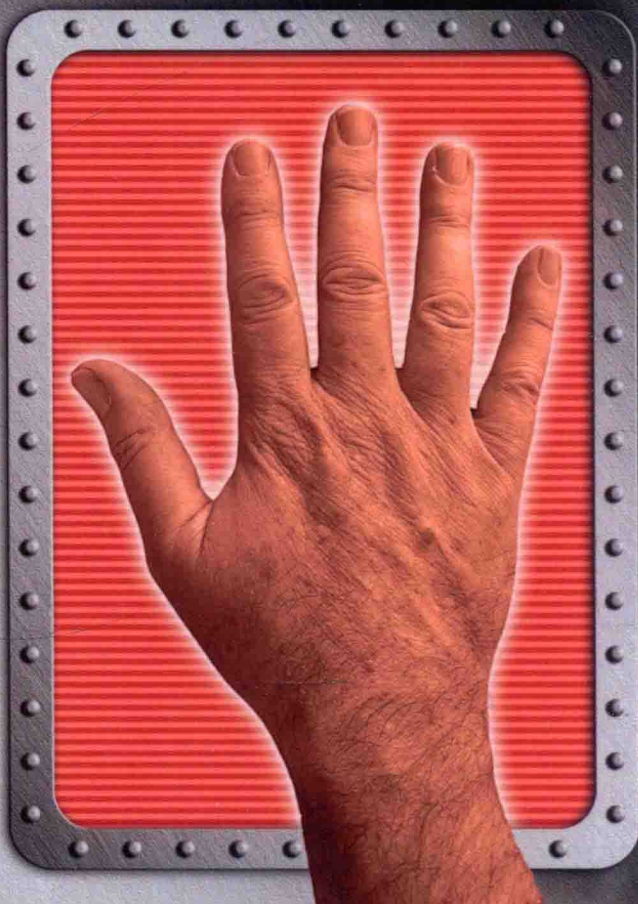


Advances in Biometrics for Secure Human Authentication and Recognition



ESS STATUS:
CESSING - PLEASE WRIT

548 77810 78002 15684 03332
105 00354 48800 00000 00000



Edited by Dakshina Ranjan Kisku
Phalguni Gupta • Jamuna Kanta Sing



CRC Press
Taylor & Francis Group

Advances in Biometrics for Secure Human Authentication and Recognition

Edited by
Dakshina Ranjan Kisku
Phalguni Gupta
Jamuna Kanta Sing



CRC Press

Taylor & Francis Group
Boca Raton London New York

CRC Press is an imprint of the
Taylor & Francis Group, an **informa** business

MATLAB® is a trademark of The MathWorks, Inc. and is used with permission. The MathWorks does not warrant the accuracy of the text or exercises in this book. This book's use or discussion of MATLAB® software or related products does not constitute endorsement or sponsorship by The MathWorks of a particular pedagogical approach or particular use of the MATLAB® software.

CRC Press
Taylor & Francis Group
6000 Broken Sound Parkway NW, Suite 300
Boca Raton, FL 33487-2742

© 2014 by Taylor & Francis Group, LLC
CRC Press is an imprint of Taylor & Francis Group, an Informa business

No claim to original U.S. Government works

Printed on acid-free paper
Version Date: 20131023

International Standard Book Number-13: 978-1-4665-8242-2 (Hardback)

This book contains information obtained from authentic and highly regarded sources. Reasonable efforts have been made to publish reliable data and information, but the author and publisher cannot assume responsibility for the validity of all materials or the consequences of their use. The authors and publishers have attempted to trace the copyright holders of all material reproduced in this publication and apologize to copyright holders if permission to publish in this form has not been obtained. If any copyright material has not been acknowledged please write and let us know so we may rectify in any future reprint.

Except as permitted under U.S. Copyright Law, no part of this book may be reprinted, reproduced, transmitted, or utilized in any form by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying, microfilming, and recording, or in any information storage or retrieval system, without written permission from the publishers.

For permission to photocopy or use material electronically from this work, please access www.copyright.com (<http://www.copyright.com/>) or contact the Copyright Clearance Center, Inc. (CCC), 222 Rosewood Drive, Danvers, MA 01923, 978-750-8400. CCC is a not-for-profit organization that provides licenses and registration for a variety of users. For organizations that have been granted a photocopy license by the CCC, a separate system of payment has been arranged.

Trademark Notice: Product or corporate names may be trademarks or registered trademarks, and are used only for identification and explanation without intent to infringe.

