ALTERNATIVE SWEETENERS

SECOND EDITION REVISED AND EXPANDED

Lyn O'Brien Nabors Robert C. Gelardi

ALTERNATIVE SWEETENERS SECOND EDITION, REVISED AND EXPANDED

Lyn O'Brien Nabors Robert C. Gelardi

> Calorie Control Council Atlanta, Georgia

Library of Congress Cataloging-in-Publication Data

Alternative Sweeteners / edited by Lyn O'Brien Nabors, Robert C.

Gelardi, -- 2nd ed., rev. and expanded.

p. cm. -- (Food Science and Technology; 48)

Includes bibliographical references and index.

ISBN 0-8247-8475-8 (alk. paper)

1. Nonnutritive sweetners. I. Nabors, Lyn O'Brien.

II. Gelardi, Robert C. III. Series: Food science and

technology (Marcel Dekker, Inc.); 48

[DNLM: 1. Sweetening Agents. W1 FO509P v. 48 / WA 712 A466]

TP422.A48 1991

664.6--dc20

DNLM/DLC

for Library of Congress

91-19959

CIP

This book is printed on acid-free paper.

Copyright © 1991 by MARCEL DEKKER, INC. All Rights Reserved

Neither this book nor any part may be reproduced or transmitted in any form or by any means, electronic or mechanical, including photocopying, microfilming, and recording, or by any information storage and retrieval system, without permission in writing from the publisher.

MARCEL DEKKER, INC.

270 Madison Avenue, New York, New York 10016

Current printing (last digit):

10 9 8 7 6 5 4 3 2 1

PRINTED IN THE UNITED STATES OF AMERICA

ALTERNATIVE SWEETENERS

FOOD SCIENCE AND TECHNOLOGY

A Series of Monographs, Textbooks, and Reference Books

Editorial Board

Owen R. Fennema

University of Wisconsin-Madison

Gary W. Sanderson

Universal Foods Corporation

Pieter Walstra

Wageningen Agricultural University

Marcus Karel

Massachusetts Institute of Technology

Steven R. Tannenbaum

Massachusetts Institute of Technology

John R. Whitaker

University of California-Davis

- 1. Flavor Research: Principles and Techniques, R. Teranishi, I. Hornstein, P. Issenberg, and E. L. Wick (out of print)
- 2. Principles of Enzymology for the Food Sciences, John R. Whitaker
- 3. Low-Temperature Preservation of Foods and Living Matter, Owen R. Fennema, William D. Powrie, and Elmer H. Marth
- 4. Principles of Food Science

Part I: Food Chemistry, edited by Owen R. Fennema

Part II: Physical Methods of Food Preservation, Marcus Karel, Owen R. Fennema, and Daryl B. Lund

- 5. Food Emulsions, edited by Stig Friberg
- 6. Nutritional and Safety Aspects of Food Processing, edited by Steven R. Tannenbaum
- 7. Flavor Research: Recent Advances, edited by R. Teranishi, Robert A. Flath, and Hiroshi Sugisawa
- 8. Computer-Aided Techniques in Food Technology, edited by Israel Saguy
- 9. Handbook of Tropical Foods, edited by Harvey T. Chan
- 10. Antimicrobials in Foods, edited by Alfred Larry Branen and P. Michael Davidson
- 11. Food Constituents and Food Residues: Their Chromatographic Determination, edited by James F. Lawrence
- 12. Aspartame: Physiology and Biochemistry, edited by Lewis D. Stegink and L. J. Filer, Jr.
- 13. Handbook of Vitamins: Nutritional, Biochemical, and Clinical Aspects, edited by Lawrence J. Machlin

