# CONCEPTS OF INTERNATIONAL POLITICS IN GLOBAL PERSPECTIVE

**FOURTH EDITION** 

Abdul Aziz Said Charles O. Lerche, Jr. Charles O. Lerche III

# Concepts of International Politics in Global Perspective

Abdul Aziz Said

The American University

Charles O. Lerche, Jr.

Late, The American University

Charles O. Lerche III

Boston University

### Library of Congress Cataloging-in-Publication Data

Said, Abdul Aziz.

Concepts of international politics in global perspective / Abdul Aziz Said, Charles O. Lerche, Jr., Charles O. Lerche, III.—4th ed.

cm.

Rev. ed. of: Concepts of international politics in global perspective / Charles O. Lerche, Jr., Abdul Aziz Said. 3rd ed. © 1979.

Includes bibliographical references and index.

ISBN 0-13-174640-5

1. International relations. I. Lerche, Charles O. II. Lerche, Charles O., III. III. Lerche, Charles O. Concepts of international politics in global perspective. IV. Title.

JX1308.525 1995 94–24776 327—dc20 CIP

Acquisitions editor: Charlyce Jones Owen Editorial assistant: Nicole Signoretti Editorial/production supervision and interior design: P. M. Gordon Associates, Inc. Copy editor: Peter Reinhart Cover design: Marjory Dressler Buyer: Bob Anderson

©1995, 1979, 1970, 1963 by Prentice-Hall, Inc. A Pearson Education Company Upper Saddle River, NJ 07458

All rights reserved. No part of this book may be reproduced, in any form or by any means, without permission in writing from the publisher.

Printed in the United States of America 10 9 8 7 6 5 4 3 2 1

### ISBN 0-13-174640-5

Prentice-Hall International (UK) Limited, London
Prentice-Hall of Australia Pty. Limited, Sydney
Prentice-Hall Canada Inc., Toronto
Prentice-Hall Hispanoamericana, S.A., Mexico
Prentice-Hall of India Private Limited, New Delhi
Prentice-Hall of Japan, Inc., Tokyo
Pearson Education Asia Pte. Ltd., Singapore
Editora Prentice-Hall do Brasil, Ltda., Rio de Janeiro

# Preface

World politics has changed in major ways in recent years. The objective situations, the underlying forces and circumstances, distributions of power, economic influence, and prominent issues are certainly different. But in addition to these differences, the subjective situation, the frame of reference that people bring to the understanding of world events, has also changed—probably for everyone.

The biggest changes have been in values and ideas. We are leaving—have really left—a world in which participants in the global game of international politics could play their roles on the world stage without the self-conscious awareness that they were playing roles. We have entered a world in which the principal actors—from the president of the United States to a student demonstrator in Beirut—are as much concerned with how their actions will appear on television as they are with the direct impact they will have on the events of world politics.

Recently, the first truly global political community has begun to emerge around us. What we in the international relations field called the interpenetration of states in recent decades has not occurred only in the Western world. It has probably evolved so far as to be irreversible, short of global catastrophe. We have moved from a humanity that lived its collective life as fragments of the whole into a new context of humanity as a whole.

In fact, not only is it inaccurate to speak of the West as distinct from the East, but it is also not accurate even to speak of the North as opposed to the South. These distinctions are more appropriate as generalizations for popular mythology than as descriptions for actual international politics. For example, the gross value of manufactured goods exported by the Global South, formerly called the Third World, equaled the value of the manufactured goods exported by the United

States. Other examples abound: Japan is losing market share in steel and electronic home appliances to South Korea. Truly global industries are emerging and, along with them, the foundations for a world economic system.

The recent manifestations of neoconservatism in the West and religious fundamentalism in many parts of the world, for example, are masking the scale of global transformation now under way. Political rhetoric is essentially backward-looking—it translates contemporary issues into political distinctions of the past. This reactionary bias of political rhetoric is not the monopoly of practitioners of world politics. Media pundits and professional analysts are as much—if not more—the victims of rhetorical anachronisms.

If we in the field of international relations have been guilty of a single error during the recent years, it has been to overemphasize the traditional rituals of the world political game and underestimate the impact of the emergence of the first global civilization. Today's emerging global civilization has a far larger relative sway than Rome, the most extensive of its predecessors. For unlike Rome—which knew only dimly of the civilizations of China and India, and nothing at all of American Indian civilizations in the western hemisphere—the global culture now coalescing excludes no one. The remotest jungle village and the most isolated igloo in the arctic are coming to feel the grip and penetrating power of the first planetary culture.

