

STOCK IDENTIFICATION METHODS

Applications in Fishery Science

Edited by Steven X. Cadrin, Kevin D. Friedland, and John R. Waldman

Stock Identification Methods Applications in Fishery Science

Steven X. Cadrin Kevin D. Friedland John R. Waldman



AMSTERDAM • BOSTON • HEIDELBERG • LONDON NEW YORK • OXFORD • PARIS • SAN DIEGO SAN FRANCISCO • SINGAPORE • SYDNEY • TOKYO

Academic Press is an imprint of Elsevier

Editorial Coordinator: Kelly Sonnack Publishing Services Manager: Andre Cuello

Project Manager: Justin Palmeiro Cover Design: Eric DeCicco Composition: Best-Set Text Printer: Maple Press Cover Printer: Phoenix Color

Elsevier Academic Press 200 Wheeler Road, Burlington, MA 01803, USA 525 B Street, Suite 1900, San Diego, California 92101-4495, USA 84 Theobald's Road, London WC1X 8RR, UK

This book is printed on acid-free paper.

Copyright © 2005, Elsevier Inc. All rights reserved. Except Chapter 21; Copyright © 2005, Mark B. Bain

No part of this publication may be reproduced or transmitted in any form or by any means, electronic or mechanical, including photocopy, recording, or any information storage and retrieval system, without permission in writing from the publisher.

Permissions may be sought directly from Elsevier's Science & Technology Rights Department in Oxford, UK: phone: (+44) 1865 843830, fax: (+44) 1865 853333, e-mail: permissions@elsevier.com.uk. You may also complete your request on-line via the Elsevier homepage (http://elsevier.com), by selecting "Customer Support" and then "Obtaining Permissions."

Library of Congress: Application submitted.

British Library Cataloguing in Publication Data

A catalogue record for this book is available from the British Library

ISBN: 0-12-154351-X

For all information on all Academic Press publications visit our Web site at www.books.elsevier.com

Printed in the United States of America
04 05 06 07 08 09 9 8 7 6 5 4 3 2 1

Stock Identification Methods

Applications in Fishery Science

FOREWORD

Fisheries scientists and managers use the term *stock* so frequently that you would think we know all there is to know about it. We speak of stock assessments, stock structure, spawning stock biomass, stock-recruitment relationships, stock complexes, stock production models, and so forth. I think it is fair to say that most scientists and managers take "stock" for granted as if it were sufficient to rely on the old adage that "we'll know one when we see it." The truth is that its impossible to know how many scientific conclusions or fishery management decisions may have been led astray by assuming we were seeing a stock that wasn't!

Information on the stocks is needed to meet objectives of fisheries management to achieve sustainable yield, avoid recruitment failures, rebuild overfished stocks, as well as to conserve threatened and endangered species. With growing acceptance of the need to conserve biodiversity (including genetic diversity), apply a precautionary approach, and operationalize the ecosystem approach (which places greater emphasis on spatial distributions and place-based management, such as MPAs), know what is and is not, a stock has never been more important.

For more than a decade, the International Council for Exploration of the Sea, Stock Identification Methods Working Group has been promoting standard protocols for sampling, data processing, and analytical methods, for data being generated by both traditional methods (e.g., meristics and morphometrics, traditional tags, parasites as natural tags) and new technologies (otolith chemistry, molecular genetics, electronic tags). The result is this comprehensive volume prepared by an outstanding team of international scientists. It addresses the stock concepts, historical development, applications to fisheries science and management, use of natural marks (some traditional and some recently developed techniques), genetics, recent advances in tagging technology, and analytical methods.

Although this volume will be a valuable reference for years to come, I think that we should all be excited by the prospect of innovative advances in the near future that surely will render some of the conclusions in the book out of date.

