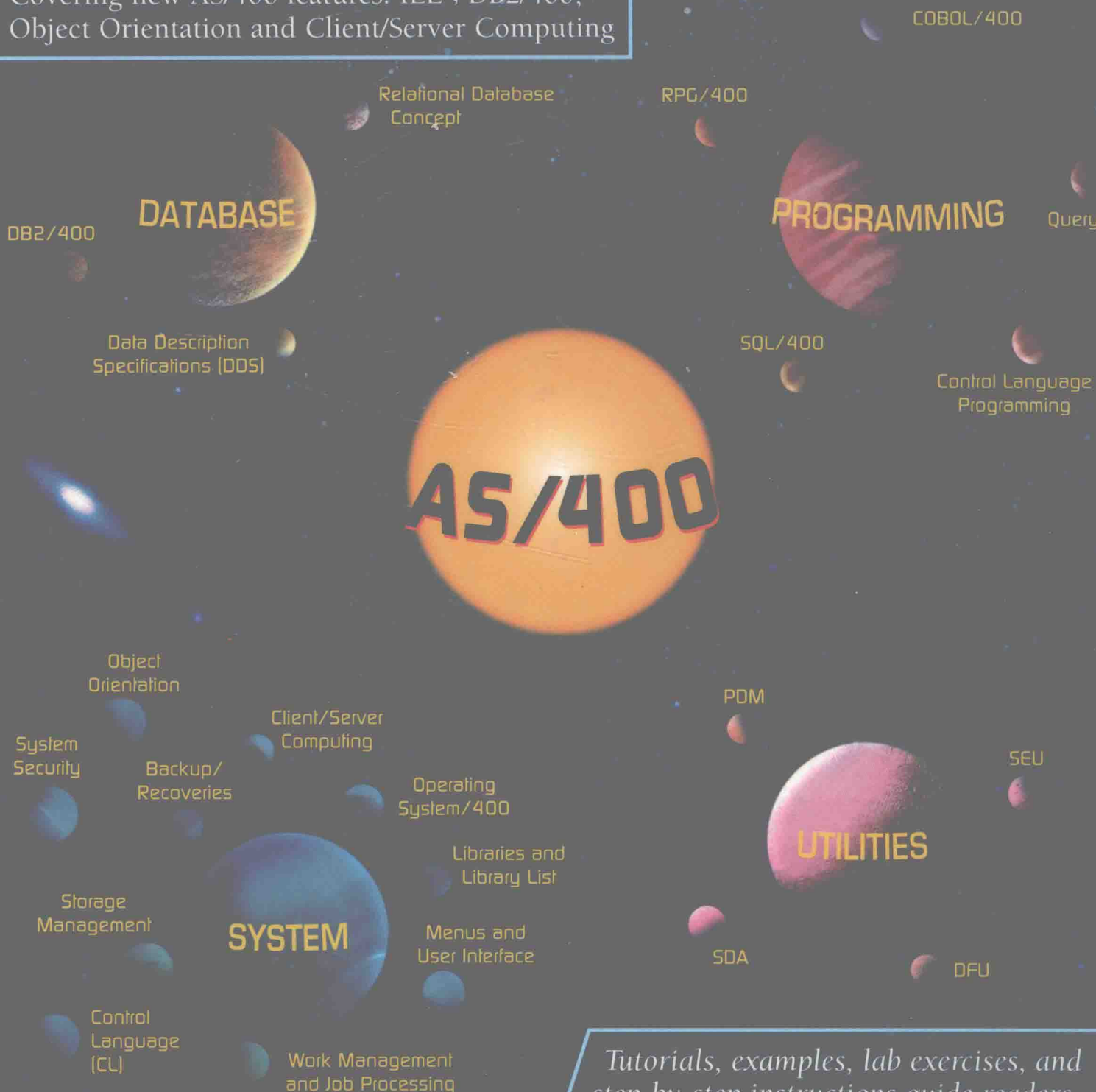


# AS/400: SYSTEM, UTILITIES, DATABASE, AND PROGRAMMING

George Lin and Gayla Stewart

Covering new AS/400 features: ILE , DB2/400, Object Orientation and Client/Server Computing

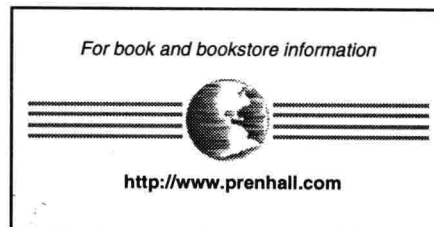


Tutorials, examples, lab exercises, and step-by-step instructions guide readers through using the system and developing application programs.

