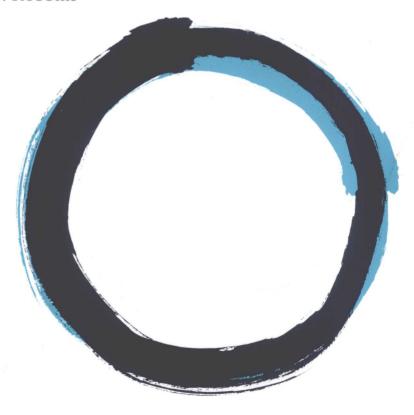
Cognitive Search

Evolution, Algorithms, and the Brain

EDITED BY

Peter M. Todd, Thomas T. Hills, and Trevor W. Robbins





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Program Advisory Committee:
Thomas T. Hills, John M. McNamara,
Jeroen G. W. Raaijmakers, Trevor W. Robbins,
and Peter M. Todd



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The Ernst Strüngmann Forum

Founded on the tenets of scientific independence and the inquisitive nature of the human mind, the Ernst Strüngmann Forum is dedicated to the continual expansion of knowledge. Through its innovative communication process, the Ernst Strüngmann Forum provides a creative environment within which experts scrutinize high-priority issues from multiple vantage points.

This process begins with the identification of themes. By nature, a theme constitutes a problem area that transcends classic disciplinary boundaries. It is of high-priority interest, requiring concentrated, multidisciplinary input to address the issues involved. Proposals are received from leading scientists active in their field and are selected by an independent Scientific Advisory Board. Once approved, a steering committee is convened to refine the scientific parameters of the proposal and select the participants. Approximately one year later, the central meeting, or Forum, is held to which circa forty experts are invited.

Preliminary discussion for this theme began in 2008, and on February 5–7, 2010, the steering committee was convened. Working together, the committee (Thomas T. Hills, John M. McNamara, Jeroen G. W. Raaijmakers, Trevor W. Robbins, and Peter M. Todd) identified key issues for debate and selected the participants for the Forum. Chaired by Peter M. Todd and Trevor W. Robbins, the Forum was held in Frankfurt am Main from February 20–25, 2011.

The activities and discourse surrounding a Forum begin well before participants arrive in Frankfurt and conclude with the publication of this volume. Throughout each stage, focused dialog is the means by which participants examine the issues anew. Often, this requires relinquishing long-established ideas and overcoming disciplinary idiosyncrasies which otherwise might inhibit joint examination. When this is accomplished, new insights emerge.

This volume attempts to convey the synergy that arose from a group of diverse experts, each of whom assumed an active role, and is comprised of two types of contributions. The first provides background information on key aspects of the overall theme. Originally written before the Forum, these chapters have been extensively reviewed and revised to provide current understanding on these key topics. The second (Chapters 4, 9, 15, and 20) summarizes the extensive group discussions. These chapters should not be viewed as consensus documents nor are they proceedings; they are intended to transfer the essence of the discussions, expose the open questions that still remain, and highlight areas in need of future enquiry.

An endeavor of this kind creates its own unique group dynamics and puts demands on everyone who participates. Each invitee contributed not only their time and congenial personality, but a willingness to probe beyond that which is evident, and I extend my gratitude to all. A special word of thanks goes to the steering committee, the authors of the background papers, the reviewers of the papers, and the moderators of the individual working groups: David W. Stephens, Trevor W. Robbins, Jeroen G. W. Raaijmakers, and Robert L. Goldstone. To draft a report during the Forum and bring it to its final form in the months thereafter is no simple matter, and for their efforts, I am especially grateful to the rapporteurs: John M. C. Hutchinson, Catharine A. Winstanley, Thorsten Pachur, Curt Burgess, and Lael J. Schooler. Most importantly, I wish to extend my sincere appreciation to Peter M. Todd, Thomas T. Hills, and Trevor W. Robbins for their commitment to this project.

