STUDY EDITION

Diana M. Di Nitto



Social Welfare

POLITICS AND PUBLIC POLICY

FIFTH EDITION

SOCIAL WELFARE



Politics and Public Policy
Fifth Edition

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Diana M. DiNitto The University of Texas at Austin



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Social Welfare

For my family and for everyone who has known AIDS



Social Welfare: Politics and Public Policy, Fifth Edition, is intended to introduce students to the major social welfare policies and programs in the United States and to stimulate them to think about major conflicts in social welfare today. The focus of the book is on *issues*, and it emphasizes that social welfare in the United States involves a series of *political* questions about what should be done for groups such as the poor, the near poor, and the nonpoor—or whether anything should be done at all.

Social Welfare: Politics and Public Policy describes the major social welfare programs—their histories, trends, and current problems and prospects. But more importantly, it tackles the difficult conflicts and controversies that surround these programs. Social welfare policy is *not* presented as a series of solutions to social problems. Instead, social policy is portrayed as public conflict over the nature and causes of social welfare problems; over what, if anything, should be done about them; over who should do it; and over who should decide about it.

Some of the major policies and programs covered in this book are

Social Security Unemployment compensation Workers' compensation Supplemental Security Income Vocational rehabilitation The Americans with Disabilities Act Child support enforcement Temporary Assistance for Needy Families (formerly Aid to Families with Dependent Children) General Assistance Food Stamp Program School lunch and breakfast programs

Special Supplemental Nutrition
Program for Women,
Infants, and Children
Community action programs
Job Training Partnership
Act and other job
programs
Mental health services
The Older Americans Act
Child welfare services
Medicare
Medicaid
Civil rights legislation
Immigration legislation

Although it is impossible to capture all the complexities of social welfare in the United States in a single volume, these policies and programs are described and analyzed, and alternative proposals and "reforms" are considered. Public policies that address gender inequities and the inequities faced by members of various ethnic groups are also addressed.

This book is designed for undergraduate and beginning graduate courses in social welfare policy. It does not require prior knowledge of social welfare, and it may serve as a springboard to further interest in social welfare policies and programs.

Many texts on social policy treat social insurance, public assistance, and social service programs *descriptively*; by so doing, they tend to obscure important conflicts and issues. Other books treat these programs *prescriptively*; by so doing, they imply that there is a "right" way to resolve social problems. *Social Welfare: Politics and Public Policy* views social policy as a *continuing political struggle* over the issues posed by poverty and other social welfare problems in society—different goals and objectives, competing definitions of problems, alternative approaches and strategies, multiple programs and policies, competing proposals for "reform," and different ideas about how decisions should be made in social welfare policy.

An accompanying website and other technology-assisted supplements are available to accompany the text; the website address is www.abacon.com/dinitto.

I owe a special debt to Professor Thomas R. Dye. Although he no longer appears as a coauthor of the book, without him there would never have been a book at all. I wish to thank the reviewers who commented on previous editions, including reviewers of the Third Edition: Professor Doris Burton, Indiana University; Professor Matthew Kinkley, Lima Technical College; and Professor Lon Johnston, University of Mary Hardin-Baylor, and reviewers of the Fourth Edition: Stephen C. Anderson, University of Oklahoma; Daniel R. Meyer, University of Wisconsin–Madison; Edward W. Ihle, Syracuse University; and Carole C. Upshur, University of Massachusetts, Boston. I wish to especially thank Professor Robert B. Hudson of Boston University who has provided extensive commentary on previous editions and on the draft of the Fifth Edition. Thanks also to Amy Dolejs, Mary Margaret Just, Kelly Larson, Melanie Sinclair, and Jaclyn Smith for their assistance in helping me complete this edition. Several users of the book, both faculty and students, have communicated with me about previous editions. I appreciate their interest and look forward to further contacts with readers.

D.M.D.



