Environmental Policy in the EU

Actors, institutions and processes

Third Edition

Edited by

Andrew Jordan and

Camilla Adelle



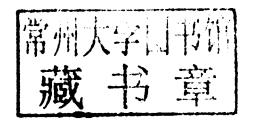


Environmental Policy in the EU

Actors, institutions and processes

Third edition

Edited by Andrew Jordan and Camilla Adelle







First edition published 2002 by Earthscan Second edition published 2005

This edition published 2013 by Routledge

2 Park Square, Milton Park, Abingdon, Oxon OX14 4RN

Simultaneously published in the USA and Canada

by Routledge

711 Third Avenue, New York, NY 10017

Routledge is an imprint of the Taylor & Francis Group, an informa business

© 2013 Andrew Jordan and Camilla Adelle for selection and editorial material; individual chapters, the contributors

The right of Andrew Jordan and Camilla Adelle to be identified as the authors of the editorial material, and of the authors for their individual contributions, has been asserted in accordance with sections 77 and 78 of the Copyright, Designs and Patents Act 1988.

All rights reserved. No part of this book may be reprinted or reproduced or utilised in any form or by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying and recording, or in any information storage or retrieval system, without permission in writing from the publishers.

Trademark notice: Product or corporate names may be trademarks or registered trademarks, and are used only for identification and explanation without intent to infringe.

British Library Cataloguing in Publication Data

A catalogue record for this book is available from the British Library

Library of Congress Cataloging-in-Publication Data

Environmental policy in the EU: actors, institutions and processes / edited by Andrew Jordan and Camilla Adelle. — 3rd ed.

p. cm

Includes bibliographical references and index.

- 1. Environmental policy—European Union—Case studies.
- 2. Environmental policy—International cooperation—Case studies.
- I. Jordan, Andrew, 1968- II. Adelle, Camilla.

GE190.E85E575 2012

363.7'0561094—dc23

2012004401

ISBN13: 978-1-84971-468-6 (hbk) ISBN13: 978-1-84971-469-3 (pbk) ISBN13: 978-0-203-10982-3 (ebk)

Typeset in Times New Roman by Keystroke, Station Road, Codsall, Wolverhampton



Contributors

- **Camilla Adelle** is Senior Research Associate in the School of Environmental Sciences, University of East Anglia, UK. She is interested in environmental policy and governance within the European Union (EU) and beyond.
- Jason Anderson is Head of European climate change and energy policy at the Worldwide Fund for Nature's (WWF) European Policy Office. This office leads advocacy on European policy and legislation in support of WWF's conservation objectives.
- **David Benson** is Lecturer in Environmental Politics, Policy and Governance at the School of Environmental Sciences, University of East Anglia, UK. His research interests include EU integration and environmental policy, multi-level environmental governance, environmental budgeting and public participation in environmental management.
- **Charlotte Burns** is Lecturer in the School of Politics and International Studies at the University of Leeds, UK. She is interested in environmental policy and politics, the European Parliament and decision-making processes in Europe and beyond.
- **Tom Delreux** is Associate Professor of Political Science at the Institut de Sciences Politiques Louvain-Europe and the Institut d'Etudes Européennes at the UC Louvain (Louvain-la-Neuve). His research interests include European environmental policy and policy making, the external dimension of the EU's internal policies, and inter- and intra-institutional relations in the EU.
- **Wyn Grant** is Professor of Politics at the University of Warwick, UK. He is interested in agricultural impacts on the environment, having most recently worked on biological alternatives to chemical pesticides.
- **Andrew Jordan** is Professor of Environmental Politics in the Tyndall Centre for Climate Change Research, School of Environmental Sciences, University of East Anglia, UK. He is interested in the policy and governance of environmental problems in different contexts, especially the EU.

