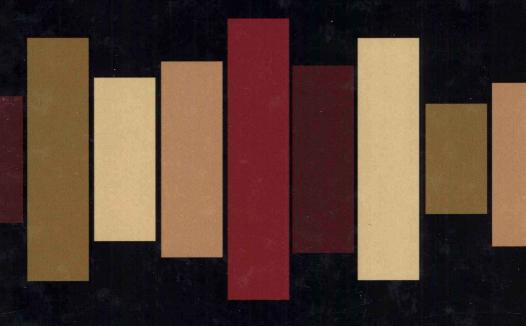
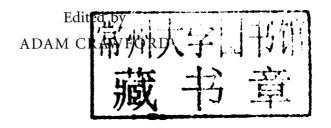
International and Comparative Criminal Justice and Urban Governance

Convergence and Divergence in Global, National and Local Settings



Edited by Adam Crawford

INTERNATIONAL AND
COMPARATIVE CRIMINAL
JUSTICE AND URBAN
GOVERNANCE:
CONVERGENCE AND
DIVERGENCE IN GLOBAL,
NATIONAL AND
LOCAL SETTINGS





CAMBRIDGE UNIVERSITY PRESS

Cambridge, New York, Melbourne, Madrid, Cape Town, Singapore, São Paulo, Delhi, Tokyo, Mexico City

Cambridge University Press The Edinburgh Building, Cambridge CB2 8RU, UK

Published in the United States of America by Cambridge University Press, New York

www.cambridge.org
Information on this title: www.cambridge.org/9780521116442

© Cambridge University Press 2011

This publication is in copyright. Subject to statutory exception and to the provisions of relevant collective licensing agreements, no reproduction of any part may take place without the written permission of Cambridge University Press.

First published 2011

Printed in the United Kingdom at the University Press, Cambridge

A catalogue record for this publication is available from the British Library

Library of Congress Cataloging-in-Publication Data

International and Comparative Criminal Justice and Urban Governance: Convergence and Divergence in Global, National and Local Settings / edited by Adam Crawford.

p. cm ISBN 978-0-521-11644-2 (Hardback)

Criminal law-Congresses.
 International offenses-Congresses.
 Crawford, Adam Michael Crawford, editor of compilation.
 Crawford, Adam Michael Crawford.
 International and comparative criminal justice and urban governance.

K5014.8.I548 2011

345-dc22

2010044488

ISBN 978-0-521-11644-2 Hardback

Cambridge University Press has no responsibility for the persistence or accuracy of URLs for external or third-party Internet websites referred to in this publication, and does not guarantee that any content on such websites is, or will remain, accurate or appropriate.

FIGURES

Figure 9.1	Political economy, imprisonment and homicide. page 220
Figure 9.2	Table summarising main institutional linkages in different
	varieties of political system. 221
Figure 10.1	Prison rate per 100,000 total population 1950–2007.
Figure 12.1	Federal and provincial imprisonment rates (counts per 100,000
	residents) 1958–2007. 307
Figure 12.2	Imprisonment rates in the USA, Canada and England and Wales
	(per 100,000). 313
Figure 12.3	Provincial imprisonment rates (1978–2007). 316
Figure 12.4	Ontario imprisonment rates (total, sentenced and remand)
	per 100,000 residents (1978–2007). 317
Figure 13.1	Rate of youths found guilty in youth court (per 10,000)
	(12–17-year-olds). 343
Figure 13.2	Rate of youths sentenced to custody (per 10,000)
	(12–17-year olds). 345
Figure 13.3	Rate of sentencing for three relatively minor offences – Part 1. 347
Figure 13.4	Rate of sentencing for four relatively minor offences – Part 2. 347
Figure 13.5	Length of custodial sentence. 348
Figure 14.1	Individualism and prison population 1999–2005. 373
Figure 14.2	Individualism and prison conditions 1999–2005. 373
Figure 14.3	Egalitarianism and prison populations 1999–2005. 374
Figure 14.4	Egalitarianism and prison conditions 1999–2005. 374
Figure 14.5	Mature democracies: individualism, prison population and prison
	conditions 1999–2005. 377
Figure 14.6	Mature democracies: egalitarianism, prison population and prison
	conditions 1999–2005. 377
Figure 14.7	Mature democracies: support for 'respect for authority', prison
	population and prison conditions 1999–2005. 378
Figure 14.8	Mature democracies: support for exclusion of offenders, prison
	population and prison conditions 1999–2005. 378
Figure 14.9	Mature democracies: support for 'income differences as incentives',
	prison population and prison conditions 1999–2005 379

