

FOOD, ENERGY, AND SOCIETY

THIRD EDITION

DAVID PIMENTEL
MARCIA H. PIMENTEL



CRC Press

Taylor & Francis Group

FOOD, ENERGY, AND SOCIETY

THIRD EDITION

DAVID PIMENTEL
MARCIA H. PIMENTEL



CRC Press
Taylor & Francis Group
Boca Raton London New York

CRC Press is an imprint of the
Taylor & Francis Group, an **informa** business

CRC Press
Taylor & Francis Group
6000 Broken Sound Parkway NW, Suite 300
Boca Raton, FL 33487-2742

© 2008 by Taylor & Francis Group, LLC
CRC Press is an imprint of Taylor & Francis Group, an Informa business

No claim to original U.S. Government works
Printed in the United States of America on acid-free paper
10 9 8 7 6 5 4 3 2 1

International Standard Book Number-13: 978-1-4200-4667-0 (Hardcover)

This book contains information obtained from authentic and highly regarded sources. Reprinted material is quoted with permission, and sources are indicated. A wide variety of references are listed. Reasonable efforts have been made to publish reliable data and information, but the author and the publisher cannot assume responsibility for the validity of all materials or for the consequences of their use.

Except as permitted under U.S. Copyright Law, no part of this book may be reprinted, reproduced, transmitted, or utilized in any form by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying, microfilming, and recording, or in any information storage or retrieval system, without written permission from the publishers.

For permission to photocopy or use material electronically from this work, please access www.copyright.com (<http://www.copyright.com/>) or contact the Copyright Clearance Center, Inc. (CCC) 222 Rosewood Drive, Danvers, MA 01923, 978-750-8400. CCC is a not-for-profit organization that provides licenses and registration for a variety of users. For organizations that have been granted a photocopy license by the CCC, a separate system of payment has been arranged.

Trademark Notice: Product or corporate names may be trademarks or registered trademarks, and are used only for identification and explanation without intent to infringe.

Library of Congress Cataloging-in-Publication Data

Pimentel, David, 1925-
Food, energy, and society / David Pimentel, Marcia H. Pimentel.
p. cm.
Chapters by other authors as well.
Includes bibliographical references and index.
ISBN 978-1-4200-4667-0 (alk. paper)
1. Food supply. 2. Power resources. 3. Agriculture--Energy consumption. I. Pimentel, Marcia. II. Title.

HD9000.6.P55 2008

338.1'9--dc22

2007016833

Visit the Taylor & Francis Web site at
<http://www.taylorandfrancis.com>

and the CRC Press Web site at
<http://www.crcpress.com>

Preface

In the more than 25 years since the publication of the first edition of *Food, Energy, and Society*, the world's natural resources have become more stressed in the face of rapid expansion of the world population. In less than 50 years the world population has doubled, world food supplies have dwindled, and supporting energy, water, land, and biological resources have come under great pressure. Now in the twenty-first century, the ecological integrity of world resources is threatened with many species facing extinction. In the face of these major changes, this third edition presents an updated and expanded perspective and analysis of the interdependency of food, energy, water, land, and biological resources.

Large numbers of humans throughout the world are facing hunger and malnutrition because of political struggles and the overwhelming increase in population. The World Health Organization reports there are 3.7 billion who are malnourished. This is the largest number ever in history, and signals a serious food problem now and certainly for the future. Since 1984, food production, especially cereal grain production, has been declining per capita because of growing numbers of people, shortages of energy in agricultural crop production (e.g., fertilizers), and shortages of freshwater.

Humans use energy from many sources to grow food, provide shelter, maintain health, and improve their well-being. The energy source, whether from the sun, human energy, animal power, or fossil fuels, and its abundance influence all human activities and personal security. As society has evolved, so have energy needs and uses. Early humans who hunted and gathered their food in the wild depended primarily on their own energies. Even now many people in developing countries augment personal energy with animal and human power, firewood, and other resources.

