

## THIRD EDITION

# ORGANIZATIONAL **BEHAVIOR**



Professor of Management University of Nebraska

#### McGRAW-HILL BOOK COMPANY

Singapore Sydney Tokyo Toronto

New York St. Louis San Francisco Auckland Bogotá Hamburg Johannesburg London Madrid Mexico Montreal New Delhi Panama Paris São Paulo

This book was set in Melior by Black Dot, Inc. (ECU). The editors were Kathi A. Benson, John F. Carleo, and Peggy Rehberger; the designer was Anne Canevari Green; the production supervisor was Leroy A. Young. The drawings were done by Fine Line Illustrations, Inc. R. R. Donnelley & Sons Company was printer and binder.

#### **ORGANIZATIONAL BEHAVIOR**

Copyright © 1981, 1977, 1973 by McGraw-Hill, Inc. All rights reserved. Printed in the United States of America. No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, electronic, mechanical, photocopying, recording, or otherwise, without the prior written permission of the publisher.

34567890DODO898765432

Library of Congress Cataloging in Publication Data

Luthans, Fred.

Organizational behavior.

(McGraw-Hill Series in management.) Includes indexes.

1. Organizational behavior. I. Title. HD58.7.L88 1981 658.4 80-14707 ISBN 0-07-039144-0

#### **ACKNOWLEDGMENTS FOR EXPERIENTIAL EXERCISES**

Exercises for Part 1: "Synthesis of Student and Instructor Needs" was suggested by Professor Philip Van Auken and is used with his permission; "Work-Related Organizational Behavior: Implications for the Course" is adapted from "Getting Acquainted Triads" in J. William Pfeiffer and John E. Jones (eds.), A Handbook of Structured Experiences, vol. I, University Associates, San Diego, Calif., 1969, and "Defining Organizational Behavior" in James B. Lau, Behavior in Organizations, Irwin, Homewood, Ill., 1975.

Exericses for Part 2: The questions in "The Eye of the Beholder" were suggested by Richard E. Dutton in "Expectations and the Perception of Others," The Behavior Laboratory, Goodyear, Pacific Palisades, Calif. 1975, p. 7. The exercise "Self-Perception and Development of the Self-Concept" was suggested by Philip Van Auken and is used with his permission.

Exercises for Part 3: "Motivation Questionnaire" is reprinted from "Motivation: A Feedback Exercise," in John E. Jones and J. William Pfeiffer (eds.), The Annual Handbook for Group Facilitators, University Associates, San Diego, Calif., 1973, pp. 43–45, and is used with permission; "Job Design Survey" is adapted from J. R. Hackman and G. R. Oldham, "Development of the Job Diagnostic Survey," Journal of Applied Psychology, vol. 60, 1975, pp. 159–170; "Role-Playing and O.B. Mod." is adapted from Fred Luthans and Mark J. Martinko, The Power of Positive Reinforcement, McGraw-Hill, New York, 1978, pp. 35–38.

Exercises for Part 4: "Groups and Conflict Resolution" is from Alan Filley, Interpersonal Conflict Resolution, Scott, Foresman, Glenview, Ill., 1975, pp. 139–142, as adapted from William H. Haney, Communication and Organizational Behavior, Irwin, Homewood, Ill., 1967, pp. 319–320; "Power and Politics" is reprinted with permission from Andrew J. DuBrin, Human Relations, Reston, Va., 1978, pp. 122–123; "Leadership Questionnaire," is reprinted with permission from J. William Pfeiffer and John E. Jones (eds.), A Handbook of Structured Experiences for Human Relations Training, vol. 1, University Associate, San Diego, Calif., 1974. The questionnaire was adapted from Sergiovanni, Metzeus, and Burden's revision of the Leadership Behavior Description Questionnaire, American Educational Research Journal, vol. 6, 1969, pp. 62–79.

Exercises for Part 5: "Organizations" is reprinted with permission from Fremont E. Kast and James E. Rosenzweig, "Our Organizational Society," Experiential Exercises and Cases in Management, McGraw-Hill, New York, 1976, pp. 13–15; "Paper Plane Corporation" was contributed by Professor Louis Pothreu and is used with his permission.

Exercises for Part 6: "Selection and Appraisal: The Case of Naylor Product Corporation" is reprinted with permission from Andrew D. Szilagyi and Marc Wallace, "The Performance Dilemma," Organizational Behavior and Performance, Goodyear, Santa Monica, Calif., 1980, pp. 477–478; "Organization Development at J. P. Hunt" is reprinted with permission from Andrew D. Szilagyi and Marc Wallace, "Survey Feedback," Organizational Behavior and Performance, Goodyear, Santa Monica, Calif., 1980, pp. 605–606.

