

SEVENTH EDITION

A HISTORY OF THE MODERN WORLD

TO 1815

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TO 1815**

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PREFACE

[*Publisher's Note:* In order to provide an alternative to the hardcover edition, *A History of the Modern World* is being made available in a two-volume paperbound edition. Volume I, *To 1815*, includes chapters I–X; volume II, *Since 1815*, chapters XI–XXIII. The page numbering in these chapters remains the same as in the hardcover text, and the footnotes refer to pages in both volumes.]

ONCE AGAIN we take pleasure in offering a new edition, now the seventh, of a work that continues to be well received. In its content and coverage *A History of the Modern World* remains very much what it was in the sixth edition. Both of us, however, have carefully worked together over the whole volume in another effort to make it more useful and attractive.

The principal new feature is that the period since the end of the Second World War has been completely rearranged and rewritten, and is now presented in three chapters instead of two. The forty-five years since 1945 thus receive as much attention as the similar time spans from Bismarck's unification of Germany to the First World War, or from the American Revolution to the fall of Napoleon. Among other new elements are a new picture essay, a few new maps and tables, some alterations made in response to readers' comments, and various emendations in many places. The bibliography has always been a special feature of the book. It has been brought up to date; and although reviewed and pruned, it remains very extensive, containing perhaps 4,000 titles classified by subject according to the plan of the book as a whole. In general, we have done what we could to make so long and complex a volume more manageable and digestible. The whole structure of chapters, sections and subsections, the frequent cross-reference, the chronological tables, and the detailed index are intended for this purpose. A student's Study Guide is also available for those who may wish to use it.

Since its first edition the book has been designed as a history both of Europe and of the "modern world." Emphasis falls on situations and movements of international scope, on what Europeans and their descendants in other continents have done in common, and on the gradual convergence of the European and non-European worlds into a global economy and an interdependent political system. National histories are therefore somewhat subordinated, and in each national history the points of contact with a larger civilization are emphasized. Historic

regional differences within Europe, as between western and eastern Europe, are brought out, and the history of the Americas is woven into the story at various points, as are developments of the past two centuries in Asia and Africa. A good deal of institutional history is included. Considerable space is given to the history of ideas, not only in special sections devoted to ideas, but throughout the book in close connection with the account of institutions and events. Social and economic development bulks rather large, as does the impact of wars and revolutions. Since our own age is one in which much depends on political decision, we think of this volume as political history in the broadest sense, in that matters of many kinds, such as religion, economics, social welfare, and international relations, have presented themselves as public questions requiring public action by responsible citizens or governments. It seems to us that many subjects of current research interest, such as women's history, family history, the history of the laboring classes, the history of minorities, or demographic and quantitative studies, are best understood when seen within a wider framework such as this book attempts to provide.

We are again glad to thank all those who have helped with the book over the years, and in particular the half-dozen persons who have acted as consultants for this seventh edition. We are indebted to David Follmer, Niels Aaboe, and Linda Richmond of McGraw-Hill, Inc., our new publisher for this edition. Since they have left the important decisions to us, and all the actual writing is ours, we assume all responsibility for errors, imperfections, questionable judgments, and other possible shortcomings. Esther Howard Palmer and Shirley Baron Colton have contributed in innumerable ways to the newest edition of this history, which can stand as some kind of continuing monument to marriage, friendship, and intellectual collaboration.

R. R. PALMER
JOEL COLTON

CONTENTS

Preface	XIII
A FEW WORDS ON GEOGRAPHY	1
I. THE RISE OF EUROPE	9
1. Ancient Times: Greece, Rome, and Christianity	11
2. The Early Middle Ages: The Formation of Europe	17
3. The High Middle Ages: Secular Civilization	26
4. The High Middle Ages: The Church	35
II. THE UPHEAVAL IN CHRISTENDOM, 1300–1560	46
5. Disasters of the Fourteenth Century	47
6. The Renaissance in Italy	53
7. The Renaissance Outside Italy	62
8. The New Monarchies	66
9. The Protestant Reformation	75
10. Catholicism Reformed and Reorganized	87
<i>Picture Essay: The Florence of the Renaissance</i>	95
III. ECONOMIC RENEWAL AND WARS OF RELIGION, 1560–1648	106
11. The Opening of the Atlantic	107
12. The Commercial Revolution	104
13. Changing Social Structures	120
14. The Crusade of Catholic Spain: The Dutch and English	126
15. The Disintegration and Reconstruction of France	134
16. The Thirty Years' War, 1618–1648: The Disintegration of Germany	140
<i>Picture Essay: The World Overseas</i>	151