- 14. Starch Conversion Technology, edited by G. M. A. van Beynum and J. A. Roels
- Food Chemistry: Second Edition, Revised and Expanded, edited by Owen R. Fennema
- 16. Sensory Evaluation of Food: Statistical Methods and Procedures, Michael O'Mahony
- 17. Alternative Sweeteners, edited by Lyn O'Brien Nabors and Robert C. Gelardi
- 18. Citrus Fruits and Their Products: Analysis and Technology, S. V. Ting and Russell L. Rouseff
- 19. Engineering Properties of Foods, edited by M. A. Rao and S. S. H. Rizvi
- 20. Umami: A Basic Taste, edited by Yojiro Kawamura and Morley R. Kare
- 21. Food Biotechnology, edited by Dietrich Knorr
- 22. Food Texture: Instrumental and Sensory Measurement, edited by Howard R. Moskowitz
- 23. Seafoods and Fish Oils in Human Health and Disease, John E. Kinsella
- 24. Postharvest Physiology of Vegetables, edited by J. Weichmann
- 25. Handbook of Dietary Fiber: An Applied Approach, Mark L. Dreher
- 26. Food Toxicology, Parts A and B, Jose M. Concon
- 27. Modern Carbohydrate Chemistry, Roger W. Binkley
- 28. Trace Minerals in Foods, edited by Kenneth T. Smith
- 29. Protein Quality and the Effects of Processing, edited by R. Dixon Phillips and John W. Finley
- 30. Adulteration of Fruit Juice Beverages, edited by Steven Nagy, John A. Attaway, and Martha E. Rhodes
- 31. Foodborne Bacterial Pathogens, edited by Michael P. Doyle
- 32. Legumes: Chemistry, Technology, and Human Nutrition, *edited by Ruth H. Matthews*
- 33. Industrialization of Indigenous Fermented Foods, edited by Keith H. Steinkraus
- 34. International Food Regulation Handbook: Policy Science Law, edited by Roger D. Middlekauff and Philippe Shubik
- 35. Food Additives, edited by A. Larry Branen, P. Michael Davidson, and Seppo Salminen
- 36. Safety of Irradiated Foods, J. F. Diehl
- 37. Omega-3 Fatty Acids in Health and Disease, edited by Robert S. Lees and Marcus Karel
- 38. Food Emulsions, Second Edition, Revised and Expanded, edited by Kåre Larsson and Stig E. Friberg
- 39. Seafood: Effects of Technology on Nutrition, George M. Pigott and Barbee W. Tucker
- 40. Handbook of Vitamins, Second Edition, Revised and Expanded, edited by Lawrence J. Machlin

- 41. Handbook of Cereal Science and Technology, Klaus J. Lorenz and Karel Kulp
- 42. Food Processing Operations and Scale-Up, Kenneth J. Valentas, Leon Levine, and J. Peter Clark
- 43. Fish Quality Control by Computer Vision, edited by L. F. Pau and R. Olafsson
- 44. Volatile Compounds in Foods and Beverages, edited by Henk Maarse
- 45. Instrumental Methods for Quality Assurance in Foods, edited by Daniel Y. C. Fung and Richard F. Matthews
- 46. Listeria, Listeriosis, and Food Safety, Elliot T. Ryser and Elmer H. Marth
- 47. Acesulfame-K, edited by D. G. Mayer and F. H. Kemper
- 48. Alternative Sweeteners. Second Edition, Revised and Expanded, edited by Lyn O'Brien Nabors and Robert C. Gelardi

Other Volumes in Preparation

Food Extrusion Science and Technology, edited by Jozef L. Kokini, Chi-Tang Ho, and Mukund Karwe

Preface

Alternative sweeteners continue to be a fascinating area of food technology. Food and beverage companies, food technologists and other scientists, and regulators spend considerable effort following sweetener developments. They cannot afford to do otherwise, considering the impact sucrose alternatives are having on low-calorie food production—one of the fastest-growing segments of the food industry.

With a variety of approved sweeteners, manufacturers are able to use the most appropriate sweetener or combination of sweeteners for a given product—the multiple sweetener approach. And recent additional sweetener approvals are making the multiple sweetener approach a reality. An individual sweetener's limitations can be compensated for by combining sweeteners. Product and taste options can be broadened. Additionally, many sweeteners when used in combination have a synergistic effect.

