KLUWER LAW INTERNATIONAL

Copyright Illuminated:
REFOCUSING THE DIFFUSE U.S. STATUTE



D971.25 N713

#### KLUWER LAW INTERNATIONAL

#### **Copyright Illuminated**

Refocusing the Diffuse US Statute

## David Nimmer UCLA School of Law and Irell & Manella LLP







Published by:

Kluwer Law International

P.O. Box 316

2400 AH Alphen aan den Rijn

The Netherlands

Website: http://www.kluwerlaw.com

Sold and distributed in North, Central and South America by:

Aspen Publishers, Inc. 7201 McKinney Circle Frederick, MD 21704

United States of America

E-mail: customer.care@aspenpubl.com

Sold and distributed in all other countries by: Turpin Distribution Services Ltd. Stratton Business Park Pegasus Drive, Biggleswade Bedfordshire SG18 8TQ United Kingdom

E-mail: kluwerlaw@turpin-distribution.com

ISBN 978-90-411-2494-4

©2008 Kluwer Law International BV, The Netherlands

All rights reserved. No part of this publication may be reproduced, stored in a retrieval system, or transmitted in any form or by any means, mechanical, photocopying, recording or otherwise, without written permission of the publishers.

Permission to use this content must be obtained from the copyright owner. Please apply to Permissions Department, Wolters Kluwer Legal, 76 Ninth Avenue, 7th Floor, New York, NY 10011-5201, United States of America. E-mail: permissions@kluwerlaw.com

## **Copyright Illuminated**

Refocusing the Diffuse US Statute

# for Dick Borow and Morgan Chu

#### **Preface**

The Copyright Act of 1976—now spanning hundreds of pages, subject to dozens of revisions over the decades, interpreted by the courts on thousands of occasions—is indeed a daunting subject matter to approach. But many of my scholarly articles have tried to tackle it, whether taking its subject matter as a whole or focusing on select aspects.

The pages that follow pass a microscope over the entire Act and all its amendments. It is to be hoped that the reader will gain in depth and breadth from looking at all the parts and their interrelationship.

This volume serves as a companion to a previous anthology of my articles that Kluwer Law International published, Copyright: Sacred Text, Technology, and the DMCA (2003). Again, light editing has taken place in this volume to conform divergent journal styles into a coherent whole, but the text and footnote numbers remain largely the same for ease of reference to those prior publications. Also, Afterwords following various chapters again update the treated material by recounting later developments, so that the discussion is current as of publication of this volume in 2007.

The chapters in this anthology were originally published on a standalone basis in the following law reviews.

Codifying Copyright Comprehensibly, 51 UCLA L. Rev. 1233 (2004)

Refracting the Window's Light: Stewart v. Abend in Myth and in Fact, 39 J. Copyright Soc'y 18 (1991)

Abend's Stepchild, 43 J. Copyright Soc'y 139 (1996)

Sound Recordings, Works for Hire, and the Termination-of-Transfers Time Bomb, 49 J. Copyright Soc'y 387 (2001)

Preexisting Confusion in Copyright's Work For Hire Doctrine, 50 J. Copyright Soc'y 399 (2003)

Repeat Infringers, 52 J. Copyright Soc'y 167 (2005)

InacCSSibility, Benjamin Kaplan et al., An Unhurried View Of Copyright, Republished (And with Contributions from Friends) (2005)

"Fairest of them All" and Other Fairy Tales of Fair Use, 66 Law & Contemp. Probs. 263 (2003)

A Modest Proposal to Streamline Fair Use Determinations, 24 Cardozo Arts & Ent. L.J. 11 (2006)

Copyright Ownership by the Marital Community: Evaluating Worth," 36 UCLA L. Rev. 383 (1988)

Copyright's 'Staple Article of Commerce' Doctrine: Patently Misguided, 53 J. Copyright Soc'y 365 (2006)

The Moral Imperative Against Academic Plagiarism (Without a Moral Right Against Reverse Passing Off), 54 Depaul L. Rev. 1 (2004)

A Structured Approach to Analyzing the Substantial Similarity of Computer Software in Copyright Infringement Cases, 20 Ariz. State L.J. 625 (1988)

Access Denied, 3 Utah. L. Rev. 769 (2007)

Promises! Promises!, 119 HARV. L. REV. F. 74 (2006)

Portions of the materials published herein have been incorporated into N<sub>IMMER ON</sub> Copyright. The publisher again extends its gratitude to Matthew Bender & Company for kind permission to reproduce the affected material herein.