Preface		xiii
One	Politics, Rationalism, and Social Welfare	1
	Politics and Social Welfare Policy	1
	Scope of Social Welfare Policy	2
	Social Welfare Policy: A Rational Approach	4
	Social Welfare Policy: A Political Approach	7
	Illustration 1-1. Special Tips for the Legislative Process	10
	The Policymaking Process	12
	Agenda Setting	13
	Nondecisions	13
	The Mass Media	14
	The Budget	14
	Implementation	16
	The American Public and Social Welfare	17
	America's Capacity for Giving	21
	Political Ideology and Social Welfare	22
	Illustration 1-2. We Can Take Care of Our Own Or Can We?	23
	PACs and Social Welfare	26
	Summary	29
	Notes	30
Two	Government and Social Welfare	32
	Historical Perspectives on Social Welfare	32
	Elizabethan Poor Law	33
	Early Relief in the United States	34
	The Great Depression and the New Deal	34
	The Great Society and the War on Poverty	36
	Illustration 2-1. The Revolution No One Noticed	37
	The Expansion of Social Welfare	39
	The Rural-to-Urban Migration	39
	Residency Requirements Eliminated	40
	Welfare Rights	40
	Cost-of-Living Adjustments	41
	The Graying of America	42
	Increase in Single-Parent Families	42
	Finances in the Welfare State	42
	Illustration 2-2. Intelligence Report: Our Low Taxes	46

		CONTENTS	vii
	The Legacy of "Reaganomics"		47
	The Supply Side		48
	Helping the "Truly Needy"		50
	The States as Laboratories		52
	The Privatization of Public Services		52
	The Reagan-Bush Finale		54
	The Presidential Years of Bill Clinton		54
	Smoke? Mirrors? Or a Balanced Budget?		54
	Line by Line		59
	Money to Burn? Starting to Look Back at the Clinton Years		59 60
	Starting to Look Back at the Clinton Years		61
	The Big Tasks Ahead		62
	Summary Notes		63
	INOTES		03
Three	Defining Poverty: Where to Begin?		66
	What Is Poverty?		66
	Poverty as Deprivation		67
	In-Kind Benefits: How Much Are They Worth?		72
	Who Are the Poor?		73
	Poverty as Inequality		76
	Why Are the Poor, Poor?		78
	Poverty as Culture		80
	Poverty as Exploitation		82
	Poverty as Structure		83
	Poor and Homeless: Not Invisible Anymore		85
	Who Are the Homeless?		86
	Illustration 3-1. Homeless in Paradise		88
	Affordable Housing Illustration 3-2. Pam Jackson's New Apartment		89 92
	A Fundamental Shift		93
	Doesn't Welfare Cause Poverty?		93
	From Poverty to Dependency		95
	Summary		97
	Notes		98
Four	Preventing Poverty: The Social Insurance Programs		102
	Preventing Poverty through Compulsory Savings		102
	The Social Security Act		102
	Social Security: The World's Largest Social Welfare Program		105
	Even the Best-Laid Plans		107
	Illustration 4-1. Social Security—Who Qualifies, and How Much		10.
	Do Beneficiaries Receive?		111
	Achieving the Goals of Social Security: Adequacy and Equity		113
	Illustration 4-2. Will You Reap What You Sow?		114
	Keeping the Wolves from the Door		118

viii	Contents	
	Unemployment Compensation	122
	What Is Unemployment, and Who Gets Counted as Unemployed?	123 120
	Reforming Unemployment Compensation	127
	Workers' Compensation Summary	128
	Notes	129
Five	Helping the "Deserving Poor": Aged, Blind, and Disabled	133
	Public Assistance for the Deserving Poor	133
	SSI: "Federalizing" Public Assistance	134
	How SSI Works	133
	Illustration 5-1. Example of What Happens When You Are Disabled	
	and Work Under SSI	137
	Disability Determination	138
	SSI Recipients, Payments, and Cost	139
	SSI Hot Spots Rehabilitation Services for Individuals with Disabilities	142
	Rehabilitative Services for Individuals with Disabilities The Vocational Rehabilitation Program	144 145
	Creaming	14.
	The Era of Civil Rights for People with Disabilities	147
	From Deinstitutionalization to Normalization and Inclusion	142
	The Independent Living Movement	148
	A New Bill of Rights for People with Disabilities	148
	Illustration 5-2. What Is Reasonable Accommodation for People	
	with Disabilities?	149
	Disability Policy for Children	153
	Building a Better Policy on Disability	155
	A Fair Definition A Fair Policy	155 150
	Illustration 5-3. Guidance from the EEOC in Implementing the Americans	130
	with Disabilities Act in Cases of Psychiatric Impairment	157
	General Assistance: The State and Community Response to Welfare	159
	Federalism and Social Welfare	162
	Summary	163
	Notes	164
Six	Ending Welfare as We Knew It: Temporary Assistance	
	for Needy Families	168
	From Mothers' Aid to AFDC	168
	Mothers' Aid	168
	Aid to Dependent Children	169
	Keeping the Family Together	169
	Man-in-the-House Rules	170

