THIRD EDITION

Developing and Administering a Child Care Center

Dorothy June Sciarra Anne G. Dorsey

Developing and Administering

A CHILD CARE CENTER

THIRD EDITION

Dorothy June Sciarra University of Cincinnati

Anne G. Dorsey
University of Cincinnati



I(T)P An International Thomson Publishing Company

NOTICE TO THE READER

Publisher does not warrant or guarantee any of the products described herein or perform any independent analysis in connection with any of the product information contained herein. Publisher does not assume, and expressly disclaims, any obligation to obtain and include information other than that provided to it by the manufacturer.

The reader is expressly warned to consider and adopt all safety precautions that might be indicated by the activities herein and to avoid all potential hazards. By following the instructions contained herein, the reader willingly assumes all risks in connections with such instructions.

The publisher makes no representation or warranties of any kind, including but not limited to, the warranties of fitness for particular purpose or merchantability, nor are any such representations implied with respect to the material set forth herein, and the publisher takes no responsibility with respect to such material. The publisher shall not be liable for any special, consequential, or exemplary damages resulting, in whole or part, from the readers' use of, or reliance upon, this material.

Cover Background: Jennifer McGlaughlin Cover Design: Douglas Hyldelund

Delmar Staff

Acquisitions Editor: Jay Whitney Developmental Editor: Christopher Anzalone

Project Editor: Theresa M. Bobear

COPYRIGHT © 1995 By Delmar Publishers Inc. an International Thomson Publishing Company

The ITP Logo is a trademark under license

Printed in the United States of America

For more information, contact: Delmar Publishers Inc. 3 Columbia Circle Drive, Box 15015 Albany, New York 12212

International Thomson Publishing Bershire House 168-173 High Holborn London, WC1V7AA England

Thomas Nelson Australia 102 Dodds Stret South Melbourne 3205 Victoria, Australia

Nelson Canada 1120 Birchmont Road Scarborough, Ontario M1K5G4, Canada Production Coordinator: Jennifer Gaines Art & Design Coordinator: Douglas Hyldelund

Delmar Publishers' Online Services

To access Delmar on the World Wide Web, point your browser to: http://www.delmar.com/delmar.html

To access through Gopher: gopher://gopher.delmar.com
(Delmar Online is part of "thomson.com", an Internet site with information on
more than 30 publishers of the International Thomson Publishing organization.)
For information on our products and services:
email: info@delmar.com
or call 800-347-7707

International Thomson Publishing GmbH Konigswinterer Str. 418 53227 Bonn Germany

International Thomson Publishing Asia 221 Henderson Bldg. #05-10 Singapore 0315

International Thomson Publishing Japan Kyowa Building, 3F 2-2-1 Hirakawa-cho Chiyoda-ku, Tokyo 102 Japan

All rights reserved. No part of this work covered by the copyright hereon may be reproduced or used in any form or by any means—graphic, electronic, or mechanical, including photocopying, recording, taping, or information storage and retrieval systems—without the written permission of the publisher.

5 6 7 8 9 10 XXX 01 00 99 98 97

Library of Congress Cataloging-in Publication Data

Sciarra, Dorothy June.

Developing and administering a child care center / Dorothy June Sciarra, Anne G. Dorsey. — 3rd ed.

p. cm.

Includes bibliographical references and index.

 Day care centers—United States—Administration. I. Dorsey, Anne G.

HQ778.63.S35 1995

362.7'12'0973—dc20

94-30040

CIP

PREFACE

This third edition was written primarily for students of early childhood education; but like the previous editions, it is also a rich source of updated information for practicing directors. The authors are early childhood education specialists with many years of experience in teaching both at the preschool and college levels. Both authors have administered programs, and Professor Dorsey has taught graduate and undergraduate courses in child care administration for the past two decades. Since the book covers the director's responsibilities for starting a new center and for maintaining an ongoing program, readers are introduced to the total range of administrative demands in different types of early childhood education centers.

