

**Nurse's  
Clinical  
Guide**

# NEONATAL CARE

**SECOND EDITION**



**Penner, RN, C, DNS, FAAN**

**SPRINGHOUSE**





**Nurse's Clinical Guide**

# **NEONATAL CARE**

**Second Edition**

**Carole Kenner, RN,C, DNSc, FAAN**  
Professor and Department Head  
College of Nursing and Health  
University of Cincinnati  
Past President  
National Association of Neonatal Nurses

Springhouse Corporation  
Springhouse, Pennsylvania

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CCC, 222 Rosewood Dr., Danvers, MA 01923. For those organizations that have been granted a license by CCC, a separate system of payment has been arranged. The fee code for users of the Transactional Reporting Service is 0874348846/98 \$00.00 + .75.  
Printed in the United States of America.

NCGNC2-010298

 A member of the Reed Elsevier plc group

### **Library of Congress Cataloging-in-Publication Data**

Kenner, Carole.

Nurse's clinical guide: neonatal care /  
Carole Kenner.—2nd ed.

p. cm.

Rev. ed. of: Nurse's clinical guide:  
neonatal care, © 1992. Includes index.

1. Infants (Newborn)—Diseases—  
Nursing—Handbooks, manuals, etc.

I. Title: Neonatal care.

[DNLM: 1. Neonatal nursing—hand-  
books. 2. Infant, Newborn—nurse's instruc-  
tion. WY 49 N72 1998]

RJ253.K46 1998

610.73'62—DC21

DNLM/DLC

97-42704

ISBN 0-87434-884-6 (alk. paper)

CIP

**Nurse's Clinical Guide**

# **NEONATAL CARE**

**Second Edition**



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## CONTRIBUTORS

**Ann E. Brueggemeyer, RN, MBA, MSN**  
Former Manager, Education Services  
Middletown (Ohio) Regional Hospital

**Darlene Nebel Cantu, RN,C, MSN**  
Nurse Educator  
School of Professional Nursing  
Baptist Memorial Hospital System  
San Antonio, Tex.

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**Laura Rodriguez Vaello, RN,C, MSN, NNP**  
Neonatal Nurse Practitioner-Clinical Nurse Specialist  
Baptist Medical Center  
San Antonio, Tex.

neonatal care has changed dramatically in the past decade. The advent of single-room labor, delivery, recovery, and postpartal (DRP) care and combined mother-neonate units has necessitated specialized training for the nurse. To cope with these conditions, a nurse must think critically, assess accurately, and intervene efficiently.

A giant shadow behind all these events is early discharge of the neonate and mother. Stays of 24 to 48 hours for term infants are not unusual, sick or preterm infants are discharged earlier than ever before, and some neonates require technological support at home. For these reasons, more and more nurses are relying on quick-reference materials to supplement current nursing knowledge.

*Nurse's Clinical Guide to Neonatal Care*, Second Edition, includes more material on home care and assessment tips to assist the nurse in readying the neonate and family for discharge and home follow-up care. Providing concise information in an easy-to-use format, the book is small enough to be carried in a pocket or stored in a nearby locker.

Chapter 1 surveys the biological and behavioral changes that the neonate experiences during the critical 24 hours after birth, emphasizing the importance of successful adaptation to the extrauterine environment. It begins by discussing the biological characteristics of adaptation, detailing the physiologic adjustments occurring in all body systems. It highlights such important changes as the conversion from fetal to neonatal circulation and onset of independent breathing. It details thermoregulation in the neonate and illustrates neonatal circulation and lung fluid removal within moments of birth. Chapter 1 also discusses the behavioral aspects of neonatal adaptation and investigates the neonate's interaction with the environment through sensory and behavioral capacities, elaborating on visual and hearing abilities, tactile perception, taste, and smell. The chapter concludes with a description of the periods of neonatal reactivity—series of distinctive behavioral and physiologic characteristics—and a discussion of neonatal sleep and awake states.

Chapter 2 describes assessment techniques that yield important baseline information about the neonate's physiologic status and adaptation to the extrauterine environment. First, it presents general assessment guidelines, delineating the proper timing and sequence of



various types of assessment. It points out key health history factors to consider and explains how periods of neonatal reactivity may affect physical findings. Next, chapter 2 explains how to conduct a brief physical assessment to gather data about the neonate's general appearance, obtain vital signs, and take anthropometric measurements. Then it explores gestational-age assessment. After explaining how a neonate's gestational age helps predict perinatal problems, this chapter identifies the physical and neurologic features that help reveal gestational age. It shows how to correlate gestational age with birth weight, body length, and head circumference. The chapter then presents the essentials of a complete physical assessment. It highlights assessment of neonatal reflexes and presents a comprehensive chart detailing normal and abnormal head-to-toe assessment findings and listing possible causes of abnormal findings. The chapter concludes by discussing behavioral assessment as a means of exploring the neonate's behavioral state and responses.