Visit the Taylor & Francis Web site at
<http://www.taylorandfrancis.com>

and the CRC Press Web site at
<http://www.crcpress.com>

Advances in Biometrics for Secure Human Authentication and Recognition

Asset Protection through Security Awareness

Tyler Justin Speed
ISBN 978-1-4398-0982-2

Automatic Defense Against Zero-day Polymorphic Worms in Communication Networks

Mohssen Mohammed and Al-Sakib Khan Pathan
ISBN 978-1-4665-5727-7

The Complete Book of Data Anonymization: From Planning to Implementation

Balaji Raghunathan
ISBN 978-1-4398-7730-2

The Complete Guide to Physical Security

Paul R. Baker and Daniel J. Benny
ISBN 978-1-4200-9963-8

Conflict and Cooperation in Cyberspace: The Challenge to National Security

Panayotis A. Yannakogeorgos and Adam B. Lowther (Editors)
ISBN 978-1-4665-9201-8

Cybersecurity: Public Sector Threats and Responses

Kim J. Andreasson
ISBN 978-1-4398-4663-6

The Definitive Guide to Complying with the HIPAA/HITECH Privacy and Security Rules

John J. Trinckes, Jr.
ISBN 978-1-4665-0767-8

Digital Forensics Explained

Greg Gogolin
ISBN 978-1-4398-7495-0

Digital Forensics for Handheld Devices

Eamon P. Doherty
ISBN 978-1-4398-9877-2

Effective Surveillance for Homeland Security: Balancing Technology and Social Issues

Francesco Flammini, Roberto Setola, and Giorgio Franceschetti (Editors)
ISBN 978-1-4398-8324-2

Electronically Stored Information: The Complete Guide to Management, Understanding, Acquisition, Storage, Search, and Retrieval

David R. Matthews
ISBN 978-1-4398-7726-5

Enterprise Architecture and Information Assurance: Developing a Secure Foundation

James A. Scholz
ISBN 978-1-4398-4159-4

Guide to the De-Identification of Personal Health Information

Khaled El Emam
ISBN 978-1-4665-7906-4

Information Security Governance Simplified: From the Boardroom to the Keyboard

Todd Fitzgerald
ISBN 978-1-4398-1163-4

Information Security Policy Development for Compliance: ISO/IEC 27001, NIST SP 800-53, HIPAA Standard, PCI DSS V2.0, and AUP V5.0

Barry L. Williams
ISBN 978-1-4665-8058-9

Information Technology Control and Audit, Fourth Edition

Sandra Senft, Frederick Gallegos, and Aleksandra Davis
ISBN 978-1-4398-9320-3

Iris Biometric Model for Secured Network Access

Franjeh El Khoury
ISBN 978-1-4665-0213-0

Managing the Insider Threat: No Dark Corners

Nick Catrantzos
ISBN 978-1-4398-7292-5

Network Attacks and Defenses: A Hands-on Approach

Zouheir Trabelsi, Kadhim Hayawi, Arwa Al Braiki, and Sujith Samuel Mathew
ISBN 978-1-4665-1794-3

Noiseless Steganography: The Key to Covert Communications

Abdelrahman Desoky
ISBN 978-1-4398-4621-6

PRAGMATIC Security Metrics: Applying Metametrics to Information Security

W. Krag Brotby and Gary Hinson
ISBN 978-1-4398-8152-1

Securing Cloud and Mobility: A Practitioner's Guide

Ian Lim, E. Coleen Coolidge, and Paul Hourani
ISBN 978-1-4398-5055-8

Security and Privacy in Smart Grids

Yang Xiao (Editor)
ISBN 978-1-4398-7783-8

Security for Wireless Sensor Networks using Identity-Based Cryptography

Harsh Kupwade Patil and Stephen A. Szygenda
ISBN 978-1-4398-6901-7

The 7 Qualities of Highly Secure Software

Mano Paul
ISBN 978-1-4398-1446-8

AUERBACH PUBLICATIONS

www.auerbach-publications.com • To Order Call: 1-800-272-7737 • E-mail: orders@crcpress.com

We dedicate this book to our parents.

