LAW, ETHICS AND COMPROMISE AT THE LIMITS OF LIFE TO TREAT OR NOT TO TREAT? RICHARD HUXTABLE



BIOMEDICAL LAW AND ETHICS LIBRARY SERIES EDITOR – SHEILA A.M. MCLEAN



Law, Ethics and Compromise at the Limits of Life

To treat or not to treat?

Richard Huxtable





First published 2013 by Routledge 2 Park Square, Milton Park, Abingdon, Oxon OX14 4RN

Simultaneously published in the USA and Canada

by Routledge 711 Third Avenue, New York, NY 10017

Routledge is an imprint of the Taylor & Francis Group, an informa business

© 2013 Richard Huxtable

The right of Richard Huxtable to be identified as author of this work has been asserted by him in accordance with sections 77 and 78 of the Copyright, Designs and Patents Act 1988.

All rights reserved. No part of this book may be reprinted or reproduced or utilised in any form or by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying and recording, or in any information storage or retrieval system, without permission in writing from the publishers.

Trademark notice: Product or corporate names may be trademarks or registered trademarks, and are used only for identification and explanation without intent to infringe.

British Library Cataloguing in Publication Data

A catalogue record for this book is available from the British Library

Library of Congress Cataloging in Publication Data Huxtable, Richard.

Law, ethics and compromise at the limits of life: to treat or not to treat? / Richard Huxtable.

p. cm. — (Biomedical law and ethics library)
ISBN 978-0-415-49279-9 (hardback) — ISBN 978-0-41549280-5 (pbk.) — ISBN 978-0-203-09844-8 (e-book)
1. Terminal care—Law and legislation—England. I. Title.
KD3410.E88H89 2013
344.4203'2175—dc23

2012008492

ISBN: 978-0-415-49279-9 (hbk) ISBN: 978-0-415-49280-5 (pbk) ISBN: 978-0-203-09844-8 (ebk)

Typeset in Garamond by RefineCatch Limited, Bungay, Suffolk



Law, Ethics and Compromise at the Limits of Life

A conflict arises in the clinic over the care of a critically ill, incapacitated patient. The clinicians and the patient's family confront a difficult choice: to treat or not to treat? Decisions to withdraw or withhold life-sustaining treatment feature frequently in the courts and in the world's media, with prominent examples including the cases of Charlotte Wyatt in the UK and Terri Schiavo in the USA. According to legislation like the Mental Capacity Act 2005, the central issues are the welfare (or 'best interests') of the patient, alongside any wishes he or she might have conveyed, via an 'advance directive' or through the appointment of a 'lasting power of attorney'.

Richard Huxtable argues that the law governing both welfare and wishes frequently fails to furnish clinicians and families with the guidance they require. However, he finds this unsurprising, given the competing ethical issues at stake. Huxtable proposes that there is a case for 'principled compromise' here, such that the processes for resolving principled disputes take precedence. He argues for greater ethical engagement, through a reinvigorated system of clinical ethics support, in which committees work alongside the courts to resolve the conflicts that can arise at the limits of life.

Providing a comprehensive account of the law pertaining to children and adults alike, and distinctively combining medico-legal and bioethical insights, this book engages scholars and students from both disciplines, as well as informing clinicians about the scope (and limits) of law at the limits of life.

Richard Huxtable is Reader in Medical Ethics & Law and Deputy Director of the Centre for Ethics in Medicine, University of Bristol. His many publications include *Euthanasia*, *Ethics and the Law: From Conflict to Compromise* (Routledge-Cavendish, 2007) and (with Dickenson & Parker) *The Cambridge Medical Ethics Workbook* (CUP, 2nd edn, 2010). A longstanding contributor to clinical ethics support, Richard is also a trustee of the National Council for Palliative Care and chair of its Ethics Forum.

Biomedical Law and Ethics Library

Series Editor: Sheila A.M. McLean

Scientific and clinical advances, social and political developments and the impact of healthcare on our lives raise profound ethical and legal questions. Medical law and ethics have become central to our understanding of these problems, and are important tools for the analysis and resolution of problems – real or imagined.

In this series, scholars at the forefront of biomedical law and ethics contribute to the debates in this area, with accessible, thought-provoking, and sometimes controversial ideas. Each book in the series develops an independent hypothesis and argues cogently for a particular position. One of the major contributions of this series is the extent to which both law and ethics are utilised in the content of the books, and the shape of the series itself.

