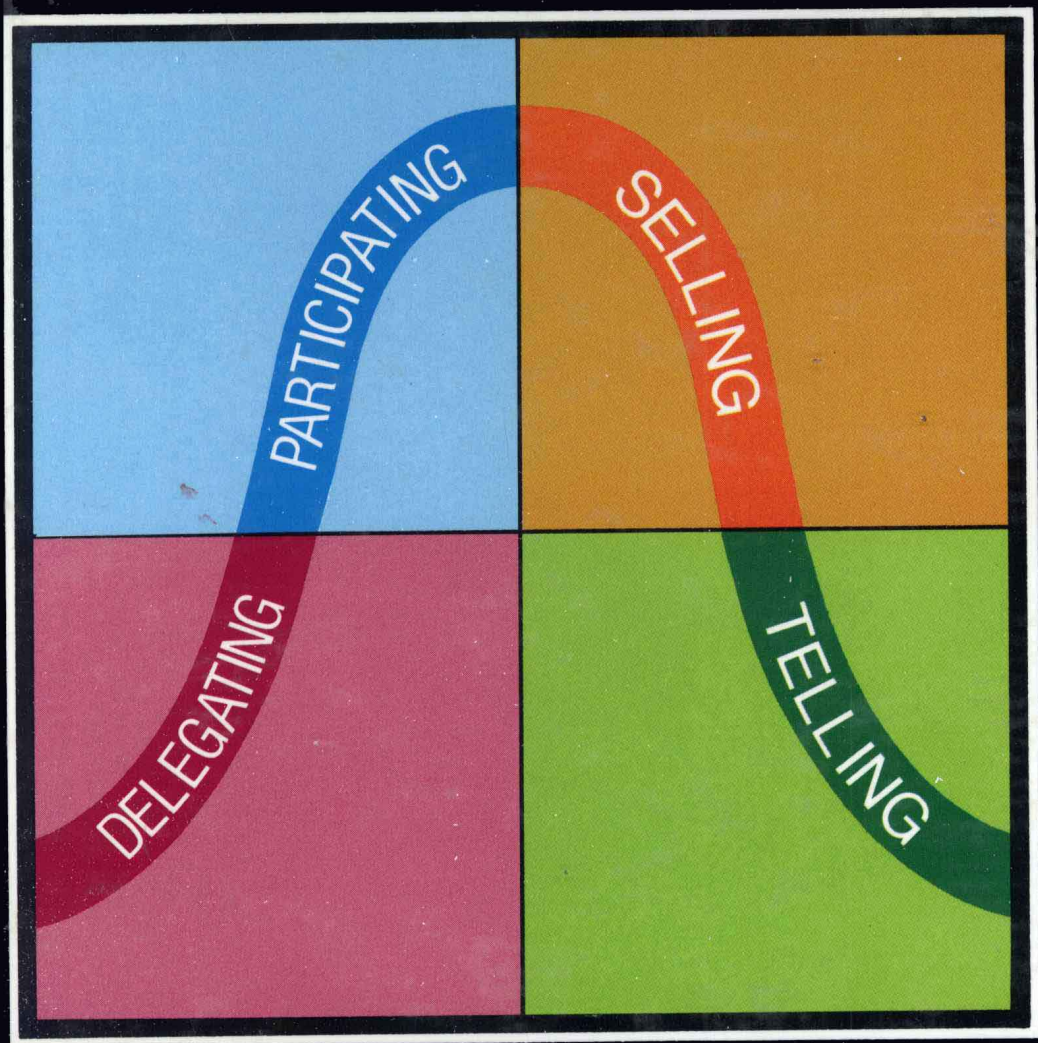


MANAGEMENT OF ORGANIZATIONAL BEHAVIOR

UTILIZING HUMAN RESOURCES

FOURTH EDITION



PAUL HERSEY

•

KEN BLANCHARD

Fourth Edition

Management of Organizational Behavior: Utilizing Human Resources

PAUL HERSEY

*Graduate School of Applied Behavioral Sciences
California American University
Escondido, California*

KENNETH H. BLANCHARD

*University of Massachusetts
Amherst, Massachusetts*

PRENTICE-HALL, INC., *Englewood Cliffs, New Jersey 07632*

Hersey, Paul.