# **AS/400**

## **System, Utilities, Database, and Programming**

George Lin  
Gayla Stewart



Prentice Hall PTR  
Upper Saddle River, NJ 07458

**Library of Congress Cataloging-in- Publication Data**

**Lin, George.**

AS/ 400 : system, utilities, database, and programming /  
George Lin, Gayla Stewart.

p. cm.

Includes index.

ISBN 0-13-382060-2

1. IBM AS / 400 (Computer) I. Stewart, Gayla. II. Title.

QA76.8.I25919L56 1995

005.245--dc20

95-15988

CIP

Editorial/production supervision: *Ann Sullivan*

Cover design: *John Churchman*

Manufacturing manager: *Alexis R. Heydt*

Acquisitions editor: *Michael Meehan*

Editorial assistant: *Dori Steinhaff*



©1995 by Prentice Hall PTR

Prentice-Hall, Inc.

A Simon & Schuster Company

Upper Saddle River, New Jersey 07458

The publisher offers discounts on this book when ordered in bulk quantities. For more information, contact:

Corporate Department  
Prentice Hall PTR  
1 Lake Street  
Upper Saddle River, New Jersey 07458

Phone 800-382-3419  
Fax: 201-236-7141  
email: [corpsales@prenhall.com](mailto:corpsales@prenhall.com)

All product names mentioned herein are the trademarks of their respective owners.

All rights reserved. No part of this book may be reproduced, in any form or by any means, without permission in writing from the publisher.

Printed in the United States of America

10 9 8 7 6 5 4 3

**ISBN 0-13-382060-2**

Prentice-Hall International (UK) Limited, *London*

Prentice-Hall of Australia Pty. Limited, *Sydney*

Prentice-Hall Canada Inc., *Toronto*

Prentice-Hall Hispanoamericana, S.A., *Mexico*

Prentice-Hall of India Private Limited, *New Delhi*

Prentice-Hall of Japan, Inc., *Tokyo*

Simon & Schuster Asia Pte. Ltd., *Singapore*

Editora Prentice-Hall do Brasil, Ltda., *Rio de Janeiro*

## ACKNOWLEDGMENTS

For his vision, professionalism, and personal warmth, we sincerely thank executive editor Michael Meehan who made this book a reality. We extend our special thanks to production editor Ann Sullivan who has been very patient and skilled in her editorial and production work as she guided this book to its completion. We also wish to acknowledge copy editor William Thomas who has been ardently thorough in his editing and proofreading. We are grateful to Dori Steinhauff for her editorial assistance, to John Churchman for his cover design, to Alexis R. Heydt for managing the manufacturing of this book, and to Trent Herren for his firm support. We also want to thank all others at Prentice Hall PTR who participated in producing this book.

We would like to thank the reviewers of this book, Harvey Hindin, Stan Fellers, and Jim Miller. Through their constructive remarks, they have helped us improve our original manuscript. We would also like to express our gratitude to Derik Reiser, Nancy Lewis, and Daniel Weber who assisted us with the cover's art work, and to James Swann who provided many valuable suggestions. Finally, we want to pay tribute to Tupper Drane, a good friend to both of us and an inspiring colleague in information systems.

## DISCLAIMER

Through the help of many people, we have endeavored to make this book as accurate as we can. We have scrutinized it in an attempt to see that its contents are as free from errors as possible. However, we cannot guarantee that there are no errors, and we and Prentice Hall cannot be held responsible for consequences resulting from the use of this book and its contents.