Preface

The uniqueness of the physiological and behavioral characteristics of human beings is used to facilitate the identification or verification process, and it always results in correct classification. However, the distinctive evidence obtained from an individual does not guarantee a 100% matching be performed to the biometric characteristics corresponding another subject, even after considering all aspects of accurate recognition process. Tampered sensors, distorted evidence, recognition at a distance, and sometimes, motion of the target subject cause the identification process to be a weak one. Moreover, picking up the right algorithms for image enhancement, feature extraction, matching, classification, and decision in a biometric system are critical. Most of the commercial, off-the-shelf biometrics systems together cannot deal with all the intrinsic perspectives that could easily make the system unstable. Therefore, to cope with this problem, we should consider standard biometrics properties and algorithmic paradigms for the identification or verification of human beings. Hence, experiments of biometric systems at large with various biometrics traits could be a good approach to identify the correct and secure human recognition system. This book is a collection of biometrics solutions, which include both unimodal and multimodal biometrics. Also, it includes up-to-date biometrics algorithms with novel feature extraction techniques, computer vision approaches, soft computing approaches, and

machine learning techniques under a unified framework used in biometrics systems.

This book has attempted to showcase some of the latest technologies and algorithms for human authentication and recognition. Recent developments made in pattern classification and image processing techniques have motivated researchers and practitioners to design cutting-edge biometric technologies and gain competitive advantages over conventional security applications by applying innovative thoughts and algorithms for real-life authentication problems. The need for biometrics systems is increasing tremendously in day-to-day activities such as airport security, medical diagnostics, ATM security, border security control, electronic data security, E-commerce, online banking transactions, cellular phones, national ID cards, drivers' licenses, corpse identification, criminal investigation, etc. The novel methods of biometric systems are evolving rapidly and boosting research areas in new directions. The book provides up-to-date reviews of intelligence techniques and theories used in biometric technologies for human authentication and identification.

The primary audience for the book includes researchers, scholars, graduate students, engineers, practitioners, and developers who work in biometrics and its related fields. We hope our efforts are able to benefit our readers.

Dakshina Ranjan Kisku

Asansol Engineering College, India

Phalguni Gupta

Indian Institute of Technology Kanpur, India

Jamuna Kanta Sing

Jadavpur University, India

MATLAB® is a registered trademark of The MathWorks, Inc. For product information, please contact:

The MathWorks, Inc.

3 Apple Hill Drive

Natick, MA 01760-2098 USA

Tel: 508 647 7000

Fax: 508-647-7001

E-mail: info@mathworks.com

Web: www.mathworks.com

Contributors

Sambit Bakshi

NIT Rourkela
Odissa, India

Ahmed Bouridane

Northumbria University
Newcastle upon Tyne, United
Kingdom

Sheli Sinha Chaudhuri

Jadavpur University
Kolkata, India

N. G. Chitaliya

Sardar Vallabhbhai Patel
Institute of Technology
Gujarat, India

Salim Chitroub

University of Sciences and
Technology HB
Algiers, Algeria

Achintya Das

Kalyani Government
Engineering College
Kalyani, India

Poulami Das

JIS College of Engineering
Kalyani, India

Amin Dehghani

Department of Electrical
Engineering
K. N. Toosi University of
Technology
Tehran, Iran

Maryam Dehghani

Department of Mathematics
University of Beheshti
Tehran, Iran

Nilanjan Dey

JIS College of Engineering
Kalyani, India

Hadi Farzin

Research Institute for ICT
Tehran, Iran

Manoj Singh Gaur

Malaviya National Institute
of Technology
Jaipur, India

Vijay John

University of Amsterdam
Amsterdam, Netherlands

Vijay Laxmi

Malaviya National Institute
of Technology
Jaipur, India

Banshidhar Majhi

NIT Rourkela
Odissa, India

Hans Varghese Mathews

Computer Society of India
Bangalore, India

Abdallah Meraoumia

Universite Kasdi Merbah
Ouargla
Ouargla, Algeria

Gayatri Mirajkar

Shivaji University
Kolhapur, India

Bijurika Nandi

CIEM Kolkata
Kolkata, India

Pouya Nazari

Department of Electrical
Engineering
Islamic Azad University
Najaf Abad, Iran

Elham Rajabian Noghondar

Gjøvik University College
Gjøvik, Norway

Pankaj Kumar Sa

NIT Rourkela
Odissa, India

Mohammad Hasan Saghafi

Amir Kabir University of
Technology
Tehran, Iran

Mohammed Saigaa

Universite Kasdi Merbah
Ouargla
Ouargla, Algeria

Preety Singh

LNMI Institute of Information
Technology
Jaipur, India

A.I. Trivedi

Maharaja Sayajirao University
Gujarat, India

Hanif Vahedian

Department of Electrical
Engineering
K.N. Toosi University of
Technology
Tehran, Iran