Management of organizational behavior.

Bibliography: p.

Includes index.

1. Organizational behavior. 2. Management.

3. Leadership. I. Blanchard, Kenneth H. II. Title.

HD58.7.H47 1982 658.3 81-12097

ISBN 0-13-549618-7 AACR2

ISBN 0-13-549600-4 (pbk.)

Editorial/production supervision and

interior design by Sonia Meyer

Cover design by Mark Binn

Manufacturing Buyer: Ed O'Dougherty

© 1982, 1977, 1972, 1969 by Prentice-Hall, Inc.,
Englewood Cliffs, N.J. 07632

All rights reserved. No part of this book
may be reproduced in any form or
by any means without permission in writing
from the publisher.

Printed in the United States of America

10 9 8 7 6

ISBN 0-13-549618-7

ISBN 0-13-549600-4 {pbk.}

Prentice-Hall International, Inc., *London*

Prentice-Hall of Australia Pty. Limited, *Sydney*

Prentice-Hall of Canada, Ltd., *Toronto*

Prentice-Hall of India Private Limited, *New Delhi*

Prentice-Hall of Japan, Inc., *Tokyo*

Prentice-Hall of Southeast Asia Pte. Ltd., *Singapore*

Whitehall Books Limited, *Wellington, New Zealand*

to

RALPH E. HERSEY, SR., a retired telephone pioneer with over fifty patents for Bell Laboratories, whose work made direct distance dialing a reality. In looking back over his thirty-nine years of work with the telephone industry, he once commented that of all his contributions, the most rewarding aspect to him personally was that he became known as a *developer of people*.

and

the late REAR ADMIRAL THEODORE BLANCHARD, USNR, former Naval officer who was decorated with two Silver Stars, the Bronze Star, the Presidential Citation, and a Navy Unit Commendation for his courageous and competent World War II leadership in the Pacific. In talking with people who worked for him over the years, he was always described as an inspirational, dedicated and caring leader who always fought for his people and the "underdog," whether in peace or war time.

Preface

In the preface to our first edition we made the following statements, which we still believe:

For a long time management theory has been characterized by a search for universals—a preoccupation with discovering essential elements of all organizations. The discovering of common elements is necessary, but they do not really provide practitioners with “principles” that can be applied with universal success.

In the past decade there has appeared a relative maturity in this field as it begins to focus on “patterned variations”—situational differences. We assume that there are common elements in all organizations, but we also assume differences among them and in particular the managing of their human resources. As the inventory of empirical studies expands, making comparisons and contrasts possible, management theory will continue to emerge. Common elements will be isolated and important variables brought to light.

We believe that management theory is important to all categories of organizations—business, government, military, medicine, education, “voluntary” organizations such as the church, and even the home. We thus have drawn our illustrations and cases from a variety of these organizations and incorporated concepts from many disciplines. Our purpose is to identify a framework which may be helpful in integrating independent approaches from these various disciplines to the understanding of human behavior and management theory.

The focus of this book is on behavior within organizations and not between organizations. Our belief is that an organization is a unique living organism whose basic component is the individual and this individual is our fundamental unit of study. Thus, our concentration is on the interaction of people, motivation, and leadership.

Though this book is an outgrowth of the insights of many earlier writers, we hope it will make some contribution to management theory.

The response to our first three editions has been widespread and diverse. Organizations in several fields have made use of the text in a variety of ways—not only in the United States, but in other countries throughout the world. Our goal of writing a concise and easy-to-read text—one that would make the behavioral sciences come alive for practitioners and students alike—appears to have been accomplished.

In this fourth edition we have clarified and updated much of the information presented in earlier editions. Passages in each chapter are enhanced with new and significant additions to make the contents practical and useful in today's world. Considerable rewriting is found in the sections dealing with the developmental cycle and the concept of constructive discipline.