#### Acknowledgments

As I wander again through the various articles of which this anthology is composed, I recall anew the many friends and colleagues who were kind enough to offer comments along the way. I have thanked each before, and am delighted to have the opportunity to do so again. Their ranks include:

Norm Abrams, Jon Bing, James Boyle, Elliot Brown, Tom Cotter, Sarah Deutsch, Dave Djavaherian, Séverine Dussollier, David Gerber, Daniel Gervais, Hugh Hansen, Marjorie Heins, Bernt Hugenholtz, Justin Hughes, Peter Jaszi, Craig Joyce, Rob Kasunic, Alex Kozinski, Bob Kreiss, Bobbi Kwall, Dick Lanham, Mark Lemley, Ken Liebman, Paul Marcus, Tom McCarthy, Diane McGimsey, Peter Menell, Neil Netanel, Chris Newman, Jon Newman, Peter Nolan, Shira Perlmutter, Lou Petrich, Malla Pollock, Richard Posner, Peggy Radin, Jennifer Rothman, Pam Samuelson, Chuck Sims, Lon Sobel, Bernie Sorkin, John Tehranian, Jon Varat, Eugene Volokh, Fred von Lohmann, Cindy Vroom, John Wiley, Neil Wilkof, Jeremy Williams, and Ed Zeldow.

Many of them gave input on multiple occasions. It is a blessing to have such loyal critics and friends.

Peter Menell has been not only critic but collaborator on several of the articles published herein. I am immeasurably richer for the innumerable trips I have taken to Berkeley to visit him and lecture together to federal judges, fine-tune a point of copyright law that needs attention, or witness one of his PowerPoint extravaganzas.

For John Wilson and the UCLA Library Staff, there is only one word: amazing. For Dzidra Freiman, whom I thanked last time for unstinting assistance, her

proofreading and rectifying all the materials throughout this volume merit another word: extraordinary.

This book is dedicated to Dick Borow and Morgan Chu, each of whom has offered the utmost in professional support to me over decades and during that time has served as a wonderful example to me. My profound thanks and best wishes will be with each of them always.

Gratitude to my five children is foremost in my thoughts as I put this volume to bed with special excitement on the recent news of our firstborn's engagement. Last time around, I ended by offering deepest thanks to my wife, Marcia, for constant love and support. Even after the passage of years, some sentiments require no editing.

Los Angeles March 2008

### Table of Abbreviations

Commerce Rep. (DMCA)	H.R. Rep. No. 105-551, Part 2, 105th Cong.,
Conf. Rep. (DMCA)	2d Sess. (1998) Joint Explanatory Statement of the Committee of Conference, H.R. Rep. No. 105-796, 105th Cong., 2d Sess. (1998)
H. Rep.	H.R. Rep. No. 94-1476, 94th Cong., 2d Sess. (1976)
H. Rep. (BCIA)	H.R. Rep. No. 100-609, 100th Cong., 2d Sess. (1988)
H. Rep. (DMCA)	H.R. Rep. No. 105-551, Part 1, 105th Cong., 2d Sess. (1998)
H. Rep. (URAA)	H.R. Rep. No. 103-826, 103d Cong., 2d Sess. (1994)
Nimmer on Copyright	Melville B. Nimmer & David Nimmer, <i>Nimmer on Copyright</i> (Matthew Bender & Company, Inc. 1963-2007)
S. Rep. (BCIA)	S. Rep. No. 100-352, 100th Cong., 2d Sess. (1988)
S. Rep. (DMCA)	S. Rep. No. 105-190, 105th Cong., 2d Sess. (1998)
S. Rep. (URAA)	S. Rep. No. 103-412, 103d Cong., 2d Sess. (1994)
SACRED TEXT	David Nimmer, Copyright: Sacred Text, Technology, and the DMCA (Kluwer Law

International (2003) (1994)

## Summary of Contents

rietace	VII
Acknowledgments	xxi
Table of Abbreviations	xxiii
Part One:	1
Confronting the Statute as a Whole	1
Chapter One	
Codifying Copyright Comprehensibly	3
Part Two:	
Renewal and Termination	163
C. T.	
Chapter Two  Abend in Myth and in Fact	165
Total in right and in race	103
Chapter Three	
Abend's Stepchild	195
Chapter Four	
Sound Recordings, Works-for-Hire, and the Termination-of-Transfers	
Time Bomb	213
CHAPTER FIVE	
Preexisting Confusion in Copyright's Work-for-Hire Doctrine	241
PART THREE:	
REVISITING THE DMCA	265
	203
Chapter Six	
Repeat Infringers	267

