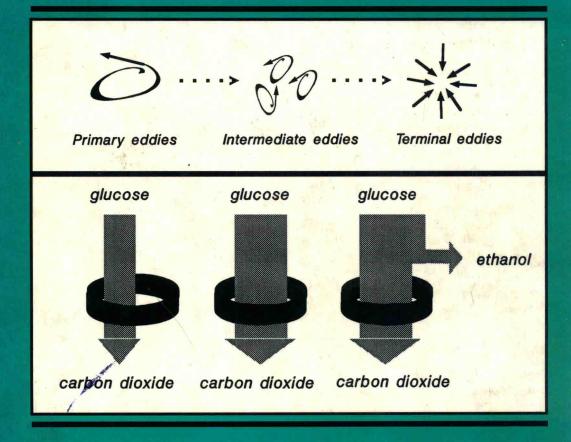
Bioreaction Engineering Principles



Jens Nielsen and John Villadsen

Bioreaction Engineering Principles

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Bioreaction Engineering Principles

Preface

There is something presumptuous in deciding to write a textbook. One invests the better part of two years finalizing the project, and then presses the result onto several generations of students and promotes it to colleagues in the industry. Do we, after all, have ideas that were not already conceived by others and described in sufficient clarity in existing textbooks with similar titles?

The authors of the present text are both relative newcomers to the field. One is young; the other has spent a lifetime in chemical engineering and mathematical modeling and is a fairly recent convert to the Society of Biotechnologists. The book is based on recent improvements in the quantitative treatment of bioreactions at the research center where we both work, as well as a service to meet the high standard Danish industry demands of candidates who, as future employees, are destined to realize the promises of modern biotechnology. We believe that a more or less unified treatment of the principles of Bioreaction Engineering is now in your hands; a text based on mathematical modeling, but with a deep respect for the wonderful complexity of microbial reactions. There are, as admitted, many books written with a similar purpose, and we have had occasion to profit from the experience of the authors of these texts, as well as from the results of numerous scientific papers published in recent years. We would not have been able to write the present text without a careful study of J. A. Roels, "Energetics and Kinetics in Biotechnology" (Elsevier, 1983) and J. E. Bailey and D. F. Ollis, "Biochemical Engineering Fundamentals" (2. ed.) (McGraw Hill, 1986).

To the abovementioned, our most sincere thanks for continuous inspiration. A special word of thanks to Dr. Martin Hjortsø of Lousiana State University who contributed most of the Problems in Chapter 6, and made many comments on the text of Chapter 6. Finally, we recognize the efforts of our graduate students and of a large number of undergraduate students at the Technical University of Denmark who suffered through the first versions of the many Problems that are now included at the end of each chapter.

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Lyngby, Denmark

List of Symbols

Cell age (hr)

Symbols that are defined and used only within a particular Example, Note, or Problem are not listed. A few symbols are used for different purposes, but only when no confusion is possible. In these cases both definitions are specified.

a	Specific interfacial area (m ² per m ³ of medium)
$a_{\rm d}$	Specific interfacial area (m ² per m ³ of gas-liquid dispersion)
$a_{ m cell}$	Specific cell surface area (m ² per gram dry weight)
a_{g}	Genealogical cell age [used in Eq. (5.38)]
Å	Total interfacial area in a gas-liquid dispersion
$\mathbf{A}, \mathbf{A}_{s}, \mathbf{A}_{p}$	Matrices of stoichiometric coefficients for intracellular substrates
b(y)	Breakage frequency (hr ⁻¹)
$\mathbf{B}, \mathbf{B}_{\mathrm{s}}, \mathbf{B}_{\mathrm{p}}$	Matrices of stoichiometric coefficients for intracellular products
Bi .	Biot number, given by Eq. (7.68)
c_{i}	Concentration of the <i>i</i> th chemical compound (kg m ⁻³)
c_i^*	Saturation concentration of the <i>i</i> th chemical compound (kg m $^{-3}$)
c	Vector of concentrations (kg m ⁻³)
c _e	Vector of concentrations in the efflux from the bioreactor (kg m ⁻³)
\mathbf{c}_{f}	Vector of concentrations in the feed to the bioreactor (kg m ⁻³)
C	Number of pathway intermediates in a metabolic model
C_{ij}	Concentration control coefficients with respect to the activity of the ith
	enzyme
C_i^r C^*	Flux control coefficient with respect to the activity of the ith enzyme
	Matrix containing the control coefficients [defined in Eq. (3.38)]
$d_{ m cell}$	Cell diameter (m)
d_{b}	Bubble diameter (m)
d_1	Thickness of liquid film (m)
$d_{ m mean}$	Mean bubble diameter (m)
$d_{ m mem}$	Lipid membrane thickness (m)
$d_{\rm o}$	Orifice diameter (m)
d_{s}	Stirrer diameter (m)
d_{Sauter}	Mean Sauter bubble diameter, given by Eq. (7.18)
D	Dilution rate (hr ⁻¹)
_	2 3 4 1927 19 7 19 19