In contrast, ample affordable fossil energy supplies have supported intensive agriculture, industry, and transport in developed nations. However, along with increased population numbers, the per capita availability of fossil energy has been declining worldwide. This is because reserves of these finite energy resources are decreasing. The United States now imports 63% of its oil at a cost of \$120 billion per year. The imports are projected to increase to 95% by 2020 and the possibility is \$10 per gallon gasoline at that time. Petroleum geologists project about 40 years of oil and natural gas resources for the world. The United States has 50–100 years of coal reserves. Societies that now rely 97% or more on fossil fuels need to develop sustainable, renewable energy sources. Of course, renewable energy depends on water, land, and biological resources and at substantial environmental and economic costs.

Along with energy, fertile land is a critical resource for food production. The Food and Agricultural Organization (FAO) reports that 99.9% of all food (calories) comes from the land. At a time when more cropland is needed, valued fertile soil is being lost because of erosion that is 10–30 times faster than sustainability. With this environmental impact, crop yields decline, or more fertilizers and pesticides (fossil energy dependent) are used. Obviously on a per capita basis, cropland resources are

declining and now are less than one-half of what is needed for a diverse diet for the world population.

Freshwater is vital to all plants, animals, and humans. For cereal grains, for example, about 1000 L (265 gal) of freshwater are required on average to produce 1 kg (2.2 pounds) of these grains. Approximately 17% of all crops are irrigated and this irrigation provides the world with 40% of its food. World agriculture consumes from 70% to 80% of the freshwater and currently serious shortages exist in many regions. This is one of the major limits to world food production.

David Pimentel and Marcia H. Pimentel

ACKNOWLEDGMENTS

We wish to express our sincere gratitude to the Cornell Association of Professors Emeriti for the partial support of our research through the Albert Podell Grant Program. We wish also to acknowledge the many people whose research efforts have contributed to the breadth of topics covered in this volume and Anne Wilson, who assisted in the preparation of the revision.

The Authors

David Pimentel, Ph.D., is a professor of ecology and agricultural science at Cornell University, Ithaca, New York. He obtained his Ph.D. from Cornell University and did postdoctoral study at Oxford University and the University of Chicago. Dr. Pimentel's research spans the fields of sustainable agriculture, ecological and economic aspects of pest control, biological control, and biotechnology, land, water and energy conservation, natural resource management, environmental policy, and basic population ecology. He has published more than 600 scientific papers and 24 books. He has served on many national and government committees including the National Academy of Sciences; the President's Science Advisory Council; the U.S. Department of Agriculture; the U.S. Department of Energy; the U.S. Department of Health, Education and Welfare; the Office of Technology Assessment of the U.S. Congress; and the U.S. State Department.

Marcia H. Pimentel, M.S., is a senior lecturer in the Division of Nutritional Sciences, College of Human Ecology, Cornell University, Ithaca, New York. She obtained her B.S. and M.S. from Cornell University. She is a nutritionist specializing in foods and nutrition, world food supplies, human ecology, and the environment. She has published more than 60 scientific papers and the books *Food, Energy, and Society* (1996 Edition) and *Dimensions of Food* (2006).

Contents

List of Figures	xv
Preface	xvii
Authors	xix
Chapter 1 Energy and Society	1
Development of Societies and Energy	2
Energy from Fire	2
Energy and the Structure of Societies	3
Food as a Focal Point of Societies	5
Use of Energy in Food Systems	6
References	7
Chapter 2 Energy and Power	9
Energy and Work	9
Laws of Thermodynamics	9
Measures of Energy and Power	11
Biological Solar Energy Conversion in Agriculture	12
Renewable Biological Energy versus Fossil Fuel Energy	13
References	16
Chapter 3 Solar Energy in Natural and Managed Ecosystems	17
Natural Ecosystems	17
Forest Ecosystems	19
Agricultural Ecosystems	20
Reference	20
Chapter 4 Ecological Systems, Natural Resources, and Food Supplies	21
The Structure and Function of Ecosystems	21
Evolution of Living Systems	24
Biogeochemical Cycles	25
Aquatic Ecosystems	26
Terrestrial Ecosystems	27
Agricultural Ecosystems	28
Water	28
Nutrients	28
Pest Controls	29
Agricultural Ecosystem Stability	30
Species Diversity	30

