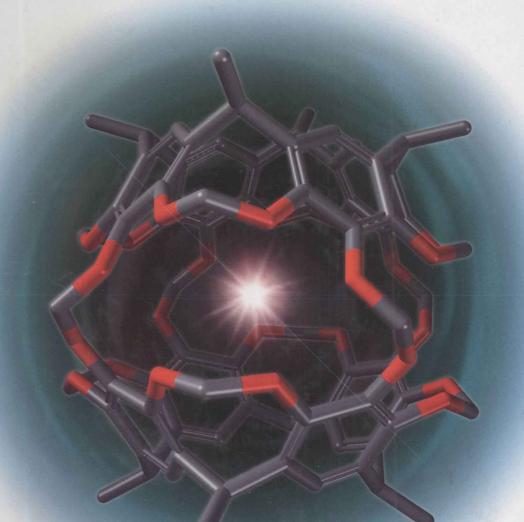
Calixarenes and Resorcinarenes

Synthesis, Properties and Applications



Wanda Sliwa and Cezary Kozlowski

Calixarenes and Resorcinarenes

Synthesis, Properties and Applications



WILEY-VCH Verlag GmbH & Co. KGaA

The Authors

Prof. Wanda Sliwa

Jan Dlugosz University Inst. of Chemistry Armii Krajowej 13 42-201 Czestochowa Polen

Dr. Cezary Kozlowski

Jan Dlugosz Universtiry Inst. of Chemistry Armii Krajowej 13 42-201 Czestochowa Polen All books published by Wiley-VCH are carefully produced. Nevertheless, authors, editors, and publisher do not warrant the information contained in these books, including this book, to be free of errors. Readers are advised to keep in mind that statements, data, illustrations, procedural details or other items may inadvertently be inaccurate.

Library of Congress Card No.:

applied for

British Library Cataloguing-in-Publication Data A catalogue record for this book is available from the British Library.

Bibliographic information published by the Deutsche Nationalbibliothek

The Deutsche Nationalbibliothek lists this publication in the Deutsche Nationalbibliografie; detailed bibliographic data are available on the Internet at http://dnb.d-nb.de.

© 2009 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim

All rights reserved (including those of translation into other languages). No part of this book may be reproduced in any form – by photoprinting, microfilm, or any other means – nor transmitted or translated into a machine language without written permission from the publishers. Registered names, trademarks, etc. used in this book, even when not specifically marked as such, are not to be considered unprotected by law.

Cover Design Grafik Design Schulz, Fußgönheim

Typesetting Laserwords Private Limited, Chennai, India Printing Strauss GmbH, Mörlenbach Binding Litges & Dopf Buchbinderei GmbH, Heppenheim

Printed in the Federal Republic of Germany Printed on acid-free paper

ISBN: 978-3-527-32263-3

Wanda Sliwa and Cezary Kozlowski

Calixarenes and Resorcinarenes

Further Reading

Diederich, F., Stang, P. J., Tykwinski, R. R. (eds.)

Modern Supramolecular Chemistry

Strategies for Macrocycle Synthesis

2008

Hardcover

ISBN: 978-3-527-31826-1

Schalley, C. A. (ed.)

Analytical Methods in Supramolecular Chemistry

2007

Hardcover

ISBN: 978-3-527-31505-5

Vögtle, F., Richardt, G., Werner, N.

Dendrimer Chemistry

Concepts, Syntheses, Properties, Applications

2009

Softcover

ISBN: 978-3-527-32066-0

Dodziuk, H. (ed.)