Diffusion coefficient in the lipid membrane (m² s⁻¹)

Diffusion coefficient of the *i*th chemical compound (m² s⁻¹)

Energy dissipation to the surroundings in the jth reaction (kJ mole⁻¹)

Maximum dilution rate (hr⁻¹)

Effective diffusion coefficient (m² s⁻¹)

Damköhler number, given by Eq. (7.45)

 D_{max}

 D_{mem}

 $D_{
m eff}$

 D_i

 D_j Da

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Column vector of dimension i with all elements being 1 \mathbf{e}_{i} Activation energy of the growth process in Eq. (4.21) E_{g} Residence time distribution E(t)E Elemental matrix for all compounds \mathbf{E}^* Matrix given by Eq. (3.17) $\mathbf{E}_{\mathbf{c}}$ Elemental matrix for calculated compounds Elemental matrix for measured compounds $\mathbf{E}_{\mathbf{m}}$ \mathbf{E}_{p} Elemental matrix for metabolic products $\mathbf{E}_{\mathbf{s}}$ Elemental matrix for substrates \mathbf{E}_{x} Elemental matrix for biomass components Distribution function for cells with property y in the population f(y)F Degrees of freedom F Variance-covariance matrix Gravity (m s⁻²) g Gibbs free energy for the *i*th reaction component (kJ mole⁻¹) G_i Gibbs free energy for the ith reaction component at standard conditions Gibbs free energy of combustion of the *i*th reaction component (kJ mole⁻¹) ΔG_{ci} ΔG_{ci}^{0} Gibbs free energy of combustion of the ith reaction component at standard conditions (kJ mole⁻¹) $\Delta G_{c,j}$ Change in Gibbs free energy in the jth reaction (kJ mole⁻¹) Change in standard free energy in the *j*th reaction (kJ mole⁻¹) $\Delta G_{c,j}^0$ Gibbs free energy change upon protein denaturation (kJ mole⁻¹) $\Delta G_{
m d}$ Grashof number, given in Table 7.4 Gr Test function given by Eq. (3.58) h Net rate of formation of cells with property y upon cell division (cells hr^{-1}) h(y)Rate of formation of cells with property y upon cell division (cells hr^{-1}) $h^+(y)$ Rate of disappearance of cells with property y uponcell division (cells hr^{-1}) $h^{-}(y)$ Henry's constant (atm · m³ kmole⁻¹) H_{A} ΔH_{ci}^{0} Heat of combustion of the *i*th reaction component (kJ mole⁻¹) $\Delta H_{c,i}^0$ Change of enthalpy in the *j*th reaction (kJ mole⁻¹) Community matrix for which the elements are given by Eq. (5.59) H I Number of elements I Unity matrix (diagonal matrix with 1 in the diagonal) J Number of intracellular reactions Mass flux of chemical compound A (kg m⁻² hr⁻¹) J_{A} Jacobi matrix for which the elements are given by Eq. (8.93) J Rate constant (kg kg⁻¹ hr⁻¹) k_i Mass transfer coefficient for gas film (m s⁻¹) k_{g} Mass transfer coefficient for a liquid film surrounding a gas bubble (m s⁻¹) k_1 Volumetric mass transfer coefficient (s⁻¹) k_1a Mass transfer coefficient for a liquid film surrounding a solid $k_{\rm s}$ particle (m s⁻¹) K Number of metamorphosis reactions K_a Acid dissociation constant (moles m⁻³) K_1 Overall mass transfer coefficient for gas-liquid mass transfer (m s⁻¹) K_{par} Partitioning coefficient Saturation constant (kg m⁻³) $K_{\rm s}$ K_i Inhibition constant (kg m⁻³) K_i Equilibrium constant for the jth reaction