IV. THE ESTABLISHMENT OF WEST-EUROPEAN LEADERSHIP	160
17. The <i>Grand Monarque</i> and the Balance of Power	161
18. The Dutch Republic	163
19. Britain: The Puritan Revolution	169
20. Britain: The Triumph of Parliament	176
21. The France of Louis XIV, 1643–1715: The Triumph of Absolutism	182
22. The Wars of Louis XIV: The Peace of Utrecht, 1713	190
<i>Picture Essay: The Age of Grandeur</i>	199
 V. THE TRANSFORMATION OF EASTERN EUROPE, 1648–1740	 210
23. Three Aging Empires	211
24. The Formation of an Austrian Monarchy	221
25. The Formation of Prussia	226
26. The “Westernizing” of Russia	234
27. The Partitions of Poland	245
 VI. THE STRUGGLE FOR WEALTH AND EMPIRE	 250
28. Elite and Popular Cultures	251
29. The Global Economy of the Eighteenth Century	257
30. Western Europe after Utrecht, 1713–1740	264
31. The Great War of the Mid-Eighteenth Century: The Peace of Paris, 1763	273
 VII. THE SCIENTIFIC VIEW OF THE WORLD	 286
32. Prophets of a Scientific Civilization: Bacon and Descartes	287
33. The Road to Newton: The Law of Universal Gravitation	293
34. New Knowledge of Man and Society	300
35. Political Theory: The School of Natural Law	307
 VIII. THE AGE OF ENLIGHTENMENT	 314
36. The Philosophes—And Others	314
37. Enlightened Despotism: France, Austria, Prussia	326
38. Enlightened Despotism: Russia	336
39. New Stirrings: The British Reform Movement	342
40. The American Revolution	351

IX. THE FRENCH REVOLUTION	361
41. Backgrounds	362
42. The Revolution and the Reorganization of France	365
43. The Revolution and Europe: The War and the “Second” Revolution, 1792	378
44. The Emergency Republic, 1792–1795: The Terror	384
45. The Constitutional Republic: The Directory, 1795–1799	393
46. The Authoritarian Republic: The Consulate, 1799–1804	398
<i>Picture Essay: A Revolutionary Era</i>	403
X. NAPOLEONIC EUROPE	417
47. The Formation of the French Imperial System	418
48. The Grand Empire: Spread of the Revolution	425
49. The Continental System: Britain and Europe	431
50. The National Movements: Germany	435
51. The Overthrow of Napoleon: The Congress of Vienna	441
Appendix I: Chronological Tables	454
Appendix II: Rulers and Regimes	480
Appendix III: Historical Populations of Various Countries and Cities	485
Bibliography	490
Illustration Sources	532
Index	533

ILLUSTRATIONS

The Meeting of St. Anthony and St. Paul by <i>Sassetta</i>	41
Portrait of a Condottiere by <i>Giovanni Bellini</i>	64
Erasmus of Rotterdam by <i>Hans Holbein, the Younger</i>	68
The Geographer by <i>Jan Vermeer</i>	111
Study of Two Black Heads by <i>Rembrandt van Rijn</i>	113
The Masters of the Cloth Hall by <i>Rembrandt van Rijn</i>	165
M. Bachelier, Director of the Lyons Farms by <i>Jean-Baptiste Oudry</i>	271
A Scholar Holding a Thesis on Botany by <i>Willem Moreelse</i>	292
The Hon. Mrs. Graham by <i>Thomas Gainsborough</i>	346
Mrs. Isaac Smith by <i>John Singleton Copley</i>	352
A Woman of the Revolution by <i>Jacques-Louis David</i>	382
The Gleaners by <i>Jean-François Millet</i>	386