Chapter 3 outlines the nurse's role in ensuring successful neonatal adaptation, helping the family adjust to the neonate, and promoting optimal parent-infant interaction. Using a nursing process framework, it presents pertinent assessment information and nursing diagnoses for the normal neonate. The chapter then discusses nursing interventions that maintain a stable physiologic status and foster parent-infant bonding. It focuses on measures that ensure neonatal oxygenation, hydration, nutrition, hygiene, safety, and thermoregulation, highlighting interventions that combat cold stress. The chapter presents step-by-step procedures illustrating how to provide routine neonatal care, including bathing, nasal and oral suctioning, administering medications, obtaining a urine specimen, and caring for circumcision and umbilical cord sites.

Chapter 4 assists the nurse in promoting optimal infant nutrition. After delineating nutrient and fluid requirements for neonates and infants, it discusses the circumstances that impose special nutritional needs and limitations on neonates and infants, and then reviews nutritional assessment. Next, chapter 4 compares and contrasts breast-feeding and formula-feeding, and then it explores the factors that influence the parents' choice of infant feeding method. It begins by describing the health benefits of breast-feeding, the



views the physiology of lactation and the composition of breast milk and examines infant sucking dynamics during breast-feeding. It illustrates the lactating breast and offers step-by-step procedures showing how to assess a neonate's sucking reflex and how to train a neonate to suck properly. Then the chapter provides basic information about formula feeding, describing commercial formulas and equipment, listing formula intake requirements, and detailing nutritional options for the non-breast-feeding infant.

Chapter 4 then presents nursing care for breast-feeding and formula-feeding patients and their infants. It explains how to assess a breast-feeding patient's knowledge of feeding techniques and describes how to assess the patient's breasts for consistency and nipple condition. For the patient using infant formula, the chapter prepares the nurse to assess patient knowledge of formula-feeding techniques and infant formula intake requirements. It follows with a discussion of nursing interventions, focusing on general infant-feeding guidelines and patient teaching. This section includes detailed patient-teaching aids on such topics as breast-feeding positions, initiating breast-feeding, and expressing milk. It touches on drug use during lactation and breast-feeding in special situations, and it offers a breastfeeding schedule for the working mother. Addressing the patient using infant formula, the chapter describes interventions that help ensure proper formula preparation and effective burping technique, and it explains how the nurse can promote physical contact between the patient and her infant during formula feeding.

Chapter 5 prepares the nurse to care for the high-risk neonate. First, it surveys important concepts in neonatal intensive care, describing the regionalization of perinatal care and comparing the three levels of perinatal care provided in perinatal care centers. It examines associated ethical and legal issues, including withholding support for the critically ill neonate. Then the chapter focuses on perinatal problems that lead to high-risk status, ranging from respiratory problems, metabolic disorders, and infection to congenital anomalies and effects of maternal substance abuse. It describes the pathophysiology and etiology of these problems, highlights causes and consequences of birth-weight and gestational-age variations, and discusses child abuse and failure to thrive in the high-risk neonate.



Next, chapter 5 presents nursing care for the high-risk neonate and explains how to assess for each perinatal problem described earlier. After listing pertinent nursing diagnoses for the high-risk neonate, the chapter addresses nursing interventions. Highlighting emergency measures, the chapter features illustrated procedures for neonatal resuscitation and suctioning of an endotracheal tube and also presents a chart showing indications and nursing considerations for resuscitation drugs. Then chapter 5 elaborates on general nursing interventions for high-risk neonates, including measures that support oxygenation, thermoregulation, and nutrition and explains how to prevent or control infection, provide preoperative or postoperative care, and carry out special procedures. The chapter concludes with a discussion of specific medical and nursing management of selected perinatal problems.

