and in admonishments to policy makers. They call particularly for reinvigorating the implementation of the 1993 National Population Policy, still relevant but so far only weakly carried out. I suspect such evidence-based policy advice will draw particular interest, not only within Ethiopia but also in similarly challenged countries.

This book is one result of nearly 20 years of collaborative research and training. A core of rigorously trained demographic researchers, from whom this book's authors are drawn, is another result. In coming years, these researchers will feed the demand for more and better data, even as they help to provide it. Indeed, the surveying and research capacity embodied in these Ethiopians will in time surpass this volume in value. The research payoffs to Professor Teller's and Professor Assefa's investments in their colleagues should persist for decades. One can hope that the policy payoffs to accelerating the demographic transition and capturing the potential of the resulting demographic dividend and improved human well-being will be as large. This book points the way.

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William Butz

Preface

We have long felt the need for a peer-reviewed, academic book on population and development in Ethiopia and it's sub-Saharan African context, that meets the greatly expanding and multiple needs of not only universities, but also government, international and local development organizations, researchers and students. What exactly is the mix of theory, data and evaluation we need to understand the current pace and nature of the demographic transition and support policies for its future acceleration, particularly in rural areas? How can we adapt general demographic transition theories and frameworks to meet our own pressing need to interpret the different emerging realities in our respective countries?

The main motivation to publish the book at this time (2010–11) is the crucial juncture of monitoring and understanding the progress for achieving the 2015 targets of the Ethiopian National Population Policy, the Millennium Development Goals (MDGs) and the new Growth and Transformation Plan (GTP). It is the stark realization that adequate data and information systems are not yet sufficiently available for meeting such an important monitoring and evaluation (M&E) requirement.

Ethiopia in context: The book provides comparisons not only of Ethiopia with other sub-Saharan African countries, but also internally between rural and urban and intra-regional and historical/cultural realities. It demonstrates the uniqueness of an African-type demographic transition and the dilemma of analyzing BOTH poverty and development driven causes: a combination of negative factors (unemployment, disease, food insecurity, environmental degradation) along with positive factors such as education, health and cultural change (i.e., higher age-of-marriage trends). Somehow, incredibly, these factors are embedded in structures and adaptive responses that are pushing this ruggedly rural and land-locked population to accelerate the demographic transition and stay on track to meet most of the health, education and nutrition-related MDG targets.

Organization of the book. There are five main substantive areas, sandwiched between the Introduction and Conceptual Framework (Part I) and the Conclusion and Policy Recommendations (VII): Part II – The Demographic Transition and Human Development; Part III – Health and Nutrition; Part IV – Population Distribution, Migration, Urbanization and Labor Force; Part V – Vulnerability and Adaptation: Case Studies in Population-Resource Pressure and Food Insecurity; and Part VI-Development Policy and Program Evaluation.

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Main sources of data. Given the inadequate information systems and research and evaluation capacity, there has been a need to triangulate the sources of data, as well as the research approaches. Main sources of data in the 15 substantive chapters include our own (university) demographic surveys and social science research, both nationally or regionally representative, or in-depth case studies (including qualitative methods). The other main sources are the Central Statistical Agency's (CSA) decennials censuses, periodic and specialized surveys (e.g., DHS, Labor Force, Health and Nutrition, etc.). Finally, additional information come from routine and sectoral information systems and service statistics.

Policy Implications: In Ethiopia, we do not have the luxury of living in academic, ivory towers, but are forced to face the pressing realities around us. Thus most of the chapter authors have been engaged in applying the information and knowledge gained in research and teaching to policy, programs, project planning and evaluation, as well as to filling the critical human resource capacity needs in demographic analysis. Some of us have lived and worked in other African countries too, and we hope that this book can provide guidance to our sister countries facing similar policy, resource and information constraints. We learn by researching and implementing in multidisciplinary teams and in different contexts, and we teach more effectively from what we have been doing and learning ourselves.

Addis Ababa, Ethiopia Addis Ababa, Ethiopia Charles Teller Assefa Hailemariam

Acknowledgments

This pioneering, policy-relevant academic book for Ethiopia, in its sub-Saharan African context, is the result of a 15-year process of close collaboration by the coeditors (Charles Teller and Assefa Hailemariam) and institutional capacity-building with the numerous authors and graduate students at the Demographic Training and Research Center (now called the Center for Population Studies), Institute of Development Studies, Addis Ababa University (AAU), Ethiopia.

Its organization and writing was facilitated by the warm, enabling demographic environment at Population Reference Bureau in Washington, DC that Dr. Teller enjoyed as Visiting Senior Scholar, without which this book could not have been produced. It was also facilitated for both him and Dr. Assefa by the enabling, multidisciplinary and analytical environment at AAU.