- **Christoph Knill** is Professor of Comparative Public Policy and Public Administration, Department of Politics and Public Administration, University of Konstanz, Germany. His main research focus is the comparative analysis of policy and institutional change, including, in particular, environmental, social and morality policies.
- **Ludwig Krämer** was, for more than 30 years, a judge in Germany and an official in the environmental department of the European Commission. He now works as an environmental legal consultant.
- **Andrea Lenschow** is Professor of European Integration and Policy in the Department of Social Science at the University of Osnabruck, Germany. She has published on comparative and EU environmental policy, theories of institutional and policy change, Europeanization, policy implementation and policy convergence.
- **Duncan Liefferink** is Lecturer in Political Science of the Environment (Environmental Policy in Europe) at the Radboud University Nijmegen in the Netherlands. His main research fields are European and comparative environmental politics, with a particular interest in the inter-relationship between national and EU environmental policy making.
- **Per Mickwitz** is Research Professor of Environmental Policy at the Finnish Environment Institute. He is interested in the theory and practices of environmental policy evaluation for reflexive governance, and in the stability of and change in different socio-technical systems, especially energy systems.
- Marc Pallemaerts is Professor of European Environmental Law at the University of Amsterdam and Professor of International and European Environmental Law at the Université Libre de Bruxelles. He also heads the Global Issues and External Action Programme at the Institute for European Environmental Policy, with offices in London and Brussels.
- **Sebastiaan Princen** is Associate Professor in Utrecht University's School of Governance. He is interested in policy making in the European Union and other international organizations, with a focus on agenda-setting processes.
- **Emmanuelle Schön-Quinlivan** is Lecturer in Politics in the Department of Government, University College Cork, the Republic of Ireland. She specializes in European politics, public management and institutional change and has published extensively on the impact of the Kinnock reforms on the European Commission.
- **Adriaan Schout** is Head of the European Studies programme at the Netherlands Institute for International Relations ('Clingendael'), which is based in The Hague, the Netherlands. For many years he has combined research and consultancy on European governance questions for national and European institutions.
- **Irina Tanasescu** is a member of the Political Science Department at the Free University Brussels, Belgium. Her main research interests include stakeholder

- involvement in EU policy making, deliberative democracy and EU decision making.
- **Jale Tosun** is Assistant Professor of Comparative Policy Analysis and Public Administration at the University of Konstanz, Germany. Her research interests comprise environmental and energy policy making in different contexts.
- **John Turnpenny** is Senior Research Associate in the Tyndall Centre for Climate Change Research, School of Environmental Sciences, University of East Anglia, UK. His research focuses on the relationship between science, evidence and public policy making.
- Martin Unfried is an Expert at the European Institute of Public Administration, Maastricht, the Netherlands. His specialisms include environmental policy, climate change and the integration of environmental policies into other sectors.
- **Rüdiger K. W. Wurzel** is Reader and Jean Monnet Chair in European Union Studies at the University of Hull where he is Director of the Centre for European Union Studies. He has published widely on issues to do with European environmental policy, EU and German politics, and new modes of governance.
- **Anthony Zito** is Reader in Politics and Joint Director of the Jean Monnet Centre for Excellence at Newcastle University. He studies public policy making in the EU and its member states, with a particular emphasis on environmental policy.

Foreword

These are 'interesting times' for environment policy. When I started my mandate as European Commissioner for Environment over two years ago, we were still on the crest of a green wave, although it was beginning to curl under along with the dipping economy. In the years prior to that, against a background of what seemed like permanent growth and prosperity, the environment had enjoyed something of a vogue. We saw politicians and businesses trying to out-green each other. World leaders said grand words about climate change and protecting biodiversity and every car advert showed a low-consumption vehicle alone in a pristine environment, perhaps surrounded by cute furry animals.

But in the current economic climate, there is a real danger that environmental progress is seen as a luxury we can no longer afford. Environment is thought to bring costs rather than economic benefits. This could not be further from the truth, and this message needs to be spread worldwide. With the growing world population and increasing shortages, we have to start using resources more efficiently. And 'resources' means not just wood, minerals and metals but also water, fertile soil and clean air. Not only are these essential components of the environment but they are also vital inputs that keep our economy functioning. If we use them more efficiently, our production and business costs will go down, making us more competitive and creating more jobs. Of course we will need to make processes more efficient – and invent new processes – but these innovative econdustries are another area of economic growth where Europe can then lead the world. And there are opportunities for 'greening' all economic sectors. So there is no conflict between managing resources to protect the environment and economic prosperity.

What is needed are some fundamental changes in behaviour, not just on environmental matters but in all policy areas. In fact, it could be argued that 'environment' is not a separate sector in the same way as agriculture or transport but is a part of every aspect of life. Where we live, and the houses we live in; how much we travel, and the modes of transport we use; how much we buy, and where it comes from – and this applies not just to food but all the products we consume. There are so many small choices that can make a world of difference – or a different world. It is up to political leaders to explain the reasoning behind different choices and their economic and environmental benefits.

In terms of environment policy, the EU still tends to set global standards – and continues to enjoy the support of the vast majority of its citizens. Since the last edition of this book in 2005, we have been learning to live together as a Union of 27. The ten new countries which joined in 2004 added vast areas of land – much of it virgin countryside – which has considerably enriched our natural heritage. Much of this is now part of our Natura 2000 network of protected areas which covers almost 20 per cent of the EU.

