HANDBOOK of NUMERICAL ANALYSIS

P. G. CIARLET and J. L. LIONS • Editors

Volume IV

Finite Element Methods (Part 2)

Numerical Methods for Solids (Part 2)

Volume IV

Finite Element Methods (Part 2)

Numerical Methods for Solids (Part 2)



ELSEVIER
Amsterdam • Lausanne • New York • Oxford • Shannon • Tokyo

ELSEVIER SCIENCE B.V. Sara Burgerhartstraat 25 P.O. Box 211, 1000 AE Amsterdam, The Netherlands

For information on published and forthcoming volumes URL = http://www.elsevier.nl/locate/hna

Library of Congress Catalog Card Number: 89-23314

ISBN: 0 444 81794 8

© 1996 ELSEVIER SCIENCE B.V. All rights reserved.

No part of this publication may be reproduced, stored in a retrieval system or transmitted in any form or by any means, electronic, mechanical, photocopying, recording or otherwise, without the prior written permission of the publisher, Elsevier Science B.V., Copyright & Permissions Department, P.O. Box 521, 1000 AM Amsterdam, The Netherlands.

Special regulations for readers in the U.S.A. – This publication has been registered with the Copyright Clearance Center Inc. (CCC), 222 Rosewood Drive, Danvers, MA 01923. Information can be obtained from the CCC about conditions under which photocopies of parts of this publication may be made in the U.S.A. All other copyright questions, including photocopying outside of the U.S.A., should be referred to the copyright owner, Elsevier Science B.V.

No responsibility is assumed by the publisher for any injury and/or damage to persons or property as a matter of products liability, negligence or otherwise, or from any use or operation of any methods, products, instructions or ideas contained in the material herein.

This book is printed on acid-free paper.

Printed in The Netherlands

Finite Element Methods (Part 2) Numerical Methods for Solids (Part 2)

Handbook of Numerical Analysis

General Editors:

P.G. Ciarlet

Analyse Numérique, Tour 55-65 Université Pierre et Marie Curie 4 Place Jussieu 75005 PARIS, France

J.L. Lions

Collège de France Place Marcelin Berthelot 75005 PARIS, France



ELSEVIER
Amsterdam • Lausanne • New York • Oxford • Shannon • Tokyo

General Preface

During the past decades, giant needs for ever more sophisticated mathematical models and increasingly complex and extensive computer simulations have arisen. In this fashion, two indissociable activities, *mathematical modeling* and *computer simulation*, have gained a major status in all aspects of science, technology, and industry.

In order that these two sciences be established on the safest possible grounds, mathematical rigor is indispensable. For this reason, two companion sciences, *Numerical Analysis* and *Scientific Software*, have emerged as essential steps for validating the mathematical models and the computer simulations that are based on them.

Numerical Analysis is here understood as the part of Mathematics that describes and analyzes all the numerical schemes that are used on computers; its objective consists in obtaining a clear, precise, and faithful, representation of all the "information" contained in a mathematical model; as such, it is the natural extension of more classical tools, such as analytic solutions, special transforms, functional analysis, as well as stability and asymptotic analysis.

The various volumes comprising the *Handbook of Numerical Analysis* will thoroughly cover all the major aspects of Numerical Analysis, by presenting accessible and in-depth surveys, which include the most recent trends.

More precisely, the Handbook will cover the *basic methods of Numerical Analysis*, gathered under the following general headings:

- Solution of Equations in \mathbb{R}^n ,
- Finite Difference Methods,
- Finite Element Methods,
- Techniques of Scientific Computing,
- Optimization Theory and Systems Science.

It will also cover the *numerical solution of actual problems of contemporary interest in Applied Mathematics*, gathered under the following general headings:

- Numerical Methods for Fluids,
- Numerical Methods for Solids.
- Specific Applications.

"Specific Applications" include: Meteorology, Seismology, Petroleum Mechanics, Celestial Mechanics, etc.

Each heading is covered by several *articles*, each of which being devoted to a specialized, but to some extent "independent", topic. Each article contains a thorough description and a mathematical analysis of the various methods in actual use, whose practical performances may be illustrated by significant numerical examples.

Since the Handbook is basically expository in nature, only the most basic results are usually proved in detail, while less important, or technical, results may be only stated or commented upon (in which case specific references for their proofs are systematically provided). In the same spirit, only a "selective" bibliography is appended whenever the roughest counts indicate that the reference list of an article should comprise several thousand items if it were to be exhaustive.

Volumes are numbered by capital Roman numerals (as Vol. I, Vol. II, etc.), according to their chronological appearance.

Since all the articles pertaining to a given *heading* may not be simultaneously available at a given time, a given heading usually appears in more than one volume; for instance, if articles devoted to the heading "Solution of Equations in \mathbb{R}^n " appear in Volumes I and III, these volumes will include "Solution of Equations in \mathbb{R}^n (Part 1)" and "Solution of Equations in \mathbb{R}^n (Part 2)" in their respective titles. Naturally, all the headings dealt with within a given volume appear in its title; for instance, the complete title of Volume I is "Finite Difference Methods (Part 1)—Solution of Equations in \mathbb{R}^n (Part 1)".

Each article is subdivided into *sections*, which are numbered consecutively throughout the article by *Arabic numerals*, as Section 1, Section 2, . . . , Section 14, etc. Within a given section, *formulas*, *theorems*, *remarks*, *and figures*, have their own independent numberings; for instance, with Section 14, formulas are numbered consecutively as (14.1), (14.2), etc., theorems are numbered consecutively as Theorem 14.1, Theorem 14.2, etc. For the sake of clarity, the article is also subdivided into *chapters*, numbered consecutively throughout the article by *capital Roman numerals*; for instance, Chapter I comprises Sections 1 to 9, Chapter II comprises Sections 10 to 16, etc.