Xİİ Foreword

The scientists responsible for the volume, and ICES as the sponsor of the Working Group, do not want to rest on their laurels. Advances in biochemistry, analytical chemistry, and electronics (including microtechnology with nanotechnology on the horizon) foretell a very productive era unfolding when it comes to stock information in support of better science and better resource management. Speaking as both the President of ICES and the Chief Science Advisor for the U.S. National Marine Fisheries Service, I look forward to important and exciting discoveries in the future.

Michael Sissenwine

CONTRIBUTORS

P. ABAUNZA, Instituto Espanol de Oceangrafia, Santander, Spain

MARK B. BAIN, Center for the Environment, Cornell University, Ithaca, New York, USA

MICHAEL A. BANKS, Coastal Oregon Marine Experiment Station, Hatfield Marine Science Center, Department of Fisheries and Wildlife, Oregon State University, Newport, Oregon, USA

GAVIN A. BEGG, CRC Reef Research Centre, James Cook University, Townsville, Oueensland, Australia

JON BRODZIAK, National Marine Fisheries Service, Woods Hole, Massachusetts, USA

STEVEN X. CADRIN, National Marine Fisheries Service, Woods Hole, Massachusetts, USA

STEVEN E. CAMPANA, Marine Fish Division, Bedford Institute of Oceanography, Dartmouth, Nova Scotia, Canada

MARY C. FABRIZIO, National Marine Fisheries Service, Highlands, New Jersey, USA

PEDER FISKE, Norwegian Institute for Nature Research, Trondheim, Norway

CHRIS J. FOOTE, Department of Fisheries and Aquaculture, Malaspina University-College, Nanaimo, British Columbia, Canada

O. GRAHL-NIELSEN, Department of Chemistry, University of Bergen, Bergen, Norway

JEFFREY J. GRIMM, Washington Department of Fish and Wildlife, Olympia, Washington, USA

XİV Contributors

EVIN D. FRIEDLAND, NOAA Cooperative Marine Education and Research Program, University of Massachusetts, Amherst, Massachusetts, USA

CORNELIUS HAMMER, Federal Research Centre for Fisheries, Institute for Baltic Sea Fisheries, Rostock, Germany

M.-L. KOLJONEN, Finnish Game and Fisheries Research Institute, Helsinki, Finland

L. P. HANSEN, Norwegian Institute for Nature Research, Oslo, Norway

JONATHAN A. HARE, NOAA National Ocean Service, Center for Coastal Fisheries and Habitat Research, Beaufort, North Carolina, USA

JEFFREY A. HUTCHINGS, Department of Biology, Dalhousie University, Halifax, Nova Scotia, Canada

J. A. JACOBSEN, Faroese Fisheries Laboratory, Tórshavn, Faroe Islands

ZHANJIANG (JOHN) LIU, The Fish Molecular Genetics and Biotechnology Laboratory, Department of Fisheries and Allied Aquacultures and Program of Cell and Molecular Biosciences, Aquatic Genomics Unit, Auburn University, Auburn, Alabama, USA

ROAR A. LUND, Norwegian Institute for Nature Research, Trondheim, Norway

K. MACKENZIE, School of Biological Sciences, Department of Zoology, The University of Aberdeen, Aberdeen, Scotland, United Kingdom

ANTONIOS MAGOULAS, Hellenic Centre for Marine Research, Institute of Marine Biology and Genetics, Heraklion, Crete, Greece

MICHELE MASUDA, National Marine Fisheries Service, Auke Bay, Alaska, USA

JEROME PELLA, National Marine Fisheries Service, Auke Bay, Alaska, USA

RUTH B. PHILLIPS, Washington State University, Vancouver, Washington, USA

MICHAEL H. PRAGER, National Marine Fisheries Service, Beaufort, North Carolina, USA

SAUL B. SAILA, University of Rhode Island, Graduate School of Oceanography, Narragansett, Rhode Island, USA

STEVEN L. SCHRODER, Washington Department of Fish and Wildlife, Olympia, Washington, USA