Chapter 6 guides the nurse in providing practical and psychosocial support for the family with a high-risk neonate. To establish a theoretical framework for understanding a family's reactions to the birth of a high-risk neonate, the chapter begins by discussing theories of grief and common coping mechanisms. The chapter then applies these concepts to nursing care. It explains how to assess parents' socioeconomic and cultural backgrounds, their experience with health care facilities, and grieving behavior and coping mechanisms. It describes how to evaluate the family's support system and examines cultural influences on the expression of grief. After offering pertinent nursing diagnoses, chapter 6 identifies nursing interventions that help the family deal with their crisis and attain the skills to care for the neonate after discharge. The chapter examines the family's teaching needs and explains how the nurse can bolster the family's internal and external support systems and enhance their bonding with the neonate. Chapter 6 features an examination of nursing measures to support the siblings and grandparents of a high-risk neonate, and it outlines interventions to help families cope with neonatal or fetal death.

Chapter 7 addresses discharge planning and home health care services—the tools that extend neonatal care to the home. After delineating the factors that have increased the demand for home health care over the past decade, the chapter discusses the nursing



le in discharge planning and reviews discharge planning systems and resources. It instructs the nurse in assessing the discharge planning needs of the neonate and family, including ways to determine whether the family's circumstances make home health care feasible, and presents a sample discharge planning questionnaire. Next, the chapter explains how to implement the discharge plan by preparing the parents for the neonate's discharge and helping them select and arrange payment for health care services.

Chapter 7 then explores home health care in depth, describing available services, equipment, and supplies and reviewing case management of home care. It identifies the types of home health care a neonate may need—routine and basic care for normal, healthy neonates and specialized care for others. Next, the chapter presents nursing care for the neonate receiving health care at home. It describes the essentials of assessment during the first home visit and subsequent visits. It focuses on how to evaluate parental knowledge, caregiving skills, and support needs; how to assess whether health care can be delivered safely and adequately in the home; and how to gauge parent-infant interaction. For planning and implementation, chapter 7 discusses the importance of nursing flexibility and innovation, then addresses such interventions as ensuring parental caregiving knowledge and skills, promoting parent-infant interaction, and helping siblings adjust. Other highlights of chapter 7 include discussions of nutritional assessment and home nutrition therapy and a chart showing how to assess the neonate requiring special equipment. The chapter includes parent-teaching aids on dealing with an infant who has acquired immunodeficiency syndrome and on the use of such equipment as a home apnea monitor and nasal cannula for oxygen administration.

Following chapter 7, the book provides additional information at a glance. Appendix 1 lists the current NANDA taxonomy of nursing diagnoses, grouped into nine human response patterns—exchanging, communicating, relating, valuing, choosing, moving, perceiving, knowing, and feeling. Appendix 2 converts customary eight units to metric units and vice versa. Appendix 3 shows Fahrenheit and Celsius conversions. Appendix 4 lists organizations that can provide support to families of special-needs neonates.

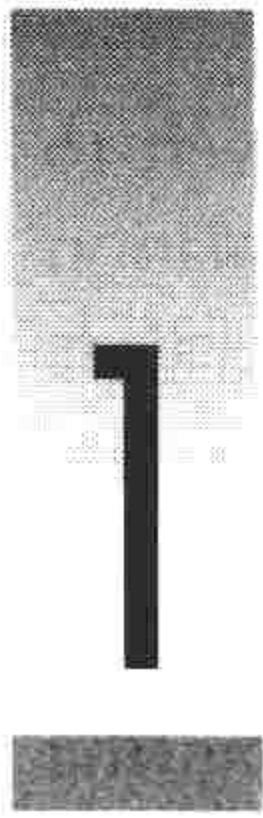
Appendix 5 is an extensive glossary of need-to-know terms. An extensive list of selected references provides avenues for further exploration of a topic, and a thoughtfully crafted index helps readers find the information they need in seconds.

*Nurse's Clinical Guide to Neonatal Care*, Second Edition, follows the nursing process and demonstrates through specific diagnoses how this process is applied to the neonate and family. It emphasizes family-centered care and considers the role that culture may play in a patient's needs or wishes. Further, it spotlights research findings that are applicable in clinical practice and groups useful information in the three domains of learning—cognitive, psychomotor, and affective. Finally, its patient-teaching pages support the nurse speaking directly to the patient and to the family. In short, this guide will be of major and practical use to any nurse who is preparing for or delivering level I or level II neonatal care.



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# NEONATAL ADAPTATION

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Immediately after delivery, the neonate must assume the life-support functions performed by the placenta in utero. Birth begins a critical 24-hour phase, called the *transitional period*, that encompasses the neonate's adaptation from intrauterine to extrauterine life.

To survive outside the womb, the neonate must successfully navigate the transitional period. Statistics reflect the difficulty of this task: Mortality is higher during this period than at any other time; 67% of all infant deaths (those occurring during the first year of life) happen during the neonatal period (first 28 days of life).