A unique feature of this book is its focus on interpersonal relationships, combined with emphasis on developing sound fiscal and program management skills. Funding and budgeting skills, evaluating, hiring, collecting fees, and writing reports are essential for program survival; however, we are convinced that these skills are not sufficient for effective program operation unless they are combined with good interpersonal communication skills. Therefore, the book presents administration information in an interpersonal framework.

Director's Resources and Director's Library are again featured in this edition. The Director's Resources includes sample letters, job descriptions, personnel policies, parent handbook, and many other forms directors may need. An annotated bibliography of resource books supplementing this comprehensive administration text can be found in the Director's Library. The Working Papers at the end of each chapter include suggested assignments and classroom activities for college level students.

Material for the Director's Corner came from interviews with experienced practicing child care directors and/or special educators. We gratefully acknowledge the directors who participated in these informative interviews. They are: Debbie Gleason, Sandy Hoover, Chris Kelly, Pam Mitchell, Barbara Pearson, Annette Quallen, Eila Roark, Diane Rocketenetz, Tracey Rowe, Sally Wehby and others we interviewed for the previous edition. These interviews gave us insight into the "real world" of the working director, and inspired us to make a special place in the book for sharing their words and comments with our readers.

Photos, unless otherwise marked, are by Lisa Souders. Thanks to Diane Blackburn who agreed to serve as the director in all the photos which required someone in that role. We also appreciate the cooperation of the staff, parents and children at Arlitt Child Development who participated in the photographing. We thank Emily von Allman for coordinating that effort for us. We are indebted to Barton Canfield, Managing Accountant, and Patricia Gleason, Program Administrator for Roark Learning Centers, Inc. for their helpful suggestions relative to center budgets and handling financial matters (covered in Chapters 5 and 6). We would also like to thank the reviewers whose thoughtful comments have made this a better revision.

This updated edition addresses a number of timely issues for the 1990s. Among these are: 1) meeting guidelines for the Americans with Disabilities Act, 2) increased interest in

inclusive classrooms and the consequent interdisciplinary teams, 3) suggestions for fiscal management including use of computers, and 4) following NAEYC Developmentally Appropriate Practice Guidelines to achieve quality programming and accreditation. Rather than devote separate chapters to new and timely topics, we again elected to incorporate this information at appropriate places throughout the text.

We hope we have been successful not only in presenting the technical information needed to operate a viable program, but also in conveying the challenge and personal satisfaction derived from creating and implementing an excellent educational program for young children and their families.

D.J.S. and A.G.D.

DIRECTOR'S CORNER

To Do

- Call plumber 555-1234
- Talk to building inspector

 ✓ left message
- Call Red Cross 1st Aid Training dates
- Need detergent
- Do statistics for YMCA committee
- Send bills to parents who haven't paid tuition
- Rewrite snack menu
- Check coverage for classroom for Monday teachers have parent conference ✓ OK-Susie will do
- Meet with Toddler teachers 1:45
- Appointment 5:00 parents to see center
- Call job applicant for interview for part-time
- Place ad for full-time job deadline Friday for Sunday paper
- Order supplies tissues paper plates plasic gloves

"Making a 'To Do List' is the only way I can come close to keeping track of the many things I must do each day. I recommend it to your readers who hope to become directors. By the way, I also recommend they learn something about plumbing!"

Director, community agency center



CONTENTS

Preface xiii

CREATING A POSITIVE CLIMATE 2	
Modeling 3	
Community Building 3	
Communicating 4	
MOTIVATING THE STAFF 5	
Use of Encouragement 6	
Job Enrichment 6	
SUMMARY 7	
Class Assignments 8	
Class Exercises 8	
Working Papers 9	
Chapter 2 Assessing Community Need and Establishing a Program	11
ASSESSING THE NEED 12	
What Must You Know about Need? 12	
How Do You Find Out about Need? 13	
PROGRAM PHILOSOPHY 15	
Who Decides about Philosophy? 15	
What Is the Basis for Choosing a Philosophy? 16	
0 - 1 - 7	