Contents

LIST OF FIGURES	ix
LIST OF TABLES	xv
PREFACE	xix
CONTRIBUTORS	xxi

PART I GENERAL BIOMETRICS

CHAPTER 1	SECURITY AND RELIABILITY ASSESSMENT FOR BIOMETRIC SYSTEMS	3
	GAYATRI MIRAJKAR	
CHAPTER 2	REVIEW OF HUMAN RECOGNITION BASED ON RETINAL IMAGES	33
	AMIN DEHGHANI, HADI FARZIN, HANIF VAHEDIAN, POUYA NAZARI, AND MARYAM DEHGHANI	

PART II ADVANCED TOPICS IN BIOMETRICS

CHAPTER 3	VISUAL SPEECH AS BEHAVIORAL BIOMETRIC	71
	PREETY SINGH, VIJAY LAXMI, AND MANOJ SINGH GAUR	

CHAPTER 4	HUMAN GAIT SIGNATURE FOR BIOMETRIC AUTHENTICATION	93
	VIJAY JOHN	
CHAPTER 5	HAND-BASED BIOMETRIC FOR PERSONAL IDENTIFICATION USING CORRELATION FILTER CLASSIFIER	121
	MOHAMMED SAIGAA, ABDALLAH MERAOUIMIA, SALIM CHITROUB, AND AHMED BOURIDANE	
CHAPTER 6	ON DECIDING THE DYNAMIC PERIOULAR BOUNDARY FOR HUMAN RECOGNITION	153
	SAMBIT BAKSHI, PANKAJ KUMAR SA, AND BANSHIDHAR MAJHI	
CHAPTER 7	RETENTION OF ELECTROCARDIOGRAM FEATURES INSIGNIFICANTLY DEVALORIZED AS AN EFFECT OF WATERMARKING FOR A MULTIMODAL BIOMETRIC AUTHENTICATION SYSTEM	175
	NILANJAN DEY, BIJURIKA NANDI, POULAMI DAS, ACHINTYA DAS, AND SHELI SINHA CHAUDHURI	
CHAPTER 8	FACIAL FEATURE POINT EXTRACTION FOR OBJECT IDENTIFICATION USING DISCRETE CONTOURLET TRANSFORM AND PRINCIPAL COMPONENT ANALYSIS	213
	N. G. CHITALIYA AND A. I. TRIVEDI	
PART III	CASE STUDIES AND LARGE SCALE BIOMETRIC SYSTEMS	
CHAPTER 9	LEGAL ASPECTS AND ETHICAL ISSUES IN THE USE OF BIOMETRICS: A STUDY FROM NORWAY	253
	ELHAM RAJABIAN NOGHONDAR	
CHAPTER 10	BIOMETRIC IDENTIFICATION: DEVICE SPECIFICATION AND ACTUAL PERFORMANCE CONSIDERED FOR OPERATIONS OF THE UNIQUE IDENTITY AUTHORITY OF INDIA	279
	HANS VARGHESE MATHEWS	
INDEX		317

List of Figures

Figure 1.1	Examples of body traits that can be used for biometric recognition.	6
Figure 1.2	Enrollment and recognition stages in a biometric system.	7
Figure 1.3	Fishbone model for categorizing biometric system vulnerabilities.	8
Figure 1.4	Illustration of biometric interclass variability.	9
Figure 1.5	Points of attack in a generic biometric system.	11
Figure 1.6	Methodology overview.	21
Figure 1.7	An example attack potential diagram.	24
Figure 1.8	(a) Attack potential of both systems, (b) VALs for system a, (c) VALs for system b, and (d) gray scale legend for VALs.	27
Figure 2.1	Examples of various biometric characteristics.	35
Figure 2.2	Block diagram of the recognition system.	38
Figure 2.3	All components of the human eye.	40
Figure 2.4	Retina as seen through an ophthalmoscope.	41

Figure 2.5	Some retinal scanners.	43
Figure 2.6	Optical disk localization.	46
Figure 2.7	Blood segmentation and masking.	48
Figure 2.8	Polar image created from ROI image.	49
Figure 2.9	Construction of feature vector in the second scale (medium-sized vessels).	50
Figure 2.10	Results of gradient analysis orientation vessel detection.	55
Figure 2.11	One-level DWT decomposition.	56
Figure 2.12	Example of digital retinal images showing the vessel tree.	59
Figure 3.1	Proposed methodology for speaker identification using visual speech.	77
Figure 3.2	Detection of lip contour.	80
Figure 3.3	Six keypoints on the lip contour.	81
Figure 3.4	Extracted geometric visual features.	82
Figure 3.5	Evaluation metrics for feature subsets $G_1 \dots G_{15}$.	86
Figure 3.6	Random images from a visual speech sequence of "zero."	88
Figure 4.1	Illustration of step and stride.	96
Figure 4.2	Overview of the biometric authentication system comprising the learning phase and authentication phase.	97
Figure 4.3	Illustration of the gait cycle.	99
Figure 4.4	Illustration of gait energy image.	104
Figure 4.5	Example of foreground human silhouette after background subtraction.	106
Figure 4.6	Example of noisy foreground human silhouette.	107