Cyclodextrins and Their Complexes

Chemistry, Analytical Methods, Applications

2006

Hardcover

ISBN: 978-3-527-31280-1

Table of Contents

Introduction 1

1

Part I	General Characteristics of Calixarenes 5					
1	Reactivity of Calixarenes 7					
1.1	Functionalization of the Narrow Rim 7					
1.2	Functionalization of the Wide Rim 12					
1.3	Functionalization of Both Rims 27					
1.4	Bridging of Calixarenes 32					
1.5	Other Reactions of Calixarenes 40					
2	Physicochemical Properties of Calixarenes 43					
2.1	Selected Physicochemical Properties of Calixarenes 43					
2.2	Crystallographic Studies of Calixarenes 48					
2.3	Calculations of Calixarene Structures 55					
3	Chiral Calixarenes 57					
4	Calixarene Assemblies 65					
5	The Role of Calixarenes in Biological Processes 69					
5.1	Antibacterial and Antiviral Calixarenes 69					
5.2	Transmembrane Systems of Biologically Active Calixarenes 70					
5.3	Calixarenes Binding Proteins, Nucleotides, and Nucleic Acids 7.					
5.4	Calixarenes of Other Biological Activities 78					
6	Applications of Calixarenes 81					
6.1	Calixarene Sensors 81					
6.2	Calixarene Catalysts 84					
6.3	Nanoparticles Coated with Calixarenes 86					

1	Table of Contents
---	-------------------

6.4	Other Applications of Calixarenes 90 References 93						
Part II	Inclusion Complexes of Calixarenes and Calixcrowns 101						
7	Inclusion Complexes of Calixarenes with Neutral and Charged Species 103						
7.1	Inclusion Complexes of Calixarenes with Neutral Molecules 103						
7.1.1	Inclusion Complexes of Calix[4]arene 103						
7.1.2	Inclusion Complexes of Higher Calixarenes 110						
7.2	Inclusion Complexes of Calixarenes with Anions 114						
7.2.1	Calixarene Chromogenic Sensors for Anions 114						
7.2.2	Calixarene Fluorescent Sensors for Anions 116						
7.2.3	Ion Selective Electrodes for Anions 122						
7.3	Inclusion Complexes of Calixarenes with Ion Pairs 124						
7.4	Inclusion Complexes of Calixarenes with Cations 126						
7.5	Inclusion Complexes of Calixarenes with Fullerenes 128						
7.6	Inclusion Complexes of Calixarenes with Gases 132						
8	Inclusion Complexes of Calixarenes with Metal Ions 135						
8.1	Inclusion Complexes of Calixarenes with Alkali and Transition Meta Ions 135						
8.2	Inclusion Complexes of Calixarenes with Lanthanides and Actinides 141						
8.3	Use of Calixarenes in the Solvent Extraction of Metal Ions 148						
8.4	Calixarenes as Sensors for Metal Ions 154						
8.5	Calixarene Metal Complexes as Catalysts 157						
9	Inclusion Complexes of Calixcrowns with Metal Ions 165						
9.1	Inclusion Complexes of Calixcrowns with Alkali Metal Ions 165						
9.2	Use of Calixcrowns in the Solvent Extraction of Metals 167						
9.3	Calixcrowns as Sensors for Metal Ions 171 References 175						
Part III	Supramolecular Calixarene Structures and Related Systems 181						
10	Cavitands 183						
10.1	Simple Cavitands 183						
10.2	Deep Cavitands 189						
11	Capsules 201						
11.1	Calixarene-Based Capsules 201						
11.1.1	Homodimeric Capsules 201						

11.1.2	Heterodimeric Capsules 204					
11.2	Cavitand-Based Capsules 215					
11.2.1	Dimeric Capsules Formed from Simple Cavitands 215					
11.2.2	Dimeric Capsules Formed from Deep Cavitands 224					
11.2.3	Hexameric Capsules 231					
12	Calixarene Nanotubes and Helices 237					
12.1	Calixarene Nanotubes 237					
12.2	Calixarene Helices 243					
13	Calixarene Supramolecular Assemblies 247					
13.1	Calixarene Assemblies with TTF Units 247					
13.2	Calixarene Assemblies with Polymers 248					
13.3	Calixarene Molecular Machines 250					
13.4 Calixarene Molecular Actuators 252						
	References 253					
Part IV	Resorcinarenes 257					
14	Resorcinarenes 259					
14.1	Synthesis of Resorcinarenes 259					
14.2	Reactivity of Resorcinarenes 264					
14.3	Physicochemical Properties of Resorcinarenes 270					
14.4	Cavitands 271					
14.5	Capsules 277					
14.5.1	Dimeric Capsules 277					
14.5.2	Hexameric Capsules 285					
14.6	Inclusion Complexes of Resorcinarenes 287					
14.7	The Role of Resorcinarenes in Biological Processes 288					
14.8	Applications of Resorcinarenes 294					
	References 295					
	Conclusions 299					
	Abbreviations 301					