A sweetener by any name is not necessarily just a sweetener. Speciality sweeteners have important properties other than sweetness. For example, some (e.g., isomalt) are being used effectively for bulk in combination with high intensity sweeteners. Others can be used in speciality products designed to inhibit dental caries.

A very significant area for food technologists in the future will be the interaction and combination of alternative sweeteners with low-calorie ingredients such as bulking agents and fat replacers. By using the multiple ingredient approach—combining bulking agents (one or more), fat

iv Preface

substitutes, and sweeteners—opportunities for future product development will be limitless.

This book presents the latest information on a wide variety of alternative sweeteners. All chapters have been updated from our first edition and chapters have been added on sweeteners barely mentioned just five years ago. Although there are many additions, there is only one deletion. Developers of thaumatin have found that it cannot be used as an intense sweetener in reduced calorie foods. Since it is more properly a flavor enhancer and palatability improver, thaumatin is not included in this edition. We have once again made a concerted effort to provide the reader with comprehensive, updated information on a wide variety of alternative sweeteners. Substantial reference material is included for those wishing to pursue the topic further.

Lyn O'Brien Nabors Robert C. Gelardi

Contributors

Albert Bär, Ph.D. Bioresco Ltd., Brussels, Belgium

Abraham I. Bakal, Ph.D. ABIC International Consultants, Inc., Fairfield, New Jersey

Iwan H. Blankers Lactitol Department, CCA biochem b.v., Gorinchem, The Netherlands

Barbara A. Bopp, Ph.D. Drug Metabolism Department, Abbott Laboratories, Abbott Park, Illinois

César M. Compadre, Ph.D. College of Pharmacy, University of Arkansas, Little Rock, Arkansas

Ronald C. Deis, Ph.D. Product Applications, The NutraSweet Company, Deerfield, Illinois

Basant K. Dwivedi, Ph.D. NuGen Foods, Ltd., Paterson, New Jersey

Robert C. Gelardi Calorie Control Council, Atlanta, Georgia

Bruno Gentili* Fruit and Vegetable Chemistry Laboratory, Agricultural Research Service, U.S. Department of Agriculture, Pasadena, California

Michael E. Hendrick, Ph.D. Specialty Chemicals Research and Development, Pfizer Inc, Groton, Connecticut

Barry E. Homler, Ph.D. Product Applications, The NutraSweet Company, Deerfield, Illinois

^{*}Current Afilliation: Retired

vi Contributors

Robert M. Horowitz, Ph.D. Fruit and Vegetable Chemistry Laboratory, Agricultural Research Service, U.S. Department of Agriculture, Pasadena, California

William E. Irwin, M.S., Ph.D. Palatinit Süßungsmittel GmbH, Elkhart, Indiana

A. Douglas Kinghorn, Ph.D. College of Pharmacy, University of Illinois at Chicago, Chicago, Illinois

Robert G. LaBarge, Ph.D. New Ventures, Dow Chemical Co., Midland, Michigan

Gilbert V. Levin, Ph.D. Biospherics Inc., Beltsville, Maryland

John E. Long Ph.D. Archer Daniels Midland Company, Clinton, Iowa

Gary A. Miller, Ph.D. Product Development, McNeil Specialty Products Company, New Brunswick, New Jersey

Mark L. Mitchell, Ph.D. Research & Development, PMC Specialties Group, A Division of PMC, Inc., Cincinnati, Ohio

Frances K. Moppett Technical Service Department, Pfizer Inc, Groton, Connecticut

Alan H. Moskowitz, Ph.D. Warner-Lambert Company, Morris Plains, New Jersey

Lyn O'Brien Nabors Calorie Control Council, Atlanta, Georgia

Thomas F. Osberger, MBA Food Industry Consultant, Upland, California
Ropald J. Pearson, Ph.D. Rosearch and Development, PMC Sussiellies