Another significant EU achievement has been REACH, our scheme to promote substitution of dangerous chemicals and to foster innovation of new and safer substances. We have been working to improve the quality of our inland waters and our seas. And we have made real improvements in our waste collection and treatment; in some countries rates of landfill – the worst solution to the waste problem – are now virtually zero.

It could be argued that we now have all the environment legislation we need—although new challenges keep coming up, such as ship dismantling, nanotechnology, shale gas, phosphorus and so on. But laws alone are not enough. We need to make more effort to ensure that they are properly applied throughout the EU. Full implementation of our laws is a matter not just for European and national authorities, but also for local authorities, businesses and citizens. Which is why this book is so valuable. By teaching what EU environment policy is and why and how it is made, this book should ensure that later generations understand it and respect it as vital for our survival and prosperity.

Janez Potočnik European Commissioner for Environment Brussels

Preface

If 'a week is', to use former UK Prime Minister Harold Wilson's well-known phrase, 'a long time in politics', then the seven years which have elapsed since the publication of the second edition of this book, in 2005, seem like an eternity. A huge amount has changed in the European Union (EU). Two more states (Romania and Bulgaria) have joined as members and there are several more in the long queue to join, including Croatia, Iceland and Turkey. The Lisbon Treaty was adopted after a long and tortuous ratification process, creating new EU-level actors including, for the first time, the equivalent of a foreign ministry – the European External Agency Service – and a President of the European Council.

What about the EU's environmental policy? Does it exhibit the same sense of change? On the face of it, no. In many ways, it is no longer the energetic and slightly unpredictable policy sector that it once was. In fact, many chapters in this new edition show how it has settled into a more predictable pattern of development, underpinned by norms, procedures and, of course, lots and lots of detailed rules. Mature and more settled, yes, but certainly not static; it has continued to produce new policies and new politics sometimes in unforeseen and unexpected ways. A whole raft of new climate and energy policies emerged in the late 2000s, for example; new sources of energy such as biofuels and oil from tar sands have been intensively debated in Brussels; and the Parliament has significantly restrengthened its influence over more aspects of national policy development.

But what has really changed since 2005 is the wider political and economic context in which the EU processes all its policies, including those addressing the environment. Politically, the EU as a whole has moved decisively to the right since 2005. By the end of 2011, only three of the 27 states were led by centre-left parties – Austria, Denmark and Slovenia. Crucially, in none of the six largest, most populous states, including Germany, was the left in overall control. One has to go right back to the early 1980s to find the last time that the parties of the right were so strongly in the ascendant. This is important because environmental policy has tended to advance further and faster when parties of the centre and the centre left are in control. The public too is more sceptical of the EU and more willing to challenge its existence. Turn-outs in European elections have continued to fall, Eurosceptical parties are on the rise in many states, and the public seems far less inclined to vote through new treaties in national referenda, limiting the ability to

make further quantum leaps in European integration. And, most crucially of all, the economic situation in Europe has worsened appreciably, especially following the onset of the sovereign debt crisis in 2008. First of all in Greece and then later in Spain and Italy, politicians have struggled to secure political backing for austerity measures sought by the EU.

Together, these changes in the wider context have rudely confirmed some basic facts about the EU that had hitherto been hidden – that it is, *au fond*, an elite project, introduced without a political vote; that its popularity is ultimately sustained not by strong environmental policies, but by continuing economic growth and prosperity; and that there may be hard political limits to an 'ever closer Union'. In 2012, the EU found itself in the eye of an intense storm, trying desperately to mediate between catastrophically indebted member state economies and international financial markets. For the very first time, the existence of the Euro – and with it the EU itself – began to be openly questioned. Until then, this whole issue was strictly taboo. Can the EU improvise its way out of what the Commission President, José Manuel Barroso, described in his 2011 state of the union address as the 'the biggest challenge in the history of our Union'? That is very much the question of the moment and the foreseeable future.

On the face of it, these are very hard times indeed for those pushing for stronger environmental measures. Many of the chapters in this new edition reveal that some of the hard-won policy gains of the past are under unprecedented pressure as economic issues become more and more pressing. However, they also identify some unexpected opportunities for those who are willing and able to exploit them. For example, the economic crisis has given the EU a right to intervene in national budgetary and macro-economic affairs that was firmly refused by the Maastricht Treaty in the early 1990s. These are matters of the very highest politics which have traditionally been 'off limits' to European environmental policy makers. And those who can show that environmental protection has an immediate economic payoff may also prosper. Witness, for example, the debates about ecosystem services and the financial costs of not complying with environmental rules.