Chapter 1 Developing Interpersonal Relationships 1

TYPES OF PROGRAMS 18 Not-for-Profit Programs 18 Profit-Making Programs (Proprietary) 20 Family Child Care Homes 21 Military Programs 21 SUMMARY 22 Class Assignments 22 Class Exercises 22 Working Papers 23 Director's Resources 26 Sample Needs Assessment 26 The Exchange Top 50 28
Chapter 3 Licensing and Certifying 29
LICENSING 30 Licensing Regulations 30
The Licensing Process 32
The Licensing Specialist 33
ACCREDITATION 35
CREDENTIALING 36
State Certification 36
Child Development Associate Credential 36
SUMMARY 37
Class Assignments 38
Class Exercises 38
Working Papers 40
Director's Resources 43 State Child Care Licensing Agencies 43
State Child Care Licensing Agencies 43
Chapter 4 Establishing and Working with a Board 47
BOARD MEMBERSHIP 49
Selection of Board Members 49
Terms for Board Membership 49
BOARD DUTIES 50
Drawing Up Bylaws 50
Making Policy 50
Operating the Center 51
BOARD COMMITTEES 51
Executive Committee 52
Personnel Committee 52
Finance Committee 52
Building Committee 52
Program Committee 53
Nominating Committee 53
BOARD COMMUNICATION 53
BOARD OPERATION 55
SUMMARY 56
Class Assignments 56

Class Exercises 57
Working Papers 58
Director's Resources 66
The Apple Tree, Inc. Bylaws 66
Bylaws of the Lytle Park Child Development Center, Inc. 69

Chapter 5 Handling Financial Matters 75 BALANCING INCOME AND EXPENSES 76 **Estimating Costs** 76 78 **Adjusting Budget Figures** PREPARING THE BUDGET 78 Types of Budgets 79 **Analyzing Budget Categories** 82 Budgeting for Second and Subsequent Years OTHER FINANCIAL RESPONSIBILITIES **Designing Budget Systems** Ordering Goods and Services Making Payments 85 **Recording Transactions** Auditing 85 Handling Petty Cash Handling Salaries Category 86 **SUMMARY** 87 Class Assignments 87 88 Class Exercises Working Papers 89 Director's Resources Sample Child Care Center Budget Sample Projected Cash Flow Statement 98

Chapter 6 Funding the Program 99 START-UP CAPITAL SOURCES OF OPERATING FUNDS 101 Tuition 101 Community Resources 102 **FOUNDATIONS** 103 104 **GOVERNMENT FUNDING** DONATIONS 105 REPORTING TO FUNDERS 105 **SUMMARY** 108 108 Class Assignments 109 Class Exercises Working Papers 110 114 Director's Resources Sample Sliding Fee Schedule 114 Sample Income Statement 115 Sample Balance Sheet 116 Sample Grant Application 117

Chapter 7 Developing a Center	er Facility	123
ANALYZING SPACE REQUIF	REMENTS	124
Users' Needs 124		
Programmatic Requiremer	nts 132	
Governmental Regulations		
PLANNING A NEW CENTER		
Considering New Constru		
Using Existing Buildings	134	
Renting 134		
Renovating 135		
WORKING WITH OTHER PRO	OFESSIONALS	135
Licensing Agent 135		
Architect 136		
Contractor 136		
Accountant 136 Attorney 136		
Attorney 136 SUMMARY 136		
Class Assignments 13	7	
Class Exercises 138	,	
Working Papers 139		
	142	
Site Safety Checklist	142	
Checklist for Existing Fa-		
Ö		
Chanter & Equipping the Cont	ter 163	
Chapter 8 Equipping the Cent	.ei 163	
	164	
Children's Spaces 164		
Adult Spaces 164		
Service Areas 165		
USING SELECTION CRITERIA	A 165	
Usefulness 166		
Safety 167		
Suitability 167	170	
	168	
Attractiveness 168 Teacher Preference 16	0	
Teacher Preference 16 WORKING WITHIN A BUDG		
Durability and Economy	170	
ODERING EQUIPMENT	170	
Equipment Requisition	170	
	71	
Sources of Equipment	172	
MANAGING EQUIPMENT	172	
Checking and Inventorying		173
Maintaining and Storing E		173
SUMMARY 174	I I	
Class Assignments 17	5	
Class Exercises 175		
Working Papers 176		
	78	