The books in this series are analytical, with a key target audience of lawyers, doctors, nurses and the intelligent lay public.

Available titles:

Human Fertilisation and Embryology (2006) Reproducing Regulation Kirsty Horsey & Hazel Biggs

Intention and Causation in Medical Non-Killing (2006)

The Impact of Criminal Law Concepts on Euthanasia and Assisted Suicide Glenys Williams

Impairment and Disability (2007)

Law and Ethics at the Beginning and End of Life Sheila McLean & Laura Williamson

Bioethics and the Humanities (2007)

Attitudes and Perceptions
Robin Downie & Jane Macnaughton

Defending the Genetic Supermarket (2007)

The Law and Ethics of Selecting the Next Generation Colin Gavaghan

The Harm Paradox (2007)

Tort Law and the Unwanted Child in an Era of Choice Nicolette Priaulx

Assisted Dying (2007)

Reflections on the Need for Law Reform Sheila McLean

Medicine, Malpractice and Misapprehensions (2007)

Vivienne Harpwood

Euthanasia, Ethics and the Law (2007)

From Conflict to Compromise Richard Huxtable

Best Interests of the Child in Healthcare (2007)

Sarah Elliston

Values in Medicine (2008)

The Realities of Clinical Practice

Autonomy, Consent and the Law (2009)

Sheila McLean

Healthcare Research Ethics and Law (2009)

Regulation, Review and Responsibility Hazel Biggs

The Body in Bioethics (2009)

Alastair V. Campbell

Genomic Negligence (2011)

An Interest in Autonomy as the Basis for Novel Negligence Claims Generated by Genetic Technology Victoria Chico

Health Professionals and Trust

The Cure for Healthcare Law and Policy Mark Henaghan

Medical Ethics in China A Transcultural Interpretation Jing-Bao Nie

Law, Ethics and Compromise at the Limits of Life To Treat or not to Treat?

Richard Huxtable

Forthcoming titles include:

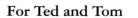
Abortion Law and Policy An Equal Opportunity Perspective Kerry Petersen

Bioethics Methods, Theories, Scopes Marcus Düwell

Birth, Harm and the Role of Distributive Justice Burdens, Blessings, Need and Desert Alasdair Maclean

The Jurisprudence of Pregnancy Concepts of Conflict, Persons and Property Mary Ford

About the Series Editor
Professor Sheila McLean is International Bar Association Professor of Law and Ethics in Medicine and Director of the Institute of Law and Ethics in Medicine at the University of Glasgow.



Acknowledgements

This book has been written in various locations and its arguments tested before a variety of audiences, which has helped to enrich both the process of writing and (hopefully) the resulting product. I am particularly grateful to the Wellcome Trust, whose award of a small research grant made the project possible, and to the Ethox Centre at the University of Oxford, whose conferment of a Caroline Miles Visiting Scholarship enabled me to transform the possible into the actual. Thanks too to my colleagues at the Centre for Ethics in Medicine in Bristol for their ongoing support, and to those who helped cover some of my teaching duties while I was writing.

I am also indebted to the diverse audiences who have provided me with feedback on the arguments as they have developed. Special thanks must go to: the staff and students who have attended research seminars in Bristol and Oxford; clinicians and other members of clinical ethics committees who participated in conferences organised in Lincoln and in the Royal Society of Medicine in London; the audiences at Medicine Unboxed 2011 in Cheltenham and at the Bath Royal Literary and Scientific Institution; and to the members of the public, clinicians, philosophers and lawyers who attended conferences in Munich and in Royereto.

Although the usual caveat applies, there are certain individuals who made a direct contribution, and to whom I am especially grateful. Thanks, then, to Fred Cram, who provided some research assistance, and to Giles Birchley, Zuzana Deans, Ruth Horn and José Miola, who each commented on parts of the manuscript; thank you all for bringing your distinctive skills to bear, and thereby improving on my own efforts. Of course, I owe my greatest debt of gratitude to Genevieve: confidante, comedienne and cajoler; sounding board and, all-too-often, the sound of sense, who unfailingly helps me to stay on target, here as elsewhere. Thanks for everything.