This edition of *Concepts of International Politics in Global Perspective* emphasizes the kinds of new actors and issues now present within the context of emerging global civilization. The Introduction of this fourth edition updates our brief survey of the study of international relations, reflecting and explaining the changing treatment of the subject matter. Part I, "The Actors in Global Politics," underscores the expanding role of nonstate actors in the global system. Concepts are updated to accommodate the broadening environment of decision making and the new requirements of security. Part II, "The Global Political System: Actors and Their Relations," places emphasis on the expanding diversity of global relations. The treatment reflects greater sensitivity to nonstate actors. Part III, "The Substance of Global Politics: Major Issues of Our Age," has undergone major revision reflecting present challenges of world politics.

The new Conclusion develops the concept of cooperative global politics. The recommended readings for the Introduction, for each of the fifteen chapters, and for the Conclusion have all been updated to include essential works published recently. Yet, the concise nature of the previous three editions remains intact.

# Acknowledgments

The generous assistance of our many friends and our two families enabled us to complete the fourth edition of *Concepts of International Politics in Global Perspective*. Professor Ivan Volgyes of The University of Nebraska, Professor Thomas O. Schlesinger of Plymouth State College, and Professor James S. Pacy of the University of Vermont reviewed the manuscript and provided thoughtful criticism. Their contributions have been invaluable. Brian Thoka, Ramin Tabib, Seira Tamang, Anita Roper, Oussama Safa, Amir B. Izadyar, Eric Everson, and Gregory Gugel, teaching assistants and graduate students at The School of International Service, The American University, helped us in researching new material. Sean C. Day, Suzanne Wopperer, Ben M. Rose, Valerie Baker, IngJye Cheng, Greta Gard, Gretchen M. Bossert, and Robyn LeBoeuf, undergraduate students at The School of International Service, The American University, helped us with the tedious labor of reading and commenting on the manuscript. Rita Homsieh, constant friend and invaluable research assistant, helped with all aspects of preparation of this edition with great efficiency and good humor.

Our sons Riyad and Jamil, and Carl and Jacob, respectively, inspired us to see and try to find the possibility of something new in the uncertainty of our times.

The boundless love of my soulmate Elena is a source and a mirror of vision and faith. Her radiant mind made me see this new edition, and her generous heart gave me confidence to do it.

A. A. S.

My wife Loni gave me unfailing and generous support. Her patience and encouragement sustained my effort.

C.O.L.III

# **Contents**

Ackr	nowledgments xvii
INT	RODUCTION: CHANGING FRAME OF ANALYSIS 1
	Development of the Study of International Relations 2
	Peace and Conflict Resolution Studies 7
	Epistemology or the Nature of World Politics 8
	Feminist Perspectives on International Relations 9
	Methods of Study 10
	The Need for New Thinking 13
	The Approach of This Study 14
PAI The	RT I Actors in Global Politics 19
1	THE NATURE OF FOREIGN POLICY 20
	Politics: The Maximization of Values 20
	The Nature of Politics 21 Social Values and Foreign Policy 22 Cultural Diversity 23

Preface xv

Value Content of Foreign Policy 24 Foreign Policy and International Politics 26
Interests, Goals, and Objectives 27
From "Social Values" to "National Interest" 27 Ends and Means in Foreign Policy 28 The Nature of an Objective 29
Policy and Decision 30
The Meaning of "Policy" 30 The Need for Priorities 31 The Classic Compromise 33 Initiatives and Responses 33
Types of Foreign Policies 34
The Policy of the Status Quo 34 The Policy of Revisionism 35
GOVERNMENT AND POLICYMAKING 38
State Organization for International Action 38
Government and Foreign Affairs 38 The Head of Government 39
The Foreign Minister and the Foreign Office 40 The Diplomatic Service 41 Other Departments 42
The Policy Process 43
The Process of Decision 43 The Analysis of Foreign Policy Situations 44 The Choice Among Alternatives 45 Evaluating and Revising Decisions 46
Factors Influencing Decisions 46
The Appreciation of the Problem 47 The Calculation of Costs and Risks 47 The Problem of Domestic Consensus 48 The Incompleteness of Information 49 The Pressure of Time 50 National Style 50 Commitments and Precedents 51
CAPABILITY IN ACTION 53
Capability 53
Definition 53
The Function of Capability 54 Influence and Coercion in Capability 54 Capability and "Power" 55