A communication process of this nature relies on institutional stability and an environment that encourages free thought. The generous support of the Ernst Strüngmann Foundation, established by Dr. Andreas and Dr. Thomas Strüngmann in honor of their father, enables the Ernst Strüngmann Forum to conduct its work in the service of science. In addition, the following valuable partnerships are gratefully acknowledged: the Scientific Advisory Board, which ensures the scientific independence of the Forum; the German Science Foundation and the Stiftung Polytechnische Gesellschaft, for their financial support of this theme; and the Frankfurt Institute for Advanced Studies, which shares its vibrant intellectual setting with the Forum.

Long-held views are never easy to put aside. Yet when this is achieved, when the edges of the unknown begin to appear and gaps in knowledge are able to be defined, the act of formulating strategies to fill these gaps becomes a most invigorating exercise. It is our hope that this volume will convey a sense of this lively exercise and play its part in furthering understanding of the evolution, function, and mechanisms of search for resources in the mind as well as in the world.

Julia Lupp, Program Director Ernst Strüngmann Forum http://www.esforum.de

List of Contributors

- **Bernard W. Balleine** Brain and Mind Research Institute, School of Medical Sciences, University of Sydney, Camperdown, NSW 2050, Australia
- **Melissa Bateson** Institute of Neuroscience, Newcastle University, Newcastle upon Tyne, NE2 4HH, U.K.
- Ethan S. Bernstein Harvard Business School, Boston, MA, U.S.A.
- **Joshua W. Brown** Department of Psychological and Brain Sciences, Indiana University, Bloomington, IN 47405, U.S.A.
- **Christian Büchel** Institut für Systemische Neurowissenschaften, Universitätsklinikum Hamburg-Eppendorf, 20246 Hamburg, Germany
- **Curt Burgess** Department of Psychology, University of California, Riverside, CA 92521, U.S.A.
- **Roshan Cools** Radboud University Nijmegen Medical Centre, Donders Institute for Brain, Cognition and Behaviour, Centre for Cognitive Neuroimaging and Department of Psychiatry, 6500 HB Nijmegen, The Netherlands
- **Iain Couzin** Department of Ecology and Evolutionary Biology, Princeton University, NJ 08544, U.S.A.
- **Eddy J. Davelaar** Department of Psychological Sciences, Birkbeck College, University of London, London WC1E 7HX, U.K.
- Nathaniel D. Daw Center for Neural Science and Department of Psychology, New York University, New York, NY 10003, U.S.A.
- **Michael R. Dougherty** Department of Psychology, University of Maryland, MD 20742, U.S.A.
- **Reuven Dukas** Department of Psychology, Neuroscience and Behavior, McMaster University, Ontario L8S 4K1, Canada
- **Daniel Durstewitz** Central Institute for Mental Health, Research Group Computational Neuroscience, 68159 Mannheim, Germany
- **Tim W. Fawcett** School of Biological Sciences, University of Bristol, Bristol BS8 1UG, U.K.
- **Wai-Tat Fu** Department of Computer Science, University of Illinois at Urbana-Champaign, IL 61801, U.S.A.
- **Sergey Gavrilets** Department of Ecology and Evolutionary Biology, Department of Mathematics, National Institute for Mathematical, and Biological Sciences, University of Tennessee, TN 37996, U.S.A.
- Luc-Alain Giraldeau Faculté de Sciences, Université du Québec à Montréal, Québec H3C 3P8, Canada
- **Robert L. Goldstone** Department of Psychological and Brain Sciences, Indiana University, Bloomington, IN 47405, U.S.A.

