

Contemporary Coding Techniques and Applications for Mobile Communications

Onur Osman Osman Nuri Uçan 0157.4

Contemporary Coding Techniques and Applications for Mobile Communications

Onur Osman Osman Nuri Uçan





CRC Press is an imprint of the Taylor & Francis Group, an informa business AN AUERBACH BOOK

Auerbach Publications Taylor & Francis Group 6000 Broken Sound Parkway NW, Suite 300 Boca Raton, FL 33487-2742

© 2009 by Taylor & Francis Group, LLC Auerbach is an imprint of Taylor & Francis Group, an Informa business

No claim to original U.S. Government works Printed in the United States of America on acid-free paper $10\,9\,8\,7\,6\,5\,4\,3\,2\,1$

International Standard Book Number-13: 978-1-4200-5461-3 (Hardcover)

This book contains information obtained from authentic and highly regarded sources. Reasonable efforts have been made to publish reliable data and information, but the author and publisher cannot assume responsibility for the validity of all materials or the consequences of their use. The authors and publishers have attempted to trace the copyright holders of all material reproduced in this publication and apologize to copyright holders if permission to publish in this form has not been obtained. If any copyright material has not been acknowledged please write and let us know so we may rectify in any future reprint.

Except as permitted under U.S. Copyright Law, no part of this book may be reprinted, reproduced, transmitted, or utilized in any form by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying, microfilming, and recording, or in any information storage or retrieval system, without written permission from the publishers.

For permission to photocopy or use material electronically from this work, please access www.copyright.com (http://www.copyright.com/) or contact the Copyright Clearance Center, Inc. (CCC), 222 Rosewood Drive, Danvers, MA 01923, 978-750-8400. CCC is a not-for-profit organization that provides licenses and registration for a variety of users. For organizations that have been granted a photocopy license by the CCC, a separate system of payment has been arranged.

Trademark Notice: Product or corporate names may be trademarks or registered trademarks, and are used only for identification and explanation without intent to infringe.

Library of Congress Cataloging-in-Publication Data

Osman, Onur.

Contemporary coding techniques and applications for mobile communications / Onur Osman and Osman Nuri Ucan.

p. cm.

ISBN 978-1-4200-5461-3 (alk. paper)

1. Coding theory. 2. Mobile communication systems. I. Ucan, Osman Nuri. II. Title.

TK5102.92.O86 2007 621.3845'6--dc22

2007014521

Visit the Taylor & Francis Web site at http://www.taylorandfrancis.com

and the Auerbach Web site at http://www.auerbach-publications.com

Contemporary
Coding Techniques
and Applications
for Mobile
Communications

OTHER TELECOMMUNICATIONS BOOKS FROM AUERBACH

Broadband Mobile Multimedia: Techniques and Applications

Yan Zhang, Shiwen Mao, Laurence T. Yang, and Thomas M Chen, Editors ISBN: 978-1-4200-5184-1

Carrier Ethernet: Providing the Need for Speed

Gilbert Held

ISBN: 978-1-4200-6039-3

Cognitive Radio Networks

Yang Xiao and Fei Hu, Editors ISBN: 978-1-4200-6420-9

Converging NGN Wireline and Mobile 3G Networks with IMS: Converging NGN and 3G Mobile

Rebecca Copeland ISBN: 978-0-8493-9250-4

Data-driven Block Ciphers for Fast Telecommunication Systems

Nikolai Moldovyan and Alexander A. Moldovyan ISBN: 978-1-4200-5411-8

Data Scheduling and Transmission Strategies in Asymmetric Telecommunication Environments

Abhishek Roy and Navrati Saxena ISBN: 978-1-4200-4655-7

Encyclopedia of Wireless and Mobile

Communications Borko Furbt

ISBN: 978-1-4200-4326-6

Handbook of Mobile Broadcasting: DVB-H, DMB, ISDB-T, AND MEDIAFLO

Borko Furht and Syed A. Ahson, Editors ISBN: 978-1-4200-5386-9

The Internet of Things: From RFID to the **Next-Generation Pervasive Networked Systems**