Further, this fourth edition focuses in depth on the concept of power as it applies to leadership effectiveness. Since leadership at any level is basically an attempt to influence . . . and since power is properly defined as influence potential . . . the effective leader must understand from whence power is derived; what power bases are available; and how to use these power bases wisely. Chapter 8 discusses the Situational approach to using power in organizational settings.

We trust that this fourth edition will better represent a contribution to the current status of the behavioral sciences.

We owe much to colleagues and associates without whose guidance, encouragement, and inspiration the first edition of this book—much less the fourth—would never have been written. In particular, we are indebted to Harry Evarts, Ted Hellebrandt, Norman Martin, Don McCarty, Bob Melendes, Walter Pauk, Warren Ramshaw, and Franklin Williams.

We wish to make special mention of Chris Argyris, William J. Reddin and Edgar A. Schein. Their contributions to the field of applied behavioral science have been most valuable to us in the course of preparing this book, and we hereby express our appreciation to them.

Finally, we add a special thanks to Kevin Sullivan and Sonia Meyer for their skill and dedication in the editing and preparation of this edition, and to Suzanne and Margie, our wives, for their continued patience, support, and interest in the progress of our work.

Paul Hersey

Kenneth H. Blanchard

Management of Organizational Behavior

Contents

Preface

xvii

chapter 1

Management: A Behavioral Approach

1

SUCCESSFUL VERSUS UNSUCCESSFUL SCIENCES 1

Change 2 A Problem of Investment 2

MANAGEMENT DEFINED 3

Distinction Between Management and Leadership 3

MANAGEMENT PROCESS 3

SKILLS OF A MANAGER 5

Emphasis on Human Skills 6

ORGANIZATIONS AS SOCIAL SYSTEMS 6

 Managerial Roles in a Social System 7

INGREDIENTS FOR EFFECTIVE HUMAN SKILLS 9

 Understanding Past Behavior 9

 Predicting Future Behavior 9

 Directing, Changing, and Controlling Behavior 10

APPLIED BEHAVIORAL SCIENCES 10

 What Is a Behavioral Scientist? 10

 Learning to Apply Behavioral Science Theory 11

 The Design of This Text 12

chapter 2

Motivation and Behavior 14

BEHAVIOR 14

 Motives 15 Goals 15 Motive Strength 16

 Changes in Motive Strength 16

CATEGORIES OF ACTIVITIES 19

MOTIVATING SITUATION 21

EXPECTANCY AND AVAILABILITY 24

PERSONALITY DEVELOPMENT 25

 Changing Personality 25

HIERARCHY OF NEEDS 26

MOTIVATIONAL RESEARCH 31

 Physiological Needs 31 Safety (Security) Needs 31

 Social (Affiliation) Needs 33 Esteem 35

 Self-Actualization 37 Money Motive 40

WHAT DO WORKERS WANT FROM THEIR JOBS? 41

chapter 3

Motivating Environment

45

HAWTHORNE STUDIES	45
THEORY X AND THEORY Y	48
HUMAN GROUP	51
INCREASING INTERPERSONAL COMPETENCE	52
Immaturity-Maturity Theory	53
Theory into Practice	55
MOTIVATION-HYGIENE THEORY	56
Hygiene Factors	57
Motivators	58
The Relationship of Herzberg to Maslow	59
JOB ENRICHMENT	61
Example of Job Enrichment	61
A Problem of Placement	62
MANAGEMENT SYSTEMS	63
Theory into Practice	65
TRANSACTIONAL ANALYSIS	67
Ego States	68
A Healthy Personality	70
Life Position	71
Transactions Between People	72
Strokes	75
Psychological Game Analysis	76
SCRIPT ANALYSIS	77
SUMMARY AND CONCLUSION	78