Crop Yields	30
Annual versus Perennial Crops	31
Food Needs for Future Generations	32
Requirements for Solving Food Problems	32
Safeguarding the Environment	32
Science and Technology	33
Population	33
References	34
Chapter 5 Manipulating Ecosystems for Agriculture	37
Ecosystems	37
Agriculture and the Natural Ecosystem	38
Biomass	39
Manipulating Agroecosystems	40
Interdependency of Factors in Crop Production	41
Energy, Labor, and a Standard of Living	43
References	44
Chapter 6 Hunter-Gatherers and Early Agriculture	45
Hunters and Gatherers of Food	46
Early Agriculture	51
References	55
Chapter 7 Early Livestock Systems and Animal Power	57
Early Animal Herding	57
Animal Power as an Energy Source	59
Animal Food-Consumption Patterns	63
Nutritional Quality of Protein Foods	65
References	66
Chapter 8 Livestock Production and Energy Use	67
Animal Products Consumed in the U.S. Diet	67
Energy Inputs in Animal Product Production	68
Land Resources	70
Water Resources	71
World Food Needs	73
Conclusion	73
References	74
Chapter 9 Energy Use in Fish and Aquacultural Production	77
<i>David Pimentel, Roland E. Shanks, and Jason C. Rylander</i>	
Ecological Aspects of Fish Production	77
Energy Efficiency of Fishery Production	79

Energy Efficiency of Ocean Fisheries	80
Northeast U.S. Fishery	80
U.S. Fishery	83
Peru	83
Gulf of Mexico and Australia	84
Malta	85
Adriatic	85
Marine Fisheries and the Environment	85
Management of Fishery Systems	86
Aquaculture	87
Conclusion	94
References	94
Chapter 10 Energy Use in Grain and Legume Production	99
Energy Inputs in Grain Production	99
Corn	99
Wheat	106
Oats	108
Rice	108
Sorghum	112
Energy Inputs in Legume Production	114
Soybeans	114
Dry Beans	115
Cowpeas	116
Peanuts	116
Agricultural Technology	116
References	118
Chapter 11 Energy Use in Fruit, Vegetable, and Forage Production	121
Fruits	121
Apples	121
Oranges	121
Vegetables	123
Potatoes	123
Spinach	123
Tomatoes	126
Brussels Sprouts	126
Sugar Beets	127
Cassava	128
Forage Production	129
Alfalfa	129
Tame Hay	130
Corn Silage	132
Vegetarianism and Nonvegetarianism and Energy Inputs	133
References	135

Chapter 12	Energy Inputs in Crop Production in Developing and Developed Countries	137
<i>David Pimentel, Rachel Doughty, Courtney Carothers, Sonja Lamberson, Nirali Bora, and Katherine Lee</i>		
Introduction	137	
Food and Population	137	
World Energy Resources	138	
Methodology	139	
Energy Inputs and Economic Costs for Major Crops	140	
Corn	141	
Wheat	143	
Rice	144	
Soybeans	145	
Potatoes	147	
Cassava	149	
Sweet Potatoes	149	
Cole Crops	151	
Tomatoes	151	
Oranges	151	
Apples	151	
Irrigated Crops	152	
Economics of Food Crop Production	152	
Changes in World Food Crop Production	153	
Fossil Energy Use and Crop Yields	153	
Fossil Energy Use in Crop Production	154	
Renewable Energy	154	
Future Technologies	155	
Summary	156	
References	156	
Chapter 13	Environmental and Economic Costs of the Application of Pesticides Primarily in the United States	161
Public Health Effects	162	
Acute Poisonings	162	
Cancer and Other Chronic Effects	162	
Pesticide Residues in Food	164	
Domestic Animal Poisonings and Contaminated Products	164	
Destruction of Beneficial Natural Predators and Parasites	166	
Pesticide Resistance in Pests	168	
Honeybee and Wild Bee Poisonings and Reduced Pollination	169	
Crop and Crop Product Losses	170	
Ground- and Surface Water Contamination	172	
Fishery Losses	173	
Wild Birds and Mammals	173	
Microbes and Invertebrates	175	
Government Funds for Pesticide Pollution Control	176	