Chapter 9 Staffing the Center 191	
DESIGNING PERSONNEL POLICIES 192 Purpose 192 Source 192 Inclusions 193	
DRAWING UP JOB DESCRIPTIONS 201 Recruiting 203 Interviewing 209	
SELECTING THE EMPLOYEE 213 ORIENTING THE EMPLOYEE 214 SUMMARY 215	
Class Assignments 216 Class Exercises 216 Working Papers 217	
Director's Resources 222 Sample Personnel Policy and Procedures—Roarke Learning Center, Inc. 222 Sample Personnel Policies and Job Descriptions—The Christ Child Day Nursery Sample Staffing Plan—Infant Program 257	235
Sample Staffing Plan—Multiple Program A 258 Sample Staffing Plan—Multiple Program B 264 Community Day Care Association—Evaluation of Teacher 267 Job Descriptions for Infant Care Program—Director, Teacher, and Assistant Teacher	270
Sample Job Description—Special Education Consultant 274 Sample Job Description—Public School Instructor II and Instructor I 275 Local Immigration and Naturalization Service Offices 278 Employment Eligibility Verification (Form I-9) 279	270
Chapter 10 Publicizing the Center and Selecting the Children 281	
PUBLICITY 282 Where and How to Publicize 282 Advertising Materials 282 Public Relations 283	
SELECTING THE CHILDREN 284 Readiness of Children and Families 285 Admission of Children with Disabilities 285	
Role of the Director in an Interdisciplinary/Interagency Effort 288 SUMMARY 289 Class Assignments 289 Class Exercises 289	
Working Papers 290	
Chapter 11 Grouping and Enrolling the Children 293	
GROUPING THE CHILDREN 293 Total Number of Children 294 Space and Group Size 294 Skills of Staff and Group Size 295	
Needs of Children and Group Size 295	

Inclusion of Children with Special Needs 297	
ENROLLING THE CHILDREN 298	
Information on Families and Children 298	
Forms 298	
Confidentiality 299	
Intake Procedures 299	
SUMMARY 302	
Class Assignments 302	
Class Exercises 303	
Working Papers 304	
Director's Resources 306	
Sample Day Care Application Form (requesting income information)	306
	307
Sample Day Care Application Form (no income information required) Sample Student Enrollment Form 308	307
1	
Sample Developmental History 309	
Sample Personal History—Your Child's Development 313	
Sample Child's Medical Statement 316	
Sample Emergency Information Record 317	
Sample Permission Form 318	
Sample Transportation and Attendance Release Form 319	
Chapter 12 Managing the Food and the Health and Safety Programs 321	
, ,	
FOOD SERVICE PROGRAMS 322	
Menu Planning 322	
Food Buying 324	
Food Storage 332	
Food Preparation 332	
Resources 333	
HEALTH AND SAFETY PROGRAM 333	
Health Records for Children and Staff 333	
Disaster Plan 334	
Emergency Health and Accident Plan 334	
Communicable Diseases 337	
Child Abuse 339	
SUMMARY 340	
Class Assignments 340	
Class Exercises 341	
Working Papers 342	
Director's Resources 345	
Sources of Child Health and Safety Information and Materials 345	
Chapter 13 Evaluating Center Components 347	
•	
EVALUATORS 347	
PURPOSE OF EVALUATION 348	
EVALUATION PRINCIPLES 349	
Staff Evaluation 349	
Children's Evaluation 351	