## ABOUT THE AUTHORS

**George Lin** is a Software Engineer whose technical background spans all three major system platforms: AS/400, mainframe, and PC. For the last 15 years, he has worked on many system and application developments including client/server computing, object oriented architecture, relational database, graphical user interface, event-driven programming, and communication software applications. He has designed and implemented many business applications using various utilities, databases, and system development tools including those on the AS/400.

George Lin holds an MBA from Southern Illinois University. He has taught various information systems courses in colleges and a university including Introduction to the AS/400, AS/400 Control Language, SQL, C++, and Object Oriented Programming Using Visual Basic.

**Gayla Stewart** is a Professor at St. Louis Community College. She holds a Master degree from Washington University in Data Processing. She has worked in the information systems field since 1973. She was Chairperson of the Information/Office Systems Department at St. Louis Community College - Meramec for the years 1991 - 1993. In that capacity she acquired the AS/400 system and established the AS/400 courses at the college.

---

---

## Preface

---

---

The Application System/400 (AS/400) is IBM's new generation of full-range, general-purpose computers, which encompass a broad family of related models. This new system was an instant hit in the market when it was introduced in 1988. By most estimates, the AS/400 has been one of the most successful products ever introduced by IBM.

The popularity of the AS/400 is not confined to the mid-range computing segment where the AS/400 originated. In recent years, the AS/400 has become a favorable down-sizing and right-sizing alternative for many organizations that have decided to migrate from a mainframe to a more efficient and less costly system. It enjoys very high popularity among information systems professionals because of its advanced technologies and many distinct characteristics, which include object-oriented architecture, ease of use, a high level of integration, an integrated relational database, integrated application development tools, and client/server computing. Innovative as it is, the AS/400 is truly a product beyond tradition and comparison.

### In This Book

This book is distinct in its breadth, completeness, depth, and ease of use. It engages readers in hands-on projects while using a combination of text, tutorials, and lab exercises. Detailed and step-by-step illustrations and instructions using graphics, screens, displays, tables, diagrams, and examples are employed throughout the book.

Chapters of this book are organized into five major parts:

#### System

Part 1 introduces the AS/400 system architecture and operating system. Tutorials and lab exercises are used to illustrate the AS/400 environment and to teach the use of AS/400 menus and various displays. AS/400 Control Language (CL), which is essential for performing various system and programming tasks, is introduced. The AS/400 work management structure and job processing are explained. Other topics include storage management, system security, and backup and recovery. In addition, object-oriented architecture and client/server computing, the two most explosive movements in today's computer industry and the focal points of the AS/400 in recent years, are explained.

## Utilities

Part 2 teaches readers how to use the AS/400 utilities, commonly called programming development tools, to develop their applications. These tools include Source Entry Utility (SEU), Data File Utility (DFU), Screen Design Aid (SDA), and Programming Development Manager (PDM). In each of the chapters, tutorials, screen displays, and lab exercises are used to maximize the reader's learning experience.

## Database

Part 3 discusses the concept and structure of the relational database in general and the AS/400 database, DB2/400, in particular. It uses a combination of forms, examples, and lab exercises to explain to readers the use of Data Description Specifications (DDS) in defining the AS/400 database files.

## Programming

Part 4 introduces the AS/400 programming languages. The AS/400 Control Language Programming (CLP) is discussed through various examples. Tutorials are used to dissect other major programming languages, including RPG/400, COBOL/400, and SQL/400. A comparative perspective between RPG/400 and its predecessor, RPG II, is presented. Finally, Query/400, an AS/400 utility, which allows users to retrieve data and create reports without the knowledge of a specific programming language, is taught through the use of tutorials and lab exercises.

## Project

Part 5 presents step-by-step instructions that guide readers through the development of an application project. It reconstructs and expands the procedures specified in lab exercises and lab assignments of the preceding chapters to create the Asset Master application project. The structured instructions in this section assist readers in learning to use the AS/400 database, utilities, query, and CL commands when coding and developing application and programming projects.

## Tutorials, Lab Exercises, and Lab Assignments

The tutorials, lab exercises, and lab assignments in many of the chapters enable readers to develop application projects through hands-on activities using various AS/400 utilities, database, and programming languages. They include CL commands, DB2/400, DDS, SEU, PDM,

DFU, SDA, Query/400, and RPG/400. After the completion of these exercises and assignments, readers will have completed two major application projects: the Asset Master and Employee Master.