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Acronyms

3-D Demographic data for decision-making3ie International Initiative for Impact Evaluation

AAU Addis Ababa University

ACC/SCN Administrative Committee on Coordination/Subcommittee on

Nutrition

ADLI Agricultural Development Led Industrialization

AEZ Agro-ecological zone

AFROAD African Forum and Network on Debt and Development

AIDS Acquired immune deficiency syndrome

AIM AIDS Impact Model
ANC Antenatal Care

APHA American Public Health Association

Belg Short spring rains

Birr The Ethiopian currency (at 16.5 to the US dollar, late 2010)

BMI Body mass index

BoFED Bureau of Finance and Economic Development

BPR Business process reengineering
CBOs Community-based organizations
CED Chronic energy deficiency
CPR Contraceptive prevalence rate
CR Condoms requirement
CSA Central Statistical Agency
CSO/Kenya Central Statistical Office

Debo Traditional, communally-shared working groups (in Amharic)

Dega Highland (cold) zone
DemProj Demographic Projection

Derg Name of the political regime that ruled Ethiopia from 1974–1991 (in

Amharic)

DFID Department for International Development

DHS Demographic and Health Survey
DND Dakar/Ngoro Declaration

DRC Democratic Republic of the Congo

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DTRC/IPS Demographic Training and Research Center/Institute of Population

Studies (now center for Population Studies)

E.C. Ethiopian calendar

EDHS Ethiopian Demographic and Health Survey

EEA Ethiopian Economic Association EPHA Ethiopian Public Health Association

EPRDF Ethiopian People Revolutionary Democratic Front

ESPS Ethiopian Society of Population Studies ESRC Economic and Social Research Council

EWS Early Warning System FamPlan Family planning

FAO Food and Agricultural Organization

FGM Female genital mutilation FMoH Federal Ministry of Health

FP Family planning

GDP Gross domestic product
GER Gross enrollment rate
GHI Global hunger index

GIS Geographic information system

GNI Gross national income
GO Governmental organization
GOE Government of Ethiopia

Gombiso A mix of soil, crop residue, animal dung and water (in Amharic)

Ha. Hectare

HDI Human Development Index HEP Health Extension Program

HH Household

HIV Human Immunodeficiency Virus

HMIS Health Management Information System

HPI Health Policy Initiative

HSDP Health Sector Development Program

I/NGO International/ Non-governmental Organization

ICPD International Conference on Population and Development

ICRG International Country Risk Guide

Iddir Social, neighborhood group security in case of death (in Amharic)

IEC Information, Education and Communication IFPRI International Food Policy Research Institute

ILO International Labor Organization

IMR Infant mortality rate

IOM International Organization for Migration ISEI International Socioeconomic Index IUCD Intra uterine contraceptive device

IUSSP International Union for the Scientific Study of Population

KAP Knowledge, Attitude and Practices

Acronyms xxi

Kebele Smallest administrative unit, equivalent to a community or neighbor-

hood (in Amharic)

Kola Lowland (hot) zone

KPA Kilimanjaro Program of Action
LIU Livelihood Integration Unit
M&E Monitoring and Evaluation
MDGs Millennium Development Goals

MMR Maternal mortality ratio

MoARD Ministry of Agriculture and Rural Development

MOE Ministry of Education

MoFED Ministry of Finance and Economic Development

MOH Ministry of Health

NBE National Bank of Ethiopia

NER Net enrollment rate

NGO Non-governmental organization
NLFS National Labor Force Survey
NOP National Office of Population
NPC National Population Council
NPP National Population Policy

NPPE National Population Policy of Ethiopia

ONCCP Office of National Committee for Central Planning

PAA Population Association of America

PASDEP Plan for Accelerated and Sustained Development to End Poverty

PM Prime Minister

PMC Population Media Center

PMTCT Prevention of mother – to– child transmission

PNC Postnatal care

PPP Purchasing power parity
PRB Population Reference Bureau

PSTC Population Studies and Training Center

RAPID Resources for the Awareness of Population Impacts on Development

RH Reproductive health

SERA Strengthening Emergency Response Abilities

SLF Sustainable livelihoods framework

SNNPR Southern Nations, Nationalities and Peoples Region

SPSS Statistical package for social sciences

SSA Sub-Saharan Africa

STD Sexually transmitted disease

TB Tuberculosis bacilli TFR Total fertility rate

TGE Transitional Government of Ethiopia

TLU Tropical livestock unit

TVET Technical and Vocational Education and Training

U5MR Under-five mortality rate

UN United Nations

xxii Acronyms

UNAIDS The Joint United Nations Programme on HIV/AIDS

UNDP United Nations Development Program

UNECA United Nations Economic Commission for Africa
UNFPA United Nations Fund for Population Activities
UNHCR United Nations High Commission for Refugees

UNICEF United Nations Children's Fund

US United States

USAID United States Agency for International Development WCED World Commission on Environment and Development

Weina-dega Midland (temperate) zone
WFP World Food Program
WMS Welfare Monitoring Survey

About the Authors

Abebe Gebremariam Hailu is Professor of Population & Reproductive Health at Jimma University. He obtained B.Sc. in Public Health from Gondar College of Public Health (now Gondar University), Ethiopia; MPH in Reproductive Health from Tulane School of Public Health & Tropical Medicine (USA), Certificate in Social mobilization (Tulane School of Public Health & Tropical Medicine (USA) and gender health and development (Manchester University UK). He has been teaching maternal and child health and demography with experience of more than 23 years at both graduate and postgraduate levels. He has written over thirty research papers on areas of reproductive health and has prepared training materials on reproductive health, micronutrients and lecture notes. He has organized different local and national workshops on reproductive health fields such as on "achieving the millennium development goals: poverty reduction, reproductive health and health sector reform" in collaboration with EPHA, the David & Lucile Packard Foundation, World Bank & UNFPA. Currently he is involved in teaching postgraduates and coordinating community health trainings at Jimma University. He is also currently a member and a vice coordinator of the Jimma Longitudinal Family Health Survey of Youth.

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