Ethical and Moral Issues	177
Conclusion	178
References	179
Chapter 14 Water Resources: Agricultural and Environmental Issues	183
<i>David Pimentel, Bonnie Berger, David Filberto, Michelle Newton, Benjamin Wolfe, Elizabeth Karabinakis, Steven Clark, Elaine Poon, Elizabeth Abbott, and Sudha Nandagopal</i>	
Water Resources	184
Hydrologic Cycle	184
Availability of Water	184
Groundwater Resources	185
Stored Water Resources	186
Water Use	186
Human Water Use	186
Agriculture and Water	187
Water in Crop Production	187
Irrigated Crops and Land Use	188
Energy Use in Irrigation	188
Soil Salinization and Waterlogging in Irrigation	189
Water Runoff and Soil Erosion	190
Water Use in Livestock Production	191
Water Pollution and Human Diseases	191
Limits to Water Use	193
Costs of Water Treatment	193
Economic Costs of Water Subsidies	193
Loss of Biodiversity	194
Effect of Climate and Environmental Change on Water Availability	195
Conflicts over Water Use	195
Conserving Water Resources	196
Using Water Wisely in the Future	196
References	197
Chapter 15 Soil Erosion: A Food and Environmental Threat	201
Causes of Erosion	201
Soil Structure	203
The Role of Vegetative Cover	203
Land Topography	203
Other Soil Disturbances	203
Assessing Soil Erosion	204
Loss of Productivity in Managed Ecosystems	205
Worldwide Cropland	205
U.S. Cropland	205
Pasture and Range Land	206
Forest Land	206

Effects of Erosion on Terrestrial Ecosystems	207
Water Availability	207
Nutrient Loss	207
Soil Organic Matter	208
Soil Depth	208
Biomass and Biodiversity	209
Sediments and Wind Blown Soil Particles	211
Conservation Technologies and Research	212
Productive Soils and Food Security	212
References	214
Chapter 16 Conservation of Biological Diversity in Agricultural, Forestry, and Marine Systems	221
<i>David Pimentel, Tsveta Petrova, Marybeth Riley, Jennifer Jacquet, Vanessa Ng, Jake Honigman, and Edwardo Valero</i>	
Causes of Reduced Species Diversity	222
Deforestation and Destruction of Natural Habitats	222
Soil Erosion and Salinization	223
Alien Biological Invaders	223
Chemical Pollutants	224
Marine Ecosystems and Aquaculture	224
Global Climate Change	225
Biological Diversity	225
Preservation of Biological Diversity—Large and Small Organisms	228
Biodiversity and Economic and Environmental Benefits	228
Plant, Animal, and Microbe Biomass and Diversity	229
Strategies for Conserving Biological Diversity	229
Plant Species Diversity	230
Intercropping	230
Shelter Belts and Hedgerows	231
Livestock Manure	232
Habitat Diversity	232
Agroforestry	232
Mixed Forests	233
Pasture Management	233
Pesticide Reduction	233
Conserving Fisheries	234
Protected Parks	234
Global Climate Change	235
Conclusion	235
References	236
Chapter 17 Food Processing, Packaging, and Preparation	245
Food Processing	245
Canning	246
Freezing	247