	, 3, 8
	What Is a Capability Judgment? 56 Judging the State's Own Capability 56
	Capability Judgments of Other States 57
	Factors in Capability Analysis 58
	Analytical Point of View 58 The Situational Base 58 Relativity of Capability 59 The Dynamic Nature of Capability 59
	Elements and Factors of Capability 60
	The Major Categories 60 The Tangibles 61 The Intangibles 63
4	THE IMPLEMENTATION OF DECISIONS 68
	Political Techniques: Diplomacy 69
	The Nature of Diplomacy 69 The Functions of Diplomacy 70 Success and Failure in Diplomacy 71
	Economic Techniques: The Carrot and the Stick 72
	The Rationale of Economic Methods 73 Persuasive Economic Techniques 74 Coercive Economic Techniques 74 Conclusions on Economic Techniques 76
	Psychological Techniques: Propaganda and Culture 77
	The Nature of Propaganda 77 Propaganda as a Foreign Policy Tool 77 The Role of Subversion 78
	Military Techniques: War and Its Approximations 79
	War in Foreign Policy Calculations 80 Technology, Nationalism, and War 80 The Appropriateness of War 81 Military Techniques Short of War 82

Capability Judgments in Foreign Policy

56

# PART II The Global Political System: Actors and Their Relations 85

# 5 TRADITIONAL IDEAS AND PATTERNS OF GLOBAL POLITICS 86

The System of States 86

The Nature of the System 87

The State in the System 88 The Interstate Relationship 88 The Pole of Order and the Pole of Disorder 89
Equality and Inequality in Global Politics 90 The Law and Myth of Sovereignty 90 The Political Inequality of States 91 The Trend Toward Greater Inequality 91
Power Politics 92
The Assumptions of Power Politics 92 Power Status in World Politics 93 Patterns of Power Politics 94
The Regularities of Global Politics 96
Patterns of Equilibrium of Power 96 Multiple Balance of Power 96 Simple Balance of Power 97 Integration of Power 97 Methods of the Balance of Power 98
NEW CONDITIONS OF GLOBAL POLITICS 102
A World of States 102
The Contemporary Global System 103 Origins of the Nation-State System 104
The Rise and Decline of the Traditional International System 100
The Old International Order 107 The Emerging System 108 Diversity and Integration 109
Nonstate Actors in Global Politics 110
International Actors 110
Bloc Actors 111 International Organizations 112 Regional Actors 114 Transnational Actors 116
CONFLICT AND CONFLICT RESOLUTION 119
The Nature of Conflict 119
Types of Conflict 120 Objectives of Conflict 122 Balancing-Objective Conflict 122
Hegemonic-Objective Conflict 123
Recent Views of Systemic Conflict 124 The Tactics of Conflict 125

	The Resolution of Conflict 126
	Pacific Methods 126
	Coercive Procedures Short of War 130
	Forcible Procedures: The Role of War 130
	The Changing Nature of Force 130
	Recent Trends in Conflict Resolution 131
8	LIMITATIONS ON STATE ACTION 135
	Morality As a Limitation: What Is Moral Consensus? 135
	The Moral Problem in International Politics 136 The Rupture of the Moral Consensus 137 Morality and Foreign Policy 137 Morality, Interest, and Power 138 The Rise of International Morality 139 The Restraining Effect of Moral Consensus 140
	International Law 140
	The state of the s
	The Subject Matter of International Law 141 The Political Conception of International Law 142 Global South Attitudes Toward International Law 144 Recent Trends in International Law 146
	The Calculus of Prudence in Statecraft 146
	Rationality and Prudence in Statecraft 146 The Role of Probability 147 The Virtues of Half a Loaf 147
	RT III
The	Substance of Global Politics: Major Issues of Our Age 149
9	WAR AND ARMS CONTROL 150
	Total War and the States System 151
	The Possibility of Catastrophe 151
	The Invalidation of "Victory" 152
	The Rethinking of Political Values 154
	The Disappearance of Decision 155
	Decision by Consensus 155
	Political Effects of the New Warfare 155
	The "Balance of Terror" 156
	The Declining Credibility of Military Force 157 The End of Status 157
	The Utility of Military Force Today 158
	Arms Transfers 160

161 New Doctrines and the Military Dilemma

> 161 The Importance of Military Doctrine 162

The Doctrinal Crisis: Is This a New Era?