- **Helga A. Harsay** Amsterdam Center for the Study of Adaptive Control in Brain and Behavior (Acacia), Department of Psychology, University of Amsterdam, 1018 WB Amsterdam, The Netherlands
- **Thomas T. Hills** Department of Psychology, University of Warwick, Coventry CV4 7AL, U.K.
- **Bernhard Hommel** Leiden University, Cognitive Psychology Unit and Leiden Institute for Brain and Cognition, 2333 AK Leiden, The Netherlands
- **John M. C. Hutchinson** Senckenberg Museum für Naturkunde, 02806 Görlitz, Germany
- **David Lazer** Department of Political Science, Northeastern University, Boston, MA 02115, U.S.A.
- **Michael D. Lee** Department of Cognitive Sciences, University of California at Irvine, CA 92697-5100, U.S.A.
- **James A. R. Marshall** Department of Computer Science/ Kroto Research Institute, University of Sheffield, Sheffield S3 7HQ, U.K.
- **John M. McNamara** School of Mathematics, University of Bristol, Bristol BS8 1TW, U.K.
- **Frederic Méry** Laboratoire Evolution, Génomes et Spéciation, CNRS, 91198 Gif sur Yvette, France
- **Derek E. Nee** Department of Psychological and Brain Sciences, Indiana University, Bloomington, IN 47405, U.S.A.
- **Frank Neumann** School of Computer Science, The University of Adelaide, Adelaide SA 5005, Australia
- **John P. O'Doherty** HSS and Computation and Neural Systems, California Institute of Technology, Pasadena, CA 91125, U.S.A.
- **Thorsten Pachur** Faculty of Psychology, University of Basel, 4055 Basel, Switzerland
- **Cyriel M. A. Pennartz** Swammerdam Institute for Life Sciences, University of Amsterdam, 1090XH Amsterdam, The Netherlands
- **Sean M. Polyn** Department of Psychology, Vanderbilt University, Nashville, TN 37240, U.S.A.
- **Jeroen G. W. Raaijmakers** Department of Psychology, University of Amsterdam, 1018 WB Amsterdam, The Netherlands
- **A. David Redish** Department of Neuroscience, University of Minnesota, Minneapolis, MN 55455, U.S.A.
- K. Richard Ridderinkhof Amsterdam Center for the Study of Adaptive Control in Brain and Behavior (Acacia), Department of Psychology, and Cognitive Science Center Amsterdam (CSCA), University of Amsterdam, 1018 WB Amsterdam, The Netherlands
- **Trevor W. Robbins** Behavioural and Clinical Neurosciences Institute, University of Cambridge, Cambridge CB2 3EB, U.K.
- **Lael J. Schooler** Max Planck Institute for Human Development, 14195 Berlin, Germany

- **Jeremy K. Seamans** Department of Psychiatry and Brain Research Centre, University of British Columbia, Vancouver, BC V6T 2B5, Canada
- **David W. Stephens** Ecology, Evolution, and Behavior, University of Minnesota, MN 55108, U.S.A.
- **Peter M. Todd** Cognitive Science Program, Indiana University, Bloomington, IN 47405, U.S.A.
- **Jan M. Wiener** The School of Design, Engineering and Computing, Bournemouth University, Dorset BH12 5BB, U.K.
- **Catharine A. Winstanley** Department of Psychology, University of British Columbia, Vancouver, BC V6T 1Z4, Canada
- **Bruce Winterhalder** Department of Anthropology, University of California, Davis, CA 95616-8522, U.S.A.
- Jeremy M. Wolfe Harvard Medical School, Cambridge, MA 02139, U.S.A.

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Building a Foundation for Cognitive Search

Peter M. Todd, Thomas T. Hills, and Trevor W. Robbins

Over a century ago, William James, the father of modern psychology, proposed that humans search through memory much the same way as they rummage through a house looking for a lost set of keys (James 1890). This recognition of commonalities between search in physical and information domains—including space, memory, and the Internet—has become increasingly salient as information resources expand and our capacity to search successfully for such information gains greater economic and personal importance.

Just as animals spend much of their time searching for resources, including territory, food, and mates, so too do humans—albeit our search is often conducted in different kinds of spaces. We search for items in visual scenes (e.g., a favorite brand on a crowded supermarket shelf or a weapon in a luggage X-ray image), for historical facts or shopping deals on Internet sites, for new friends or opportunities in a social network. We search our memories for past experiences and solutions to novel problems. In all these cases, just as in James's search for lost keys, the structures of resources and information in the world govern how we search and what we will find.