Salting	247
Drying	247
Smoking	248
Various Processed and Prepared Foods	249
Packages for Foods	251
Cooking and Preparing Foods	252
References	254
Chapter 18 Transport of Agricultural Supplies and Foods	257
Transport of Agricultural Supplies and Goods to the Farm	257
Transport of Food and Fiber Products from the Farm	258
References	258
Chapter 19 Renewable Energy: Current and Potential Issues	259
<i>David Pimentel, Megan Herz, Michele Glickstein, Mathew Zimmerman, Richard Allen, Kratina Becker, Jeff Evans, Benita Hussan, Ryan Sarsfeld, Anat Grosfeld, and Thomas Seidel</i>	
Hydroelectric Systems	260
Biomass Energy Systems	262
Wind Power	263
Solar Thermal Conversion Systems	264
Solar Ponds	264
Parabolic Troughs	265
Photovoltaic Systems	265
Hydrogen and Fuel Cells	266
Passive Heating and Cooling of Buildings	267
Geothermal Systems	268
Biogas	268
Biofuels: Ethanol, Methanol, and Vegetable Oil	269
Transition to Renewable Energy Alternatives	270
Conclusion	271
References	272
Chapter 20 Biomass: Food versus Fuel	277
<i>David Pimentel, Alan F. Warneke, Wayne S. Teel, Kimberly A. Schwab, Nancy J. Simcox, Daniel M. Ebert, Kim D. Baenisch, and Marni R. Aaron</i>	
Biomass Resources	277
United States	277
Brazil	279
India	282
Kenya	283
Biomass Energy Use	284

Conversion of Biomass to Ethanol, Biogas, and Heat	284
Ethanol	284
Fuelwood and Other Solid Biomass Fuels	288
Biogas	289
Biogas for Small Landholders	291
Socioeconomic Factors	293
Brazil	293
United States	296
Environmental Impacts	297
Soil Erosion Problems in Biomass Systems	297
Nutrient Losses and Water Pollution Associated with Biomass Energy and Erosion	300
Air Pollution	301
Off-Site Environmental Effects from Biomass Harvesting and Erosion	302
Conclusion	303
References	303
Chapter 21 Ethanol Production Using Corn, Switchgrass, and Wood; Biodiesel Production Using Soybean and Sunflower	311
<i>David Pimentel and Tad W. Patzek</i>	
Ethanol Production Using Corn	311
Energy Balance	312
Environmental Impacts	317
Negative or Positive Energy Return?	318
Food versus Fuel Issue	319
Switchgrass Production of Ethanol	319
Wood Cellulose Conversion into Ethanol	321
Soybean Conversion into Biodiesel	323
Sunflower Conversion into Biodiesel	325
Conclusion	327
References	328
Chapter 22 U.S. Energy Conservation and Efficiency: Benefits and Costs	333
<i>David Pimentel, Andrew Pleasant, Jason Barron, Jen Gaudioso, Noah Pollock, Elisa Chae, Yoonji Kim, Allison Lassiter, Christina Schiavoni, Alex Jackson, Melinda Lee, and Andrea Eaton</i>	
Transportation	333
Passenger Vehicles	334
Freight Transportation	335
Buildings Sector	337
Heating and Cooling	337
Residential	337
Commercial	338

Equipment and Appliances	339
Residential	339
Commercial	340
Lighting	340
Residential	341
Commercial	341
Industrial Sector	342
Paper, Lumber, and Other Wood Products	342
Chemical Industry	344
Metals	345
Plastics and Rubber	346
Food Systems	347
Energy Subsidies	348
Oil Supply	349
Conclusion	350
References	350
Chapter 23 Summing Up: Options and Solutions	359
Future Food Needs	359
Population Health	359
Food Losses	361
Strategies for Meeting Food Needs	362
Energy Needs in Food Production	363
Land Constraints	364
Water Constraints	365
Climate	365
Environmental Pollution	366
The Future	366
References	367
Index	369