## Who Can Use This Book

This is a technical book written for both novices and experienced computer professionals. AS/400 users and students can use this book to learn the ins and outs of the system and to acquire the basic knowledge of the AS/400. Programmers, system analysts, system administrators, database administrators, and operators can use this book to learn application development, system administration, database design, programming, and operation of the AS/400. Both beginning and experienced computer professionals will benefit from the detailed hands-on tutorials, graphical screen illustrations, step-by-step instructions, and lab exercises. The structured tutorials and practical knowledge offered by this book make it ideal material for technical reference, self-study, and classroom teaching and learning.



## Suggested Studying and Teaching Agenda

Because of the structure and arrangement of tutorials and lab exercises in this book, certain topics and lab exercises must be completed before you can advance to the next topics. You are advised to follow the study sequence listed below for either self-study or classroom teaching.

<u>Study Sequence</u>	<u>Chapter</u>	<u>Topic</u>
1	1	Overview of the AS/400 System Architecture and Operating System
2	2	Menus and User Interface - A Tutorial
3	3	AS/400 Control Language (CL) - A Tutorial
4	4	Work Management and Job Processing
5	5	Object-oriented Architecture
6	6	Using Libraries and Library List - A Tutorial
7	7	Storage Management
8	8	System Security
9	9	Backup and Recovery
10	10	Client/Server Computing
11	11	Programmer Menu and Application Development Tools
12	15	Programming Development Manager (PDM) - A Tutorial
13	12	Source Entry Utility (SEU) - A Tutorial
14	16	Relational Database Concept
15	17	AS/400 Database: DB2/400
16	18	Using DDS to Define Database - A Tutorial
17	13	Data File Utility (DFU) - A Tutorial
18	25	Query/400 - A Tutorial
19	14	Screen Design Aid (SDA) - A Tutorial
20	19	AS/400 Programming Languages
21	20	Control Language Programming (CLP)
22	21	RPG/400
23	22	RPG/400 Versus RPG II
24	23	COBOL/400
25	24	SQL/400 - A Tutorial
26	26	AS/400 Integrated Language Environment (ILE), Modular Programming, and Object-oriented Programming (OOP)
27	27	Developing an Application Project Using DB2/400, DDS, SEU, PDM, DFU, SDA, Query/400, RPG/400, and CL Commands

---

## AS/400: System, Utilities, Database, and Programming

---

### Brief Contents

Preface .....	xi
---------------	----

#### Part 1: System

Chapter 1 Overview of the AS/400 System Architecture and Operating System ....	1
Chapter 2 Menus and User Interface - A Tutorial .....	15
Chapter 3 AS/400 Control Language (CL) - A Tutorial .....	45
Chapter 4 Work Management and Job Processing .....	71
Chapter 5 Object-oriented Architecture .....	93
Chapter 6 Using Libraries and Library List - A Tutorial .....	99
Chapter 7 Storage Management .....	121
Chapter 8 System Security .....	133
Chapter 9 Backup and Recovery .....	145
Chapter 10 Client/Server Computing .....	171

#### Part 2: Utilities (Application Development Tools)

Chapter 11 Programmer Menu and Application Development Tools .....	187
Chapter 12 Source Entry Utility (SEU) - A Tutorial .....	203
Chapter 13 Data File Utility (DFU) - A Tutorial .....	241
Chapter 14 Screen Design Aid (SDA) - A Tutorial .....	271
Chapter 15 Programming Development Manager (PDM) - A Tutorial .....	307

#### Part 3: Database

Chapter 16 Relational Database Concept .....	329
Chapter 17 AS/400 Database: DB2/400 .....	339
Chapter 18 Using Data Description Specifications (DDS) to Define Database Files - A Tutorial .....	349