Ronald L. Pearson, Ph.D. Research and Development, PMC Specialties Group, A Division of PMC, Inc., Cincinnati, Ohio

Paul Price Chemical and Agricultural Products Division, Abbott Laboratories, Abbott Park, Illinois

William H. Shazer, Ph.D. Product Applications, The NutraSweet Company, Deerfield, Illinois

D. Doel Soejarto, Ph.D. College of Pharmacy, University of Illinois at Chicago, Chicago, Illinois

Peter J. Sträter Palatinit Süßungsmittel GmbH, Mannheim, Germany

John A. van Velthuijsen Research & Development, CCA biochem b.v., Gorinchem, The Netherlands

Gert-Wolfhard von Rymon Lipinski Hoechst Aktiengesellschaft, Frankfurt, Germany

Lee R. Zehner, Ph.D. Biospherics Inc., Beltsville, Maryland

I INTENSE SWEETENERS

Contents

Preface		iii
Contributors		
1.	Alternative Sweeteners: An Overview Lyn O'Brien Nabors and Robert C. Gelardi	1
Part I	Intense Sweeteners	
2.	Acesulfame-K Gert-Wolfhard von Rymon Lipinski	11
3.	Alitame Michael E. Hendrick	29
4.	Aspartame Barry E. Homler, Ronald C. Deis, and William H. Shazer	39
5.	Cyclamate Barbara A. Bopp and Paul Price	71
6.	Dihydrochalcone Sweeteners from Citrus Flavanones Robert M. Horowitz and Bruno Gentili	97
7.	L-Sugars: Lev-O-Cal [™] <i>Gilbert V. Levin and Lee R. Zehner</i>	117
8.	Saccharin Mark L. Mitchell and Ronald L. Pearson	127

vii

viii		Contents
9.	Stevioside A. Douglas Kinghorn and D. Doel Soejarto	157
10.	Sucralose Gary A. Miller	173
11.	Less Common High-Potency Sweeteners A. Douglas Kinghorn and César M. Compadre	197
Part II	Caloric Alternatives	
12.	Crystalline Fructose Thomas F. Osberger	219
13.	High Fructose Corn Syrup John E. Long	247
14.	Maltitol and Hydrogenated Starch Hydrolysate Alan H. Moskowitz	259
15.	Lactitol: A New Reduced-Calorie Sweetener John A. van Velthuijsen and Iwan H. Blankers	283
16.	Isomaltulose William E. Irwin and Peter J. Sträter	299
17.	Isomalt Peter J. Sträter and William E. Irwin	309
18.	Sorbitol and Mannitol Basant K. Dwivedi	333
19.	Xylitol Albert Bär	349
Part II	Multiple Ingredient Approach	
20.	Mixed Sweetener Functionality Abraham I. Bakal	381
21.	Polydextrose Frances K. Moppett	401
22.	Other Low-Calorie Ingredients: Fat and Oil Substitutes Robert G. LaBarge	s 423
Index		451

Alternative Sweeteners: An Overview

Lyn O'Brien Nabors and Robert C. Gelardi Calorie Control Council, Atlanta, Georgia

Low-calorie and light foods and beverages comprise one of the fastest growing segments of the food and beverage industry. A 1989 low-calorie and dieting consumer survey conducted in the United States found that a majority of adult Americans consume low-calorie products. Since 1986, the number of adult Americans using these products increased from 45% to 51%, or from 78 to 93 million. This is more than double the 42 million consumers using these products in 1978. The 1989 data also show for the first time that a majority of low-calorie consumers, about 60%, are not on a diet but are using low-calorie products as part of an overall healthy lifestyle (1).

A study conducted in 1988 in Great Britain found that over half of the British population consume intense sweeteners regularly. The study data also indicate that individuals are using these products as part of their regular food consumption and not primarily for weight loss or in special dietary regimens (2).