chapter 4

Leader Behavior

82

LEADERSHIP DEFINED	82
--------------------	----

TRAIT VERSUS SITUATIONAL APPROACH TO THE STUDY OF LEADERSHIP	83
LEADERSHIP PROCESS	84
Scientific Management Movement	84
Human Relations Movement	85
Authoritarian-Democratic Leader Behavior	85
Michigan Leadership Studies	87
Group Dynamics Studies	87
Ohio State Leadership Studies	88
Managerial Grid	89
Is There a Best Style of Leadership?	91
ADAPTIVE LEADER BEHAVIOR	94
Leadership Contingency Model	94
THE TRI-DIMENSIONAL LEADER EFFECTIVENESS MODEL	95
Effectiveness Dimension	96
Instrumentation	99
What About Consistency?	100
Attitude Versus Behavior	101
 chapter 5	
Determining Effectiveness	106
 MANAGEMENT EFFECTIVENESS VERSUS LEADERSHIP EFFECTIVENESS	106
SOURCES OF POWER	107
SUCCESSFUL LEADERSHIP VERSUS EFFECTIVE LEADERSHIP	109
WHAT DETERMINES ORGANIZATIONAL EFFECTIVENESS?	111
Causal Variables	111
Intervening Variables	111
Output or End-Result Variables	111
Long-Term Goals Versus Short-Term Goals	112
Organizational Dilemma	113
FORCE FIELD ANALYSIS	115

INTEGRATION OF GOALS AND EFFECTIVENESS	117
PARTICIPATION AND EFFECTIVENESS	119
Management by Objectives	119
STYLE AND EFFECTIVENESS	121

chapter 6

Diagnosing the Environment 125

ENVIRONMENTAL VARIABLES	125
Style Defined	126
Expectations Defined	126
STYLE AND EXPECTATIONS	127
Leader's Style and Expectations	127
Followers' Styles and Expectations	131
Superiors' Styles and Expectations	132
Associates' Styles and Expectations	134
Organization's Style and Expectations	134
OTHER SITUATIONAL VARIABLES	135
Job Demands	135
Time	138
External Environment	139
DEVELOPING STRATEGIES	139
Changing Style	139
Changes in Expectations Versus Changes in Style	141
Team Building: Selection of Key Subordinates	142
Changing Situational Variables	143
DIAGNOSING THE ENVIRONMENT—A CASE	144
Anti-Peter Principle Vaccine	146
HOW CAN MANAGERS LEARN TO DEAL WITH ALL THESE ENVIRONMENTAL VARIABLES?	146