Finally, I thank Jordan Publishing Ltd, Taylor and Francis, Cambridge University Press and my co-authors for permitting me (in Chapters 1, 2 and 6, respectively) to reproduce and rework elements of: Huxtable, R. and Forbes, K. (2004) 'Glass v UK: Maternal instinct vs. medical opinion', *Child and Family Law Quarterly*, 16(3): 339–54; Jackson, L. and Huxtable, R. (2005) 'The doctor-parent relationship: As fragile as *Glass?*', *Journal of Social Welfare*

xiv Acknowledgements

and Family Law, 27(3–4): 369–81; Huxtable, R. (2012) 'Euthanasia excused: Between prohibition and permission', in A. Alghrani, R. Bennett and S. Ost (eds) *The Criminal Law and Bioethical Conflict: Walking the Tightrope*, Cambridge: Cambridge University Press.

List of abbreviations

ACT Association for Children's Palliative Care

ANH Artificial nutrition and hydration
BBC British Broadcasting Corporation
BMA British Medical Association

CANH Clinically assisted nutrition and hydration

CEC Clinical ethics committee

CPAP Continuous positive airway pressure
CPR Cardiopulmonary resuscitation
CPS Crown Prosecution Service
DNAR Do not attempt resuscitation

DNR Do not resuscitate

DPP Director of Public Prosecutions

fMRI Functional magnetic resonance imaging

GMC General Medical Council
GP General Practitioner
ITU Intensive treatment unit

J Justice

LCJ Lord Chief Justice
LJ Lady/Lord Justice

LPA Lasting power of attorney MCS Minimally conscious state

MR Master of the Rolls
MS Multiple sclerosis

NCPC National Council of Palliative Care

NHS National Health Service

P President of the Family Division of the High Court

PEG Percutaneous endoscopic gastrostomy PVS Permanent/persistent vegetative state

QC Queen's Counsel

RCN Royal College of Nursing

RCPCH Royal College of Paediatrics and Child Health

TPN Total parenteral nutrition

VS Vegetative state

Table of reported cases

England and wates
A Hospital v SW [2007] EWHC 425
64, 66, 71, 75–76, 77–78, 79, 82–83 87, 94, 98, 103, 104, 105, 107
108, 111, 113, 114, 115, 124 131, 145, 146, 150, 151, 158
An NHS Trust v A and SA [2005] EWCA Civ 1145
90, 97, 99, 100, 124, 146, 179 AVS v A NHS Foundation Trust and B PCT [2011] EWCA Civ 7
B NHS Trust v J [2006] EWHC 3152
[1957] 1 WLR 582
Chester v Afshar [2004] 3 WLR 927
Frenchay NHS Trust v S [1994] 1 WLR 601
Gibbins v Proctor (1918) 12 Cr App Rep 134 .38, 76, 77 Glass v UK [2004] 1 FCR 553 .11–14, 45, 98 Glass v United Kingdom (Admissibility) (61827/00) (2003) .37 EHRR CD66 (ECtHR)
HE v A Hospital NHS Trust [2003] 2 FLR 408
In re MB (medical treatment) [1997] 2 FLR 426

xviii Table of reported cases

National Health Service Trust v D [2000] 2 FLR 677
Portsmouth Hospitals NHS Trust v Wyatt (Costs) [2006] EWCA Civ 529 36 Portsmouth NHS Trust (No 1) v Wyatt [2005] EWHC 117 34 Portsmouth NHS Trust v W [2005] EWHC 2293 36 Portsmouth NHS Trust v Wyatt [2004] 33, 34, 46, 165
R (on the application of Burke) v General Medical Council [2005] 2 WLR 431
1 FLR 268
[1995] Med L Rev 86
(CA (Crim Div)) 13 R v Evans [2009] EWCA Crim 650 77 R v G and Another [2003] 1 AC 1034 28 R v Howe [1987] 1 All ER 771 24, 25 R v Instan [1893] 1 QB 450 145 R v Portsmouth Hospital NHS Trust ex p Glass [1999]
2 FLR 905
146, 147, 150, 158, 163 Re A (male sterilisation) [2000] 1 FLR 549

Re B (adult: refusal of medical treatment) [2002] 2 All ER 449
Re G (2002) 65 BMLR 6 59 Re G (adult patient: publicity) [1995] 2 FLR 528 57 Re G (persistent vegetative state) [1995] 2 FCR 46 57 Re H (a patient) [1998] 2 FLR 36 58, 83 Re J (a minor)(wardship: medical treatment) 58, 83
[1991] 1 FLR 366
EWHC 2713
Re Wyatt [2006] EWHC 319 36 Re Z (local authority: duty) [2005] 1 WLR 959 79 Royal Wolverhampton Hospitals NHS Trust v B [2000] 43–44
Swindon and Marlborough NHS Trust v S [1995] Med L Rev 84
Trust A, Trust B, Dr V v Mr M [2005] EWHC 807
W Healthcare NHS Trust v KH [2005] 1 WLR 834
Wyatt v Portsmouth Hospital NHS Trust [2005] EWCA Civ 1181
New Zealand
Re G [1996] NZFLR 362

