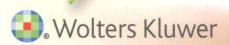
THIRD EDITION

Nutrition in Clinical Practice







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Nutrition in Clinical Practice

A Comprehensive, Evidence-Based Manual for the Practitioner

THIRD EDITION

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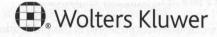
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Nutrition in Clinical Practice



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To our patients, and yours. They are the reason.

ABOUT THE AUTHORS

David L. Katz, MD, MPH, FACPM, FACP, is the founding (1998) director of Yale University's Yale-Griffin Prevention Research Center. He received his BA from Dartmouth College (1984; magna cum laude); his MD from the Albert Einstein College of Medicine (1988); and his MPH from the Yale University School of Public Health (1993). He is a two-time diplomate of the American Board of Internal Medicine, a board-certified specialist in preventive medicine/public health, and a clinical instructor in medicine at the Yale School of Medicine.

Dr. Katz is the editor-in-chief of the journal *Childhood Obesity*, president of the American College of Lifestyle Medicine, founder and president of the nonprofit Turn the Tide Foundation, and medical director for the Integrative Medicine Center at Griffin Hospital in Derby, Connecticut. He is the principal inventor of the NuVal nutritional guidance system, currently in more than 2,000 US supermarkets in more than 30 states, coast to coast. He holds five US patents on other inventions, with several patents currently pending.

Dr. Katz has published nearly 200 scientific articles and textbook chapters, innumerable blogs and columns, nearly 1,000 newspaper articles, and authored or coauthored 15 books to date, including multiple editions of textbooks in both nutrition and preventive medicine.

Dr. Katz has been extensively involved in medical education. He was a founding director of one of the nation's first combined residency training programs in internal medicine and preventive medicine (Griffin Hospital, Derby, Connecticut); and served as director of medical studies in public health at the Yale University School of Medicine for a span of 8 years. He has led classes and given lectures for Yale students in medicine, public health, nursing, the physician assistants program, and undergraduates as well as medical residents and faculty.

Dr. Katz is the recipient of many awards for his contributions to public health and medical education. He has been named one of America's top physicians in preventive medicine three times by the Consumer's Research Council of America and serves as a judge of best diets for the annual ranking published by US News & World Report. In 2009, he was a widely supported nominee for the position U.S. Surgeon General. He was named one of the 25 most influential people in the lives of children by Children's Health Magazine. In 2012, he was cited by Greatist.com as one of the 100 most influential people in health and fitness (no. 19: http://greatist.com/health/most-influential-healthfitness-people/), and recognized again in 2013, moving up to no. 13 (http://greatist.com/health/ most-influential-health-fitness-people). He has been honored for career accomplishments by numerous organizations including the Association of Yale Alumni in Public Health; the Academy of Nutrition and Dietetics; and the American College of Preventive Medicine. In 2013, he was awarded an honorary doctorate by the University of Bridgeport in Connecticut for his contributions to public health and patient care.

Dr. Katz has an extensive media portfolio, having worked for ABC News/Good Morning America as an on-air contributor, a writer for the *New York Times* syndicate, and a columnist to *O, the Oprah Magazine*. Currently, he is a blogger/medical review board member for the *Huffington Post*, a health contributor to *US News & World Report*, one of the original 150 "thought leader" Influencer bloggers for LinkedIn, a blogger and columnist for *TIME* magazine, and a contributing health writer on childhood obesity for About.com.

Dr. Katz speaks routinely at conferences and meetings throughout the United States, and around the world, and has delivered addresses in at least seven countries. He is a recognized thought leader in nutrition, chronic disease prevention/health promotion, weight management, and integrative medicine. Widely recognized as a gifted public speaker, he has been acclaimed by peers as the "poet laureate of health promotion."

Dr. Katz and his wife Catherine live in Connecticut; they have five children.

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PREFACE

here are two prior editions of *Nutrition in Clinical Practice*, and thus two prior prefaces. That argues for brevity this time around, and I gladly concede.

That there is an opportunity for a third addition is testimony to the success of the first two. I find that gratifying, of course, but it is credit we share. This endeavor was always about practice more than theory; reading and application, more than writing. I am grateful so many colleagues recognize the relevance of nutrition to their practices and patients. It is upon that shared conviction that the rationale for this effort rests.