Lu Yan, Yan Zhang, Laurence T. Yang, and Huansheng Ning, Editors ISBN: 978-1-4200-5281-7

Introduction to Communications Technologies: A Guide for Non-Engineers, Second Edition

Stephan Jones, Ron Kovac, and Frank M. Groom

ISBN: 978-1-4200-4684-7

Introduction to Light Emitting Diode Technology and Applications

Gilbert Held

ISBN: 978-1-4200-7662-2

lonosphere and Applied Aspects of Radio Communication and Radar

Nathan Blaunstein and Eugeniu Plohotniuc ISBN: 978-1-4200-5514-6

MEMS and Nanotechnology-Based Sensors and Devices for Communications, Medical and Aerospace Applications

A. R. Jha ISBN: 978-0-8493-8069-3

Millimeter Wave Technology in Wireless PAN. LAN, and MAN

Shao-Qiu Xiao, Ming-Tuo Zhou, and Yan Zhang, Editors ISBN: 978-0-8493-8227-7

Mobile Telemedicine:

A Computing and Networking Perspective

Yang Xiao and Hui Chen, Editors ISBN: 978-1-4200-6046-1

Mobile WiMAX:

Toward Broadband Wireless Metropolitan Area Networks

Yan Zhang and Hsiao-Hwa Chen, Editors

ISBN: 978-0-8493-2624-0

Network Design for IP Convergence

Yezid Donoso

ISBN: 9781420067507

Optical Wireless Communications: **IR for Wireless Connectivity**

Roberto Ramirez-Iniquez, Sevia M. Idrus, and Ziran Sun

ISBN: 978-0-8493-7209-4

Packet Forwarding Technologies

Weidong Wu, Editor ISBN: 978-0-8493-8057-0

Satellite Systems Engineering in an IPv6 Environment

Daniel Minoli

ISBN: 9781420078688

Security in an IPv6 Environment

Daniel Minoli and Jake Kouns ISBN: 978-1-4200-9229-5

Security in Wireless Mesh Networks

Yan Zhang, Jun Zheng and Honglin Hu, Editors ISBN: 978-0-8493-8250-5

Unlicensed Mobile Access Technology: Protocols, Architectures, Security, Standards and Applications

Yan Zhang, Laurence T. Yang, and Jianhua Ma, Editors ISBN: 978-1-4200-5537-5

Value-Added Services for Next Generation Networks

Thierry Van de Velde ISBN: 978-0-8493-7318-3

WIMAY/MobileFi-

Advanced Research and Technology

Yang Xiao, Editor ISBN: 978-1-4200-4351-8

Wireless Quality of Service: Techniques, Standards, and Applications

Maode Ma, Mieso K. Denko, and Yan Zhang, Editors ISBN: 978-1-4200-5130-8

AUERBACH PUBLICATIONS

www.auerbach-publications.com To Order Call: 1-800-272-7737 • Fax: 1-800-374-3401

E-mail: orders@crcpress.com

To our children Bahadir and Bengisu Uçan, and Emre Osman

Preface

Contemporary Coding Techniques and Applications for Mobile Communications covers both classical well-known coding techniques and contemporary codes and their applications.

The first four chapters include general information on mobile communications. In Chapter 5, AWGN, flat fading, time varying multipath, Wide Sense Stationary Uncorrelated Scattering Channels and MIMO channels are explained. The chapters following cover modern and applicable coding techniques.

In Chapter 6, channel equalization; semi-blind and blind equalization with adaptive filters such as RLS, LMS, EVA, Kalman, ML, and genetic algorithms, are summarized. Turbo codes, introduced in 1993, are given in Chapter 7, supported with clearly drawn figures; performances are derived for various channel models.