THE MULTIPLE SWEETENER APPROACH

For nearly a century, low-calorie products were almost entirely dependent on saccharin, the oldest intense sweetener. Now with additional sweeteners available, a multiple sweetener approach can be used. Availability of a variety of sweeteners is important because no sweetener, including sucrose, is perfect for all uses. With several available, each sweetener can be used in the applications for which it is best suited.

Manufacturers also can overcome limitations of individual sweeteners by using them in blends.

Cyclamate and saccharin historically provided sweetness in a number of popular products. Their use in combination was the first practical application of the multiple sweetener approach. The primary advantage of this sweetener blend was that saccharin boosts the sweetening power of cyclamate, while cyclamate masks the aftertaste that some people associate with saccharin. Also, the two sweeteners when combined have a synergistic effect—that is, the sweetness of the combination is greater than the sum of the individual parts. This is true for most sweetener blends. Combinations of other sweeteners, such as (1) aspartame and saccharin and (2) acesulfame-K, aspartame, and saccharin, are being utilized.

Now with the advent of fat substitutes and low-calorie bulking agents, not only a multiple sweetener approach but a multiple ingredient approach is being utilized to provide additional low-calorie product choices. Some specialty sweeteners, for example, many polyols, provide bulk as well as sweetness and combine well with the intense sweeteners. Polydextrose also can provide the bulk needed in many low-calorie products.

Not only is there evidence that humans have an innate desire for sweets (3), but research also indicates that the obese or those who were once obese may have a greater taste preference for fatty liquids mixed with sugar (4). Thus, fat substitution will be increasingly important in the development of new low-calorie products.

RELATIVE SWEETNESS

Sweetness is subjective and dependent upon a number of factors. The concentration of the sweetener, the temperature at which the product is consumed, pH, the other ingredients in the product, and the sensitivity of the taster are all important. Sucrose is the usual standard. Evaluation of the sweetness of a given substance in relation to sucrose is made on a weight basis. Table 1 provides an approximation of the relative sweetness of many of the alternatives to sucrose discussed in this volume.

THE IDEAL SWEETENER

Alternative sweeteners are used to (1) provide and expand food and beverage choices to control caloric, carbohydrate, or specific sugar intake; (2) assist in weight maintenance or reduction; (3) aid in the manage-

Table 1 Relative Sweetness of Alternatives to Sucrose

Alternative sweetener	Approximate sweetness (sucrose = 1)
Acesulfame-K	200
Alitame	2000
Aspartame	180
Crystalline fructose	1.2-1.7
Cyclamate	30
Dihydrochalcones	300-2000
Glycyrrhizin	50-100
Hernandulcin	1000
High fructose corn syrup, 55%	1
High fructose corn syrup, 90%	1+
Isomalt	0.45 - 0.64
Isomaltulose	0.48
L-Sugars	1
Lactitol	0.4
Maltitol and hydrogenated starch hydrolysates	0.7-0.9
Mannitol	0.7
Monellin	1500-2000
Saccharin	300
Sorbitol	0.54-0.7
Stevioside	300
Sucralose	600
Thaumatin	2000-3000
Xylitol	1

ment of diabetes; (4) assist in the control of dental caries; (5) enhance the usability of pharmaceutical and cosmetics; (6) provide sweetness when sugar is not available; and (7) assist the cost-effective use of limited resources.

The ideal sweetener does not exist. Even sucrose does not fulfill all sweetening needs. Alternative sweeteners are superior to sucrose in some products (e.g., pharmaceuticals and chewing gums).

The ideal sweetener should be at least as sweet as sucrose, colorless, odorless, and noncariogenic. It should have a clean, pleasant, untainted taste with immediate onset and without lingering. The more a sweetener tastes and functions like sucrose, the greater its facility for use in foods and beverages. A sweetener must be compatible with a wide range of food ingredients as sweetness is but one component of complex flavor systems.