List of Figures

Figure 1.1	During the age of the Pharaohs and pyramid projects, ancient Egypt had a population of 3 million.	4
Figure 1.2	Drawing of a cow and several small horses in the painted cave of Lascaux, France.	6
Figure 1.3	Energy consumption rates per capita per year in gallons of oil equivalents in the United States, the United Kingdom, and China.	7
Figure 2.1	The epoch of the use of fossil fuels in the history of man on Earth.	15
Figure 3.1	The solar energy captured annually in the United States compared with fossil energy consumption and the amount of solar energy harvested as crop and forest products.	19
Figure 4.1	Structure of living systems.	22
Figure 4.2	The nitrogen biogeochemical cycle.	25
Figure 4.3	The phosphorus biogeochemical cycle.	26
Figure 4.4	Trophic pyramid in an aquatic ecosystem indicating the small quantity of fish that might be harvested from the relatively large quantity of aquatic plant biomass.	27
Figure 5.1	Producing plant-fixed solar energy that is consumed by animals, and that are in turn consumed by the reducers.	38
Figure 5.2	Of the solar energy fixed by crop plants, about 25% is used for respiration, 35% for building and maintaining the plant structure, and 35% for reproduction (seeds).	38
Figure 5.3	Relationship between crop yields per hectare of cereals, pulses, oil-seeds, sugar crops, potatoes, cassava, onions, and tomatoes, on the one hand, and horsepower per hectare, on the other, in various countries and regions.	42
Figure 6.1	The proportion of the total biomass of 200 kg (dry) present in 1 ha that is made up of total animals and microorganisms biomass present in 1 ha.	46
Figure 6.2	The percentage of various food types that make up the daily diet of the !Kung bushmen.	48
Figure 6.3	The energy cost of obtaining mongongo nuts at different distances.	49

Figure 6.4	Percentage of the plant materials consumed by the villagers in New Guinea.	53
Figure 7.1	Economic costs of applying herbicide in a developing country.	62
Figure 7.2	The percentage of power provided by human power, animal power, and engines during various periods in U.S. history.	63
Figure 7.3	Annual meat consumption per person in the United States.	64
Figure 9.1	World fish catch in metric tons per year.	78
Figure 9.2	The total kcal of fish protein caught by the New Bedford, Massachusetts, fleet based on the total amount of fuel used.	82
Figure 9.3	Fossil energy inputs per protein output for various fisheries and several livestock systems.	88
Figure 10.1	Total energy expended per adult male in developing countries, in crop-raising activities employing human power only or combined with animal power, is calculated at 4120 kcal per adult male per day.	100
Figure 10.2	Human power input and yield per hectare for different corn production systems.	102
Figure 10.3	Total energy expended per U.S. adult male in crop-raising activities employing machinery is calculated at 3720 kcal per day.	106
Figure 10.4	Human power input and yield per hectare for rice production systems in Borneo (human power only), Philippines (with animal power), Japan (with machine power), and California (with machine power).	109
Figure 11.1	Daily food energy intake of pure vegetarians, lacto-ovo (L-O) vegetarians, and nonvegetarians and the calculated fossil energy inputs to produce these diets under U.S. conditions.	134
Figure 15.1	About 50 mm of soil blown from cropland in Kansas during the winter of 1995–1996.	202
Figure 15.2	Cloud of soil from Africa being blown across the Atlantic Ocean.	202
Figure 15.3	Cereal grain production per capita in the world from 1961 to 2000.	213
Figure 17.1	Percentages of total inputs (7345 kcal) for the production, milling, baking transport, and shopping for a 1-kg loaf of bread.	249
Figure 17.2	Energy inputs for a 455-g (375-kcal) can of sweet corn.	250
Figure 20.1	Increased soil erosion rates (mg/ha/year) associated with rising land slope percentages.	297
Figure 23.1	World population growth and fossil energy use (—) and projected (--) future trends for each.	360
Figure 23.2	Population growth rate on Mauritius from 1920 to 1970.	361