chapter 7

Situational Leadership

149

SITUATIONAL LEADERSHIP 150

Maturity of the Followers or Group 151

Basic Concept of Situational Leadership 151

Style of Leader Versus Maturity of Follower(s) 152

APPLICATION OF SITUATIONAL LEADERSHIP 155

Determining Appropriate Style 156

Components of Maturity 157

Instruments to Measure Maturity 158

Components of Leadership Style 158

**SITUATIONAL LEADERSHIP AND VARIOUS
ORGANIZATIONAL SETTINGS 162**

Parent-Child Relationships 162

Ineffective Parent Styles 163

Management of Research and Development Personnel 164

Educational Setting 165

UNDERSTANDING EARLIER RESEARCH 167

Determining the Effectiveness of Participation 168

The Influence of Cultural Change 169

DOES SITUATIONAL LEADERSHIP WORK? 171

CHANGING LEADERSHIP STYLE APPROPRIATELY 172

chapter 8

**Situational Leadership, Perception,
and the Impact of Power**

176

POWER DEFINED 176

POWER: AN ERODING CONCEPT 177

BASES OF POWER 177

IS THERE A BEST TYPE OF POWER? 179

Power Bases and Maturity Level 181

**INTEGRATING POWER BASES, MATURITY LEVEL,
AND LEADERSHIP STYLE THROUGH
SITUATIONAL LEADERSHIP 182**

The Situational Use of Power 182

Developing Sources of Power 185

Sources of Power 185 Perception of Power 186

Eroding Sources of Power 187

THE POWER PERCEPTION PROFILE 188

Development of the Power Perception Profile 188

Uses of the Power Perception Profile 189

CONCLUSIONS 190

chapter 9

Developing Human Resources

193

INCREASING EFFECTIVENESS 194

Breaking the Ineffective Cycle 197

DEVELOPMENTAL CYCLE 197

What Do We Want to Influence? 198

How Is the Person Doing Now? 198

Determining Maturity 198 Increasing Maturity 200

Successive Approximations 201

Time and the Developmental Cycle 206

**CHANGING MATURITY THROUGH BEHAVIOR
MODIFICATION 207**

Positive Reinforcement 208

Individualizing Reinforcement 208

Schedule of Reinforcement 209

Consistency in Reinforcement 210

Isn't All This Reinforcement a Form of Bribery? 210

chapter 10

Constructive Discipline 212

THE REGRESSIVE CYCLE 212

- The Importance of Timing Interventions 215
- The Emotional Level of an Intervention 217
- Some Things to Remember When Disciplining an Individual 219
- Punishment and Negative Reinforcement 220
- Extinction 222
- When to Use Punishment or Extinction 223
- An Example of Using Behavior Modification 224

PROBLEMS AND THEIR OWNERSHIP—
WHO'S GOT THE MONKEY? 224

- Inappropriate Responses to People with a Monkey 227
- Keep the Monkey Where it Belongs 230

chapter 11

Building Effective Relationships 232

LEAD INSTRUMENTATION 233

- Leadership Style 233 Style Range or Flexibility 233
- Style Adaptability 234
- Flexibility: A Question of Willingness 235
- Is There Only One Appropriate Style? 236
- Use of LEAD Instrumentation 237

JOHARI WINDOW 237

- Feedback 239 Disclosure 241
- Self-Perception Versus Style 243 Is It Too Late? 245

LEAD PROFILES 247

- Sample 247 What Is a Two-Style Profile? 247
- Wide Flexibility 248
- Reference to Situational Leadership 248
- Style Profile 249 Style Profile 1–4 250
- Style Profile 2–3 251 Style Profile 1–2 252

Style Profile 2–4	253	Style Profile 3–4	254
Implications for Growth and Development	256		
Team Building	258		
Who Determines the Leadership Style of a Manager?	258		

CONTRACTING FOR LEADERSHIP STYLE 258

Adding the Contracting Process	259
--------------------------------	-----

MAKING THE PROCESS WORK 260

An Example—Contracting for Leadership Styles in a School	260
Using the Maturity Style Match	261

chapter 12

Planning and Implementing Change 266

GENERAL FRAMEWORK FOR UNDERSTANDING CHANGE 266

DIAGNOSIS 267

Point of View	267	Identification of Problem(s)	267
Analysis—An Outgrowth of Problem Identification	268		

IMPLEMENTATION 269

Force Field Analysis	269	Change Cycles	272
Patterns of Communication	276	Change Process	280
Unfreezing	280	Changing	280
Change Process—Some Examples	281	Refreezing	281
Recommended Action	285		

MANAGING INTERGROUP CONFLICT 285

Consequences of Group Competition	285
Preventing Intergroup Conflict	286
Blake, Shepard, and Mouton Model	287

ORGANIZATIONAL DEVELOPMENT 290

Organizational Effectiveness and O.D.	291
A Problem with Organizational Development	291

IMPACT OF CHANGE ON THE TOTAL SYSTEM 292

chapter 13

Synthesizing Management Theory: A Holistic Approach 295

SITUATIONAL LEADERSHIP AND MOTIVATION 295

SITUATIONAL LEADERSHIP, MANAGEMENT STYLES,
AND HUMAN NATURE 296

SITUATIONAL LEADERSHIP AND TRANSACTIONAL
ANALYSIS 300

SITUATIONAL LEADERSHIP AND CONTROL SYSTEM 301

SITUATIONAL LEADERSHIP AND POWER BASES 302

SITUATIONAL LEADERSHIP AND PROBLEM
OWNERSHIP 303

SITUATIONAL LEADERSHIP AND ORGANIZATIONAL
GROWTH 304

SITUATIONAL LEADERSHIP AND CHANGE 305

SUMMARY 308

CONCLUSIONS 309

Recommended Supplementary Reading 313

Selected Bibliography 315

Index 337