One way or another, these are very interesting times for those pursuing, and/or wishing to understand, EU environmental policy. Putting together the third edition of this book has proved to be both hugely stimulating and challenging. The first and second editions have sold well and we wanted to build on them. But they were originally conceived as handbooks not textbooks; ten years after the publication of the first edition, we felt that a more systematic re-write was warranted to meet the more refined learning needs of students. Therefore, 17 of the 20 chapters in this edition are completely new; only three contain re-published material. And all 20 employ pedagogical devices such as summary guides, lists of key learning points, guides to further reading and specimen exam questions. Together, we think they offer a uniquely systematic treatment of the most relevant contexts (Part 1), actors (Part 2) and policy dynamics (Part 3) of EU environmental policy. Some of the topics (e.g. the Commission – Chapter 6, policy evaluation – Chapter 15, and the role of appraisal in policy making – Chapter 12) are barely covered at all in the existing literature.

Acknowledgements

We have incurred a number of debts in producing this textbook. Jonathan Sinclair-Wilson at Earthscan originally encouraged us to have a third go at distilling the essence of EU environmental policy into one book. Nick Bellorini at Earthscan, and then Louisa Earls and Charlotte Russell at Routledge, greatly assisted us in the production process, and Janez Potočnik, the European Commissioner for Environment, very kindly agreed to write the Foreword. Sarah Clarke at UEA re-keyed the three re-published chapters, and David Benson and Tim Rayner commented on several chapters.

Routledge and the editors would like to thank the following authors and copyright holders for their permission to reprint them:

- Chapter 2: from C. Knill and D. Liefferink (2007) *Environmental Politics in the EU*, Manchester University Press, Manchester (pp. 1–26).
- Chapter 4: from 'Environmental Policy in the EU: Bridging, Policy, Politics and Polity Dimensions', in K-E. Jorgensen (eds) *et al.* (2006) *Handbook of EU Politics*, Sage, London (pp. 413–431).
- Chapter 13: from 'The European Union', in A. Jordan and A. Lenschow (eds)
 (2008) Innovation in Environmental Policy? Edward Elgar, Cheltenham
 (pp. 159–179).

We would also like to state that certain sections of these Chapters were written by the editors and not the contributors, i.e. the Summary Guide, the Summary Points and the Key Questions.

We would also like to acknowledge the support of the Leverhulme Trust for funding Andrew's Major Research Fellowship (F00204AR) and the European Commission project LIAISE for funding Camilla's involvement.

Last but certainly not least, we would like to thank the contributors who responded promptly and cheerfully to our numerous and extensive comments and requests. We hope you learn as much from reading what they have written as we have. Without them, this new edition would simply never have happened.

Andrew Jordan Camilla Adelle Norwich January 2012

Abbreviations

Group of the Alliance of Liberals and Democrats for Europe ALDE

British Petroleum BP

Brazil, Russia, India and China BRIC Bovine Spongiform Encephalopathy **BSE** Climate Action Network Europe CAN-Europe Common Agricultural Policy CAP Convention on Biological Diversity CBD CEFIC European Chemical Industry Council

European Committee for Standardization European Committee for Electrotechnical Standardization CENELEC

Common Fisheries Policy CFP

Temporary Committee on Climate Change CLIM

carbon dioxide CO,

CEN

Conference of the Parties COP

Comité des représentants permanents (Committee of COREPER

Permanent Representatives)

Commission on Sustainable Development CSD

Directorate General DG

Directorate General for the Environment (now 'DG DG XI

Environment')

DG Environment Directorate General for the Environment (formerly 'DG XI')

Directorate General Climate Action DG CLIMA DG ENTR Directorate General Enterprise

Directorate General for the Environment DG ENV **Environmental Action Programme** EAP

European Community EC

European Chemicals Agency **ECA** European Citizens' Initiative **ECI** European Court of Justice ECJ Council of Finance Ministers **ECOFIN**

ECR European Conservatives and Reformists Group

EEA European Environment Agency European Environmental Bureau **EEB**

xxiv Abbreviations

EEC European Economic Community

EEE Electrical and Electronic Equipment draft Directive
EENF Environmental Evaluators Networking Forum