Achievement of both continuous phase property and error performance improvement is vital in all mobile communication systems. Thus, phase and frequency are combined, and the continuous phase frequency shift keying (CPFSK) is developed. In Chapters 8 and 9, new contemporary coding techniques with continuous phase property are investigated, including low-density parity check codes, time-diversity turbo trellis-coded modulation (TD-TTCM), multilevel turbo coded-continuous phase frequency shift keying (MLTC-CPFSK), turbo trellis-coded-continuous phase frequency shift keying (TTC-CPFSK), and low-density parity check coded-continuous phase frequency shift keying (LDPCC-CPFSK).

Today, digital image transmission is becoming common in cell phones. The critical point is how to optimize the combination of two main scientific subjects of electronics engineering—image processing and communications. Digital image processing is based on the neighborhood relationship of pixels. However, the transmitted signals that are the mapped form of these pixels should be independent from each other,

based on the maximum likelihood theory. Thus, the most important problem to be solved in the following years is the contradiction between the pixel neighborhood necessity required for image processing and the independent interleaving needed for higher performance in digital communications. The last chapter explains some new approaches on the very popular subject of image transmission.

We thank the editors of Taylor & Francis Group who helped us improve our book.

We appreciate the continuous and valuable support we've received throughout our careers from our wives, Birsen Uçan and Aygen Osman.

Onur Osman and Osman Nuri Uçan Istanbul, Turkey

Authors

Osman Nuri Uçan was born in Kars, Turkey, in January 1960. He received BSc, MSc, and PhD degrees from the Electronics and Communication Engineering Department, Istanbul Technical University (ITU) in 1985, 1988, and 1995, respectively. From 1986 to 1997, he worked as a research assistant in the same university. He worked as supervisor at TUBITAK-Marmara Research Center in 1998. He served as chief editor of the *Proceedings of the International Conference of Earth Science and Electronics* (ICESE), held in 2001, 2002, and 2003.

He is chief editor of Istanbul University's *Journal of Electrical and Electronics Engineering (IU-JEEE)*, cited by the Engineering Index (EI). He is professor and head of the Telecommunications Section, Electrical and Electronics Engineering Department, Istanbul University, where he is also head of the Biomedical Section of the Science Institute of IU and supervisor of the Telecommunication Institute of Turkey. His current research areas include information theory-turbo coding, image processing-cellular neural networks, wavelet, and Markov random fields applications on real geophysics data, and biomedical- and satellite-based two-dimensional data. He is author or coauthor of six books and two book chapters.

Onur Osman was born in Istanbul in September, 1973. He graduated from the Electrical Engineering Department of Istanbul Technical University in 1994. He received his MSc degree in electrical engineering in 1998 and subsequently his PhD degree from the Electrical and Electronics Engineering Department of Istanbul University. He worked with a telecommunication firm for a year as an R&D engineer and is now an assistant professor at Istanbul Commerce University. He is on the advisory board of the Istanbul University *Journal of Electrical and Electronics Engineering (IU-JEEE)*. His research area is information theory, channel coding, turbo coding, satellite communications, jitter performance of modulated systems,

channel parameter estimation, neural networks, and image processing for biomedical and geophysics applications. He is the author or coauthor of five books and one book chapter.

Contents

	Pre	eface	XIII
	Aut	thors	.xv
1	Int	roduction	1
	1.1	Digital Communication Systems: An Overview	
		1.1.2 Channel Coding	
	1.0	1.1.4 Channel Capacity	3
	1.2	Mathematical Fundamentals 1.2.1 Sampling Theory 1.2.2 Information Theory	3
	Refe	erences	
2	Mo	dulation Techniques	7
	2.1	Pulse Code Modulation	7
	2.2	Delta Modulation	.11
	2.3	Adaptive Delta Modulation	.15
	2.4	Line Coding	. 16
		2.4.1 Unipolar Signaling	. 16
		2.4.2 Polar Signaling	. 18
		2.4.3 Dipolar Signaling	
		2.4.4 Bipolar Alternate Mark Inversion (AMI) Signaling	
		2.4.5 Coded Mark Inversion	
		2.4.6 The <i>n</i> B <i>m</i> T Code	
	2.5	Amplitude Modulation	
	2.6	Frequency Modulation	
	2.7	Phase-Shift Keying	
		2.7.1 Binary Phase-Shift Keying	. 26