Index 305

1

Introduction

Calix[n]arenes (usually n = 4,5,6,8) are metacyclophanes having a hydrophobic cavity of lower (narrow) and upper (wide) rims, formed by phenol units bridged with methylene links; they are easily accessible via a base-catalyzed, single-step condensation of phenols with formaldehyde. Calixarenes possess soft π -donor cavity consisting of benzene rings and hard oxygen cavity formed by hydroxyl groups. The hydrophilic narrow rim and hydrophobic wide rim encompassing a cavity may be functionalized. The dimensions of cavity depend on the conformation of calixarenes and the appended functional groups. Different conformers of calixarenes have different capabilities for molecular recognition.

Calix[4]arene can adopt four conformations: cone, partial cone (paco), 1,2-alternate (1,2-alt), and 1,3-alternate (1,3-alt). When small substituents (e.g. methyl or ethyl groups) are present at the wide rim, the phenyl units flip through the annulus. Conformational mobility of calix[4]arenes may be constrained by introduction of bridges at narrow or at wide rim, or by attachment of large groups.

Contrary to a big number of functionalized calix[4]arenes, the substitution of higher calixarenes had received far less attention, mostly owing to the high flexibility of their framework. In calix[6]arenes the *through-the-annulus* conformational interconversion occurs owing to their larger dimensions as compared to calix[4]arenes. Two conformations of calix[6]arenes exist: the pinched cone and the 1,2,3-alternate. The attachment of large groups to calix[6]arenes in proximal or distal positions can restrict their flexibility.

The relatively flat molecule of t-butylcalix[8]arene adopts the pleated loop conformation in solid state and in solution. The strong circular hydrogen bond between hydroxyl groups occurs and the $ArCH_2Ar$ units are situated above and below the mean plane, in alternate positions.

Calixarenes may be functionalized by the introduction of various groups at the narrow and the wide rim. The narrow rim functionalization can be achieved by etherification or esterification of the phenolic hydroxyl groups. The wide rim functionalized calixarenes can be obtained either directly by electrophilic substitution reactions or in two-step procedures from suitable precursors; halogenated calix[4]arenes are very useful for this purpose. The regioselective functionalization of calix[8]arenes is difficult owing to the equivalence of the reactive sites, and

Calixarenes and Resorcinarenes: Synthesis, Properties and Applications. W. Sliwa and C. Kozlowski Copyright © 2009 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim ISBN: 978-3-527-32263-3

therefore the chemistry of calix[8]arene is not so developed as that of its lower analogs.

Calixarenes are the topic of a great number of works, including books [1, 2], reviews dealing with calixarenes [3-9], resorcinarenes [10-12] and capsules [13-16], as well as original articles on calixarenes [17-30], and resorcinarenes [31-34].

Calixcrowns are a special class of compounds related to calixarenes; they contain crown ether moieties that are able to coordinate metal ions. This property is of great importance in their application in the separation of metal ions [35, 36], and is promising with respect to environment protection.

Resorcinarenes are compounds related to calixarenes. They possess two hydroxyl groups on benzene units. Synthesis of resorcinarenes involves condensation of resorcinol, instead of phenol as in the case of calixarenes, with aldehydes. Resorcinarenes form cavitands as well as dimeric and hexameric capsules.

Calixarenes belong to receptors used in supramolecular chemistry; they are building blocks of supramolecular assemblies. Besides calixarenes, examples of other supramolecular receptors are crown ethers [37, 38], cyclodextrins [39, 40], and cucurbiturils [41, 42]; these compounds mimic natural recognition processes, creating new supramolecular structures via self-assembly.