Chapter Seven Inaccessibility	319
PART FOUR: FAIR USE	355
CHAPTER EIGHT "Fairest of them All" and Other Fairy Tales of Fair Use	357
CHAPTER NINE A Modest Proposal to Streamline Fair Use Determinations	387
PART FIVE: EFFECT ON OTHER ENTITLEMENTS	397
Chapter Ten Copyright Ownership by the Marital Community: Evaluating Worth	399
CHAPTER ELEVEN The Moral Imperative against Academic Plagiarism (Without a Moral Right against Reverse Passing Off)	427
Part Six: In the Realm of No Statute	501
CHAPTER TWELVE The Successive Filtering Method to Determine Substantial Similarity of Computer Programs	503
Chapter Thirteen Access Denied	535
Coda Promises! Promises!	555 557
Index	567

## Table of Contents

Pre	tace			VII
Ack	nowle	edgm	ents	xxi
Tab	le of A	Abbr	eviations	xxiii
	t One		the Statue as a Whole	1
	apter lifyin		e opyright Comprehensibly	3
I.	Intr	oduc	etion	3
	A.	ME	BN, The Series	3
	В.	Ho	ow to View this Installment	4
II.	Mel	Nin	nmer the Copyright Legislator	4 7 8
	A.	Co	pyright Codifier	8
	В.	196	65 Testimony	14
		1.	Prepared Remarks	14
		2.	Colloquy	20
		3.	Special Commission	22
		4.	Personal Postscript	23
	C.	CC	ONTU	23
		1.	Statutory Background	24
		2.		25
		3.	Substantive Recommendations	26
			a. Photocopying	27
			b. Computer Programs	28
			c. Additional Voices	29
		4.	Resulting Amendment	32
		5.	Personal Postscript	33

	D.	Father Knows Best	34
III.	Ev	aluating Legislation	35
	A.	A Myriad of Choices	35
	В.	Formal Indicia of Success	36
		1. Criteria	36
		a. Coherence	37
		b. Transparency	38
		c. Reality	42
		d. Breadth	42
		e. Other Desiderata	43
		2. Sample Application	44
	C.	Applying these Criteria to the Copyright Act	48
		1. At Enactment	48
		a. Catalog of Provisions	49
		b. Twin Characteristics	56
		(1) Endless "D.C. Regulations"	56
		(2) Terse "National Copyright Legislation"	58
		c. Evaluation	59
		2. Continuity in Character through Two Dozen Amendments	66
		a. Catalog of Amendments	67
		b. Evaluation	74
		3. Subsequent Deforming Amendments	78
		a. Catalog of Amendments	78
	_	b. Evaluation	87
	D.	Tally of Neutral Criteria	89
		1. Coherence, Transparency, Reality, Breadth	89
		2. Public Accountability	90
		3. Stability	93
		4. Retroactivity	95
TT 7	TC1	5. Randomness	97
IV.		Road Down—An Akanthology	98
	A.	Historical Perspective	99
	В.		100
		1. Semiconductor Chip Protection Act of 1984	100
		2. Vessel Hull Design Protection Act	101
	C	Andia II D. 1' A . 04000	103
	C.	I lens over a production of the state of the	103
	D.	Uruguay Round Agreements Act	106
	E.	Performance Rights in Sound Recordings	107
		1. Digital Performance Right in Sound Recordings	
			107
			111
	Б	Digital Millandian C	111
	F.	Digital Millennium Copyright Act	114