	Mature democracies: support for 'laziness is reason why people live	
	in need', prison population and prison conditions 1999-2005.	379

- Figure 14.11 Mature democracies: income inequality, prison population and prison conditions 1999–2005. 381
- Figure 14.12 Mature democracies: ethnic fractionalisation, prison population and prison conditions 1999–2005. 381
- Figure 18.1 Directed governance model. 474

TABLES

Table 6.1	Targets of accountability. page 151
Table 6.2	Targets of accountability and religious affiliation. 152
Table 6.3	Preferred measures of accountability for the harm inflicted during the war. 153
Table 6.4	Measures of accountability and religious affiliation. 154
Table 6.5	Reasons for prosecution. 155
Table 6.6	Reasons for prosecution and religious affiliation. 155
Table 6.7	Meeting with the perpetrator. 157
Table 6.8	Meeting the perpetrator and religious affiliation. 157
Table 6.9	Reasons for meeting the perpetrator. 158
Table 6.10	Reasons not to meet the perpetrator. 159
Table 6.11	Forgiving the perpetrator. 160
Table 6.12	Forgiving the perpetrator and religious affiliation. 161
Table 8.1	Political economy and imprisonment rates. 194
Table 11.1	Divergence. 278
Table 11.2	Convergence. 282
Table 11.3	Rereading divergence. 292
Table 11.4	Rereading convergence. 296
Table 12.1	Relationship between US incarceration rates in 1973 and 2006. 321
Table 13.1	Rate (per 10,000 12-17-year-olds) of finding cases guilty
	(total criminal code, excluding traffic). 344
Table 13.2	Rate (per 10,000 12-17-year-olds) of sentencing cases to custody
	(total criminal code, excluding traffic). 345
Table 13.3	Proportion (and number) of all cases sentenced to custody (Canada). 346
Table 14.1	Prison population (rate of imprisonment) and prison conditions
	1999–2005. 368
Table 14.2	High individualism and preference for norm compliance: prison
	population and prison conditions. 375
Table 14.3	High individualism and support for chance-oriented versus
	outcome-oriented egalitarianism: prison population and prison
	conditions. 376
Table 16.1	Individuals detained by conventional UK forces in Afghanistan 426
Table 16.2	Individuals detained by conventional UK forces in Iraq 429

NOTES ON CONTRIBUTORS

KATJA FRANKO AAS is Professor of Criminology at the Department of Criminology and Sociology of Law at the University of Oslo. She studied at the University of Ljubljana where she graduated in the Faculty of Law. Subsequently she moved to Norway and has since been working at the University of Oslo.

SARAH BLANDY is a Senior Lecturer in Law at the University of Leeds. She graduated from the University of Warwick and subsequently qualified and practised as a solicitor for ten years before embarking on an academic career. She joined the University of Leeds in 2005, having previously worked at Sheffield Hallam University.

SOPHIE BODY-GENDROT is Professor of Political Science at Sorbonne-Paris IV, where she is director of the Centre for Urban Studies. She is also a CNRS researcher at CESDIP (Centre de Recherches Sociologiques sur le Droit et les Institutions Pénales).

HANS BOUTELLIER is the Frans Denkers Professor in Safety and Citizenship at VU University, Amsterdam. He is also director at the Verwey-Jonker Institute, Utrecht.

CHRISJE BRANTS is Professor of Criminal Law and Criminal Process at the Willem Pompe Institute for Criminal Law and Criminology, University of Utrecht. She is also a member of the *Académie Internationale de Droit Comparé* and the Association for International Criminal Justice.

MICHAEL CAVADINO is Professor of Law at the University of Central Lancashire and Research Co-ordinator for Lancashire Law School. He studied law at Oxford University and criminology and socio-legal studies at the University of Sheffield where he taught for many years before moving to his current post in 2006.

JAMES COCKAYNE is co-director of the Center on Global Counterterrorism Cooperation in New York. He was awarded the inaugural Worldwide Universities Network (WUN) Fellowship of the 'International and Comparative Criminal Justice Network' in 2009 and held the post at Sydney University.