MAPS AND CHARTS

Contemporary Europe	ii–iii
Europe: Physical	4–5
The Mediterranean World about A.D. 400, 800, and 1250	21
Crusading Activity, 1100–1250	44
Estimated Population of Europe, 1200–1550	48
Europe, 1526	73
State Religions in Europe about 1560	86
European Discoveries, 1450–1600	108
The Low Countries, 1648	130
Europe, 1648	146–147
France from the Last Years of Louis XIV to the Revolution of 1789	188
The Atlantic World after the Peace of Utrecht, 1713	196
Central and Eastern Europe, 1660–1795	212
Aging Empires and New Powers	216
The Growth of the Austrian Monarchy, 1521–1772	222
The Growth of Prussia, 1415–1918	230–231
The Growth of Russia in the West	242–243
Poland since the Eighteenth Century	248
The World in 1763	284
“ <i>West India</i> ” (inset)	
“ <i>East India</i> ” (inset)	
The Growth of Geographical Knowledge	302–303
Europe, 1740	328
The French Republic and Its Satellites, 1798–1799	396
Napoleonic Europe, 1810	427
Napoleonic Germany	430
Europe, 1815	448–449
Contemporary Asia	inside back papers

mountains, and so became differentiated into the modern races, though all are derived from the same source and belong to the same species. The same is true of cultures or civilizations over a time period measured in centuries rather than millennia. It is such separation that accounts for the historic cultural differences between Africa, pre-Columbian America, China, India, the Middle East, and Europe. On a smaller scale it explains the differences in languages and dialects.

Separation has also produced differences in flora and fauna, and hence in the plants and animals by which humans live. Wheat became the most usual cereal in the Middle East and Europe, millet and rice in East Asia, sorghum in tropical Africa, maize in pre-Columbian America. The horse, first domesticated in central Asia, was for centuries a mainstay of Europe for muscle power, transportation, and combat, while the less versatile camel was adopted later and more slowly in the Middle East, and America had no beasts of burden except the llama. Not until Europeans began to cross the oceans, taking plants and animals with them, and bringing others back, did these great differences begin to diminish.

The present book is concerned primarily with Europe, and with the last few hundred years. And as a traveler setting out on a journey may obtain a map, study it, and keep its contents as much as possible in mind, so the reader is invited to examine the map of Europe on pages 4 and 5, and keep it in mind while reading the following history. The map shows the topographical features that have remained unchanged in historic times.

Europe is physically separated from Africa by the Mediterranean Sea, which however has been as much a passageway as a barrier. A more effective barrier was created when the Sahara Desert dried up only a few thousand years ago. The physical separation of Europe from Asia has always been less clear; the conventional boundary has long been the Ural Mountains in the Soviet Union, but the Soviet Union recognizes no such distinction. The Urals are in any case low and wide, and it can be argued that Europe is not a continent at all, but a cultural conception arising from felt differences from Asia and Africa. Europe, even with European Russia, contains hardly more than 6 percent of the land surface of the earth. It has about the same area as the United States including Alaska. It is a little larger than Australia, and a little smaller than Antarctica.

If we consider only its physical features Europe is indeed one of several peninsulas jutting off from Asia. It is altogether different, however, from the Arabian and Indian peninsulas, which also extend from the mass of Asia, as shown on the back endpaper of the present book. For one thing, the Mediterranean Sea is unique among the world's bodies of water. Closed in by the Strait of Gibraltar, which is only eight miles wide, it is more shielded than the Caribbean or East Asian seas from the open ocean. Hence it has very little tide, and is protected from the most violent ocean storms. Though over two thousand miles long, it is subdivided by islands and peninsulas into lesser seas with an identity of their own, such as the Aegean and the Adriatic, and it gives access also to the Black Sea. It is possible to travel for great distances without being far from land, so that navigation developed from early times, and one of the first civilizations appeared on the island of Crete. It is possible also to cross between Europe and Asia at the Bosphorus and between Europe and Africa at Gibraltar, so that populations became mixed by early migrations, and various historic empires—Carthaginian, Roman, Byzantine, Arabic, Spanish, Venetian, and Turkish—have

used the Mediterranean as an avenue between their component parts. After the Suez Canal was built the Mediterranean became a segment in the "lifeline of empire" for the British Empire in its heyday.