Figure 4.7	Detailed layout of the biometric tunnel.	110
Figure 4.8	Plot of the GRF versus time, which forms the basis for the floor sensor-based gait feature.	111
Figure 4.9	Discriminative ability of GRF derivative—subject 1.	111
Figure 4.10	Discriminative ability of GRF derivative—subject 2.	112
Figure 4.11	Discriminative ability of GRF derivative—subject 3.	112
Figure 5.1	Block diagram of the proposed unibiometric identification system based on MACE.	127
Figure 5.2	Various steps in a typical ROI extraction algorithm.	128
Figure 5.3	Illustration of the ROI extraction process of FKP.	129
Figure 5.4	Illustration of the ROI extraction process of a Fingerprint.	129
Figure 5.5	Similarity matching.	132
Figure 5.6	Unimodal open set system identification test results (palmpoint modality).	136
Figure 5.7	Unimodal open set system identification test results (fingerprint modality).	137
Figure 5.8	Unimodal open set system identification test results (FKP).	140
Figure 6.1	Important features from a periocular image.	155
Figure 6.2	Working model of the periocular biometric system.	157
Figure 6.3	Different ratios of portions of the face from human anthropometry.	163
Figure 6.4	Results of proposed gaze estimation through sclera detection.	167

Figure 6.5	Method of formation of concave region of a binarized sclera component.	168
Figure 6.6	Cropped images from an iris image at the pupil's center.	169
Figure 6.7	Change of recognition accuracy (LBP + SIFT considered as the feature set).	170
Figure 7.1	Extracted fingerprint features.	183
Figure 7.2	P-QRS-T complex detected ECG signal.	186
Figure 7.3	Extracted ECG features.	191
Figure 7.4	Architecture of proposed multimodal biometric authentication system.	192
Figure 7.5	Three-phase decomposition using DWT (a), and the Haar wavelet (b).	194
Figure 7.6	Three-phase decomposition using SWT.	194
Figure 7.7	Watermark (fingerprint) embedding.	198
Figure 7.8	Watermark (fingerprint) extraction.	198
Figure 7.9	Joint feature matching.	200
Figure 7.10	Peak detected original ECG signal and peak detected axis limited original ECG signal.	201
Figure 7.11	Watermark image (a) and recovered image (b).	202
Figure 7.12	Peak detected watermarked ECG signal and peak detected axis limited watermarked ECG signal.	203
Figure 7.13	RR intervals.	208
Figure 7.14	QRS complex.	208
Figure 7.15	QTc intervals.	208
Figure 8.1	Modules of object recognition system.	215
Figure 8.2	Block diagram of proposed object classifier system.	225

Figure 8.3 (a) Face images with different positions and tilting, and (b) grayscale images of the IIT_Kanpur data set.	227
Figure 8.4 (a) Sample images from Face94 data set having different poses, and (b) some of the images from the Face94 data set used for training.	228
Figure 8.5 Some of the grayscale images from the Face94 data set used for testing.	229
Figure 8.6 Spatial sharpening.	229
Figure 8.7 (a) Original image, and (b) image after applying preprocessing.	232
Figure 8.8 Double filter bank decomposition of discrete contourlet transform.	234
Figure 8.9 Decomposition of image using contourlet transform.	235
Figure 8.10 Curvelet in the Fourier frequency domain.	237
Figure 8.11 Wrapping wedge around the origin by periodic tilting of wedge data.	237
Figure 8.12 Decomposition of image using curvelet transform.	238
Figure 8.13 Eigenfaces using contourlet-PCA after the preprocessing stage.	240
Figure 8.14 Eigenfaces using curvelet-PCA after the preprocessing stage.	241
Figure 8.15 Feed forward neural network model.	244
Figure 8.16 Learning phase of the neural network classifier.	244
Figure 9.1 Soft biometrics.	261
Figure 10.1 Successive and cumulative false match ratios, above and below, respectively, plotted for millions enrolled.	305