ADAM CRAWFORD is Professor of Criminology and Criminal Justice and director of the Centre for Criminal Justice Studies at the University of Leeds. He is the co-organiser of the WUN International and Comparative Criminal Justice Network (ICCJnet).

JAMES DIGNAN was formerly Professor of Comparative Criminology and Criminal Justice at the University of Leeds. Before moving to Leeds in 2007, he was Professor of Criminology and Restorative Justice at the University of Sheffield.

ANTHONY N. DOOB is Professor of Criminology at the University of Toronto. He served as director of the Centre of Criminology from 1979 to 1989 and was one of the members of the Canadian Sentencing Commission from 1984 to 1987.

MARK FINDLAY is the Professor of Criminal Justice, Institute of Criminology, Law Faculty at the University of Sydney. He also holds the Chair in International Criminal Justice, Centre for Criminal Justice Studies, School of Law, University of Leeds, and is an associate senior research fellow at the Institute of Advanced Legal Studies. He is the co-organiser of the WUN International and Comparative Criminal Justice Network (ICCJnet).

SUSANNE KARSTEDT is Professor of Criminology and Criminal Justice at the University of Leeds, having moved from a chair at the University of Keele in 2009. She researched and taught at the universities of Bielefeld and Hamburg in Germany.

NICOLA LACEY is Senior Research Fellow in All Souls College at Oxford University. She is a Fellow of the British Academy.

LESLEY MCARA is Professor of Penology in the Law School at Edinburgh University. She is the principal co-director of the Edinburgh Study of Youth Transitions and Crime.

STEPHAN PARMENTIER is Professor of Sociology of Crime, Law and Human Rights at the University of Leuven's Institute of Criminology. He studied law and sociology at the universities of Ghent and Leuven and Minnesota – Twin Cities.

JOHN PRATT is Professor of Criminology and James Cook Research Fellow in Social Science 2009–12 at the Institute of Criminology, Victoria University of Wellington, New Zealand. During the academic year 2010–11 he holds a Straus Fellowship at the Institute for Advanced Study of Law and Justice at New York University.

JASON RALPH is Professor in International Relations in the School of Politics and International Studies at the University of Leeds. His project 'Law, War and the State of the American Exception' is funded by the Economic and Social Research Council grant number RES-000-22-3252.

JOANNA SHAPLAND is Professor of Criminal Justice and Head of the School of Law at the University of Sheffield. She is executive editor of the *International Review of Victimology*.

SONJA SNACKEN is Professor of Criminology, Penology and Sociology of Law at the Free University of Brussels. During the academic year 2010–11 she holds a Straus Fellowship at the Institute for Advanced Study of Law and Justice at New York University.

JANE B. SPROTT is Associate Professor in the Department of Criminal Justice and Criminology at Ryerson University, Toronto.

RONALD VAN STEDEN is Assistant Professor in the Department of Governance Studies at VU University, Amsterdam.

MARIANA VALVERDE is Professor of Criminology at the University of Toronto, where she is director of the Centre of Criminology.

CLIVE WALKER is Professor of Criminal Justice Studies at the University of Leeds, where he previously was the director of the Centre for Criminal Justice Studies and head of the School of Law.

CHERYL MARIE WEBSTER is an associate professor in the Department of Criminology at the University of Ottawa and a member of the Faculty of Graduate and Postdoctoral Studies.

ELMAR WEITEKAMP is Professor of Victimology and Restorative Justice at the University of Tübingen. He studied social work in Mönchengladbach and at the University of Pennsylvania.

DIRK VAN ZYL SMIT is Professor of Comparative and International Penal Law at the University of Nottingham and Emeritus Professor of Criminology at the University of Cape Town.