Search—the behavior of seeking resources or goals under conditions of uncertainty—is a common and crucial behavior for most organisms. It requires individuals to achieve an adaptive trade-off between exploration for new resources distributed in space or time and exploitation of those resources once they are found. Because this search problem is common to so many aspects of our lives, search behavior has been studied in a diverse range of scientific disciplines and paradigms: theoretical biologists study the characteristics of evolutionary search in high-dimensional spaces; behavioral ecologists analyze animals foraging for food; experimental psychologists investigate search in vision, memory, decision making, and problem solving; neuroscientists study the neural mechanisms of goal-directed behavior in humans and other animals; psychiatrists and clinical neuroscientists analyze aberrant volition such as drug-seeking behavior in addiction and attentional control in attention deficit

hyperactivity disorder (ADHD); computer scientists develop informationsearch algorithms for mining large-scale databases and for individual navigation of the World Wide Web; social psychologists investigate how people seek and choose mates and friends; and political scientists study how groups look for solutions to problems.

Search behavior is so ubiquitous that it is constantly being examined, reexamined, and redefined by many disciplines. At the same time, these disciplines often proceed in their investigations independently of one another and even without awareness of the parallels with research going on in other fields. This has put search at an interdisciplinary "blind spot" in the study of human and animal cognition. Furthermore, although the various fields that compose cognitive science have each furthered our understanding of cognition at various levels of analysis, the success of these endeavors has contributed to a modular view of the mind, comprising separate processes independently evolved to solve specific problems. Little attention has been paid to how the processes may share similar algorithms, neurocognitive control systems, or common ancestry.

Individual fields have, however, started to uncover a number of such commonalities among search processes. Recent molecular and comparative biological findings of neural mechanisms in multiple species that control the search for and evaluation of resources support a putative common ancestral precursor for many of the search behaviors in animal foraging. Computer scientists have extended the principles of foraging for food to the study of human "information foraging" in knowledge environments such as the World Wide Web. Characterizations from network science of large-scale mental spaces (such as lexicons) and social spaces (such as friendship networks) have provided structurally similar terrains for modeling search behavior in those domains. Cognitive neuroscience has explored how interactions between the prefrontal cortex and basal ganglia mediate response selection among a variety of goaldirected behaviors, including trade-offs between exploration and exploitation. Similar neuronal and molecular machinery may handle problems as diverse as spatial target search (involving the parietal cortex), retrieval from memory (hippocampus and prefrontal cortex), and abstract decision making (anterior cingulate, prefrontal cortex, and dopamine-dependent functions of the striatum). These diverse goal-directed processes are central to cognition and rely on the integration of search-related architectures. Findings such as these lead to the surprising conclusion that the same cognitive and neural processes may underlie much of human behavior comprising cognitive search—both in the external world and in internal memory (reviewed in Hills 2006).

The pressing need to integrate these insights further has led to the current book, which provides a cross-cutting perspective on the underlying commonalities of cognitive search in different search domains, as studied through different disciplinary lenses. This perspective was developed at the Ernst Strüngmann Forum on Cognitive Search: Evolution, Algorithms, and the Brain. This Forum convened 44 scientists to discuss what can be learned about cognitive search

from the study of animal behavior, psychology, neurobiology, and computer science, who sought to identify the commonalities and distinctions among the findings on search in these fields. The chapters in this book capture the beginnings of the foundation that was constructed for a common intellectual ground between the varied disciplines studying search behavior and cognition. This new conceptual base also highlights important directions for future research, including investigations on the underlying neuromolecular and evolutionary origins of human goal-directed cognition and the applications that follow from seeing human behavior as grounded in different types of search.