Tab	Table of Contents			xiii
	G.	Coming Up for Oxygen—Interpretive Corrections		115
	٠.	1. Success of the Enterprise		115
		2. Imperfect Implementation		118
V.	List	ening to Mel		121
•	A.	Mel Nimmer's Napster		121
		1. Napster '83		122
		2. Napster '99		125
		3. A&M Records v. Napster		133
		4. Napster '04		137
	В.	Technological Protection Measures Gone Berserk		140
	C.	Nothing Beats Something		144
	D.	CONTU's Better Example		148
VI.		ategies for Moving Forward		151
	A.	Conclusion		151
	В.	My Petition for More John Herseys		152
Afte	erWor			157
	t Two	o and Termination		163
	pter end i	Two n Myth and in Fact		165
I.	Rac	kground		165
1.	A.	U.S. Reversion of Renewal Rights		166
	В.	Rohauer—"Son of the Sheik"		169
	C.	Abend—"Rear Window"		170
II.		mestic Implications		174
11.	A.	Myth #1: The Case is a Radical New Development		174
	В.	Myth #2: All Pre-1978 Works are Implicated		175
	ъ.	1. Non-Application to Pre-1978 Works		175
		2. Application to Post-1978 Works		173
	C.	Myth #3: There is No Defense		179
	C.			179
		ACCORD ACCORD NO MANAGEMENT AND ACCORD NO MANA		
				181
		a. Injunction		182
		b. Willfulness		182
		c. Damages		184
	D.	3. Potential Legislative Reform Myth #4: The Result Was an Across-the-Board		184
	ν.	Defeat for the Motion Picture Studios		186
III.	Effe	ects Abroad		189
111.	A.	Breaching the Impermeable Wall		189
	В.	Aligning the Three Factors		190
		5 6		170

xiv	Table of	<sup>f</sup> Contents
	onclusion derWord	192 193
	apter Three	
Ab	pend's Stepchild	195
I.	Doctrinal Confusion	195
II.	Statutory Contusion	196
III.	8	201
	A. Stewart v. Abend	201
	B. Mills Music, Inc. v. Snyder C. Woods v. Bourne Co	203
IV.	The contract of	205
1 V.	A. Policy	206
	B. Intent	206
	B. Intent	209
	apter Four	
Sou	and Recordings, Works-for-Hire, and the	
Ter	mination-of-Transfers Time Bomb	213
I.	Exposition	214
	A. Works Made for Hire	214
	B. Absence of Sound Recordings in 1976 Act	215
	C. 1999 Amendment	216
	D. 2000 Repeal	219
TY	E. Timing of Amendment and Repeal	220
II.	Evaluation	221
	A. Termination of Transfers	221
	B. Shoehorning Sound Recordings into Other Categories	223
	<ol> <li>Did the Amendment Change "Allocation of Rights"?</li> <li>Did the Amendment Change "Current Work for</li> </ol>	224
	Hire Law"?	225
TTT	C. Sorting Out the Consequences	227
III.	Effect on Public Interest	232
	<ul><li>A. Beyond the Disputants</li><li>B. Passions Unleashed</li></ul>	232
		233
	<ul><li>C. Perverseness Triumphant</li><li>D. Open Issues</li></ul>	234
IV.	Fin	235
	rWord	238
		239
	pter Five	
. 100	existing Confusion in Copyright's Work-for-Hire Doctrine	241
I.	Copyright 101	241

Tabl	e of (	Contents	XV
II.	Ctot	utory Definitions	242
ш.	A.	Specially Commissioned Works	242
	B.	Compilations and Collective Works	242
	C.	Challenges in Application	244
III.		orical Illumination	245
ш.	A.	Group Works	245
	A.	1. Compilations	245
		2. Composite Works	248
		3. Collective Works	249
		a. Multiple Authors	250
		b. Preexisting	251
		4. Conclusion	253
	В.	Works Made for Hire	254
IV.	-	sening Confusion in Copyright's Work-for-Hire Doctrine	257
1 V.	A.	Scenarios	257
	B.	Preexisting Components	259
	C.	Multiple Authors	262
Con	clusio		263
Con	Clusic	OII	203
Pari	t Thr	PP	
		g the DMCA	265
		5	
	pter		***************************************
Rep	eat I	nfringers	267
т	The	Danast Infringer Delicy in Contact	268
I.		Repeat Infringer Policy in Context	268
	A.	Excising Past Infringement	270
TT	B.	Preventing Future Infringement	270
II.		what Constitutes on "Infringer"?	271
	A.	What Constitutes an "Infringer"?	271
		1. Infringement of What?	271
		2. Degree of Certainty	273
		a. Allegation	275
		<ul><li>b. No Duty to Monitor</li><li>c. Proof</li></ul>	275
			277
		3. Application to Section 512(i)	277
		a. Possibilities	
		b. Resolution	277
		c. Inefficacy for these Purposes of Notifications of	270
		Claimed Infringement	279
	D	d. Conclusion What Constitutes "Parast"?	281
	В.	What Constitutes "Repeat"?	281
		1. More Than One Occasion	281
	C	2. Innocent or Flagrant?	282
	C.	Who Must be Excluded?	283