ACKNOWLEDGEMENTS

This book arose out of an international colloquium held at the University of Leeds on 24-6 June 2008 under the title 'International and Comparative Criminal Justice and Urban Governance'. It was generously sponsored by the Worldwide Universities Network (WUN) and served as the inaugural meeting of the WUN International and Comparative Criminal Justice Network (ICCInet). The international colloquium was attended by nearly forty delegates from across Europe, North America, Australasia and China. All but two of the eventual chapters in this volume were first presented at the conference and benefited from the extensive discussions that took place over the three days. On behalf of the contributors, I would like to thank all those who attended the colloquium and contributed to the various deliberations, in particular: Katja Franko Aas, Sarah Blandy, Sophie Body-Gendrot, Hans Boutellier, Chrisje Brants, Mick Cavadino, Kerry Clamp, Tony Doob, Mark Findlay, Ralph Henham, Alice Hills, Anthea Hucklesby, Susanne Karstedt, Nicola Lacey, Sam Lewis, Stuart Lister, Lesley McAra, Tim Newburn, John Pratt, Jason Ralph, Paul Seils, Joanna Shapland, Jane Sprott, Mariana Valverde, Clive Walker, David Wall, Adam White, Emma Wincup, Dirk van Zyl Smit and Miao Zhang. I am grateful to Sophie Goodeve and my colleagues at the Centre for Criminal Justice Studies in the Law School at the University of Leeds for their assistance in the organisation and hosting of the initial conference.

The ICCJnet combines WUN and non-WUN partners with interests in a range of interrelated themes that coalesce around the internationalisation of crime control, by exploring questions of comparison (both convergences and divergences) in the development of policy, norms and institutional infrastructures. The network is interested in both the development of international institutions and processes, as well as comparisons between national and sub-national developments. Questions about policy transfer, lesson-drawing and international trends in the co-ordination and delivery of modes of criminal justice and crime control are at the

forefront of research concerns within this network. The ICCJnet has three main research themes which are reflected in this collected volume: (1) international criminal justice and global governance, (2) comparative penology and penal policies and (3) comparative urban governance and international policing agendas. With support from WUN, the ICCJnet funds an annual international visiting fellowship which was held by James Cockayne at the University of Sydney in 2009 and by Dr Ilaria Bottigliero (Senior Researcher at the International Development Law Organisation) jointly at the Universities Sheffield and Leeds in 2010. For further information about the ICCJnet visit the website at: www.wun.ac.uk/research/iccjnet.

I would like to thank all the contributors for their work and their forbearance in the realisation of this project. I am grateful to Sarah Blandy, Phil Hadfield and Stuart Lister for their helpful comments on drafts of some of the chapters. Many thanks to Finola O'Sullivan, at Cambridge University Press, for her patience, for believing in the ambitious idea of this volume of essays and for her – and her team's – support and encouragement in bringing this collection to fruition. Finally, I would like to dedicate this volume to my two daughters, Alex and Kirsty, whose love and honesty provide me with vital and enduring strength.

CONTENTS

List of figures page viii List of tables x Notes on contributors xi Acknowledgements xv

1 International and comparative criminal justice and urban governance 1

ADAM CRAWFORD

PART 1 International criminal justice 39

2 Unintended justice: the United Nations Security Council and international criminal governance 41

JAMES COCKAYNE

The International Criminal Court and the state of the American exception 67

IASON RALPH

4 Universal crimes, universal justice? The legitimacy of the international response to genocide, crimes against humanity and war crimes 86

CHRISJE BRANTS

5 Locating victim communities within global justice and governance 109

MARK FINDLAY

6 Dealing with war crimes in Bosnia: retributive and restorative options through the eyes of the population 140

STEPHAN PARMENTIER AND ELMAR WEITEKAMP

vi contents

7	Shaping penal po	licy from above? The role of the
	Grand Chamber of	of the European Court of
	Human Rights	168

DIRK VAN ZYL SMIT AND SONIA SNACKEN

PART 2 Comparative penal policies 191

- 8 Penal comparisons: puzzling relations 193
 MICHAEL CAVADINO AND JAMES DIGNAN
- 9 Why globalisation doesn't spell convergence: models of institutional variation and the comparative political economy of punishment 214

NICOLA LACEY

10 Penal excess and penal exceptionalism: welfare and imprisonment in Anglophone and Scandinavian societies 251

IOHN PRATT

- 11 The impact of multi-level governance on crime control and punishment 276

 LESLEY MCARA
- 12 Explaining Canada's imprisonment rate: the inadequacy of simple explanations 304

CHERYL MARIE WEBSTER AND ANTHONY N. DOOB

13 US youth justice policy transfer in Canada: we'll take the symbols but not the substance 331

JANE B. SPROTT

14 Liberty, equality and justice: democratic culture and punishment 356

SUSANNE KARSTEDT

PART 3 Comparative crime control and urban governance 387

15 Victimhood of the national? Denationalising sovereignty in crime control 389

KATIA FRANKO AAS

CONTENTS vii

16	Cosmopolitan liberty in the age of terrorism	
	CLIVE WALKER	

- 17 Restorative justice and states' uneasy relationship with their publics 439

 JOANNA SHAPLAND
- 18 Governing nodal governance: the 'anchoring' of local security networks 461