That there is need for a new edition is likely self-evident. The medical literature is not only vast, but growing at an ever accelerating pace. The nearly 10,000 citations in this third edition testify to how salient nutrition research is in that formidable flow of information. This new edition updates both content and citations, while reaffirming those fundamentals of nutrition that have stood the test of time. Those, too, are salient.

At the outset, we had thought to add many new chapters, but found that much of the new content situated itself comfortably into existing chapters. When it wasn't broken, we decided not to fix it. Many chapters thus have new sections, and all have been comprehensively revised; but the basic structure of the book and sequence of chapters is much as it was. My hope is that familiarity in this case will breed only accessibility and user-friendliness.

We did add a section on current, and controversial, topics in clinical nutrition. This section cannot, of course, be comprehensive; nor can it promise to be entirely current at the time of publication, let alone some number of months or years after that. The preoccupation with nutrition and diet in popular culture shifts rapidly, if redundantly, over time. This section is, more than anything, an acknowledgment of that preoccupation; of its relevance to clinical practice

and counseling; and of the important opportunity to approach such topics with the same dispassionate review of evidence germane to all other subjects in this diverse space. Those principles should remain reliably current.

Perhaps most importantly, the third edition is the beneficiary of a wonderful hybrid vigor, courtesy of my diverse team of associate and assistant authors. Associate authors, Drs. Friedman and Lucan, bring to the project well cultivated knowledge of nutrition and a pragmatism born of regular clinical practice. The larger group of assistant authors encompasses medical students, dietitians, public health professionals, naturopathic physicians, and nutritional epidemiologists—all committed to the same goal of reconciling the robust evidence base underlying nutrition and health with the constraints of clinical practice, for the benefit of provider and patient alike.

The captain, we know, goes down with the ship; but he (historically) or she cannot generally sail it alone. A book like this is much the same. And so it is that I am indebted to the team without whom I would have found this update an oppressive venture at best, but must personally accept responsibility for any lapses in our seaworthiness. Should there prove to be any important omissions or inadvertent misrepresentations, I am accountable for them. I am hopeful there will be few, if any.

We have worked hard to bring you a distillation of the current evidence in nutrition, enriched by relevant theory, but devoted to practice. And so it is that we go once more into the breach, together, in an effort to translate what we know about nutrition and health into what we do. A succession of editions is all well and good, but the true measure of our collective success is the years this body of knowledge can add to the lives of our patients, and the life it can add to their years. May those metrics reward us all.

—David L. Katz

PREFACE TO THE FIRST EDITION

while compiling this text, I have been as committed to what it excludes as to what it includes. Excellent, comprehensive textbooks, even encyclopedias, of nutrition have been written. I have made use of a good many of them in this effort. But as it may, in fact, be considered true that we "are" what we eat, such books cover a vast array of topics in agonizing details. Agonizing, that is, for the clinician seeking the answers to clinical questions but quite appropriate for the nutritional biochemist.

First among the principles to which this text is devoted is *clinical relevance*. If material seemed likely to be of use to the clinician interacting with a patient, even occasionally, it was included. If such an application seemed far-fetched, or if the material did not support an understanding that would enhance such an exchange, it was left out. The range of nutrition topics germane to clinical care is quite expansive. Thus, a fairly selective inclusion process resulted in leaving quite a lot still to be said.

The second principle governing the compilation of this text is consistency of application. Only in books do states of health and disease, and the underlying factors that promote them, stay neatly in their own columns and rows. In reality, these states coexist in single patients, often in complex abundance. Therefore, mutually exclusive, disease-specific nutrition recommendations are apt to be of limited clinical utility. Conversely, if dietary recommendations never change in accommodation to varying states of health and clinical objectives, a book of many chapters seems an excessive effort to portray this set of uniform guidelines. I have sought the middle ground between the subtle applications of nutritional management that pertain to the occasional disease or risk factor and the unifying features of diet that may be universally applied to promote health.

The third principle governing this effort is that to be of use, material intended for clinical application must be described in terms of the extent, consistency, and quality, of *the underlying evidence*. This may be considered a text of evidence-based medicine, with the literature reviewed for

each chapter considered to represent preliminary, suggestive, or definitive evidence of any association described.