Central Themes in Cognitive Search

This book is organized around four main themes central to search behavior:

- 1. its evolutionary origins, adaptive functions, and main characteristics as described from an ecological perspective;
- 2. its neural and neurochemical underpinnings in the brain;
- 3. its cognitive manifestations and mechanisms in domains commonly studied by psychologists;
- its algorithmic application to high-dimensional spaces including evolutionary search over genotypes, social search in social networks, and information search on the World Wide Web.

These themes framed the discussion of the four corresponding working groups at the Forum, and are similarly reflected in the four sections of this volume. Each section comprises background chapters followed by a group-authored chapter that summarizes the discussions and debates that arose. Here we give an overview of the questions that drove each group's discussions.

Group 1: Evolution of Search, Adaptation, and Ecology

This working group focused on the biological origins of search and the ultimate adaptive functions it plays for different species, and was guided by the following questions:

- What adaptive problems has search evolved to solve (e.g., food, habitat, mates, social partners, information, specific memories)?
- What are the common features of those problems (e.g., patchy vs. uniform distribution, competition, degree of uncertainty)?
- What are the common features of the solutions (e.g., individual vs. group foraging, exploration vs. exploitation, local vs. global, parallel vs. serial)?
- What is the evolutionary history and fate of strategies (e.g., phylogeny, homology, exaptation)?

Much of this group's discussion (see Hutchinson et al., this volume) centered around defining search behavior (and what is not search), and on creating typologies of different kinds of search defined by features such as the distribution of resources in space and time and whether or not the resources are ephemeral. The intent was to provide a wide range of examples of different kinds of search and where they occur, and to build an ecological basis for thinking about search in other domains. Social search, including the dual roles that individuals may have in terms of finding resources versus scrounging them from others, was another central topic.

Group 2: Search, Goals, and the Brain

Focusing on the conserved proximate mechanisms—brain structures, neural circuits, and neurochemical modulations—that underlie search behavior across multiple domains, this group was guided by the following questions:

- What are the shared molecular and neural processes that control spatial and nonspatial attention and search?
- How does the brain implement goal maintenance and switching, and exploration versus exploitation trade-offs?
- How is the neuromodulation of search processes (e.g., via the molecular signaling functionality of dopamine) controlled and conserved across species and behaviors?
- What can be learned from pathologies of goal-directed search such as obsessive-compulsive disorder, ADHD, and Parkinson's disease?

After discussing definitions of search and its connection to goal seeking, Winstanley et al. (this volume) worked to come up with a model of the neural mechanisms underlying goal-directed behavior that brings together much of what is currently known in the literature. This provided a useful jumping-off point for discussions with the other groups, particularly the psychologists in Group 3. Relatively less progress was made on the questions related to pathologies, which remains an important direction for further research.

Group 3: Mechanisms and Processes of Cognitive Search

This working group focused on the cognitive and memory mechanisms involved in search, as studied by psychology and cognitive science, and the possibility of a general cognitive search process. Discussions were guided by the following questions:

• What are the psychological components (e.g., exploration, sampling, evaluation, stopping rules) in common to various types of cognitive search (e.g., visual, memory, spatial), and how do these compare to the components of search in external environments?

- Do the shared aspects of cognitive models of memory recall and recognition, visual search, and lexical retrieval point to a common underlying mental search process, and what methods (e.g., priming between search tasks) could be used to study this?
- What are appropriate ways to represent mental search spaces, and what do these representations presume about the underlying search processes?
- How is cognitive search directed and controlled (e.g., focus of attention, cue selection, feeling of knowing, inhibition of return)?

Pachur et al. (this volume) centered on search tasks that have been traditionally studied in laboratory experiments, including search through memory of paired-associate lists, visual search in simple two-dimensional arrays of images, and the search for information or cues to be used in making decisions. Group members agreed that more emphasis needs to be put on real-world tasks, such as searching for memories of routes to known locations in one's environment or for objects in a natural visual scene.