171 176

Trying to Make Parents Pay Welfare and Work

		CONTENTS	ix
	Illustration 6-1. What Mothers and Fathers Think About Child		
	Support Enforcement		177
	Rehabilitation for Work		180
	Job Training and WIN		181
	Workfare		182
	The JOBS Program		184
	Why the Fuss about AFDC?		186
	Recipients and Costs		186
	Illustration 6-2. Why Mother Slapped Me		187
	More Fact and Fiction in AFDC		190
	Illustration 6-3. The Unreported Work of Mothers Receiving		102
	Public Assistance		192
	An End to Welfare as We Knew It		195
	Nonwelfare Approaches		195
	The March to TANF Begins		197
	Enter the Clinton Administration		198
	Has Welfare as We Know It Ended?		199 206
	Summary Notes		200
	INOLES		207
Seven	Fighting Hunger: Nutrition Policy and Programs		
	in the United States		213
	Malnutrition Amid Plenty		213
	Setting Nutritional Policy		215
	Commodity Food Distribution		215
	A New Food Stamp Program		216
	Politics Discovers Hunger		216
	Eliminating the Purchase Requirement		217
	Politics Rediscovers Hunger		218
	Tightening Food Stamps' Belt: The Welfare Reform of 1996		220
	Food Stamp Program Operations		221
	Determining Eligibility		222
	Participants and Costs		223
	Nutrition Programs for Younger, Older, and Disabled Americans		225
	Meals for School Children		225
	Illustration 7-1. A Client's View of the Food Stamp Program		226
	WIC		229
	Nutrition for Older Adults		231
	More Nutrition Programs Nutritional Politics		231
			232
	Rendering the Cash versus In Kind Debate Obsolete with EBT Illustration 7-2. The Thrifty Food Plan—How Much Is It Worth?		233
	How Much Fraud, Abuse, and Error in Welfare?		234 239
	Who Should Administer Nutrition Programs?		243
	Summary		243
	Notes		244
			277

X	CONTENTS
	CONTENTS

X	CONTENTS	
Eight	Improving Health Care: Treating the Nation's Ills	250
0	Good Health or Medical Attention?	250
	Health Care Policy Today	251
	Medicaid: Health Care for Some of the Poor	252
	Medicare: Health Care for Older Americans	256
	Covering Medigaps	258
	What Ails Medicine?	262
	The Nation's Health Care Bill	263
	Holding Down Public Health Care Costs	266
	Is Managed Care Hazardous to Your Health?	269
	Illustration 8-1. A Proposed Consumer Bill of Rights and Responsibilities	273
	The Politics of Health Care for All	274
	The Failed Health Security Act	275
	Expanding Health Care Coverage the Incremental Way	278
	Health Care—Some Ethical Dilemmas	281
	Summary	284
	Notes	285
Nine	Changing Paradigms in the Poverty Wars:	
	Victories, Defeats, and Stalemates	288
	The Curative Strategy in the 1960s War on Poverty	289
	Illustration 9-1. Community Development: A Foundation Perspective	290
	LBJ and the Economic Opportunity Act	294
	Community Action	294
	Youth Education	295
	Legal Services	296
	More Office of Economic Opportunity Projects	297
	The Great Society	297
	Politics Overtakes the War on Poverty	298
	Why Hasn't Head Start "Cured" Poverty?	300
	Politics, Evaluation, and Head Start	301
	Years Later	302
	Fueling Employment: Make-Work versus the Real Thing	303
	The Job Training Partnership Act	305
	Progress Towards One-Stop Shopping at the U.S. Employment Service	307
	Should the Minimum Wage Get Another Raise?	308
	Enterprise and Empowerment Zones	311
	Illustration 9-2. Report Card on Empowerment Zones	312
	Building Communities through Service	315
	Summary	316
	Notes	317
TEN	Providing Social Services: Help for Children, the Elderly,	
	and People with Mental Illness	320
	Social Services in the United States	320