		5.2.2.3 Delay Scattering	93
		5.2.2.4 Compatibility Duration	
		5.2.3 Fading Channel Types	
		5.2.3.1 Flat Fading	94
		5.2.3.2 Frequency Selective Channels	94
		5.2.3.3 Slow Channel Fading	95
		5.2.3.4 Fast Fading	95
		5.2.4 Static Model of Fading Channels	96
	5.3	Frequency Selective Multipath Fading	97
		5.3.1 Correlation Functions of Statistical Channels	100
		5.3.2 Classification of Statistical Channels	101
		5.3.2.1 Wide-Sense Stationary Channels	102
		5.3.2.2 Uncorrelated Scattering	102
		5.3.2.3 Wide-Sense Stationary Uncorrelated Scattering	103
		5.3.3 Auto-Correlation of WSSUS Channels	105
		5.3.4 COST 207 Models	105
	5.4	Multiple Input Multiple Output Channels	111
		5.4.1 MIMO Channel and Space-Time Block Codes	111
		5.4.2 WSSUS MIMO Channels	113
	Refe	erences	114
6	Ch.	annel Equalization	110
U	6.1	annel Equalization	
	0.1	Semiblind Equalization with Adaptive Filters	
		6.1.1 LMS Algorithm	
		1 8	
		6.1.3 Genetic Algorithms	
	6.2	6.1.4 WSSUS MIMO Channel Equalization Using GA	
	0.2	Blind Equalization with Adaptive Filters	
		6.2.1 ML Channel Equalization	
	Dofe	6.2.2 Baum–Welch Channel Equalization	
		erences	
7	Tur	bo Codes	131
	7.1	Turbo Encoder	132
		7.1.1 RSC Component Codes	133
		7.1.2 Trellis Termination	134
		7.1.3 Interleaving	135
		7.1.4 Convolutional Code Representation	136
		7.1.4.1 State Diagram Representation	137
	7.2	Turbo Decoding	138
		7.2.1 MAP Algorithm	
		7.2.2 Max-Log-MAP and Log-MAP Algorithms	144
		7.2.3 Performance Analysis	
	7.3	Error Performance of Turbo Codes	149
		7.3.1 Error Performance of Turbo Codes over Fading Channel	149
		rences	

8	Mul	tilevel Turbo Codes	.165
	8.1	Multilevel Turbo Encoder	
	8.2	Multilevel Turbo Decoder	
	8.3	Error Performance of Multilevel Turbo Codes	
		8.3.1 Multilevel Turbo Codes over Fading Channel	173
		8.3.2 Multilevel Turbo Codes over WSSUS Channels	174
		8.3.2.1 Equalization of WSSUS Channels	177
		8.3.2.2 Simulations of ML-TC Signals over WSSUS	
		Multipath Channel	178
	Refe	rences	188
9	Tur	bo Trellis-Coded Modulation (TTCM)	.191
	9.1	Turbo Trellis Encoder	
	9.2	Turbo Trellis Decoder	193
		9.2.1 Metric Calculation	193
		9.2.2 MAP Decoder	195
	9.3	Error Performance of Turbo Trellis-Coded Modulation	196
	9.4	Time Diversity Turbo Trellis-Coded Modulation (TD-TTCM)	196
		9.4.1 TD-TTCM Encoder	
		9.4.2 TD-TTCM Decoder	
		9.4.3 WSSUS MIMO Channel Model of the TD-TTCM Scheme	
		9.4.3.1 Transmit Diversity Scheme	
		9.4.3.2 Genetic Algorithm (GA) and Genetic-Based	
		Channel Estimator	205
		9.4.3.3 The Structure of the Combiner	
		9.4.4 Simulation of TD-TTCM	
	9.5	Space Time Turbo Trellis-Coded Modulation (ST-TTCM)	
	1.5	9.5.1 ST-TTCM Encoder	
		9.5.2 Blind Equalization	
		9.5.3 Simulation Results of ST-TTCM for Two-Transmitter	1 /
		and One-Receiver Antenna Configuration	220
	Dof	erences	
10		w-Density Parity-Check Codes	
		Encoding of LDPC Codes	
		2 Decoding of LDPC Codes	
	10.3	LDPC-Like Codes: GC Codes	
		10.3.1 Hamming Distance 4 GC Codes	
		10.3.2 Higher-Distance GC Codes	
		10.3.3 Simulation Results	
	Refe	erences	240
11		ding Techniques Using Continuous Phase Modulation.	243
	11.1	Multilevel Turbo–Coded Continuous Phase Frequency Shift	24/
		Keying (MLTC-CPFSK)	
		11.1.1 Encoder Structure	
		11.1.2 Decoder Structure	
		11.1.3 Simulation Results over Rician Fading Channel	
		11.1.4 Simulation Results over MIMO Channel	257