EER Energy Efficiency Requirement for End-use Equipment draft

Directive

EFD Europe of Freedom and Democracy Group

EIA Environmental Impact Assessment EMAS Environmental Management System

EMU European Monetary Union ENDS Environmental Data Services

ENVI Committee of Environment, Public Health and Food Safety

EP European Parliament

EPI Environmental Policy Integration

EPP European Peoples' Party
ETS Emissions Trading System

EU European Union

EUL/NGL Confederal Group of European United Left/Nordic Green Left

Euratom European Atomic Energy Community

FoEE Friends of the Earth Europe

G10 the Green Ten (environmental lobby groups)
G20 Group of 20 (major industrialized states)
GAERC General Affairs and External Relations Council

GDP gross domestic product GM genetically modified

GMO genetically modified organism

Greens/EFA Group of the Greens and European Free Alliance

HEAL Health and Environmental Alliance

IA Impact Assessment

IBMA International Biocontrol Manufacturers' Association

IFN Friends of Nature International ILUC Indirect Land Use Change

IMPEL Network for the Implementation and Enforcement of

Environmental Law

IPCC Intergovernmental Panel on Climate Change

ISO International Standard Organization

LCD lowest common denominator

MEA multilateral environmental agreement
MEP Member of European Parliament

MOP Meeting of the Parties

NEPI new environmental policy instrument NGO non-governmental organization

OECD Organization for Economic Co-operation and Development

OLP ordinary legislative procedure OMC open method of coordination QMV qualified majority voting REACH Registration, Evaluation, Authorization and restriction of

Chemicals

REIO Regional Economic Integration Organization

RIA Regulatory Impact Assessment

RPA Risk and Policy Analysts

S&D Group of the Progressive Alliance of Socialists and Democrats

SDS Sustainable Development Strategy

SEA Single European Act

SEA Strategic Environmental Assessment

SUV sports utility vehicle

T&E Transport and Environment
TEN-T Trans-European Network
TEU Treaty on European Union

TFEU Treaty on the Functioning of the European Union

UK United Kingdom UN United Nations

UNCED UN Conference on Environment and Development

UNEP United Nations Environment Programme

UNFCCC United Nations Framework Convention on Climate Change

US United States

WPE Working Party on the Environment

WPIEI Working Party on International Environmental Issues

WSSD World Summit on Sustainable Development

WTO World Trade Organization
WWF World Wide Fund for Nature

Contents

Lis	t of boxes	ix
Lis	List of tables	
Notes on contributors		
For	Foreword by Janez Potočnik, European Commissioner for Environment	
	eface	xix xxi
	knowledgements	
	List of abbreviations	
1	EU environmental policy: contexts, actors and policy dynamics	1
	ANDREW JORDAN AND CAMILLA ADELLE	
PA	RT 1	
Co	ontexts	11
2	The establishment of EU environmental policy	13
_	CHRISTOPH KNILL AND DUNCAN LIEFFERINK	13
•		22
3	EU environmental policy after the Lisbon Treaty	32
	DAVID BENSON AND CAMILLA ADELLE	
4	Studying EU environmental policy	49
	ANDREA LENSCHOW	
PA	RT 2	
A	etors	73
5	Member states and the Council	75
	RÜDIGER K. W. WURZEL	
6	The European Commission	95
	EMMANUELLE SCHÖN-QUINLIVAN	

vi	Contents	
7	The European Court of Justice LUDWIG KRÄMER	113
8	The European Parliament CHARLOTTE BURNS	132
9	Lobby groups CAMILLA ADELLE AND JASON ANDERSON	152
10	Business WYN GRANT	170
PART 3 Policy dynamics		189
11	Agenda setting SEBASTIAAN PRINCEN	191
12	Policy making CAMILLA ADELLE, ANDREW JORDAN AND JOHN TURNPENNY	209
13	Policy coordination ANDREW JORDAN, ADRIAAN SCHOUT AND MARTIN UNFRIED	227
14	Policy implementation ANDREW JORDAN AND JALE TOSUN	247
15	Policy evaluation PER MICKWITZ	267
16	The EU as an actor in global environmental politics	287

13	Policy coordination ANDREW JORDAN, ADRIAAN SCHOUT AND MARTIN UNFRIED	227
14	Policy implementation ANDREW JORDAN AND JALE TOSUN	247
15	Policy evaluation PER MICKWITZ	267
16	The EU as an actor in global environmental politics TOM DELREUX	287
	RT 4 ture challenges	307
		307 309
Fu	Governing with multiple policy instruments? ANDREW JORDAN, DAVID BENSON, RÜDIGER K. W. WURZEL	-

		Contents VII
PART 5 Conclusion		367
20	EU environmental policy at 40: retrospect and prospect ANDREW JORDAN AND CAMILLA ADELLE	369
	Index	387