Auckland Area Health Board v Attorney-General [1993] 1 NZLR 235
USA
Bush v Schiavo, 885 So 2d 321, 324 (Fla 2004)
Cruzan v Director, Missouri Department of Health, 497 US 261 (1990)55
In re Browning, 568 So 2d 4 (Fla 1990)
Robert Schindler and Mary Schindler, Appellees. Case Numbers: 2D00-1269, 2D01-1836 and 2D01-1891
In re Schiavo, 90-2908GB-003 (Fla Cir Ct, Pinellas Co, 22 November 2002)
In re Guardianship of Theresa Marie Schiavo, Incapacitated. Michael Schiavo, as Guardian of the person of Theresa Marie Schiavo, Petitioner, v Robert Schindler and Mary Schindler, Respondents, File No 90-2908-GD-003
Re AC, 573 A2d 1235 (DC 1990). 143 Re Colyer, 660 P 2d 738 (1983). 158 Re Superintendent of Family and Child Service and Dawson (1983) 42 Re Weberlist, (1974) 360 NYS 2d 783 42
Schindler v Schiavo, 792 So 2d 551, 557 (Fla Dist Ct App 2001)
728, 370 NE 2d 417 (1977)

Contents

Acknowledgements List of abbreviations Table of reported cases	xiii xv xvii
Introduction	1
1 Judging law and ethics at the limits of life 1.1 Conflicts in the clinic: the case of David Glass 11 1.2 Judging law: rationality and the rule of law 14 1.2.1 Judging law from within 14 1.2.2 Criteria for rational law 16 1.2.3 Fuller and the rule of law 18 1.2.4 Taking stock: criteria for judging law 21 1.3 Judging ethics 22 1.3.1 Judging ethics from within (law): immanent critique 22 1.3.2 Judging ethics from outside (law): judgments, principles and theories 25 1.4 Conclusion: (not) just law 29	11
 Law at the limits of life: children, welfare and best interests 2.1 Conflicts in the clinic: the case of Charlotte Wyatt 33 2.2 Best interests, welfare and the law 36 2.2.1 Criminal beginnings? 37 2.2.2 Civil proceedings? 39 2.3 Conclusion: in the child's best interests? 49 	33

3	Law at the limits of life: adults, incapacity and precedent autonomy	51
	 3.1 Conflicts in the clinic: the case of Terri Schiavo 51 3.2 Best interests, incapacity and precedent autonomy 54 3.2.1 Best interests and the persistent vegetative state 55 3.2.2 Best interests beyond the persistent vegetative state 62 3.2.3 Advance directives 65 3.2.4 Lasting powers of attorney 71 3.3 Conclusion: autonomy or welfare? 72 	
4	The limits of law at the limits of life: to treat or not to treat?	75
	 4.1 Conflicts in the clinic: to treat or not to treat? 75 4.2 Taking exception to exceptions: a duty to treat? 76 4.3 In the patient's best interests? 80 4.3.1 Treating like cases alike? 80 4.3.2 Objective rule(s)? 84 4.3.3 Know-ability and perform-ability? 85 4.4 Respecting autonomy? 90 4.5 Subjective judgments? From law to ethics at the limits of life 95 4.6 Conclusion: problems painting unicorns with Odysseus 101 	
5	Calculating the value of life at the limits of life	103
	 5.1 Looking for values in English law 103 5.2 The value of life in English law 104 5.3 Calculating the value of life 109 5.3.1 The intrinsic value of life: the disutility of futility? 109 5.3.2 The instrumental value of life: worthless lives? 114 5.3.3 The self-determined value of life: autonomy unbound? 116 5.4 Subjective judgments or objective judgments? 120 5.5 Conclusion: beyond conflict? 122 	
6	A case for compromise at the limits of life	123
	 6.1 Beyond conflict in the clinic: towards compromise 123 6.2 Contemplating compromise 125 6.3 Criticising compromise 127 6.4 Cause to compromise: six reasons to compromise 132 6.5 Constructing compromise: three virtues of compromising 135 6.6 Conclusion: compromise in theory and practice 140 	