CARL JAMES SCHWARZ, Statistics and Actuarial Science, Simon Fraser University, Burnaby, British Columbia, Canada

Contributors XV

D. H. SECOR, Chesapeake Biological Laboratory, University of Maryland Center for Environmental Science, Solomons, Maryland, USA

- **KYLE W. SHERTZER**, National Marine Fisheries Service, Beaufort, North Carolina, USA
- **P. J. SMITH**, National Institute of Water and Atmospheric Research Ltd., Wellington, New Zealand
- **DOUGLAS P. SWAIN**, Department of Fisheries and Oceans, Gulf Fisheries Centre, Moncton, New Brunswick, Canada
- ERIC C. VOLK, Washington Department of Fish and Wildlife, Olympia, Washington, USA
- **JOHN WALDMAN**, Hudson River Foundation for Science and Environmental. Research, New York, York; currently, Biology Department., Queens College, The City University of New York, New York, New York, USA
- R. WILMOT, National Marine Fisheries Service, Juneau, Alaska, USA
- **ISAAC WIRGIN**, Department of Environmental Medicine, New York University School of Medicine, Tuxedo, New York, USA

CHRISTOPHER ZIMMERMAN, Institute for Sea Fisheries, Hamburg, Germany

ANNOTATED TABLE OF CONTENTS

Foreword by Michael Sissenwine

Contributors

	PA Introduc i	RT I
1.	Stock Identification Methods: An Overview, by Steven X. Cadrin, Kevin D. Friedland, and John R. Waldman A brief introduction to stock identification, including the historical development of the ICES Working Group.	3
2.	Definition of Stocks: An Evolving Concept, by John R. Waldman A more detailed introduction to the field, including technical definitions.	7
3.	Fish Migration and the Unit Stock: Three Formative Debates, by D. H. Secor A review of ecological and historical issues related to stock connectivity and metapopulations.	17
4.	Environmental and Genetic Influences on Stock Identification Characters, by Douglas P. Swain, Jeffrey A. Hutchings, and Chris J. Foote An overview on the major categories of stock identification approaches and their relative strengths for identifying stocks.	45

хi

xiii

Jonathan A. Hare

well as juvenile and adult stages.

ontogenetic rates to distinguish stocks.

PART II Life History Traits 5. The Use of Early Life Stages in Stock Identification Studies, by 89 A description of methods that examine geographic range and distribution during early life history, including planktonic stages as 6. Life History Parameters, by Gavin A. Begg 119 A review and critique of approaches that use differences in PART III 3

	Natural Marks—Morphological Anal	yses
7.	Morphometric Landmarks, by Steven X. Cadrin A description of techniques used to measure and analyze general morphometry, including traditional multivariate morphometrics and more advanced geometric analyses.	153
8.	Morphometric Outlines, by Steven X. Cadrin and Kevin D. Friedland A review and critique of methods that describe shape of outlines	173

for structures such as scales and otoliths, and how methods are used to distinguish individuals with differently shaped features.

9. Analyses of Calcified Structures: Texture and Spacing Patterns, by Kevin D. Friedland and Steven X. Cadrin 185 A review of methods used to analyze spacing patterns of circuli on scales, otoliths, and vertebrae through image analysis, including digital photomicrograph examples.

10. Meristics, by John R. Waldman 197 An evaluation of using the number of discrete morphological elements (e.g., number of vertebrae, fin rays) for identifying stocks, with illustrative examples.