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 l_{hgu} Hyphal growth unit length in Eq. (5.47) (m tip⁻¹) L Number of intracellular biomass components

m Amount of biomass (kg)
m Degree of mixing

 m_{ATP} Maintenance-associated ATP consumption (moles of ATP per kg dry

weight per hr)

 $m_{\text{ATP}}^{\text{true}}$ The true maintenance-associated ATP consumption (moles of ATP per kg

dry weight per hr)

 m_{hgu} Hyphal growth unit mass, given by Eq. (5.47) (kg dry weight per tip) m_{s} Maintenance-associated specific substrate consumption (kg per kg dry

weight per hr)

 $m_{\rm p}$ Maintenance-associated specific metabolic product formation (kg per kg

dry weight per hr)

M Number of metabolic products

 $M_{\rm p}$ The *n*th moment of a one-dimensional distribution function, given by

Eq. (6.9)

 $M_{\rm w}$ Molar mass (kg mole⁻¹)

M Matrix with the specific growth rates of morphological forms in the

diagonal

n Number of cells per unit volume (cells m^{-3})

N Number of substrates N Stirring speed (s⁻¹)

 N_A Aeration number, given by Eq. (9.21) N_f Flow number, appearing in Eq. (9.22) N_p Power number, appearing in Eq. (9.18)

p Extracellular metabolic product concentration (kg m⁻³)

 p_A Partial pressure of compound A (atm) $p(y, y^*)$ Partitioning function, used in Eq. (6.5)

p Extracellular metabolic product concentration vector (kg m⁻³) P_i Productivity of species i in a chemostat (kg m⁻³ hr⁻¹)

P Dimensionless metabolic product concentration

P Permeability coefficient (m s⁻¹)
P Power input to a bioreactor (W)

 P_{o} Power input to a bioreactor at gassed conditions (W)

P Intracellular metabolic product concentration vector (kg per kg dry weight)

P Variance–covariance matrix for the residuals, given by Eq. (3.52)

P_q Intracellular metabolic product concentration vector for the qth morpho-

logical form (kg per kg of qth morphological form)

Pe Peclet number, given by Eq. (7.35)

 q_A^{mt} Volumetric rate of transfer of A from gas to liquid (kg m⁻³ hr⁻¹)

 $q_{A,obs}$ Observed reaction rate, given by Eq. (7.61)

 q_x Volumetric rate of formation of biomass (kg dry weight per m³ per hr)

 q_Q Volumetric rate of heat generation (kJ m⁻³ hr⁻¹)

q Volumetric rate vector $(kg m^{-3} hr^{-1})$

 \mathbf{q}^* Vector where q_Q is added as the last element to \mathbf{q}

 \mathbf{q}^{mt} Vector of volumetric mass transfer rates (kg dry weight per m³ per hr) \mathbf{q}_{c} Volumetric rate vector of formation of calculated compounds (kg m⁻³ hr⁻¹) \mathbf{q}_{m} Volumetric rate vector of formation of measuredcompounds (kg m⁻³ hr⁻¹) \mathbf{q}_{p} Volumetric rate vector of formation of metabolic products (kg m⁻³ hr⁻¹)

q_s Volumetric rate vector of formation of substrates (kg m⁻³ hr⁻¹)