Accreditation Summarizing Data 357 357 Analyzing and Using the Data **SUMMARY** 358 Class Assignments 358 358 Class Exercises Working Papers 359 363 Director's Resources Sample Child Evaluation Form 363 Sample Teacher Evaluation Form 365 Child Evaluation Form 373 Chapter 14 Providing for Personal and Professional Staff Development STAFF MEETINGS 374 Purpose of Staff Meetings 374 375 Timing of Staff Meetings 376 Preparation for Staff Meetings Staff Meeting Procedure 376 STAFF TRAINING Time and Place for Training 379 Training Methods and Resources **Training Content** 380 STAFF SUPERVISION AND COACHING 381 Principles of Supervision Supervisory Process ASSESSING STAFF PROBLEMS 384 **Expressed Teacher Concerns** 384 **SUMMARY** 385 386 Class Assignments Class Exercises 386 388 Working Papers Chapter 15 Working with Parents, Volunteers, and the Community 391 PARENT PROGRAM 392 **Parent Contacts** 392 395 Parent Education Parent Involvement 396 PREPARING A PARENT HANDBOOK 397 **VOLUNTEER PROGRAM** 398 Volunteer Recruitment 398 Volunteer Orientation 399 Volunteer Activities 399 ORGANIZATIONS AND AGENCIES **Professional Organizations** Referral Agencies 400 WORK WITH THE COMMUNITY 401

354

Total Program Evaluation

SUMMARY 402 Class Assignments 402 403 Class Exercises Working Papers 404 406 Director's Resources Sample Parent Handbook (Infant/Todder) 406 Sample Parent Handbook (Preschool) 418 Sample Handbook for Families, Visitors, Volunteers, and Other Friends of Young Children 433

Chapter 16 The Working Director 471

ADMINISTRATIVE STYLES AND ROLES 471 Roles 472

Personal Qualities 473

PROGRAM MAINTENANCE 474
PEOPLE MAINTENANCE 474
MANAGEMENT TOOLS 476

Policies and Procedures Manual 476

Time-Use Skills 476

SUMMARY 479

Class Assignments 480 Class Exercises 480 Working Papers 481

Appendix A Partial List: Sources of Early Childhood Materials, Equipment and Supplies 489

Appendix BPartial List: Professional Organizations and Information Sources491

Appendix CPartial List: Early Childhood Periodicals and Media495

Director's Library 497

Index 503

CHAPTER

1

Developing Interpersonal Relationships



The following quote is from the director of a community nonprofit child care center: "In order to be successful as a director of a child care center, I think, above all else, you have to be a people person. You have to realize that this job goes far beyond administrative policy and doing paperwork; that being the boss here is not really being a boss in the traditional sense. Being the boss here has to do with forming trusting relationships with your staff, respecting their individuality, being firm when you need to be firm

Photo above The emotional tone at the center is set by the way the director feels about others and by the success with which those feelings are communicated to others in the setting. (Photo by Lisa Souders)

and being gentle when they need a gentle hand. It's important to remember that you won't get respect if you don't show respect. Also, remember that others can do the paperwork, but you

are the one who must build the relationships with your staff."

Clearly, the interpersonal issues and the time it takes to work them out are at the very core of every management position. Much of what follows in this text deals with budgets, boards, licensing, and record keeping, but the real task of the director as a manager1 is to work effectively with, and provide support to, those who will implement the program. The manager must relate to these people and motivate them to do the tasks delegated to them. Members of the staff implement the total program, but the director, acting in the capacity of leader and motivator, orchestrates.

The student may then legitimately ask, "Why learn about boards and budgets? Why not focus on interpersonal skills?" These questions are, indeed, legitimate, but books about interpersonal skills and management strategies have been written by others with particular expertise in both management and communication. The Director's Library includes helpful suggested readings in the section entitled, "Leading People." Familiarize yourself with some of those books so that as you learn about budgeting and buying equipment, developing personnel policies or planning a facility you will be able to use that information in concert with effective interpersonal strategies.

The discussion in this chapter focuses on the importance of first developing good management skills and then using these skills, coupled with good communication skills, as the basis for functioning as an administrator of a child care center or any other type of child care program. Obviously, the person in charge must have knowledge of how to draw up a budget, write policy and job descriptions, decide about equipment, and so forth. However, writing policy, hiring staff, making budgets, and ordering equipment will all be wasted efforts unless the manager has those special interpersonal and communication skills necessary to select, motivate, and relate to the people who are to carry out the program within the framework set by the program philosophy, the budget, and the personnel policies.