		CONTENTS	χi
	Who Provides Social Services?		321
	The Development of Social Services		322
	Social Services for People with ADM Problems		323
	Defining the Problems		324
	Estimating Problems and Services		324
	Achieving Treatment Parity		326
	Finding Better Prevention and Treatment Approaches		327
	Community Mental Health Centers		328
	A War on Drugs or on Drug Addicts?		329
	The Rights of Mental Health Service Consumers		331
	Illustration 10-1. Needle-Exchange Programmes in the USA: Time to	Act Now	332
	Liberty or Neglect?		334
	Too Much Access or Too Little?		335
	From Deinstitutionalization to Recriminalization		335
	Child Welfare Policy		337
	Discovering Child Abuse		337
	Illustration 10-2. What to Do About Helping People with Severe Med	rtal Illness	338
	Illustration 10-3. Little Mary Ellen		341
	Extent of Child Maltreatment		342
	Services for Abused and Neglected Children		343
	Problems with the System Social Services for Older Americans		347
	Summary		350 354
	Notes		355
	Titles		555
ELEVEN	Challenging Social Welfare: Racism and Sexism		360
	Gender Inequities		360
	The Feminization of Poverty		360
	The Wage Gap		363
	Equal Rights for Women		367
	Illustration 11-1. Women in Federal and State Offices		368
	Family Care		370
	Sexual Harassment		371
	Illustration 11-2. A Call for Lustiness: Just Say No to the Sex Police		373
	No Consensus on Abortion Rights		374
	Violence Against Women		378
	Gay Rights		379
	Blacks, Hispanics, and Social Welfare		383
	Illustration 11-3. A Dialogue on Race		384
	Separate But Not Equal		385
	The Civil Rights Act		388
	Housing and Racial Discrimination		389
	Affirmative Action		390
	Voting Rights Native Americans and Social Welfare		394
	Immigration and Social Welfare		395
	Immigration Policy		396 396
	zoration I only		370

CONTENTS

Нои	v Much Immigration?	399
Summa	ry	402
Notes		403
Twelve Implen	nenting and Evaluating Social Welfare Policy:	
	Happens After a Law Is Passed	407
	itics of Implementation	407
	nmunications	408
	ources -	410
Atti	tudes	411
Bur	eaucracy	412
Evaluati	ing Social Policy	414
Poli	cy Evaluation as a Rational Activity	416
Illustrat	ion 12-1. Rational Evaluation: What Questions to Ask	417
The	Many Faces of Program Evaluation	419
	Public Hearings	419
	Site Visits	419
	Program Measures	419
	Comparison with Professional Standards	420
	Formal Research Designs	420
	ion 12-2. Is Theory-Based Evaluation Better?	422
Poli	cy Evaluation as a Political Activity	424
	Unclear, Ambiguous Program Goals	424
	Symbolic Goals	425
	Unhappy Findings	425
	ion 12-3. What to Do If Your Agency's Program Receives	
a Neg	ative Evaluation	426
	Program Interference	426
	Usefulness of Evaluations	426
	Evaluation by Whom?	427
D. 1:	Threats to Everyone	427
	tics at Work: Evaluating the Guaranteed Annual Income Experiments	427
Summa	TY	430
Notes		431
Name Index		433
Subject Index		435
Practice Tests		PT-1

CHAPTER



Politics, Rationalism, and Social Welfare

POLITICS AND SOCIAL WELFARE POLICY

o one is happy with the nation's public assistance system—not the working tax-payers who must support it, not the social welfare professionals who must administer it, and certainly not the poor who must live under it. Even the nation's social insurance system has become a source of controversy. Since the Social Security Act of 1935, the federal government has tried to develop a rational social welfare system for the entire nation. Today a wide variety of federal programs serve people who are aged, poor, disabled, sick, or have other social needs. **Income maintenance** (social insurance and public assistance) is now the largest single item in the federal budget, easily surpassing national defense. The Social Security Administration has the largest budget of any federal agency. The budget of the U.S. Department of Health and Human Services is not far behind, and many additional social welfare programs are administered by other departments. Yet even after sixty-five years of large-scale, direct federal involvement, social welfare policy remains a central issue in U.S. politics.