I strove to be consistent in the application of such terms, but found myself sometimes using, for example, "conclusive" rather than "definitive." Despite such variation, the character of the evidence base should generally be clear. Associations supported by animal or in vitro or observational evidence only were considered preliminary; associations supported by a combination of basic science studies as well as observational studies in humans, or by limited interventional studies in humans, were considered suggestive; and associations subtended by the results of either large-scale human intervention trials (particularly randomized, controlled trials), or the aggregation of consistent results from numerous less rigorous studies were considered definitive.

The fourth principle, related to the third, is that for a subject of scrutiny to be well understood, it must be viewed in its entirety (or some approximation thereof). There is a risk (although certainly, too, a benefit) when each of many experts elaborates one particular aspect of nutrition as it pertains to health. That risk was perhaps never better expressed than in the allegorical poem, The Blind Men and the Elephant, by John Godfrey Saxe. I in no way wish to suggest that the expert authors of detailed chapters in the standard nutrition texts suffer any semblance of blindness, but rather that something of the overall character of nutrition and health is missed when only a small part is examined in great detail. I have become convinced, for example, that nominal n-3 fatty acid deficiency is likely widespread in the United States and contributing to adverse health outcomes. This conclusion is reached less on the basis of definitive evidence in any one area and more on the basis of remarkably consistent and voluminous evidence in the aggregate, across the expanse of many subjects. Only one author, struggling through each of many chapters in turn, may infuse the characterization of each topic with understanding derived from the others. As I cannot dispute the potential disadvantages of solo authorship, I have sought

instead to capitalize fully on any potential advantages. I have therefore freely shared what insights I have gained in the sequential review of so many topics, endeavoring at all times to be clear about the sources of my opinion and the nature of the evidence.

The final principle to which this text is devoted is the notion that there should be a *theoretical model* in which the complex interplay of human behavior, food, and health outcomes is decipherable. In much the same way that unifying threads of evidence have led me to specific recommendations for nutrition management, I have come through this labor convinced of the utility of the *evolutionary biology model* of human dietary behavior. This argument is elaborated in Chapter 39. The behavior and physiology of all animals are largely governed by the environments to which they adapted; there is both reason and evidence to suggest that, with regard to nutrition, the same is true of us.

While there is some interpretation offered in this text, it is only that which a devotee and teacher of evidence-based principles of medicine could abide and not avoid. In the inescapable need to convey to you my interpretations, I have endeavored to cleave as close and consistently to fact as possible. In the time-honored medical tradition of blending the best of available science with just the requisite art, I submit this work to you as a platform for the clinical practice of nutrition.

Following the introduction, a concise but comprehensive overview of dietary influences on the organ system or pathology under discussion is provided. The overview is generally divided into the influence of the overall dietary pattern (Diet) and the influence of specific nutrient (Nutrients/ Nutriceuticals). As indicated, other topics are included in the overview, such as pathophysiology, epidemiology, and other issues of clinical relevance and/or general interest. The overview section uses the scheme above to rate the available evidence for each practice. Unpublished and non-peer-reviewed literature has been accessed as required to facilitate preparation of this text, but the assessment of evidence is based only on the peer-reviewed literature; references are to be found at the end of each chapter. Following the overview, other Topics of Interest not related directly to dietary management are provided as indicated (e.g., surgical management of severe obesity). Chapters conclude with *Clinical Highlights*, a summary of those nutritional interventions of greatest clinical utility and for which the evidence is decisive, convincing, or suggestive. Each chapter is cross-referenced with other chapters and with pertinent *Nutrient/Nutriceutical Reference Tables* and other *Nutrition Resource Materials* in Section III.

Claims, Disclaimers, and Acknowledgments
Solo authorship of a text on nutrition may seem
an act of either brash imprudence or unpardonable hubris. At times, poring over references and
painstakingly compiling chapters, I have been
tempted to think it both. But, please accept my assurances that it is neither. There is very definitely
method in the potential madness of this project.