In southern Europe, north of the Mediterranean and running for its whole length, is a series of mountains, produced geologically by the pushing of the gigantic mass of Africa against this smaller Eurasian peninsula. The Pyrenees shut off Spain from the north, as the Alps do Italy; the Balkan Mountains have always been difficult to penetrate; and the only place where one can go at water level from the Mediterranean to the north is by the valley of the Rhone River, so that France, since it came together in the Middle Ages, is the only country that clearly belongs both to the Mediterranean and to northern Europe. North of the mountains is a great plain, with branches in England and Sweden, extending from western France through Germany and Poland into Russia and on into Asia, passing south of the Urals through what is called the Caspian Gate, north of the landlocked Caspian Sea. One might draw a straight line from Amsterdam eastward through the Caspian Gate as far as the borders of western China, and although this line would reach the distance from New York to a point five hundred miles west of San Francisco, one would never in traveling along it be higher above sea level than central Kansas. The continuity of this level plain has at various times opened Europe to Mongol and other invasions, enabled the Russians to move east and create a huge empire, and made Poland a troubled intermediary between Western Europe and what is now the Soviet Union.

The rivers as shown on the map are worth particular attention. Until quite recent times rivers offered an easier means of transportation than any form of carriage by land. The principal rivers also give access to the sea. Most are navigable, especially in the north European plains. With their valleys, whether in level country or confined between mountains, they provided areas where intensive local development could take place. Thus we see that some of the most important older cities of Europe are on rivers—London on the Thames, Paris on the Seine, Vienna and Budapest on the Danube, Warsaw on the Vistula. In northern Europe it was often possible to move goods from one river to another, and then in the eighteenth century to connect them by canals; and the networks of rivers and canals still carry much heavy traffic by barges. The importance of water is shown again by the location of Copenhagen, Stockholm, and Leningrad (now again St. Petersburg) on the Baltic, which is a kind of inland lake, and of Amsterdam and Lisbon, which grew up after the ocean could be traversed by Europeans.

There are many important geographical conditions that a topographic map cannot show. One is climate, which depends on latitude, ocean currents, and winds that bring or withhold rainfall. In latitude Europe lies as far north as the northern United States and southern Canada, with Madrid and Rome in the latitude of New York, and with Stockholm and Leningrad as far north as the middle of Hudson Bay. All Europe thus is within what is called the temperate zone, somewhat misleadingly, since the temperate zone is by definition the region of pronounced difference between winter and summer. But the parts of Europe that are near the sea have less extreme temperatures than the corresponding northerly regions of America, and the Mediterranean countries have more sunshine and less severe winters than either northern Europe or the northern





United States. Everywhere, however, the winters are cold enough to keep out certain diseases by which warmer countries are afflicted. They have also obliged the inhabitants to expend more effort on clothing, housing, and heating. Warm summers with their growing seasons have produced an annual cycle of agriculture, for which rainfall has been adequate but not excessive. Although the Spanish plateau is arid, and the Mediterranean shores are subject to seasonal variations of rainfall, Europe is the only continent that has no actual desert. Thanks to a combination of causes, including rainfall, ground water, deposits left by retreating glaciers, the character of the underlying rock, and the alternate freezing and thawing, Europe is also for the most part a region of fertile soils. In short, since the end of the Ice Age, or since humans learned how to survive the winters, Europe has been one of the most favored places on the globe for human habitation. In recent times it has been, as shown by the insets of the two endpaper maps in this book, one of the few large regions, along with China and India, of very high density of population.

Climate itself can change. The Roman ruins in the interior of Morocco and Tunisia remind us that the climate there was once more favorable. Studies of tree rings, fossil plants, and alpine glaciers show that average temperatures were warmer from the end of the Ice Age throughout ancient and medieval times, and then fell during what is called the "little Ice Age" from about 1400 to 1850, when the winters lengthened and the growing season shortened, without drastic consequences for the people, who by that time could simply wear more wool, so that sheep raising and the woolen trade became a main staple of European commerce.