#### Part 4: Programming

Chapter 19 AS/400 Programming Languages .....	387
Chapter 20 Control Language Programming (CLP) .....	395
Chapter 21 RPG/400 .....	409
Chapter 22 RPG/400 Versus RPG II .....	419
Chapter 23 COBOL/400 .....	429
Chapter 24 SQL/400 - A Tutorial .....	441
Chapter 25 Query/400 - A Tutorial .....	463
Chapter 26 AS/400 Integrated Language Environment (ILE), Modular Programming, and Object-oriented Programming (OOP) .....	479

#### Part 5: Project

Chapter 27 Developing an Application Project Using DB2/400, DDS, SEU, PDM, DFU, SDA, Query/400, RPG/400 and CL Commands .....	489
Answers to Even-numbered Questions .....	575
Index .....	611

---

# AS/400: System, Utilities, Database, and Programming

---

## Detailed Contents

Preface .....	xi
 <b>Part 1: System</b>	
Chapter 1 Overview of the AS/400 System Architecture and Operating System .....	1
1.1 AS/400 System Architecture .....	2
1.2 Operating System/400 .....	7
1.3 AS/400 System Units .....	9
Review Questions .....	12
Chapter 2 Menus and User Interface - A Tutorial .....	15
2.1 Sign On and Sign Off .....	16
2.2 Types of Displays .....	18
2.3 Operating the System by Built-in Menus - Lab Exercise .....	24
2.4 Function Keys and Prompting - Lab Exercise .....	31
Review Questions .....	36
Lab Assignment .....	39
Chapter 3 AS/400 Control Language (CL) - A Tutorial .....	45
3.1 CL Command Syntax and Command Names.....	46
3.2 CL Command Parameters .....	50
3.3 Required and Optional Parameters .....	53
3.4 Sample CL Commands with the Required and Optional Parameters ..	54
3.5 Predefined and User-supplied Parameters Values .....	56
3.6 Command Entry and Command Prompting - Lab Exercise .....	58
Review Questions .....	66
Lab Assignment .....	68
Chapter 4 Work Management and Job Processing .....	71
4.1 Work Management Concept and Structure .....	72
4.2 Jobs and Subsystems .....	76
4.3 Major System and Job Commands .....	78
4.4 Job Description .....	84
Review Questions .....	85
Lab Assignment .....	87
Chapter 5 Object-oriented Architecture .....	93
5.1 Concept of Object .....	94
5.2 Object Types .....	95
5.3 Object Management .....	96
Review Questions .....	97
Chapter 6 Using Libraries and Library List - A Tutorial .....	99
6.1 Concept of Library .....	100
6.2 Library Types .....	101
6.3 Library List .....	103
6.4 Commands for Manipulating the Library List .....	104

## AS/400: System, Utilities, Database, and Programming

	Review Questions .....	112
	Lab Assignment .....	114
Chapter 7	Storage Management .....	121
	7.1 Virtual Storage and Single-level Storage .....	122
	7.2 Storage Pools .....	124
	7.3 RAID-1 and RAID-5 Technologies .....	126
	7.4 Managing DASD .....	128
	Review Questions .....	130
Chapter 8	System Security .....	133
	8.1 Security Levels .....	134
	8.2 User Profile and Group Profile .....	136
	8.3 User Classes .....	138
	8.4 Authorization List .....	140
	Review Questions .....	142
Chapter 9	Backup and Recovery .....	145
	9.1 Overview of Backup and Recovery .....	146
	9.2 Backup Methods and Commands .....	147
	9.3 Recovery Methods and Commands .....	159
	Review Questions .....	167
Chapter 10	Client/Server Computing .....	171
	10.1 Client/Server Concept .....	172
	10.2 Client/Server Pros and Cons .....	175
	10.3 Client/Server Computing with the AS/400 .....	177
	Review Questions .....	184