Doctrines of Total War

Doctrines of Limited War 167

The Arms Race and Arms Control 169

> The Arms Race 169

Strategic Arms Limitation 170

The Future of Arms Control 171

The Role of Political Decision 172

### 10 THE ROLE OF BELIEF SYSTEMS: IDEOLOGY, NATIONALISM, AND RELIGIOUS FUNDAMENTALISM 174

The Nature of Ideology 174

> Ideology and Contemporary World Politics 175

Ideology and Foreign Policy

Ideology, Myth, and Reality

Ideology in World Politics: The Cold War 179

> The Former Soviet Approach to the World: A Form of Communism 180

The U.S. Approach to the World: A Form of Democracy 181

The Global South Approach to the World

Nationalism: Old and New 185

> Traditional European Nationalism 185

Nationalism in the Global South 187

Religious Fundamentalism

188 Islamic Fundamentalism: A Case Study

### HUMANKIND, TECHNOLOGY, AND THE ECOSYSTEM 11 192

193 Energy

> Fossil Fuels 193

Nuclear Energy 193

Solar Energy 195

Appropriate Technology 195

The Exploration of Outer Space 196

> The Military Uses of Space 197

Cooperation in Space

Population, Hunger, and Poverty

Causes of the Population Problem

Political, Social, and Economic Effects 200

Avenues of Solution

Population and Global Politics 202

	Mass Communication 202
	Mass Media in World Politics 202
	Destructive and Constructive Applications 203
	Production, Consumption, and Distribution 204
	New Production Techniques 204
	Rising Consumer Expectations 204
	The Problem of Distribution 205
	Political Significance 205
	The Information Revolution 206
12	INTEGRATION, INTERDEPENDENCE, NORTH-SOUTH RELATIONS, AND DEVELOPMENT 209
	Interpendence, Integration, and Convergence 210
	Integration 210
	Interdependence 211
	Convergence Theory 212
	North-South Relations and the New International Economic Order 214
	A New International Economic Order? 215
	Development and Approaches to Development 220
	Approaches to Development 220
	Beyond Economics, Toward Adaptation 222
	Sustainable Development: Problems and Prospects 224
13	THE WORLD ECONOMY AND THE MULTINATIONAL CORPORATION 227
13	
	The World Economy: A Primer 227
	Perspectives 228 The Three C's: Commerce, Currency, and Capital 229
	Multinational Corporations (MNCs) 232
	Historical Evolution of Multinational Corporations 232
	Goals of Multinational Corporations 235
	The Relationship Between Multinational Corporations and
	Nation-States 237
	Multinational Corporations and the Global South 238
	Regulating the Multinational Corporations 241
	Conclusion 242
14	ETHNICITY, TERRORISM, AND NONVIOLENCE 244
	Ethnicity 244
	v
	Ethnicity Versus States 246

Ethnicity and Development 248 Ethnicity and Foreign Policy 251 Neo-Ethnic Groups 252

Terrorism 253

Terrorism as a System 253

Goals and Objectives of Terrorists 254

Legal Control of Terrorism

Nonviolence 256

The Relationship Between Principle and Practice 257 Nonviolence as a Power Technique 259

### 15 HUMAN RIGHTS 261

International Concern for Human Rights 262

The First Global Conference on Human Rights 265

Human Rights: Cultural and Ideological Perspectives 266

Western Liberalism 266

Socialists: Marxists and Neo-Marxists 267

The Global South 268

Common Human Rights? 269

Human Rights: Cold War and Post-Cold War 269

Toward Global Human Rights 275

### CONCLUSION: TOWARD COOPERATIVE GLOBAL POLITICS 278

A New Frame of Reference 278

The First Global Civilization 281

Cooperative Politics 283

Premises for a New World Order 286

# AUTHORS' POSTSCRIPT TO THE FOURTH EDITION 290 INDEX 293

# Chapter 6

# NEW CONDITIONS OF GLOBAL POLITICS

The international political system, incorporating the historically validated ideas and patterns we have examined, has been decisively influenced by major changes in contemporary world civilization. The several "revolutions" in transportation, communication, energy, production, weapons, information, and space have all had their direct effects on the actors as well as the environment. Thus the conditions of global politics today are radically different from those of even a half century ago. As we will attempt to demonstrate, many of the tensions of present world politics come from the inevitably frustrating attempt to fit the contemporary environment into traditional political categories.

Today the states of the world function within a political system that is both global and interdependent. Every explosion of political, economic, or social force within this system is felt all around the planet. No part of the Earth's surface can any longer be classified as politically remote, and to a greater or lesser extent every state is involved with every other state.

# A WORLD OF STATES

One new dimension of world politics is the great change in the number and nature of participants in the process. The environment shapes the actors at least as much as actors shape the environment—in fact, in our era the system's influence may be more important. Thus there is good reason to describe and analyze the characteristics of the overall environment faced by the diverse ensemble of actors

in global politics. We will see that political actors, like biological organisms, thrive in certain environments and decline or expire in others.