Social welfare policy involves a series of *political* issues about what should be done for the poor, the near-poor, and the nonpoor—or whether anything should be done at all. The real problems in social welfare are not problems of organization, administration, or service delivery. Rather, they involve political conflicts over the nature and causes of poverty and inequality, the role of government in society, the burdens to be carried by taxpayers, the appropriate strategies for coping with social problems, the issues posed by specific social insurance and public assistance programs, the relative reliance to be placed on providing cash rather than services to the poor, the need for reform, and the nature of the decision-making process itself. In short, social welfare policy is a continuing political struggle over the issues posed by poverty and inequality and by other social problems in society.

Policymaking is frequently portrayed as a *rational* process in which policymakers identify social problems, explore all the solutions to a problem, forecast all the benefits and costs of each solution, compare benefits to costs for each solution, and select the best ratio of benefits to costs. In examining social welfare policy, this book considers both the strengths and weaknesses of this rational model.

More importantly, it portrays social welfare policy as a political process—as conflict over the nature and causes of poverty and other social problems and over what, if anything, should be done about them. Social welfare policy is political because of disagreements about the nature of the problems confronting society, about what should be considered "benefits" and "costs," about how to estimate and compare benefits and costs, about the likely consequences of alternative policies, about the importance of one's own needs and aspirations in relation to those of others, and about the ability of government to do anything "rationally." As you will see, the political barriers to rational policymaking are indeed very great.

Scope of Social Welfare Policy

Social welfare policy is anything a government chooses to do, or not to do, that affects the quality of life of its people. Broadly conceived, social welfare policy includes nearly everything government does—from taxation, national defense, and energy conservation, to health care, housing, and public assistance. More elaborate definitions of social welfare policy are available; most refer to actions of government that have an "impact on the welfare of citizens by providing them with services or income." ²

Some scholars have insisted that government activities must have "a goal, objective, or purpose," in order to be labeled a "policy." This definition implies a difference between governmental actions in general and an overall plan of action toward a specific goal. The problem, however, in insisting that government actions must have goals in order to be labeled as "policy" is that we can never be sure what the goal of a particular government action is. We generally assume that if a government chooses to do something there must be a goal, objective, or purpose, but often we find that bureaucrats who helped write the law, lobbyists who pushed for its enactment, and members of Congress who voted for it all had different goals, objectives, and purposes in mind! Multiple goals are not necessarily a bad thing, especially when they mean that more people stand to benefit from a policy, but any of the intentions of a law (stated or not) may also be quite different from what government agencies actually do. All we can really observe is what governments choose to do or not do.

Political scientists Heinz Eulau and Kenneth Prewitt supply still another definition of public policy: "Policy is defined as a 'standing decision' characterized by behavioral consistency and repetitiveness on the part of those who make it and those who abide by it." It might be a wonderful thing if government activities were characterized by "consistency and repetitiveness"—that they seem to have "rhyme and reason"—but it is doubtful that we would ever find a public policy in government if we insisted on these criteria. As you shall see, much of what government does is neither consistent nor repetitive.

Note that this book focuses not only on government action but also on government *in*action—that is, on what governments choose *not* to do. Government inaction can have just as important an impact on society as government action.

For practical purposes, much of the discussion presented here is limited to the policies of government that directly affect the income, services, and opportunities available to people who are aged, poor, disabled, ill, or otherwise vulnerable. I discourage lengthy discussions of the definition of social welfare policy. These discussions are often futile, even exasperating, since few people can agree on a single definition of social welfare policy. Moreover, these discussions divert attention away from the study of specific social welfare policies.

The boundaries of social welfare policy are indeed fuzzy, but clarifying subjects of concern and interest can be viewed as a challenge, not an obstacle. Specifically, this book addresses major government programs in

Income maintenance

Social Security

Unemployment compensation

Workers' compensation

Supplemental Security Income (SSI)

Temporary Assistance for Needy Families (TANF, formerly Aid to Families with Dependent Children)

General Assistance

Nutrition

Food stamps

School lunches and breakfasts

Special Supplemental Nutrition Program for Women, Infants, and Children (WIC)

Congregate meals

Meals-on-wheels

Health

Medicaid

Medicare

Public health

Social services

Child protective services

Family preservation services

Community mental health services

Day care and preschool education for children

Employment services

Job training

Independent living and long-term care services for people who are elderly or disabled

Vocational rehabilitation