## Part 2: Utilities (Application Development Tools)

Chapter 11	Programmer Menu and Application Development Tools .....	187
	11.1 Programming Support .....	188
	11.2 Programmer Menu .....	189
	11.3 Overview of Application Development Tools .....	197
	Review Questions .....	199
Chapter 12	Source Entry Utility (SEU) - A Tutorial .....	203
	12.1 Features of SEU .....	204
	12.2 Starting SEU .....	205
	12.3 Start SEU Command Parameters .....	208
	12.4 Editing Source Member .....	210
	12.5 Using Prompts and Format Lines .....	213
	12.6 Exiting from SEU .....	217
	12.7 Lab Exercise .....	218
	Review Questions .....	234
	Lab Assignment .....	237
Chapter 13	Data File Utility (DFU) - A Tutorial .....	241
	13.1 Data File Utility (DFU) Overview .....	242
	13.2 Creating a DFU Program - Lab Exercise .....	244
	13.3 Changing a DFU Program - Lab Exercise .....	251
	13.4 Running a DFU Program - Lab Exercise .....	259
	13.5 Deleting a DFU Program .....	264
	Review Questions .....	266
	Lab Assignment .....	268

## AS/400: System, Utilities, Database, and Programming

Chapter 14 Screen Design Aid (SDA) - A Tutorial .....	271
14.1 Screen Design Aid (SDA) Overview .....	272
14.2 Designing and Creating Menus - Lab Exercise .....	274
14.3 Designing and Creating Displays - Lab Exercise .....	282
14.4 Testing Displays .....	296
14.5 Work Screen Functions .....	300
Review Questions .....	303
Lab Assignment .....	305
Chapter 15 Programming Development Manager (PDM) - A Tutorial .....	307
15.1 Programming Development Manager (PDM) Overview .....	308
15.2 Starting PDM .....	309
15.3 Working with Libraries Using PDM - Lab Exercise .....	311
15.4 Working with Objects Using PDM - Lab Exercise .....	315
15.5 Working with Members Using PDM - Lab Exercise .....	320
15.6 PDM Options and Corresponding Commands .....	324
Review Questions .....	325
Lab Assignment .....	328

## Part 3: Database

Chapter 16 Relational Database Concept .....	329
16.1 Database Management System and Database Models .....	330
16.2 Concept and Structure of the Relational Database .....	332
16.3 Sample Tables and Views .....	334
Review Questions .....	336
Chapter 17 AS/400 Database: DB2/400 .....	339
17.1 The Model and Terms of DB2/400 .....	340
17.2 DB2/400 Database Files: Physical Files and Logical Files ....	342
17.3 Externally Described Files and Program Described Files .....	345
Review Questions .....	347
Chapter 18 Using Data Description Specifications (DDS) to Define Database Files - A Tutorial .....	349
18.1 DDS Concepts and Procedures .....	350
18.2 DDS Entries and Specifications .....	352
18.3 Using DDS to Define a Physical File .....	354
18.4 Using DDS to Define a Logical File .....	356
18.5 Using DDS to Define a Join Logical File .....	358
18.6 Entering and Compiling DDS - Lab Exercise .....	363
Review Questions .....	379
Lab Assignment .....	382

## Part 4: Programming

Chapter 19 AS/400 Programming Languages .....	387
19.1 AS/400 Application Programming Support .....	388
19.2 Overview of AS/400 Programming Languages .....	389
Review Questions .....	392
Chapter 20 Control Language Programming (CLP) .....	395
20.1 Control Language Programming Overview .....	396

## AS/400: System, Utilities, Database, and Programming

20.2	Major Functions of CL Programs .....	397
20.3	CL Program Structure .....	398
20.4	A Sample CL Program .....	401
	Review Questions .....	405
	Lab Assignment .....	407
Chapter 21	RPG/400 .....	409
21.1	Structured RPG .....	410
21.2	RPG Logic Cycle .....	411
21.3	RPG Indicators .....	413
21.4	RPG Specifications and Op Codes .....	414
21.5	A Sample RPG/400 Program .....	416
	Review Questions .....	417
Chapter 22	RPG/400 Versus RPG II .....	419
22.1	The Evolution of RPG .....	420
22.2	Externally Described Files .....	421
22.3	Structured Programming .....	422
22.4	Data Structure, Data Area, and Subfile .....	423
22.5	Indicators and Composite Key .....	424
	Review Questions .....	426
Chapter 23	COBOL/400 .....	429
23.1	COBOL/400 Overview .....	430
23.2	Identification Division .....	431
23.3	Environment Division .....	432
23.4	Data Division .....	433
23.5	Procedure Division .....	434
23.6	A Sample COBOL/400 Program .....	436
	Review Questions .....	438
Chapter 24	SQL/400 - A Tutorial .....	441
24.1	SQL/400 Overview .....	442
24.2	SQL Statements - Lab Exercise .....	443
24.3	Embedded SQL and Interactive SQL .....	451
	Review Questions .....	455
	Lab Assignment .....	458
Chapter 25	Query/400 - A Tutorial .....	463
25.1	Query/400 Overview .....	464
25.2	Major Options of Query/400 .....	466
25.3	Using Query/400 - Lab Exercise .....	468
	Review Questions .....	475
	Lab Assignment .....	477
Chapter 26	AS/400 Integrated Language Environment (ILE), Modular Programming, and Object-oriented Programming (OOP) .....	479
26.1	ILE Concepts and Key Elements .....	480
26.2	ILE Advantages .....	482
26.3	ILE Structure .....	483
26.4	ILE Versus Conventional Program Development .....	485
	Review Questions .....	487