There is no geographical determinism. Climate and the environment not only set limits but provide opportunities for what human beings can do. What happens depends on the application of knowledge and abilities in any particular time and place. A broad river is an obstruction and hence a good boundary under simple conditions; it is less so after bridges connect the two sides. The oceans that long divided mankind became a highway for the Portuguese, Spanish, Dutch, French, and English, and later for others. Distance, which any good map will show by its scale, also varies in its effects according to the means of transportation; it must be remembered that for most of human history neither persons, information, nor commands could travel much more than thirty miles a day, so that localism prevailed, and large organizations, in trade or government, were hard to create and to maintain. For most of its history Europe was in fact made up of a diversity of small local units, pockets of territory each having its own customs, way of life, and manner of speech, each largely unknown to the others and looking inward upon itself, rather than of the blocks called "Germany" or "France" that we take for granted on a map today. A "foreigner" might come from a thousand miles away, or from only ten.

What constitutes a natural resource varies with the state of technology and the possibilities of exchange. The tin of Cornwall at the western tip of Britain became an important resource as long ago as the early Bronze Age, when despite its remoteness it gave rise to some of the first long-distance European trade. Deposits of coal were not massively exploited until the nineteenth century, and petroleum was of no significance, nor even known, until about a century ago. It is a big fact of human history, rather than of geologic history, that some of the world's greatest

coal beds happened to be in northwestern Europe and the United States, which could be more readily industrialized because they had easy access to abundant fuel, over which they had control, an advantage that was lost as they became more dependent on natural gas and oil. If the future is like the past, it will see a similar conversion of natural materials into natural resources.

The Mediterranean coasts were more wooded three thousand years ago than they are today. It was not only the change of climate that changed their appearance. Many human generations spent in cutting timber, pasturing goats, and planting vines and olives brought about erosion and depletion of the soil. Europe north of the mountains was heavily forested before human intervention. Trees were cut down and burned there as in America centuries later, so that the landscape slowly became an orderly expanse of carefully tended fields, still interrupted by woodlands. The state of agriculture obviously depends on natural conditions. But it depends historically also on the invention and improvement of the plow, the finding of appropriate crops, the rotation of fields to prevent soil exhaustion, and the introduction of livestock from which manure can be obtained as a fertilizer. Socially, agriculture benefits from the existence of stable village communities, and is affected by demographic changes; if a population falls as a result of war or epidemics, some fields will be abandoned and return to "nature"; if population grows, new and less fertile or more distant areas will be brought under cultivation. Nor can agriculture be improved without the building of roads, a division of labor between town and country, and some degree of regional specialization, so that some areas may grow cereals, others raise livestock, and still others be devoted to orchards and vineyards. Basic to agriculture, as to other enterprises, is elementary security. Farming cannot proceed, nor food be stored over the winter, unless the men and women who work the fields can be protected from attack by marauders, brigands, barbarian invaders, warring chiefs, or hostile armies. Such protection, or what might be called the normalcy of peace, was for several centuries imposed by the Roman Empire, in more recent times (barring wars) by the national state, and in between by barons who at least protected the peasants who worked for them, and by kings attempting to pacify their kingdoms.

For maps with exact detail, or extensive coverage, it is best to consult a good historical atlas, of which several are listed in the bibliography at the end of this book. Over fifty maps are included in the present volume, but some are only diagrams rather than true maps; all are intended to supplement the written text, by showing the location and geographical spread of matters under discussion. Many of the maps are mainly designed to show political boundaries at particular dates. Readers in looking at them can use their imagination to fill in the mountains and rivers that these maps cannot show but which can be important for an understanding of the extent of political power. Readers can also, by using their imaginations and consulting the scale, convert space into time, remembering that until the invention of the railroad both people and news traveled far more slowly than today, or that at a rate of thirty miles a day it would take three weeks to travel from London to Venice, and at least six weeks for an exchange of letters. In human terms Europe has not been such a small place after all.