Once the policies are established, the members are hired, and the children are enrolled, the function of the administrator parallels that of a classroom teacher. The administrator or director makes the total center program "go," much as the teacher makes the classroom "go" after the learning environment has been set up. Evans suggests, "It is like leaping from one to another of a dozen different merry-go-rounds, each traveling at a different speed, each playing a different tune, and each blaring a separate cadence. Yet the administrator (teacher) must land gracefully, never missing a beat, always in perfect time with the music" (italics added).2 Once the program is going, the function of the director becomes catalytic or facilitative. The total task of the director is then accomplished by creating an environment in which others may grow. Through the growth and development of staff members, the program is implemented and the program goals are reached; the process unfolds in much the same way as in the classroom, where the teacher attains the program goals through the growth and development of the children and their families.

CREATING A POSITIVE CLIMATE

As a leader, the director has the major responsibility for creating a climate of care, trust, and respect. This climate can best be achieved by demonstrating caring behavior, by taking steps to build a feeling of community or partnership, and by creating a climate for good communication among and between all members of the center community. The goal is to optimize the developmental potential of children, families and staff.

¹ The terms manager, director, and administrator will be used interchangeably. All these terms refer to the person who is in charge of, and responsible for, the total program.

² E. Belle Evans, Day Care Administration, Educational Day Care Services Association, Cambridge, MA, n.d., p. 2.

Modeling

The emotional tone at the center is set by the way the director feels about others and by the success with which those feelings are communicated to others in the setting. The director creates a climate of warmth, caring, and acceptance by relating to staff members, parents, and children with honesty and openness. Mutual trust and respect will grow in an environment in which respect is earned, and the best way to earn respect is to show respect for others.

Emotional stability, maturity, and a positive sense of self are the basic characteristics of a leader who has the potential for assuming responsibility and leading people in a caring manner. This leadership style creates a climate that, in turn, motivates others to imitate the pattern of acceptance and warmth in their interactions; the caring behaviors become contagious. Most of you have read about and observed "modeling" in young children. One child who is perceived as the leader displays a pattern of behavior, and others imitate it. Johnny says, "Yuk! Spinach!" and soon everyone at the table is saying such things as "Yuk, spinach!" "Slimy spinach!" "Yucky B. M. spinach!" However, if Johnny says, "Yummm, spinach!" other children are more likely to respond positively to the vegetable being served. Although this example is clearly an oversimplification of what typically happens in a group, behavior is contagious, and there is evidence that a leader who serves as the model does indeed control the behavioral climate of the setting.

Modeling begins with the very first encounter the director has with the new staff members as they come into the center for interviews, or when a newly hired director is introduced to the staff for the first time. The basic trust and mutual respect that are communicated and felt during this initial meeting are the building blocks for the relationships that will develop among the people in the center. The pattern established during these first meetings will set the stage for future meetings and will influence the ways in which staff members will interact

with one another and with the families and children who come to the center.

Although warmth, acceptance, and mutual respect are clearly fundamental to creating a favorable environment for the growth and development of the people involved in the center program, other behaviors demonstrated by successful directors also facilitate personal and professional growth and lead to more favorable environments for children. The director who shows intellectual curiosity and is always seeking more information to do a better job can inspire others to do the same. A leader who does not serve as a model of professional commitment and enthusiasm for learning more about children, families, human relationships, and trends and issues in early childhood cannot expect staff members to invest energy in these areas. The leader's responsibility is to show interest and enthusiasm for what is going on in the program and in the profession, and to serve as a resource for staff members and parents. They, in turn, will be stimulated to improve themselves as people and as caregivers³ of children.

Community Building

The director is responsible for developing and maintaining a sense of community among staff, parents, and children. Morale will be higher and the environment more conducive to growth for all involved if there is a "we" feeling—a feeling of belonging. As the feeling of belonging increases, anxiety, self-doubt, hostility, and feelings of rejection decrease.

Staff members who feel that it is their center and their program, who feel a sense of ownership about the program, will be more self-assured and more enthusiastic about assuming responsibility. They will not only perform the tasks they are competent to perform, but they will also be willing to invest energy into learning more so they can extend their area of responsibility. The total task of serving children and families becomes our task, and we provide the richest and

³ Caregiver is defined as "one who is responsive to the needs of children."