## **ABOUT THE AUTHOR**

FRED LUTHANS holds the National Bank of Commerce Chair of Management at the University of Nebraska at Lincoln. He received his Ph.D. from the University of Iowa and taught at the U.S. Military Academy at West Point while serving in the Armed Forces. A prolific writer, he has published fifteen books and over fifty articles in applied and academic journals. His book Organizational Behavior Modification, coauthored with Robert Kreitner, won the ASPA (American Society of Personnel Administration) award for the outstanding contribution to human resource management. His articles are widely reprinted and have brought him the ASHPA (American Society of Hospital Personnel Administration) award. The consulting coeditor for the McGraw-Hill Management Series, Professor Luthans also serves on a number of editorial boards. He has served on the editorial board of the Academy of Management Review since its beginning. He has been very active in the Academy over the years and currently is the President of the Midwest Division. Professor Luthans has a very extensive research program at the University of Nebraska and teaches courses in organizational behavior and management at both the graduate and undergraduate levels. In addition, he is an active consultant to both private and public sector organizations and conducts workshops on behavioral management both in this country and abroad.

# FOR KAY, KRISTIN, BRETT, KYLE, AND PAIGE

### **PREFACE**

The field of organizational behavior is rapidly progressing. I am amazed how much more we know about human behavior in organizations today than we did when I wrote the first edition of this text. Yet, despite this progress in our knowledge, human problems continue to be the major challenge facing managers today and in the foreseeable future.

As with the previous editions, this latest version provides a strong conceptual framework for the study, understanding, and application of organizational behavior. The book has been thoroughly revised and updated to reflect emerging perspectives in the organizational behavior field as a whole, changes in the specific topics covered, and the great number of research studies published in the last four years. In addition, although the book retains its coverage of time-proven material, it is also much more student- and applications-oriented. The changes in this edition are more specifically summarized in the following sections.

**Conceptual framework** The book contains twenty-two chapters in six major parts. Part 1 provides an overview and foundation for the reader. After an introductory chapter which defines the field and gives some historical background on the practice of management, the next two chapters provide a behavioral science/research methodology foundation and a specific conceptual framework for the study and application of organizational behavior. Part 2 examines organizational behavior from a micro perspective, with chapters on perception and personality. Part 3 presents the very heart of the micro approach to organizational behavior. Chapters on theoretical aspects of both motivation and learning are followed by chapters on practical application. The psychological processes of motivation and learning covered in these five chapters are more closely associated with organizational behavior than is any other concept. The applications evolving out of the study of motivation and learning directly lead to more effective management of people and result in improved organizational performance. Part 4 explores the dynamics of organizational behavior. Separate chapters are devoted to the specific topics of groups, communication, conflict and stress, power and politics, and leadership. These topics begin to move the study of organizational behavior away from a strictly micro orientation. Part 5 on organization theory and the management processes completes the transition by presenting a more macro perspective on organizational behavior. The four chapters on management





processes and organization theory take a broader-based approach to the study of organizational behavior. Finally, Part 6 deals with direct applications of organizational behavior to human resource management, organizational development, and personal development. There are chapters on selection and appraisal, organizational development, and self-management and career development. Although applications of concepts are discussed throughout the book, these last three chapters are directly concerned with actual skills and techniques that will lead to "bottom line" results for the conceptual and human dimensions of the practice of human resource management. Some comments about the future of management conclude the book.

The parts of the book are generally arranged so that the units of analysis and study progress from very micro-oriented individual concepts (perception, personality, motivation, and learning) to groups and their dynamics (communication, conflict, stress, power, and leadership) and finally to the macro end of the spectrum with the study of organization structure and management processes. These sections are fairly self-contained so that selected chapters could be dropped or studied in a different sequence without damaging the flow or content of the book. The same is true of the foundation part near the front and the direct applications part near the back of the book.

New topical coverage In addition to the reorganization of the book, there are three new chapters:

Chapter 8 Goal Setting and Job Design Chapter 14 Power and Politics Chapter 22 Self-Management, Career Development, and the Future

There are also numerous new sections within the other chapters. Some new tonics covered include:

topics covered include:

Threats to the internal validity of research studies

The use of multiple measures
A social learning framework for organizational behavior
Attributions in perception
Split-brain psychology
The socialization process
Social theories of personality
Alderfer's ERG motivation theory