P.G. Ciarlet J.L. Lions May 1989

Contents of Volume IV

General Preface	V
FINITE ELEMENT METHODS (PART 2)	
Origins, Milestones and Directions of the Finite Element Method – A Personal View, O.C. Zienkiewicz Automatic Mesh Generation and Finite Element Computation,	3
P.L. George	69
Numerical Methods for Solids (Part 2)	
Limit Analysis of Collapse States, E. Christiansen Numerical Methods for Unilateral Problems in Solid Mechanics,	193
J. Haslinger, I. Hlaváček and J. Nečas	313
Mathematical Modelling of Rods, L. Trabucho and J.M. Viaño	487

Contents of the Handbook

VOLUME I	
Finite Difference Methods (Part 1)	
Introduction, G.I. Marchuk Finite Difference Methods for Linear Parabolic Equations, V. Thomée	3
Splitting and Alternating Direction Methods, G.I. Marchuk	197
Solution of Equations in \mathbb{R}^n (Part 1)	
Least Squares Methods, Å. Björck	465
VOLUME II	
Finite Element Methods (Part 1)	
Finite Elements: An Introduction, J.T. Oden Basic Error Estimates for Elliptic Problems, P.G. Ciarlet Local Behavior in Finite Element Methods, L.B. Wahlbin Mixed and Hybrid Methods, J.E. Roberts and JM. Thomas Eigenvalue Problems, I. Babuška and J. Osborn Evolution Problems, H. Fujita and T. Suzuki	17 353 523 641 789
VOLUME III	
Techniques of Scientific Computing (Part 1)	
Historical Perspective on Interpolation, Approximation and Quadrature, C. Brezinski Padé Approximations, C. Brezinski and J. Van Iseghem Approximation and Interpolation Theory, Bl. Sendov and A. Andreev	47 223
Numerical Methods for Solids (Part 1)	
Numerical Methods for Nonlinear Three-Dimensional Elasticity, P. Le Tallec	465

Solution of Equations in \mathbb{R}^{n} (Part 2)	
Numerical Solution of Polynomial Equations, Bl. Sendov, A. Andreev and N. Kjurkchiev	625
VOLUME IV	
FINITE ELEMENT METHODS (PART 2)	
Origins, Milestones and Directions of the Finite Element Method – A Personal View, O.C. Zienkiewicz Automatic Mesh Generation and Finite Element Computation,	3
P.L. George	69
Numerical Methods for Solids (Part 2)	
Limit Analysis of Collapse States, E. Christiansen	193
Numerical Methods for Unilateral Problems in Solid Mechanics,	
J. Haslinger, I. Hlaváček and J. Nečas	313
Mathematical Modelling of Rods, L. Trabucho and J.M. Viaño	487

Finite Element Methods (Part 2)



Origins, Milestones and Directions of the Finite Element Method— A Personal View

O.C. Zienkiewicz

Institute for Numerical Methods in Engineering University College of Swansea, Wales UK

Contents

CHAPTER I. Origins, Milestones and Directions of the Finite Element Method— A Personal View	7
1. Introduction	7
2. The origins	8
3. The "variational" approaches via extremum principles	9
4. Some early applications of the finite element method and alternatives	12
5. Virtual work and weighted residual approaches. Generalised finite element method	
and other approximations	17
6. Non-conforming approximation and the patch test as a necessary and sufficient	
condition of finite element method convergence	23
6.1. Plate bending—Conforming and non-conforming variants	23
6.2. The patch test	24
6.3. Diffuse element approximation	28
7. Higher-order elements and isoparametric mapping. Three-dimensional analysis,	
plates, shells and reduced integration	30
7.1. The need governs development—Isoparametric mapping	30
7.2. Physics govern theory—The essential development for plates and shells	31
8. Adaptivity and error estimation	37
9. Fluid mechanics and non-self-adjoint problems	43
10. Epilogue	53
Appendix	55
References	59
SUBJECT INDEX	6



CHAPTER I

Origins, Milestones and Directions of the Finite Element Method— A Personal View

1. Introduction

It is now over thirty years since I became involved in "the finite element method" which during most of that period dominated my research activity. The invitation to write this article provides me with a most welcome opportunity to record the story of its origins and of its subsequent development, highlighting the important milestones and directions. Clearly the latter parts are very much a personal view and hence selective. Apologies are given in advance for omission of those who perhaps may view other steps as more important. A full and thorough mathematical analysis of the finite element method as well as many more theoretically oriented references will be found in Volume II of this Handbook.

Since my early introduction to the possibilities offered by numerical approximation by Sir Richard Southwell [1940, 1946, 1956] viz. his relaxation methods and Allen [1955], my objective has been always that of providing solutions for otherwise intractible problems of interest to applied science and engineering. This objective indeed was shared by others with similar background and led to the development of the finite element method in the late fifties and sixties.

This method was only made possible by the advent of the electronic, digital computer which at the time was making its entry into the field of large arithmetic processing. Indeed the rapid rise and widespread recognition of the methodology of Finite Elements is clearly linked with the development of the computer. This of course led to a rapid development of the method which today, through various commercial and research codes, provides the key for rational design of structures, study of aeronautical fluid dynamics and electromagnetic devices needed by physics.

This article has been published in Archives of Computational Methods in Engineering (ARCME), Vol. 2, No. 1 (1995) 1–48.