	PART Natural Marks—Environmental Sig	IV nals
	2.000.00.200.00	
11.	Parasites as Biological Tags, by K. MacKenzie and P. Abaunza A summary of how parasitological analysis has been used to discriminate stocks.	211
12	Otolith Elemental Composition as a Natural Marker of Fish	
12.	Stocks, by Steven E. Campana	227
	A review of rapidly developing techniques that use chemical composition of secreted hard parts to identify environmental differences and individuals that inhabited different habitats throughout their life history.	
13.	Fatty Acid Profiles as Natural Marks for Stock Identification,	
	by O. Grahl-Nielsen	247
	A description of a relatively new method for determining different populations according to fatty acids in tissues, with demonstrations on finfish and marine mammals.	
	РД	RT ${f V}$
	Natural Marks—Genetic Anal	yses
14.	Chromosome Morphology, by Ruth B. Phillips A review and critique of techniques for detecting differences among stocks through inspection of chromosome form, including several example photomicrographs.	273
15.	Genetic Analysis: Allozymes, by ML. Koljonen and R. Wilmot A description of traditional electrophoretic methods, with many examples of stock identification applications.	295
16.	Mitochondrial DNA, by Antonios Magoulas	311

A comprehensive review and protocol for detecting mitochondrial

genetic characters and analyzing stock differences.

17.	Use of Nuclear DNA in Stock Identification: Single-Copy and Repetitive Sequence Markers, by Isaac Wirgin and John R. Waldman A review and critique of methods using single-copy, coding and noncoding, repetitive nuclear DNA for stock identification.	331
18.	Random Amplified Polymorphic DNA (RAPD), by P. J. Smith A review of polymerase chain reaction and RAPD techniques, which have had a rapidly increased application for stock identification in recent years.	371
19.	Amplified Fragment Length Polymorphism (AFLP), by Zhanjiang (John) Liu A description of a relatively new technique with great potential for stock identification, including a comparative review with other genetic approaches.	389
	PART Applied Mo	
20.	Internal and External Tags, by J. A. Jacobsen and L. P. Hansen A summary and critique of conventional tagging methods and their application for identifying stocks.	415
21.	Electronic Tags, by Mark B. Bain A description of rapidly developing techniques involving telemetry and archival tags.	435
22.	Otolith Thermal Marking, by Eric C. Volk, Steven L. Schroder, and Jeffrey J. Grimm A description of relatively new methods involving thermal	447

signatures on fish otoliths, with photomicrographs illustrating

their application for stock identification.

PART **VII**

Stock Identification Data Analysis

23.	Experimental Design and Sampling Strategies for Mixed-Stock Analysis, by Mary C. Fabrizio A protocol for sampling and a description of how sampling issues affect precision and accuracy of stock composition analysis.	467
24.	An Introduction to Statistical Algorithms Useful in Stock Composition Analysis, by Michael H. Prager and Kyle W. Shertzer An evaluation of methods used to determine the contributions of different stocks in mixed-stock samples.	499
25.	Classical Discriminant Analysis, Classification of Individuals, and Source Population Composition of Mixtures, by Jerome Pella and Michele Masuda A description of linear discriminant analysis, with focus on stock identification applications.	517
26.	Neural Networks Used in Classification with Emphasis on Biological Populations, by Saul B. Saila An introduction to a relatively new method of data analysis, with illustrative examples for identifying stocks.	553
27.	Maximum Likelihood Estimation of Stock Composition, by Jon Brodziak A review of stock identification applications using maximum likelihood to estimate contributions of different stocks in mixed-stock samples.	571
28.	Estimation of Movement from Tagging Data, by Carl James Schwarz A description of analytical methods used to examine mark-recapture data for identifying stocks and quantifying interchange rates among stocks.	591

PART VIII

659

Application of Stock Identification Data in Resource Management

Endangered Species, by Michael A. Banks	609
A summary of how information on stock structure is used	
in resource management decisions.	
30. The Role of Stock Identification in Formulating Fishery Management Advice, by Cornelius Hammer and	
Christopher Zimmermann	631
A description of how information on stock structure is	051
considered in advice on stock status and	

31. Identifying Fish Farm Escapees, by Peder Fiske, Roar A. Lund, and Lars. P. Hansen

A review of the issue of escaped fish from aquaculture operations and a protocol for monitoring methods.

management alternatives.

29. Stock Identification for Conservation of Threatened or

Index 681

part ${f I}$

Introduction