## AS/400: System, Utilities, Database, and Programming

### Part 5: Project

Chapter 27	Developing an Application Project Using DB2/400, DDS, SEU, PDM, DFU, SDA, Query/400, RPG/400, and CL Commands .....	489
27.1	Asset Master Application Project Specifications .....	490
27.2	Creating a Library and Source Files .....	491
27.3	Database Layouts of Physical and Logical Files .....	497
27.4	Using Data Description Specifications (DDS) to Define Database Files .....	498
27.5	Using Source Entry Utility (SEU) and Programming Development Manager (PDM) to Enter and Compile DDS .....	500
27.6	Using Data File Utility (DFU) to Enter Data into Database Files .....	514
27.7	Using Query/400 to Retrieve Data from the Database Files and Produce Reports .....	526
27.8	Using Screen Design Aid (SDA) to Create an Asset Master Online Inquiry Screen .....	535
27.9	Coding, Entering, and Compiling an Interactive RPG/400 Program ..	551
27.10	Using Screen Design Aid (SDA) to Create a User-defined Menu ..	567
27.11	Running the User-defined Menu .....	572
Answers to Even-numbered Questions .....		575
Index .....		611

**Chapter 1**

**Overview of the AS/400 System Architecture and Operating System**

---

**1.1 AS/400 System Architecture**

**1.2 Operating System/400**

**1.3 AS/400 System Units**

**Review Questions**



## 1.1 AS/400 System Architecture

The AS/400 is a full-range, multiuser, and general-purpose computer system. It is renowned for its ease of use and high level of integration.

The AS/400 is IBM's new generation of full-range, multiuser, and general-purpose computer systems. Although it is generally considered a mid-range or mini system, the AS/400 is a mini in price and size only. The power and functionality of the AS/400 are far more advanced than its mid-range counterparts, such as System/36 and System/38.

The higher-end models of the AS/400 offer size and power comparable to traditional mainframes, although not with respect to cost. In fact, the AS/400 is easier to use and less costly to maintain because features of its system architecture and technology, such as object orientation and integrated database, have revolutionized the computer industry. More recently, the AS/400 has made significant inroads into networking and client/server computing with the introduction of models specifically designed for client/server applications. For these reasons, the AS/400 has become a product of choice as a server in enterprise-wide client/server environments.

The system architecture of the AS/400 provides many distinctive features that form this revolutionary system. These distinctive features include

The major characteristics of the AS/400 ----->

- High level of integration
- Object orientation
- Relational and integrated database
- Data and program independence
- Single-level storage
- SAA conformance
- Client/server computing

### 1.1.1 High Level of Integration

The high level of integration reduces the cost of initial acquisition and subsequent maintenance. It also increases system efficiency and productivity.

One of the most distinctive features of the AS/400 system architecture is its high level of system integration. Unlike traditional systems that generally separate their database and application development tools from their operating system, the AS/400 offers a full-fledged operating system that integrates most aspects of the machine and user interface. On the AS/400, the work management, storage management, database, control language, query management, security management, and application development tools such as Data File Utility, Source Entry Utility, Programming Development Manager, and Screen Design Aid are all preloaded and integrated into the AS/400 operating system. This high