It should be mentioned that calixpyrroles [43-45] are special classes of calixarenes belonging to heterocalixarenes, that is, calixarenes in which phenol units are replaced by heterocyclic moieties as well as calixquinones [46] bearing quinone moieties instead of phenol units; moreover the formal replacement of methylene links in calixarenes by sulfur, oxygen, or nitrogen atoms leads to thiacalizarenes [47-49], oxa (homooxa)calizarenes [50-52], and aza (or homoaza)calixarenes [53-55], respectively. The above compounds are not dealt with in this work.

The presented text is a recent development of our former papers concerning calizarenes – their complexes with transition-metal ions [56, 57], cavitands [58], as well as dimeric [59] and hexameric [60] capsules.

General References

- 1 Vicens J. and Harrowfield J. (eds) (2007) Calixarenes in the Nanoworld, Springer Verlag, Dordrecht.
- 2 Gutsche, C.D. (2008) Calixarenes: An Introduction, Monographs in Supramolecular Chemistry, Royal Society of Chemistry, Cambridge.
- 3 Valeur, B. and Leray, I. (2007) Inorganica Chimica Acta, 360, 765.
- 4 Mohammed-Ziegler, F.B. (2007). Journal of Inclusion Phenomena and Macrocyclic Chemistry, 58, 19.
- 5 Dalgarno, S.J., Thallapally, P.K., Barbour, L.J. and Atwood, J.L. (2007) Chemical Society Reviews, 36, 236.

- 6 Jose, P. and Menon, S. (2007) Bioinorganic Chemistry and Applications, 65815.
- 7 Baldini, L., Casnati, A., Sansone, F. and Ungaro, R. (2007) Chemical Society Reviews, 36, 254.
- 8 Bogdan, A., Rudzevich, Y., Vysotsky, M.O. and Böhmer, V. (2006) Chemical Communications, 2941.
- 9 Ripmeester, J.A., Enright, G.D., Ratcliffe, C.I., Udachin, K.A. and Moudrakovski, I.L. (2006) Chemical Communications, 4986.
- 10 Puddephatt, R.J. (2006) Canadian Journal of Chemistry, 84, 1505.

- 11 Pirondini, L. and Dalcanale, E. (2007) Chemical Society Reviews, 36, 695.
- 12 Biros, S.M. and Rebek, J. Jr. (2007) Chemical Society Reviews, 36, 93.
- 13 Rebek, J. Jr. (2007) Chemical Communications, 2777.
- 14 Oshovsky, G.V., Reinhoudt, D.N. and Verboom, W. (2007) Angewandte Chemie International Edition, 46, 2366.
- 15 Dalgarno, S.J., Atwood, J.L. and Raston, C.L. (2006) Chemical Communications, 4567.
- 16 Schramm, M.P. and Rebek, J. Jr. (2006) Chemistry - A European Journal. 12, 5924.
- 17 Thallapally, P.K., McGrail, B.P., Atwood, J.L., Gaeta, C., Tedesco, C. and Neri, P. (2007) Chemistry of Materials, 19, 3355.
- 18 Kerckhoffs, J.M.C.A., Mateos-Timoneda, M.A., Reinhoudt, D.N. and Crego-Calama, M. (2007) Chemistry -A European Journal, 13, 2377.
- 19 Sakly, H., Mlika, R., Bonnamour, I., Aouni, F., Ben Ouada, H. and Jaffrezie Renault, N. (2007) Electrochimica Acta, 52, 3697.
- 20 Yakovenko, A.V., Boyko, V.I., Kalchenko, V.I., Baldini, L., Casnati, A., Sansone, F. and Ungaro, R. (2007) Journal of Organic Chemistry, 72, 3223.
- 21 Liang, Z., Liu, Z. and Gao, Y. (2007) Spectrochimica Acta, 68A, 1231.
- 22 Coquière, D., Marrot, J. and Reinaud, O. (2007) Organic Letters, 9, 3271.
- 23 Křiž, J., Dybal, J., Makrlik, E. and Vaňura, P. (2007) Polish Journal of Chemistry, 81, 1321.
- 24 Gao, S., Yuan, D., Lü, J., Li, T. and Cao, R. (2007) Chemical Communications, 1813.
- 25 Zhou, J.-L., Chen, X.-J. and Zheng, Y.-S. (2007) Chemical Communications, 5200.
- 26 Kaliappan, R., Kaanumalle, L.S., Natarajan, A. and Ramamurthy, V. (2006) Photochemical and Photobiological Sciences, 5, 925.
- 27 Brodsky, B.H. and Du Bois, J. (2006) Chemical Communications, 4715.
- **28** Maharaj, F., Craig, D.C., Scudder, M.L., Bishop, R. and Kumar, N.