Chapter 1

Introduction

1.1 Digital Communication Systems: An Overview

New improvements in wireless communication will increase the reliable exchange of information anywhere, anyplace, and anytime. Mobile communication environments are propagation characteristics, signal loss, multipath fading, and interference. Design countermeasures are design margins, diversity, coding, equalization, and error correction. Emerging wireless communications systems are based on contemporary coding, encryption, and channel estimation, where the main channel concept is frequency division, time division, spread spectrum.

The main scheme of a digital communication system, as in Figure 1.1, is composed of a source/channel encoder followed by a modulator. The modulated signals are passed through a noisy mobile channel. At the receiver, noisy signals are demodulated and decoded by source/channel decoders, and the estimated data is obtained.

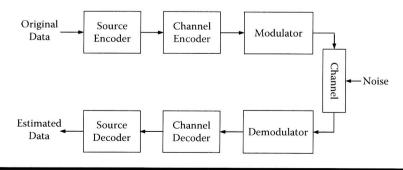


Figure 1.1 General block diagram of a digital communication system.

1.1.1 Source Coding

Original data is passed through a source encoder block. The main function of this block is to maximize entropy of the source. Entropy is symbolized by H, which shows average binary bits to represent each symbol. The replacement of the symbols with a binary representation is called source coding, which reduces the number of bits required to convey the information provided by original data. An instantaneous code is one that can be parsed as soon as the last bit of a codeword is received. An instantaneous code must satisfy the prefix condition. That is, no codeword may be a prefix of any other codeword. The best well-known source coding is Huffman coding. In image transmission, JPEG compression of digital images is based on this coding. It is assumed that both transmitter and receiver know the sequence set. The noiseless source coding theorem (also called Shannon's first theorem) states [1,2] that an instantaneous code can be found that encodes a source x of entropy H(x) with an average number of bits per symbol B as

$$B \ge H(x) \tag{1.1}$$

1.1.2 Channel Coding

The task of source coding is to map the data source to an optimum number of symbols and to minimize error during their pass through the noisy channel. It is apparent that channel coding requires the use of redundancy. To detect or correct errors, the channel code sequence must be longer than the source sequence. To detect n bit errors, a coding scheme requires the use of codewords with a Hamming distance of at least n + 1. In the same manner, to correct n bit errors requires a coding scheme with at least a Hamming distance of 2n + 1 between the codewords.

1.1.3 Modulation Systems

In classical analog communication; Amplitude Modulation (AM), Frequency Modulation (FM), Continuous Wave (CW) are commonly used. In AM, there is much to be gained in suppressing one of the sidebands and the carrier signal. Advantages are a reduction in band space, elimination of heterodyne whistles, and effective use of RF power. FM has a signal-to-noise-ratio advantage over amplitude modulation for the modulation index greater than 0.6 with a resulting bandwidth on speech considerably greater than that required for amplitude modulation. CW is a type of amplitude modulation [3].

In digital communication; frequency shift keying (FSK) and phase shift keying (PSK) are the main modulation types. FSK is a form of frequency