The transitional period imposes changes in all body systems and exposes the neonate to a wide range of external stimuli. Conditions that prevent successful adaptation to extrauterine life pose a serious threat. By becoming familiar with the normal events of transition, the nurse may recognize signs of poor adaptation and intervene promptly when they occur.

This chapter addresses the full-term infant's adaptation and transition and identifies occasional contrasts with the preterm infant.

## BIOLOGICAL CHARACTERISTICS OF ADAPTATION

Crucial physiologic adjustments take place in all body systems after birth. The cardiovascular and pulmonary systems undergo immediate drastic changes as soon as the umbilical cord is clamped and respiration begins. Although cardiovascular and pulmonary changes occur simultaneously, they are discussed separately to facilitate understanding.



## Cardiovascular system

To ensure the neonate's survival, fetal circulation must convert to neonatal circulation during the transitional period. Fetal circulation involves four unique anatomic features that shunt most blood away from the liver and lungs. The *placenta* serves as an exchange organ through which the fetus absorbs oxygen, nutrients, and other substances and excretes wastes (such as carbon dioxide). The *ductus venosus* links the inferior vena cava with the umbilical vein, permitting most placental blood to bypass the liver. The *foramen ovale* and *ductus arteriosus* direct most blood away from the pulmonary circuit. Although a small portion of pulmonary arterial blood enters the pulmonary circuit to perfuse the lungs, the ductus arteriosus shunts most to the aorta to supply oxygen and nutrients to the trunk and lower extremities.

### Conversion from fetal to neonatal circulation

Beginning at birth, fetal shunts undergo changes that establish neonatal circulation. (For an illustration of blood flow in the neonate, see *Tracing circulation*.) As the umbilical cord is clamped and the neonate draws the first breath, systemic vascular resistance increases and blood flow through the ductus arteriosus declines. Most of the right ventricular output flows through the lungs, boosting pulmonary venous return to the left atrium. In response to increased blood volume in the lungs and heart, left atrial pressure rises. Combined with increased systemic resistance, this pressure rise results in functional closure of the foramen ovale. (*Functional closure* refers to cessation of blood flow, resulting from pressure changes, that renders a structure non-functional.) Within several months, the foramen ovale undergoes *anatomic closure* (structural obliteration from constriction or tissue growth).

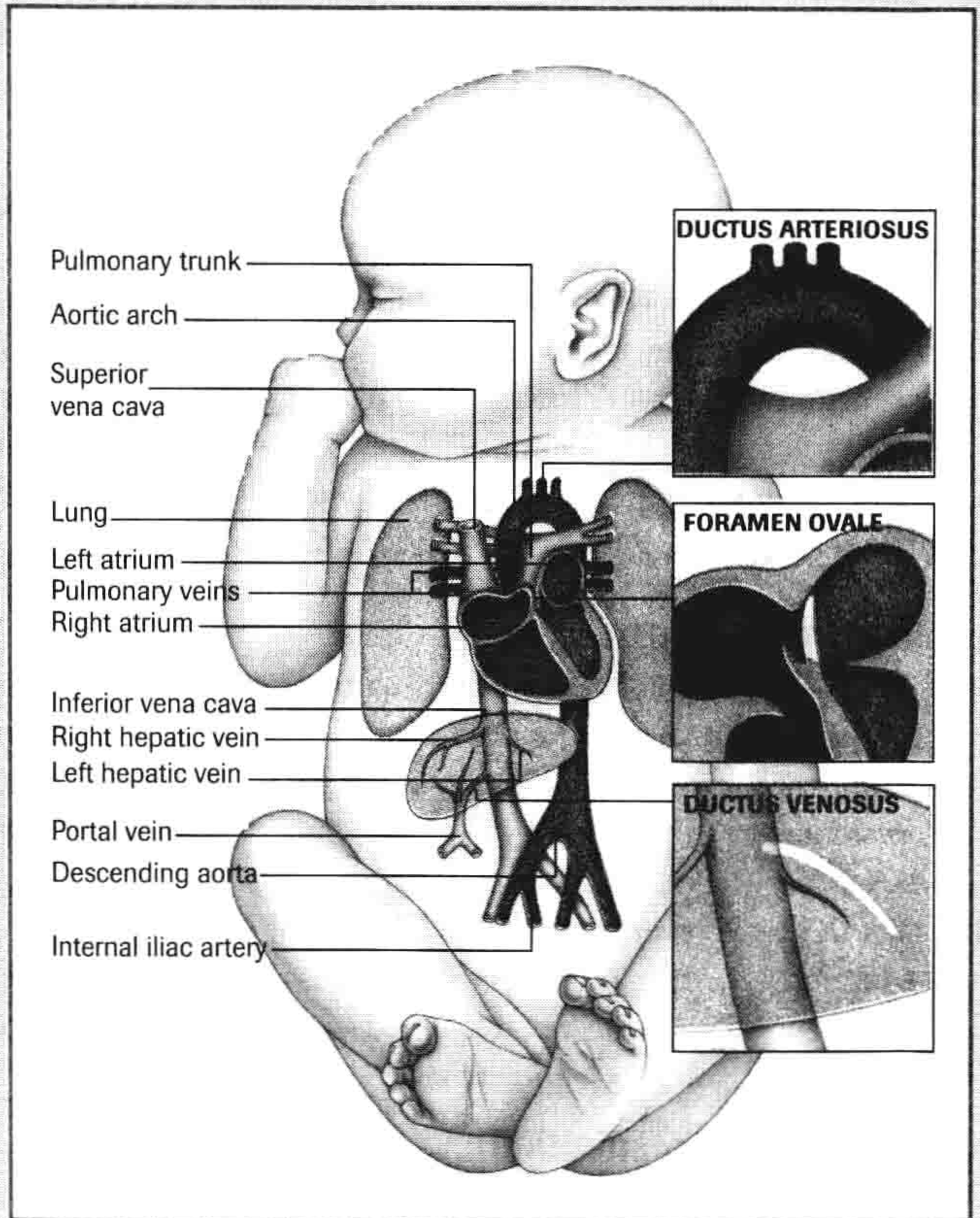
Onset of respiratory effort and the effects of increased partial pressure of arterial oxygen ( $\text{PaO}_2$ ) constrict the ductus arteriosus, which functionally closes 15 to 24 hours after birth. By ages 3 to 4 weeks, this shunt undergoes anatomic closure.