- (2006) Journal of Inclusion Phenomena and Macrocyclic Chemistry, 55, 315.
- 29 Mastalerz, M., Dyker, G., Flörke, U., Henkel, G., Oppel, I.M. and Merz, K. (2006) European Journal of Organic Chemistry, 4951.
- 30 Guerrini, L., Garcia-Ramos, J.V., Domingo, C. and Sanchez-Cortes, S. (2006) Langmuir, 22, 10924.
- 31 Szumna, A. (2007) Organic and Biomolecular Chemistry, 5, 1358 and 2159.
- 32 Hooley, R.J., Iwasawa, T. and Rebek, J. (2007) Journal of the American Chemical Society, 129, 15330.
- 33 Becker, R., Reck, G., Radeglia, R., Springer, A. and Schulz, B. (2006) Journal of Molecular Structure, 784, 157.
- 34 Brown, P.O., Enright, G.D. and Ripmeester, J.A. (2006) Crystal Engineering Communications, 8, 381.
- 35 Tu, C., Surowiec, K., Gega, J., Purkiss, D.W. and Bartsch, R.A. (2008) *Tetrahedron*, **64**, 1187.
- 36 Chang, K.-C., Su, I.-H., Senthilvelan, A. and Chung, W.-S. (2007) Organic Letters, 9, 3363.
- 37 Jozwiak, M. (2008) Journal of Molecular Liquids, 14, 69.
- 38 Kurahashi, K., Umetani, S. and Sohrin, Y. (2008) Solvent Extraction Research and Development, Japan, 15, 37.
- 39 Kozlowski, C.A., Walkowiak, W. and Girek, T. (2008) Journal of Membrane Science, 310, 312.
- 40 Kozlowski, C.A., Girek, T., Walkowiak, W. and Koziol, J.J. (2005) Separation and Purification Technology, 46, 136.
- 41 Ko, Y.H., Kim, E., Hwang, I. and Kim, K. (2007) Chemical Communications, 1305.
- 42 Liu, S., Kim, K. and Isaacs, L. (2007) Journal of Organic Chemistry, 72, 6840.
- 43 Danil de Namor, A.F. and Abbas, I. (2007) *Journal of Physical Chemistry, B*, 111, 5803.
- 44 Valik, M., Král, V., Herdtweck, E. and Schmidtchen, F.P. (2007) New Journal of Chemistry, 31, 703.
- **45** Palacios, M.A., Nishiyabu, R., Marquez, M. and Anzenbacher,

- P. Jr. (2007) Journal of the American Chemical Society, 129, 7538.
- **46** Lankshear, M.D., Cowley, A.R. and Beer, P.D. (2006) *Chemical Communications*, 612.
- 47 Katagiri, H., Hattori, T., Morohashi, N., Iki, N. and Miyano, S. (2007) Journal of Organic Chemistry, 72, 8327.
- 48 Horiuchi, T., Iki, N., Hoshino, H., Kabuto, C. and Miyano, S. (2007) Tetrahedron Letters, 48, 821.
- 49 Fontàs, C., Anticó, E., Vocanson, F., Lamartine, R. and Seta, P. (2007) Separation and Purifi-

cation Technology, 54, 322.