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$\mathbf{q}_{\mathbf{x}}$	Volumetric rate vector of formation of biomass components (kg m ⁻³ hr ⁻¹)
Q	Number of morphological forms
Q_j	Heat generated by the jth reaction (kJ mole ⁻¹)
Q_1	Fraction of repressor-free operators, given by Eq. (4.44)
Q_2	Fraction of promotors being activated, given by Eq. (4.50)
Q_3	Fraction of promotors which form complexes with RNA polymerase
Q	Vector containing the heat of combustion of all compounds (kJ mole ⁻¹)
r	Specific reaction rate (kg per kg dry weight per hr)
r_{ATP}	Specific ATP synthesis rate (moles of ATP per kg dry weight hr)
r_{Q}	Specific rate of heat generation in all cellular reactions (kJ per g dry weight per hr)
ř	Specific reaction rate vector (kg per kg dry weight per hr)
r (<i>y</i>)	Vector containing the rates of change of properties, in Eq. (6.2)
r _p	Specific metabolic product formation rate vector (kg per kg dry weight per
P	hr)
$\mathbf{r}_{\mathrm{p,q}}$	Specific metabolic product formation rate vector for the qth morphological
12001	form (kg per kg of qth morphological form per hr)
$\mathbf{r_q}$	Specific reaction rate vector for the qth morphological form (kg per kg of
•	qth morphological form per hr)
\mathbf{r}_{s}	Specific substrate uptake rate vector (kg per kg dry weight per hr)
$\mathbf{r}_{\mathrm{s,q}}$	Specific substrate uptake rate vector for the qth morphological form (kg
	per kg of qth morphological form per hr)
R	Gas constant $(=8.314 \mathrm{J K^{-1} mole^{-1}})$
R	Recirculation factor
R	Vector of net rates for the formation of intracellular components (kg per
_	kg dry weight per hr)
R	Redundancy matrix, given by Eq. (3.45)
$\mathbf{R}_{ ext{q}}$	Vector of net rates for the formation of intracellular components in the qth
ъ	morphological form (kg per kg of qth morphological form per hr)
R _r	Reduced redundancy matrix
\mathbf{R}_{s}	Vector of net rates for the formation of intracellular substrates (kg per kg dry weight per hr)
D	Vector of net rates for the formation of intracellular substrates in the qth
$\mathbf{R}_{\mathrm{s,q}}$	morphological form (kg per kg of qth morphological form per hr)
\mathbf{R}_{p}	Vector of net rates for the formation of intracellular metabolic products
Ф	(kg per kg dry weight per hr)
$\mathbf{R}_{\mathbf{p},\mathbf{q}}$	Vector of net rates for the formation of intracellular metabolic products in
р,q	the q th morphological form (kg per kg of q th morphological form per hr)
Re	Reynolds number, given in Table 7.4
S	Extracellular substrate concentration (kg m ⁻³)
s	Extracellular substrate concentration vector (kg m ⁻³)
$S_{\mathbf{f}}$	Substrate concentration in the feed to the bioreactor (kg m ⁻³)
\boldsymbol{S}	Dimensionless substrate concentration
$\Delta S^0_{{ m c},j}$	Entropy change in the jth reaction (kJ mole ⁻¹ K ⁻¹)
S	Intracellular substrate concentration vector (kg per kg dry weight)
$\mathbf{S}_{\mathbf{q}}$	Intracellular substrate concentration vector for the qth morphological form
	(kg per kg of qth morphological form)
Sc	Schmidt number, given in Table 7.4
Sh	Sherwood number, given in Table 7.4
t	Time (hr)

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 $t_{\rm c}$ Circulation time (s) $t_{\rm m}$ Mixing time (s) T Temperature (K)

Total stoichiometric matrix

 $\mathbf{T}_1, \dots, \mathbf{T}_7$ Partitioning of \mathbf{T}^T

 $u_{\rm b}$ Bubble rise velocity (m s⁻¹)

 u_i Cybernetic variable, given by Eq. (4.52)

 u_k Specific rate of the kth metamorphosis reaction (kg kg⁻¹ hr⁻¹)

 u_s Superficial gas velocity (m s⁻¹)

vector containing the specific reaction rates of the metamorphosis reactions

 $(kg kg^{-1} hr^{-1})$

 U_q Net rate of formation of the qth morphological form (kg kg⁻¹ hr⁻¹) Vector of net rates for the formation of morphological forms (kg per kg

> dry weight per hr⁻¹) Liquid flow (m³ hr⁻¹)

 $v_{\rm c}$ Liquid effluent flow from the bioreactor (m³ hr⁻¹) $v_{\rm f}$ Liquid feed flow to the bioreactor (m³ hr⁻¹)

 $v_{\rm g}$ Gas flow (m³ hr⁻¹)