Attribution models of motivation

Cognitive learning theories

Modeling learning processes and applications

New applications of O.B. Mod. in industrial, retail and public organizations

Groupthink problems

Mintzberg's managerial roles

Communications feedback

Strategies for managing organizational conflict

Leader/match training

A social learning approach to leadership



A general contingency framework for management
An information processing view of organizations
The Perrow contingency model of organizations
The Galbraith contingency model of organizations
Duncan's decision tree analysis of organizations
Content, predictive, and construct validities
Judgmental techniques for performance appraisal
New organization development techniques for the future

Pedagogical changes To make this edition more applications- and student-oriented, several new pedagogical features have been added. First, each chapter opens with a vignette drawn from the real world. These vignettes help the student relate the more theoretical content of each chapter to real events, real people, and real organizations. Second, in keeping with the recent trend toward experiential learning in the teaching of organizational behavior, at the end of each major part there is a new section containing a case study followed by two or three experiential exercises. Also, a new shorter case has been added to the existing cases at the end of each chapter. Finally, there is a supplementary book (Fred Luthans and Kenneth Thompson, Contemporary Readings in Organizational Behavior, 3d ed., McGraw-Hill, 1981) that correlates outside readings to give added depth and a different perspective to the major topics covered in the text.

Intended audience Despite the reorganization, changes, and additions described above, the purpose and the intended audience of the book remain the same. Similar to the earlier editions, this new edition is aimed at those who wish to take a totally modern, research-based approach to organizational behavior and human resource management. It does not assume the reader's prior knowledge of either management or the behavioral sciences. Thus, the book can be used effectively in the first or only course in four-year or two-year colleges. It is primarily aimed at the behavioral follow-up course to the more traditional introductory management course or the organizational behavior course in the MBA program. Moreover, the book should be helpful to practicing managers who want to understand and more effectively manage their most important asset—human resources.

Acknowledgments Every author owes a great deal to others, and I am no exception. I would like to acknowledge the interaction I have had with my colleagues John Cullen, Daniel Ganster, and Bronston T. Mayes in the organizational behavior area at the University of Nebraska. In particular, I would like to acknowledge the total support and standards of excellence provided by my departmental chairman, Sang M. Lee. Dean Gary Schwendiman has also been very supportive. In getting started in my academic career I never want to forget the help, encouragement, and scholarly values I received from Professors Henry H. Albers and Max S. Wortman. In addition, Professor Richard M. Hodgetts has been an especially valuable colleague. Over the years, I have been very lucky to be around excellent doctoral



students, I would like to thank them all for teaching me as much as I have taught them. In particular, I would like to mention Professors Tim R. V. Davis of Cleveland State University, Robert Kreitner of Arizona State University, Terry Maris of the University of Kentucky, Mark Martinko of Florida State University, Kenneth Thompson of Notre Dame University, and Diane Lockwood and Douglas Baker of the University of Nebraska as having an especially important impact on my recent thinking about organizational behavior. I am also very grateful to those professors who used the previous editions of the book and gave me valuable feedback for making this revision. In particular, I would like to thank Professors Kermit Cudd of the University of Tennessee at Chattanooga, Keith Davis of Arizona State University, Phil Fisher of the University of South Dakota, Larry Frye of St. Petersburg Junior College, Eugene Koprowski of the University of Colorado, and David Van Fleet of Texas A & M University, who read and gave their comments on the manuscript. Finally, as always, I am deeply appreciative of my wife and children, who gave me the time and encouragement to complete this book.

Fred Luthans

## **ORGANIZATIONAL BEHAVIOR**

此为试读,需要完整PDF请访问: www.ertongbook.com

#### McGRAW-HILL SERIES IN MANAGEMENT

ALLEN The Management Profession

BENTON Supervision and Management

BUCHELE The Management of Business and Public Organizations

CLELAND AND KING Management: A Systems Approach

CLELAND AND KING Systems Analysis and Project Management

DALE Management: Theory and Practice

DAVIS AND REBERICK Business and Society: Management, Public Policy, Ethics
DAVIS AND NEWSTROM Human Behavior at Work: Organizational Behavior
DAVIS AND NEWSTROM Organizational Behavior: Readings and Exercises

DELMAR Operations and Industrial Management: Designing and Managing for Productivity

DOBLER, LEE, AND BURT Purchasing and Materials Management: Text and Cases
DUNN AND RACHEL Wage and Salary Administration: Total Compensation Systems
FELDMAN AND ARNOLD Managing Individual and Group Behavior in Organizations
FINCH, JONES, AND LITTERER Managing for Organizational Effectiveness:

An Experiential Approach

FLIPPO Personnel Management

GERLOFF Organizational Theory and Design: A Strategic Approach for Management

GLUECK Readings in Business Policy and Strategy from Business Week

GLUECK AND JAUCH Business Policy and Strategic Management

GLUBCK AND JAUCH Strategic Management and Business Policy HAMPTON Contemporary Management