- 50 Hong, J., Song, J. and Ham, S. (2007) Tetrahedron Letters, 48, 1327.
- 51 Yamato, T., Rahman, S., Xi, Z., Kitajima, F. and Gil, J.T. (2006) Canadian Journal of Chemistry, 84, 59
- 52 Marcos, P.M., Mellah, B., Ascenso, J.R., Michel, S., Hubscher-Bruder,

- V. and Arnaud-Neu, F. (2006) New Journal of Chemistry, 30, 1655.
- 53 Gong, H.-Y., Zheng, Q.-Y., Zhang, X.-H., Wang, D.-X. and Wang, M.-X. (2006) Organic Letters, 8, 4895.
- 54 Kaewtong, C., Fuangswasdi, S., Muangsin, N., Chaichit, N., Vicens, J. and Pulpoka, B. (2006) Organic Letters, 8, 1561.
- 55 Tsue, H., Ishibashi, K., Takahashi, H. and Tamura, R. (2005) Organic Letters, 7, 2165.
- 56 Sliwa, W. and Deska, M. (2008) Archive for Organic Chemistry, 1, 81.
- 57 Sliwa, W. (2005) Journal of Inclusion Phenomena and Macrocyclic Chemistry, 52, 13.
- 58 Sliwa, W. and Peszke, J. (2007) Mini-Reviews in Organic Chemistry, 4, 125.
- 59 Sliwa, W. (2006) Archive for Organic Chemistry, 5, 137.
- 60 Sliwa, W. and Dondela, B. (2007) Archive for Organic Chemistry, 2, 201.

Part I General Characteristics of Calixarenes

1

Reactivity of Calixarenes

Numerous reactions of calixarenes have been reported [1-14]; some examples of these are described here. To improve the properties of calixarenes, functionalization of the narrow [15-17] as well as of the wide rim is carried out [18-20]. In the first part, functionalization of the narrow rim of calixarenes is described, followed by functionalization of the wide rim. Then some examples of calixarene bridging are presented.

1.1 Functionalization of the Narrow Rim

A series of calixarenes bearing azo chromophores on the narrow rim were synthesized using two routes. The first one was a direct reaction of calixarenes 1a-c with 4-chloroacetoaminoazobenzene 2 in the presence of K_2CO_3 and KI. In the second, indirect approach calixarenes reacted with ethyl chloroacetate affording esters 3 hydrolyzed to give acids 4, which were treated with thionyl chloride, followed by p-aminoazobenzenes ArNH $_2$. Both procedures led to the formation of calixarenes 5 containing azo chromophores [21].

NHAr
$$\frac{1}{2}$$
 NHAr $\frac{1}{2}$ NHAr $\frac{1}{2}$ NHAr $\frac{1}{2}$ NaOH/

 $\frac{1}{2}$ NaOH/

 $\frac{1}{2}$ NaOH/

 $\frac{1}{2}$ NaOH/

 $\frac{1}{2}$ ArNH₂
 $\frac{1}{2}$ ArNH₂

Calixarenes and Resorcinarenes: Synthesis, Properties and Applications. W. Sliwa and C. Kozlowski Copyright © 2009 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim ISBN: 978-3-527-32263-3

In order to introduce the ferrocenyl unit into calixarenes, the reaction of **1a-c** with ethyl chloroacetate affording esters **3** was performed. These compounds reacted with hydrazine to give hydrazides **6**, which upon treatment with ferrocenecarboxaldehyde yielded derivatives **7** [22].

The reaction of calix[6]arene **8a** with (+)-camphor-10-sulfonyl chloride RCl **9** affords either the mono-*O*-sulfonylated product **8b** or the hexa-*O*-sulfonylated product **8c**, depending on the stoichiometry [23]. This rather unexpected result, i.e. the absence of di- to pentasubstituted products, is due to the structure of **8b** in which the camphor-sulfonyl unit covers the narrow rim of calixarene, thus forming a steric hindrance for substitution of the second hydroxyl group.

However, if the second hydroxyl group is sulfonylated, the two substituents repulse one another; therefore, other hydroxyl groups are exposed to reaction with excess sulfonyl chloride to give **8c**.

It was established that 1,3,5-trimethoxy-t-butylcalix[6]arene **10** reacts with phenylpyridines **11** and **12** and with fluorenylpyridine **13** to give calix[6]arenes **14**, **15**, and **16** respectively, functionalized at alternate rings on the narrow rim [24]. The 1 H NMR measurements show that **14–16** exist predominantly in the $C_{3\nu}$ cone conformation and have unusually deep cavities.