David Held & Anthony McGrew, David Goldblatt & Jonathan Perraton

Global Transformations

Politics, Economics and Culture



'The definitive work on globalization.'

James N. Rosenau

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Global Transformations

In memory of Gisela Held and Margaret McGrew

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Preface

This volume is a product of nearly a decade of research and an unusual confluence of interests.

In the mid-1980s David Held and Anthony McGrew had a number of extended conversations about the changing nature and form of established liberal democratic nation-states in the context of intensifying regional and global relations. The result was too often mutual incomprehension! As a student of political theory, David Held had tended to regard the world of regional and global relations as outside the sphere of political theory, while Anthony McGrew, as an international relations theorist, had tended to analyse domestic politics as a marginal element of the interstate system. Many excellent conversations and discussions revealed that neither position, as it stood, engaged sufficiently with the changing nature of politics in a more interconnected world – and that the traditions and conceptual tools of both parties to the dialogue could very usefully be developed.

An application to the Economic and Social Research Council followed. At issue was an attempt to create a framework for an extended encounter between political theory and international relations focused on pressing issues related to the changing role of the modern state. In particular, the research set out to investigate the extent to which regionalization and globalization are transforming the nature of world order and the position of national sovereignty and autonomy within it.

The research was funded by the ESRC (award no. R000 23 3391) and we are extremely grateful for the opportunity this provided, as well as for the excellent research guidance that came from several anonymous referees.

After the funding of the project was secured, the conversation between David Held and Anthony McGrew was extended further across disciplinary boundaries to cope with the range of pressing problems which the research agenda created. David Goldblatt brought to the project a background in social theory and environmental politics while Jonathan Perraton joined as the project's economist. Both made contributions to the intellectual framework of the project as well as to every aspect of the research programme. This was a truly collective effort and the result is a synthesis of our collective expertise.

Many people have contributed to the production of the volume. Anne Hunt provided outstanding support in the processing and reprocessing of the enormous manuscript; Ray Munns drew and redrew the maps and figures with exceptional patience in the face of changing specifications; Rebecca Hunt provided invaluable assistance helping to create the databases for the book; Brenda Martin offered much needed research assistance during the final stages of the project; Julia Harsant, Sue Pope and Gill Motley furthered the process of the manuscript's publication with great conscientiousness and

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care; Ann Bone copy-edited the text with extraordinary patience and diligence; Serena Temperley eased the book through the production process with great skill; and Jane Rose helped set down the plans to bring the volume to the attention of a wide readership. We are extremely grateful to all these people and to the many colleagues, friends and family members who have provided support, advice and (usually!) constructive criticism.

Acronyms and Abbreviations

A&A arms and armaments

AIC advanced industrialized country

AIDS Acquired Immune Deficiency Syndrome advanced medium-range anti-aircraft missile

APEC Asia-Pacific Economic Cooperation

APT Asia-Pacific Telecommunity
ARF ASEAN Regional Forum

ASEAN Association of South East Asian Nations

BIS Bank for International Settlements
BSE Bovine Spongiform Encephalopathy
BWC Biological Weapons Convention

BWS Bretton Woods system

CAFOD Catholic Fund for Overseas Development

CENTO Central Treaty Organization

CEPT Conference of European Postal and Telecommunications

Administrations

CFCs chlorofluorocarbons

CFE Conventional Forces in Europe Treaty

CITEL The Inter-American Telecommunications Commission
CITES Convention on International Trade in Endangered Species

COMECON Council for Mutual Economic Assistance

CTBT Comprehensive Test Ban Treaty

DIB defence industrial base
DOD Department of Defense (US)

ECHR European Commission on Human Rights

EEC European Economic Community
EFTA European Free Trade Association
EMS European Monetary System

ERM European exchange rate mechanism

EU European Union

EUROCORPS European Multilateral Force

FAO Food and Agriculture Organization

FDI foreign direct investment
FRG Federal Republic of Germany
FSX US-Japanese Advanced Fighter

GATS General Agreement on Trade and Services
GATT General Agreement on Tariffs and Trade

GCD general and complete disarmament

GDP gross domestic product

GDR German Democratic Republic

GNP gross national product

G5 Group of Five

G7/G8 Group of Seven/Eight (leading industrial nations)

G10 Group of Ten

IAEA International Atomic Energy Agency

IBRD International Bank for Reconstruction and Development

ICAO International Civil Aviation Organization

ICJ International Court of Justice

ICTU International Confederation of Trade Unions

IDA International Development Agency IFC International Finance Corporation

IFPI International Federation of Phonographic Industries

IGO intergovernmental organization
ILO International Labour Organization

IMCO International Maritime Consultancy Organization

IMF International Monetary Fund

INF Treaty Intermediate-Range Nuclear Force Treaty international non-governmental organization

INTELSAT International Telecommunications Satellite Organization

IPCC Intergovernmental Panel on Climate Change

IRBM Intermediate Range Ballistic Missile
ISDN International Services Digital Network
ITU International Telecommunication Union

LIFFE London International Financial Futures Exchange

M&A mergers and acquisitions

MERCOSUR Southern Cone Common Market (Latin America)

MFN most favoured nation
MNC multinational corporation
MNE multinational enterprise

MTCR Missile Technology Control Regime MTR military-technological revolution

NACC
North Atlantic Council for Cooperation
NAFTA
North American Free Trade Agreement
NATO
North Atlantic Treaty Organization
NGO
non-governmental organization
NIE
newly industrializing economy(ies)

NPT Treaty on the Non-Proliferation of Nuclear Weapons

NSG Nuclear Suppliers Group NWFZ nuclear weapon-free zone

OAS Organization of American States
OAU Organization of African Unity
ODA Official Development Assistance

OECD Organization for Economic Cooperation and Development

OPEC Organization of Petroleum Exporting Countries
OSCE Organization for Security and Cooperation in Europe

OTA US Congress Office of Technology Assessment

OTC over the counter

PATU Pan African Telecommunications Union

PCB polychlorinated biphenyls
PFP Partnership for Peace

PTT Post, Telegraph and Telephone Administrations

R&D research and development

RCC Regional Commonwealth for Communications

SALT Strategic Arms Limitation Talks

SAM surface-to-air missile

SEATO South East Asia Treaty Organization SIACS states in advanced capitalist societies

SIPRI Stockholm International Peace Research Institute

SMEs small and medium-sized enterprises

SOPEMI Système d'observation permanente des migrations (Continuous

Reporting System on Migration)

START Strategic Arms Reduction Talks

SU Soviet Union

SWIFT standardized world interbank and financial transactions

TRIMS trade related investment measures trade in intellectual property rights

UAE United Arab Emirates
UK United Kingdom
UN United Nations

UNCD United Nations Conference on Disarmament
UNCHR United Nations Commission on Human Rights

UNCTAD United Nations Conference on Trade and Development UNCTC United Nations Center for the Study of Transnational

Corporations

UNDP United Nations Development Programme
UNEP United Nations Environment Programme

UNESCO United Nations Educational, Scientific and Cultural Organization

UNFPA United Nations Fund for Population Activities
UNHCR United Nations High Commission for Refugees

UNICEF United Nations Children's Fund

UNIDO United Nations Industrial Development Organization

UPU Universal Postal Union

US United States
UV ultraviolet

WASP white Anglo-Saxon Protestant

WCED World Commission on Environment and Development

WEU Western European Union
WFP World Food Programme
WHO World Health Organization

WMO World Meteorological Organization

WRI World Resources Institute
WTO World Trade Organization

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