I am a clinician with an active practice in primary care internal medicine. Every day in the office I am confronted by the abiding interest of my patients in their own nutritional practices and by the innumerable attendant questions. And to be of use to my patients, to offer guidance when guidance is needed, I must have the answers at hand. I can certainly refer to a dietitian for counseling in support of clinical goals, but hardly as a means of answering each question that comes along.

So the clinician in practice, encountering what I in my practice encounter every day, must be able to answer a range of questions about nutrition and health, nutrition and disease. If unable to do so, the clinician misses a crucial opportunity to influence favorably the role of dietary behavior in the mitigation of chronic disease. On the list of the leading causes of death in the United States, dietary practices rank number 2, just behind smoking.

My nutrition expertise, cultivated by training, research, and teaching over the past 15 years, is appropriate for this project. But I certainly cannot claim to have the consummate knowledge in each of the diverse content areas of this text that is owned by that field's luminaries. To those experts, far too numerous to mention here, I owe a monumental debt. I have endeavored to make their work accessible to an audience of clinicians, but, in doing so, I have traveled the many trails they so painstakingly blazed.

My legitimacy, or perhaps my excuse, then, is not so much my claim to expertise in everything from lipid metabolism to ergogenic aids, but

rather my dual devotion to nutrition and to clinical practice. The experts to whom I am indebted have made their contributions to the literature, yet the accessibility of that literature to the busy practitioner is suspect. This text is as much translation as original work, the translation of current nutrition knowledge into a form useful to the clinician. This text of nutrition is both by, and for, the practicing clinician. If any one practitioner is to access all of this information and apply it to clinical practice, it is only reasonable that one clinician has been able to write it.

And so that is why I have written this text and justified the interminable hours of effort to myself. To those whose work has guided me, I offer thanks. For any omissions, or worse still, misrepresentations, I accept full responsibility (who else could I blame?). Yet even this solo effort has depended, and greatly benefited, from the direct and indirect contributions of many individuals. I owe debts of gratitude; I have little hope to repay to those who made this book possible.

—David L. Katz

ACKNOWLEDGMENTS

the panoply of researchers whose work is cited throughout this text. The effort of writing this book seemed considerable, but is of course vanishingly trivial in comparison to compiling the evidence on which it is based. This text is principally a distillation of evidence, and inclines with the vast weight of it. The many who have contributed to that mass of information are my teachers, my mentors, and in some cases, my heroes. I am indebted to this community of scholarship.

I owe thanks to my literary agent, Rick Broadhead, who helped navigate all of us—ably, as ever—from aspiration to collaboration. I very much appreciate the timely, supportive, and always professional contributions of our editors at Wolters Kluwer Health–Lippincott Williams & Wilkins, in particular Sonya Seigafuse, Rebecca Gaertner, and Kristina Oberle. My thanks as well to others involved in the many ancillary efforts critical to completion of a book, from graphic art for the cover to careful proofing, production, and marketing.

I was delighted to work again with my friend and colleague, Dr. Rachel Friedman, and to add to the mix my friend and colleague, Dr. Sean Lucan. The project is the better for both of their contributions. I am grateful to Dr. Lucan particularly for helping out when the new demands of motherhood put Rachel in the bind of choosing

between our timeline, or maybe getting an occasional hour of sleep. We came through as a team, and I am grateful.

I am grateful as well to the other members of our expanded team, the assistant authors who helped us divvy up and update these many chapters. The basic obligations unified us, but the diversity of backgrounds and perspectives undoubtedly fortified us as well. My thanks, and congratulations, to the expanded team that worked harmoniously throughout the process and brought the product to its timely completion.

Thanks, as ever, to my administrative assistant, Helen Day, who gets me where I need to be when competing demands on my time—including those involved in writing a book— make me a bit dizzy.

Lastly, and most intimately, I acknowledge the debt I owe my family for the love and support that is inevitably the sustenance for such endeavors as this. That they remain both covetous of time with me and willing to forgo it unbegrudgingly when duty calls is a source of pride and appreciation, love and humility. I imagine my coauthors have similar debts, and similar sentiments. Writing books means many hours alone—but it is the larger something of which we are, alone, just a part that makes books and writing matter. So thank you, too.

Nutrition in Clinical Practice

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