HICKS AND GULLETT Management

HICKS AND CULLETT Modern Business Management: A Systems and Environmental Approach

HICKS AND GULLETT Organizations: Theory and Behavior

JOHNSON, KAST, AND ROSENZWEIG The Theory and Management of Systems

KARLINS The Human Use of Human Resources

KAST AND ROSENZWEIG Experiential Exercises and Cases in Management

KAST AND ROSENZWEIG Organization and Management<sup>\*</sup> A Systems and Contingency Approach

KNUDSON, WOODWORTH, AND BELL Management: An Experiential Approach

KOONTZ, O'DONNELL, AND WEIHRICH Essentials of Management

KOONTZ, O'DONNELL, AND WEIHRICH Management

KOONTZ, O'DONNELL, AND WEIHRICH Management: A Book of Readings

LEVIN, McLAUGHLIN, LAMONE, AND KOTTAS Production/Operations Management:

Contemporary Policy for Managing Operating Systems

LUTHANS Introduction to Management: A Contingency Approach

### Fred Luthans and Keith Davis, Consulting Editors

**LUTHANS** Organizational Behavior

LUTHANS AND THOMPSON Contemporary Readings in Organizational Behavior

McNichols Executive Policy and Strategic Planning

McNICHOLS Policymaking and Executive Action

MAIER Problem-Solving Discussions and Conferences: Leadership Methods and Skills

MARGULIES AND RAIA Conceptual Foundations of Organizational Development
MAYER Production and Operations Management

MILES Theories of Management: Implications for Organizational Behavior and Development

MILES AND SNOW Organizational Strategy, Structure, and Process

MILLS Labor-Management Relations

митсивы. People in Organizations: An Introduction to Organizational Behavior

MOLANDER Responsive Capitalism: Case Studies in Corporate Social Conduct

MONKS Operations Management: Theory and Problems

NEWSTROM, REIF, AND MONCZKA A Contingency Approach to Management: Readings PARKER The Dynamics of Supervision

PORTER, LAWLER, AND HACKMAN Behavior in Organizations

PRASOW AND PETERS Arbitration and Collective Bargaining: Conflict Resolution in Labor Relations

QUICK AND QUICK Organizational Stress and Preventive Management
REDDIN Managerial Effectiveness

RUGMAN, LECRAW, AND BOOTH International Business: Firm and Environment SARTAIN AND BAKER The Supervisor and the Job

SAYLES Leadership: What Effective Managers Really Do . . . and How They Do It SCHLESINGER, ECCLES, AND GABARRO Managing Behavior in Organizations: Text, Cases, Readings

SCHROEDER Operations Management: Decision Making in the Operations Function SHARPLIN Strategic Management

**shorm** Operations Management

STEERS AND PORTER Motivation and Work Behavior

STEINHOFF Small Business Management Fundamentals

**SUTERMEISTER** People and Productivity

VANCE Corporate Leadership: Boards, Directors, and Strategy

WALKER Human Resource Planning

WEHRICH Management Excellence: Productivity through MBO

WERTHER AND DAVIS Personnel Management and Human Resources

worford, Gerloff, and Cummins Organizational Communications: The Keystone to Managerial Effectiveness

## **CONTENTS**

	_	Preface	xix
PART 1		AN INTRODUCTION TO ORGANIZATIONAL BEHAVIOR	
	1	INTRODUCTION AND BACKGROUND	3
		An Organizational Behavior Approach The Early Practice of Management	2
		The Human Relations Movement	13
		The Hawthorne Studies	15
		Summary	22
		Questions for Discussion and Review	24
		Case: How Is This Stuff Going to Help Me?	24
		Case: Too Nice to People	24
	2	RESEARCH METHODS AND THE BEHAVIORAL SCIENCES	25
		Background of the Behavioral Sciences	26
		Research Methodology	27
		Designs Used to Answer Questions	28
		The Need for New Research Designs	34
		Anthropology	37
		Sociology	40
		Psychology	42
		Social Psychology	50
		Summary	51
		Questions for Discussion and Review	51
		Case: Mr. Chemist Tackles the Personnel Department	52
		Case: Dilemma for Program Evaluation	52
	3	A CONCEPTUAL MODEL FOR ORGANIZATIONAL BEHAVIOR	54
		Freudian Psychoanalytic Framework	56
		Existentialistic Framework	59
		Cognitive Framework	61
		Behavioristic Framework	62
			02