Group 4: Search Environments, Representation, and Encoding

This working group focused on how people search through high-dimensional environments (beyond two or three dimensions), such as social networks or collections of information, and on comparisons with search processes in evolution and computer science. Organizing questions included:

- How are different search domains structured and represented to searchers (e.g., patches of resources, topological distributions in physical, mental, and social environments)?
- Where do these search space structures come from, and how are they formed (e.g., evolution, ontogeny, network growth)?
- What are the similarities and differences between mechanisms and behaviors for search in high-dimensional (e.g., information) versus low-dimensional (e.g., physical) spaces?
- How does the structure and dimensionality of the environment impact the search process? Are different strategies appropriate in predictable ways across memory search, World Wide Web search, and social network search?
- How can we facilitate individual and group search in different environments (e.g., in the semantic web or social networks)?

Schooler et al. (this volume) considered ways that search has been implemented in computer science, where search is a central concept for developing algorithms that find solutions to problems or information sought by users. Social scientists reported related studies in which people search their social networks for others who may have parts of solutions that they need to solve problems

cooperatively. The theory of neutral networks from genetics was discussed as a way for agents to search along "ridges" in a high-dimensional space so that they can avoid getting stuck in local maxima. Semantic space models relating concepts in memory or on the World Wide Web were also considered as prime targets for developing better methods for search.

Synergy and Future Directions

Throughout the Forum, issues arose that cut across the different groups, leading to even wider interdisciplinary conversations. For example, biologists and psychologists in Groups 1 and 3 explored the many commonalities between the basic principles underlying animal search for resources and those governing human cognition. Just as animals often search spatial patches, like berries on separate bushes, so humans also search patchy memory representations, hunting for useful clusters of information in their own minds and then exploiting what they find. To sustain their intake rate, foraging animals have evolved rules that guide them to leave a patch when their rate of finding things falls below that which they could achieve if they look elsewhere; the psychologists in Group 3 debated evidence that people behave similarly when searching in memory or a visual scene. Computer scientists in Group 4 argued that information search on the World Wide Web follows similar principles: users give up on websites when their "information scent" falls below the level indicating further profitable exploration in that direction. The brain architecture underlying such goal-directed searching behavior and the seeking of memories to guide voluntary action toward those goals was also the main focus of neuroscientists in Group 2.

Open questions raised at the Forum demonstrate that we are just at the beginning of understanding the intertwined evolutionary, psychological, and neurological bases of the great range of search behaviors of humans and other animals. The most pressing and promising avenues for research include:

- further elucidating the underlying similarities and differences of search in different domains (e.g., Web search, memory search, visual search, mate search, search for food);
- specifying the neural and cognitive mechanisms governing search across different domains;
- exploring the phylogeny of search and how one type of search could evolve into another;
- studying individual differences in search behavior, their genetic bases, and the possible adaptive nature of mixed strategies;
- determining the usefulness of considering some clinical conditions as aberrations of search, leading to too much exploration (e.g., ADHD) or too much focus (e.g., obsessive-compulsive disorder), and possibly

- sharing neuromodulatory mechanisms similar to those that control search in other species (e.g., dopamine);
- seeking new treatments for goal-directed pathologies (e.g., drug addiction, Parkinson's disease, ADHD) based on knowledge of the brain mechanisms of search;
- building tools that structure the increasingly overwhelming information environment to work with people's search mechanisms and help them successfully find satisfactory results.

Further interdisciplinary cross-fertilization and scientific inquiry will increase our knowledge of the foundations of cognitive search, which will in turn find use in a variety of new applications. These include clinical treatments and "brain training" to improve strategic search and focus; greater vigilance and control of attention in airport baggage checking, medical image screening and diagnosis, and intelligence analysis; enhanced use of the wisdom of crowds in social problem solving; and better decision making through insights into the evolutionary origins of our abilities to think rationally about finding and using resources. With a greater understanding of how various forms of search are related to each other, we will enhance our search for all that we seek.