 HANS BOUTELLIER AND RONALD VAN STEDEN
- 19 From the shopping mall to the street corner: dynamics of exclusion in the governance of public space 483

 ADAM CRAWFORD
- 20 Gating as governance: the boundaries spectrum in social and situational crime prevention 519

 SARAH BLANDY
- French perspectives on threats to peace and local social order 545

 SOPHIE BODY-GENDROT
- 22 The question of scale in urban criminology 567
 MARIANA VALVERDE

Index 587

International and comparative criminal justice and urban governance

ADAM CRAWFORD

Introduction

The power to define acts as crimes and the institutionalisation of processes of criminalisation are intimately bound up with the law-making power and identity of the nation state. Similarly, the ability to enforce criminal norms through coercion is equally entwined with the state's claim to sovereignty and its monopoly over the use of legitimate force. Consequently, criminal law and criminal justice represent pre-eminent and central symbols of state sovereignty, and claims over the state's capacity to regulate populations and activities within the confines of its territorial borders. Crime control, therefore, is intrinsically tied up with questions of national identity and self-characterisation. It is infused with, and reflects, the moral, cultural and political frames of reference that inform a society and constitute membership (i.e. citizenship) for given peoples within specified geographical boundaries.

Increasingly in recent years, the capacities, competencies and legitimation claims of the nation state have been called into question – in the field of crime and social control as elsewhere. 'Fluidity', 'liquidity' and 'movement' appear as the defining characteristics of the contemporary age (Lash and Urry 1994; Bauman 2000; Castells 2000). In the modern era, people, goods, capital, technologies, information and communications, as well as 'risks', appear to be on the move in ways that cut across territorial boundaries and question the capability of the state as the ultimate 'power-container'. The development of cross-border and international political, legal and economic institutions has directly challenged the sovereignty of a nation state within its own borders in the most obvious and tangible ways. In the UK, it is the challenge presented by the progression and enlargement of the European Union that excites the most heated public and political debates about sovereignty.

However, the trends are not merely upward to transnational and supranational institutions under pressures of globalisation. They are also downward to regions, localities, communities and consumers and outward into the new policy networks and 'partnerships' incorporating commercial businesses, private interests and 'third sector' or charitable organisations, which are increasingly refiguring relations between centre and periphery in diverse spheres of social life - including the crime control complex. Thus, the decline of state sovereignty in the face of greater interdependencies of political economies and the globalisation of world markets only present one dimension of contemporary trends. Global pressures co-exist alongside an increasing salience of locality. The sameness of globalisation also confronts and affronts assertions of local identity. Place is at one instance 'disembedded' (Giddens 1990) disconnected from and stretched across time and space - but also re-embedded in an increased significance accorded to locality, local social order and the local 'structures of feeling' (Taylor et al. 1996) that remain essential in how ordinary people interpret and make sense of the world. There appears to be an increasingly profound relationship between globalised conditions and local circumstances and outlooks. And yet, the manner in which these tensions are played out, expressed and resolved are decidedly uneven. As commentators have noted, processes of 'globalisation' and 'localisation' are not necessarily antagonistic but often are interconnected through pressures towards social integration. Giddens has insisted that 'the ever increasing abundance of global connections. . . should not be regarded as intrinsically diminishing the sovereignty' of states, but rather seen as 'in substantial part the chief condition of the world-wide extension of the nation-state system in current times' (1985: 5). As such, it may be too soon to herald the 'hollowing out of the state' (Jessop 1993; Rhodes 1994) or celebrating its premature demise. As Bayley rightly warns, we should not get carried away with 'a giddy sense at the moment among many intellectuals that the state is passé' (2001: 212). Nonetheless, a re-articulation of powers and governmental authorities across diverse aspects of social life and at different levels of governance is well under way and the challenges to traditional ways of thinking about the ambitions and capabilities of the nation state remain pre-eminent questions of our time. In different ways these are some of the key themes that animate various chapters in this volume (notably in Parts 1 and 3)

Echoing Giddens' insights into the impact of globalisation on state sovereignty, Katja Aas (in her chapter) uses the example of