		Social Learning Framework	63		
Xll		A Specific Model for Organizational Behavior	64		
CONTENTS	3	Summary	71		
		Questions for Discussion and Review	72		
	(	Case: Conceptual Model: Dream or Reality?	72		
	(	Case: Analyzing Clown Behavior	73		
Case Study	and Expe	eriential Exercises for Part 1	74		
	(	Case: A Dual Problem			
	]	Exercise: Synthesis of Student and Instructor Needs	77		
		Exercise: Work-Related Organizational Behavior: Implications			
	f	for the Course	77		
PART 2		A MICRO PERSPECTIVE OF ORGANIZATIONAL BEHAVIO COGNITIVE PROCESSES AND PERSONALITY	R:		
	4 l	PERCEPTION	81		
	-	CL. N. ( I Y	0.0		
		The Nature and Importance of Perception	83		
		Sensation versus Perception	83 87		
		Perceptual Selectivity Perceptual Organization	97		
		Social Perception	102		
		Summary	102		
		Questions for Discussion and Review	107		
		Case: Space Utilization	107		
		Case: Quelling a Riot	108		
	5 I	PERSONALITY	109		
		The Definitional Controversy	110		
		The Comprehensive Meaning of Personality	111		
		The Development of Personality	113		
		Major Determinants of Personality	118		
		Theories of Personality	131		
		Summary Questions for Discussion and Review	135 137		
		Case: Cheerleader versus Activist	137		
		Case: Portrait of a Black Leader	137		
		ase. Fullfalt of a black Leader	130		
Case Study a	nd Expe	riential Exercises for Part 2	139		
		Case: People Don't Change, or Do They?	139		
		Exercise: The Eye of the Beholder	141		
	I	Exercise: Self-Perception and Development of the Self-Concept	142		

## PART 3 MOTIVATION AND LEARNING: THE FOCUS OF THE MICRO APPROACH TO ORGANIZATIONAL BEHAVIOR

6	THE BASIC MOTIVATION PROCESS	145
	Historical Development Primary Motives General Motives Secondary Motives Summary Questions for Discussion and Review Case: Star Salesperson Case: From Master Politician to Mr. Obnoxious	146 152 154 156 170 170 170
7	WORK MOTIVATION	173
	Traditional Human Relations Approach to Motivation Modern Theories of Work Motivation The Content Theories of Work Motivation The Process Theories of Work Motivation Summary Questions for Discussion and Review Case: What Do They Want? Case: Tom, Dick, and Harry	174 175 177 186 202 203 203 204
8	GOAL SETTING AND JOB DESIGN	206
	Goal Setting Job Design Summary Questions for Discussion and Review Case: Specific Goals for Human Service Case: The Rubber Chicken Award	207 214 230 231 231 232
9	THE BASIC LEARNING PROCESS	233
	Types and Theories of Learning Principles of Learning The Reinforcement Principle Techniques of Administering Reinforcement The Effect of Punishment Summary Questions for Discussion and Review Case: Contrasting Styles	234 242 248 255 260 262 262 263
	Case: Volunteers Can't Be Punished	264

<b>V1</b> 17	10	ORGANIZATIONAL BEHAVIOR MODIFICATION	265
X1V CONTENTS		Background for O.B. Mod. The Steps of O.B. Mod.	266 270
		Experience with the Application of O.B. Mod.	289
		Analysis of Possible Ethical Issues	299
		Summary	301
		Questions for Discussion and Review	302
		Case: Up the Piece Rate	302
		Case: A Tardiness Problem Case: Trouble between Departments	303 303
Case Study	and Ex	speriential Exercises for Part 3	305
		Case: Trouble in Lordstown	305
		Exercise: Motivation Questionnaire	306
		Exercise: Job Design Survey	309
		Exercise: Role-Playing and O.B. Mod.	311
PART 4		THE DYNAMICS OF ORGANIZATIONAL BEHAVIOR	
	11	GROUP DYNAMICS	317
		The Nature of Groups	318
		Committee Organization	326
		The Dynamics of Informal Groups	331
		Summary	340
		Questions for Discussion and Review	340
		Case: The Schoolboy Rookie	341
		Case: The Blue Ribbon Committee	341
	12	COMMUNICATION	343
		Historical Background on the Role of Communication	344
		The Definition of Communication	347
		Organizational Communication	352
		Superior-Subordinate Communication	355
		Subordinate-Initiated Communication	358
		Interactive Communication in Organizations	361
		Summary Questions for Discussion and Review	363
		Case: Doing My Own Thing	363
		Case: Bad Brakes	363 364
	13	CONFLICT AND STRESS	365
		Intraindividual Aspects of Conflict and Stress Interpersonal Conflict	36 <i>7</i> 376