By stressing the nature of this environment—that is, the breakdown of distance, technological diffusions, expanding communication and information grids, and changing belief systems and forms of human organizations—we can anticipate what kinds of actors we would expect such an environment to support. Furthermore, we can analyze actual and potential patterns of change in the system and the types of new actors such changes might foster.

The international system, though still the arena of relations between states, is also increasingly characterized by *transnational* relations—relations among states and nonstate actors—and the politics of transnational exchange. This new variety of relations among actors has also modified the process of international politics.

## The Contemporary Global System

The total number of national actors (states) in world politics has more than tripled since the end of World War II. When the UN was established in 1945, its membership included 51 states; the present membership has risen to 184. This increase in number has been accompanied by a change in the geographic distribution of membership. In 1945 the UN had 19 members from Central and South America, 19 from Europe and other Western areas, 9 from Asia, and 4 from Africa. The number of Central and South American and other American states remained unchanged until the Caribbean states Jamaica, Trinidad-Tobago, Guyana, Barbados, Grenada, and the Bahamas became independent in the 1960s and 1970s. The states of Europe and the West have increased to 34 as a result of the breakup of the Soviet Union and Yugoslavia. In contrast, the number of African and Asian states has increased to more than 100. Together the states of Africa, Asia, and the Caribbean constitute nearly two-thirds of the present UN membership, and the vast majority of these new members were formerly colonies or annexed parts of Western powers and the Soviet Union.

Thus the system that once had a purely Western cultural pattern has been altered by other peoples and their value structures. This great shift in the background and general orientation of the vast majority of participants in world politics has had a number of immediate consequences for the system. Consensus on many issues is more difficult to obtain than it was when states shared a common cultural and historical orientation. The new states arrived in the system with a point of view different from that of the older states, and they apply different criteria of judgment and evaluation.

Communication between old and new states has been marked, at least so far, by a certain degree of suspicion, misunderstanding, and confusion of motivations. A new force—Global South nationalism, expressing itself initially in the form of anticolonialism or anti-imperialism, and more recently in demands for change in the global economy—has come to play a major role in the course of political life. Many of the new states look upon their former colonial masters with

(perhaps deserved) mistrust and press their cases against imperialism and for a restructuring of the economic order as matters of principle. The older states—which have a history of rule over alien peoples and which continue to enjoy favorable positions in the world economy, both because of and in spite of their imperialistic pasts—have encountered difficulty in defending themselves against this onslaught.

Entry into the states system of peoples who were formerly peripheral to it has also unveiled to the masses of the less-developed countries the startling contrast between their way of life and the much higher standard long enjoyed by older states. The governments of these new states have pressed for a more just share of resources, wealth, and opportunity for their peoples, or at least for greater assurance of continued survival. Thus the familiar "revolution of rising expectations" was a direct consequence of the broader membership in the global system. In turn, the older and more favorably placed members of the system were obliged to broaden their own political perspectives (not a little grudgingly at times) to take account of the new conditions of world politics. Not only have they been required to extend their interests to include many once-neglected areas of the world, but they have also been constrained to deal with a broad range of substantive problems (e.g., basic human needs, ethnicity, terrorism, human rights, the environment) that were considered to be outside the traditional context of international politics.

# Origins of the Nation-State System

To better appreciate the potential significance of the various changes in world politics, we should try to see the current system in a broader perspective. Then we can avoid the common tendency to take it for granted and begin to understand it as a product of a particular set of historical circumstances that are themselves subject to change.

Social behavior is closely related to our basic physical needs. Historical example suggests that, though individuals have organized in a variety of ways, the reasons for grouping together have remained relatively constant—search for food, shelter, and protection. A variety of interrelated personal motives—fear, hunger, anxiety, and drives for recognition, power, material gain, or self-fulfillment, as well as an instinctive need for community—probably explain why individuals organize into larger collectivities.

More importantly, the way individuals organize presupposes a type of value system. Even if our basic needs—food, protection, and shelter—do not change in their essence, our values and belief systems do change, and the prevailing sociopolitical order changes with them. When a political system does not adapt spontaneously to changes in the value system or to a new technology, it is forced finally to adjust or to go into decline. The failure of the former Soviet Union and the communist-bloc states to adopt a more liberal value system based on the discourse of rights is an example. When humanity valued kinship and community most, individuals grouped into tribes; when religion was most important, there