υ

 v_i Cybernetic variable, given by Eq. (4.53) v_{pump} Impeller induced flow (m³ hr⁻¹)

V Medium volume (m³)

 $V_{\rm d}$ Total volume of gas-liquid dispersion (m³)

 $V_{\rm g}$ Dispersed gas volume (m³) $V_{\rm l}$ Liquid volume (m³)

 V_{hgu} Hyphal growth unit volume, in Eq. (5.47) (m³ cells tip⁻¹)

 V_{y} Total property space

Water content of the biomass (kg of water per kg of biomass)

x Biomass concentration (kg m⁻³)

 x_c Biomass concentration in the effluent from the bioreactor (kg m³) x_f Biomass concentration in the feed to the bioreactor (kg m⁻³) x_R Biomass concentration in the recirculation stream (kg m⁻³)

X Dimensionless biomass concentration X_{ei} Activity of the *i*th enzyme in a pathway

Xi Concentration of the ith intracellular component (kg per kg dry weight)
 X Vector of concentrations of intracellular biomass components (kg per kg

dry weight)

 X_{q} Vector of concentrations of intracellular biomass components in the qth

morphological form (kg per kg of qth morphological form)

y Property state vector

 Y_{ii} Yield coefficient of j from i (kg j per kg of i)

 Y_{xATP} ATP consumption for biomass formation (moles of ATP per kg dry weight) Y_{ATP}^{true} The true ATP consumption for biomass formation (moles of ATP per kg

dry weight)

 Z_i Concentration of the *i*th morphological form (kg per kg dry weight) **Z** Vector of concentrations of morphological forms (kg q per kg dry weight)

Greek Letters

 α_{ji} Stoichiometric coefficients for substrate *i* in intracellular reaction *j* $\alpha_{s,ji}$ Stoichiometric coefficient for substrate *i* in the uptake of the *j*th substrate

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$\alpha_{\mathrm{p},ji}$	Stoichiometric coefficient for substrate i in excretion of the j th metabolic
	product
A, A_s, A_p	Matrices containing the stoichiometric coefficients for substrates
$\mathbf{A}_{\mathrm{q}},\mathbf{A}_{\mathrm{s,q}},\mathbf{A}_{\mathrm{p,q}}$	Matrices containing the stoichiometric coefficients for substrates in the
	qth morphological form
$oldsymbol{eta}_{ji}$	Stoichiometric coefficient for metabolic product <i>i</i> in intracellular reaction
_	<i>j</i>
$oldsymbol{eta}_{ ext{s},ji}$	Stoichiometric coefficient for metabolic product <i>i</i> in the uptake of the <i>j</i> th
0	substrate
$oldsymbol{eta}_{ exttt{p}_{i},ji}$	Stoichiometric coefficient for metabolic product <i>i</i> in excretion of the <i>j</i> th
n n n	metabolic product
$\mathbf{B}, \mathbf{B}_{\mathrm{s}}, \mathbf{B}_{\mathrm{p}}$	Matrices containing the stoichiometric coefficients for metabolic products
$\mathbf{B}_{\mathrm{q}},\mathbf{B}_{\mathrm{s,q}},\mathbf{B}_{\mathrm{p,q}}$	Matrices containing the stoichiometric coefficients for substrates in the
á	qth morphological form Shear rate (s ⁻¹)
Ϋ́	Stoichiometric coefficient for intracellular component <i>i</i> in intracellular
γ_{ji}	reaction j
γ_{ji}^*	Stoichiometric coefficient for reduction equivalents of H ₂ in
I ji	the jth reaction
$\gamma_{s,ji}$	Stoichiometric coefficient for intracellular component <i>i</i> in the uptake of
7 3,71	the <i>j</i> th substrate
$\gamma_{\mathrm{p},ji}$	Stoichiometric coefficient for intracellular component <i>i</i> in excretion of
. 1.7.	the jth metabolic product
Γ , Γ _s , Γ _p	Matrices containing the stoichiometric coefficients for intracellular
	biomass components
$\Gamma_{\rm q}$, $\Gamma_{\rm s,q}$, $\Gamma_{\rm p,q}$	Matrices containing the stoichiometric coefficients for intracellular
iel	biomass components in the qth morphological form
δ	Vector of measurement errors in Eq. (3.47)
δ_{kq}	Stoichiometric coefficient for morphological form q in the
	kth metamorphosis reaction
Δ	Matrix for stoichiometric coefficients for morphological forms
Δ^+	Matrix of positive elements in Δ
Δ_	Matrix of negative elements in Δ
ε	Gas holdup (m ³ of gas per m ³ of gas-liquid dispersion)
ε	Porosity of a pellet Elasticity coefficients
$oldsymbol{arepsilon}_{ji}$ $oldsymbol{arepsilon}$	Vector of residuals in Eq. (3.50)
E E	Matrix containing the elasticity coefficients
η	Viscosity (kg m ⁻¹ s ⁻¹)
$\eta_{ ext{eff}}$	Efficiency factor
$\eta_{ m th}$	Thermodynamic efficiency
$\eta_{ m tr}$	Overall transcription efficiency of a gene
θ	Dimensionless time
κ_i	Generalized degree of reduction of the <i>i</i> th compound
K*	Generalized degree of reduction of the <i>i</i> th compound with N_2 as
at.	the nitrogen source
K	Vector containing the generalized degree of reduction of all compounds
$\mathbf{K}_{\mathbf{p}}$	Vector containing the generalized degree of reduction of metabolic
7	products
\mathbf{K}_{s}	Vector containing the generalized degree of reduction of substrates