Clamping of the umbilical cord halts blood flow through the ductus venosus, functionally closing this structure. The ductus venosus closes anatomically by the 1st or 2nd week. After birth, the umbilical vein and arteries no longer transport blood and are obliterated.



## Tracing circulation

With birth comes functional closure of the fetal shunts (ductus venosus, foramen ovale, and ductus arteriosus) that direct blood flow away from the lungs and liver and separate the systemic and pulmonary circulations. As the shunts close, blood flows from the pulmonary arteries to the lungs and through the portal system to the liver. The large illustration shows circulatory system changes that begin to occur at birth. The boxed illustrations show the shunts as they previously existed.





Because anatomic closure lags behind functional closure, fetal shunts may open intermittently before closing completely. Intermittent shunt opening most commonly stems from conditions causing increased vena caval and right atrial pressure (such as crying); clinically insignificant functional murmurs may result. Also, because shunts allow unoxygenated blood to pass from the right to left side of the heart, bypassing the pulmonary circuit, they may cause transient cyanosis. Both cyanosis and murmurs in the neonate should be carefully monitored and evaluated so that any underlying abnormalities can be detected. (See Chapter 2, Neonatal assessment, for more information about assessing the neonate's cardiovascular system.)

### **Blood volume**

The blood volume of the full-term neonate ranges from 80 to 90 ml/kg of body weight in contrast to the preterm's volume which ranges from 90 to 105 ml/kg of body weight. This volume depends on the amount of blood transferred from the placenta after delivery. Delayed umbilical cord clamping increases blood volume by up to 100 ml (1 dl), possibly increasing heart rate, respiratory rate, and systolic blood pressure. Changes caused by increased blood volume may persist for about 48 hours, possibly leading to crackles and cyanosis.

### **Respiratory system**

Throughout gestation, biochemical and anatomic respiratory features develop progressively, preparing the fetus for the abrupt respiratory changes brought on by birth. Between weeks 24 and 30 of gestation, type II pneumocytes (alveolar cells) begin limited secretion of surfactant. A phospholipid, surfactant decreases the surface tension of pulmonary fluids and prevents alveolar collapse at the end of expiration. Reduction of surface tension facilitates gas exchange, decreases inflation pressures needed to open the airways, improves lung compliance, and decreases work of breathing.

### **Onset of neonatal respiration**

The fetal lungs contain fluid secreted by the lungs, amniotic cavity, and trachea. The fluid volume, which correlates with the neonate's functional residual capacity (FRC), typically reaches 30 to 25 ml/kg of body weight. For the neonate to assume the tasks of ventilation and oxygenation, air must rapidly replace lung fluid. In the healthy neonate, replacement occurs with the first few breaths.