List of Symbols xxiii

K_x Vector containing the generalized degree of reduction of

biomass components

Λ Vector of multiplication factors in Eq. (2.31)

 μ The specific growth rate of biomass (kg dry weight per

kg dry weight per hr)

 $\mu(X)$ The specific growth rate of cells with composition X (kg dry weight per

kg dry weight per hr)

 μ_{max} The maximum specific growth rate (kg dry weight per

kg dry weight per hr)

 μ_q The specific growth rate for the qth morphological form (kg dry

weight per kg dry weight per hr)

 ρ_{cell} Cell density (kg of wet biomass per m³ of cell)

 $ρ_1$ Liquid density (kg m⁻³) $ρ_g$ Gas density (kg m⁻³) σ Surface tension (N m⁻¹)

 σ^2 Variance

τ Space time in a bioreactor (hr)

 $\tau_{\rm s}$ Shear stress (N m⁻²)

 τ_1 Tortuous factor, used in Eq. (7.65)

 ϕ Branching frequency (tips formed per g dry weight per hr) $\phi(y)$ Normalized distribution function of number of cells

Φ Thiele modulus, given by Eq. (7.60)

 Φ_{gen} Generalized Thiele modulus, given by Eq. (7.65)

 $\psi(X)$ Normalized distribution function of morphological forms

Abbreviations

ADP Adenosine diphosphate
AMP Adenosine monophosphate
Arc Anabolic reductive charge
ATP Adenosine triphosphate
BST Biochemical systems theory

CoA Coenzyme A

Crc Catabolic reductive charge DNA Deoxyribonucleic acid

Ec Energy charge

EMP Embden-Meyerhof-Parnas

FAD Flavin adenine dinucleotide (oxidized form) FADH₂ Flavin adenine dinucleotide (reduced form)

F6P Fructose-6-phosphate

Glc Glucose

GTP Guanosine triphosphate
G6P Glucose-6-phosphate
MCA Metabolic control analysis

NAD⁺ Nicotinamide adenine dinucleotide (oxidized form) NADH Nicotinamide adenine dinucleotide (reduced form)

NADP⁺ Nicotinamide adenine dinucleotide phosphate (oxidized form) NADPH Nicotinamide adenine dinucleotide phosphate (reduced form)

PEP Phosphoenol pyruvate

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Pentose phosphate PP

Protein synthesizing system **PSS** Phosphotransferase system PTS

Pyruvate PYR

Number of molecules of ATP formed per atom of oxygen used in the P/O ratio

oxidative phosphorylation

Ribonucleic acid RNA Messenger RNA mRNA Ribosomal RNA rRNA Transfer RNA tRNA Respiratory quotient RQ Ribose-5-phosphate R5P

Single cell protein SCP Tricarboxylic acid **TCA**

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