

Restorative Justice for Juveniles

Conferencing, Mediation & Circles

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Foreword

All over the world, restorative justice processes and practices are now occurring. They operate in very many different shapes, under many different procedures and are supported by very many different systems. Nothing stays still about the way in which these processes enable families and young people to be involved in decisions about themselves and involve victims in contributing to decisions about how best to deal with the offending which has affected them. All confer and negotiate to achieve outcomes which aim to resolve the tensions arising from the offending.

I am a practitioner and not an academic. Everyday, I sit in a Court which now uses restorative justice processes and family group conferences to try and put right the wrong by healing breaches in relationships and making reparation rather than concentrating on punishment. Everyday, I am humbled by the generosity and kindness shown by many victims and by the spirit of generosity and sacrifice which is displayed when young people and their families meet with victims and their supporters and are properly supported by communities to act as human beings in contact with each other rather than as people apart.

Nothing ever stands still in this dynamic area. Constantly, we are treated to new insights, new enhancements, and new surprises. Those of us who find this exciting and stimulating need to be constantly open to new changes and new challenges. The authors of this superb collection of papers have captured the pioneering spirit of inquiry and of progress to push forward even further frontiers of a new and even more exciting and satisfying way of working across many boundaries. They are to be commended for this and for their energy and diligence in making sure that new discoveries are made available to a wide public.

DJ Carruthers Principal Youth Court Judge Wellington, New Zealand 2001

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Part 1 Setting the Scene

Introducing Restorative Justice

DANIEL VAN NESS, ALLISON MORRIS and GABRIELLE MAXWELL

RESTORATIVE JUSTICE: A NEW WAY OF THINKING

Restorative justice system. Some of its practitioners and proponents refer to it as a new paradigm or as a new pattern of thinking. It poses new questions for societies to ask and answer in responding to crime. These discussions about restorative justice often begin by comparing it to the current criminal justice system. Perhaps the classic example of this is Howard Zehr's (1990) contrast of retributive justice versus restorative justice. Retributive justice, he argues, begins with a particular understanding of crime: it "is a violation of the state, defined by lawbreaking and guilt. Justice determines blame and administers pain in a contest between the offender and the state directed by systematic rules" (1990: 181). He continues:

"Restorative justice sees things differently.... Crime is a violation of people and relationships.... It creates obligations to make things right. Justice involves the victim, the offender and the community in a search for solutions which promote repair, reconciliation, and reassurance" (1990: 181).

He describes how these different understandings result in dissimilar emphases. Retributive justice focuses on the violation of law, whereas restorative justice focuses on the violation of people and relationships. Retributive justice seeks to vindicate law by determining blame and administering punishment, whereas restorative justice seeks to vindicate victims by acknowledging their injury and by creating obligations for those responsible to make things right. Retributive justice involves the state and the offender in a formal process of adjudication, whereas restorative justice involves victims, offenders and community members in a search for solutions (1990: 181).

Zehr's dichotomy demonstrates how looking at old problems in new ways makes it possible to arrive at new understandings and responses. We can respond to behaviour that breaks the law by focusing exclusively on the rule that was broken or by looking first at the harm it causes to people and relationships. How we look at crime will lead us to a response that seems logical and right. The restorative response is to focus on repairing harm.

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Some commentators (for example, Daly 1999) have challenged such dichotomies as too simplistic because they imply that restorative justice is good and retributive justice is evil. Furthermore, many young people subjected to restorative justice processes and results view them as punishment (1999: 10). Those are good points. Dichotomies may be more useful for didactic purposes than as descriptions of the attitudes of persons involved in restorative processes. But they do serve to illustrate in broad strokes the ways in which restorative justice processes encourage new priorities, shifts in emphasis and the inclusion of new parties as decision-makers. Lode Walgrave teases out these problematic distinctions between punishment and restorative sanctions in his chapter.

THE HISTORY OF RESTORATIVE JUSTICE

In explaining what restorative justice is, it is important to remember that this is a theory of justice that has grown out of experience. It has been informed by indigenous and customary responses to crime, both those of the past and those used today. Its modern development probably began in response to the first victim offender mediation programmes developed in the mid-1970s in Canada. These programmes started as an alternative to probation for young offenders and expanded into pre-sentence programmes that allowed the victim and offender to construct a sentencing proposal for the judge's consideration. It was assumed that offenders would benefit from this exposure to the needs of the victim, and that this would reduce recidivism and increase the likelihood of restitution being completed. What was not expected was that crime victims would also benefit from this approach, reporting higher satisfaction levels than with traditional court processes (see Zehr 1990: 158–174).

As practitioners and observers reflected on why this might be, they concluded that it was because victims were essentially non-participants in traditional criminal justice processes. They might testify if called as witnesses, but in criminal proceedings they were not decision-makers or active participants. On the other hand, victims involved in victim—offender mediation liked being able to shape the outcome if they wanted to. For that matter, offenders were also non-participants in court proceedings. Although the criminal justice system revolves around them, or perhaps in some countries because it focuses on them, their role is essentially passive. In North America, Europe and other developed countries it is the defendants' lawyers who are active in legal proceedings.

In the past 20 years, restorative justice has become an influential movement in Australia, Canada, England and Wales, New Zealand and other countries. Even the United States, a country more often associated with the introduction of repressive penal measures, has not escaped its influence. Michael Tonry (1999) begins a survey of American sentencing policy by observing that there are now four competing conceptions of sentencing: indeterminate, structured sentencing (e.g., guidelines), risk-based sentencing and restorative/community justice. His

reason for including restorative justice in this group of four is relevant to our discussion:

"A fully elaborated system exists nowhere, but there is considerable activity in many States, and programmes based on community/restorative principles are beginning to deal with more serious crimes and criminals and to operate at every stage of the justice system, including within prisons. [It is] spreading rapidly and into applications that a decade ago would have seemed visionary. These include various forms of community involvement and emphasise offender accountability, victim participation, reconciliation, restoration and healing as goals (though which goals are emphasised and with what respective weights vary widely)" (1999: 3-4).

In other words, restorative justice is having a significant influence because of its demonstrated ability to function within all phases of the justice process and to address serious offences and offenders. Restorative justice programmes and policies are proliferating at a remarkable speed around the world, as we will see shortly. The starting point for change in most countries has been their youth justice systems and it is for this reason that this book focuses on young offenders. The lessons learned there, however, are relevant for the transformation of all parts of criminal justice systems. But first, let us consider how we might define restorative justice.

A DEFINITION OF RESTORATIVE JUSTICE

British criminologist Tony Marshall (1996: 37) has proposed a definition of restorative justice that is increasingly used internationally:

"Restorative justice is a process whereby all the parties with a stake in a particular offence come together to resolve collectively how to deal with the aftermath of the offence and its implications for the future."

This procedural definition is helpful. However, it raises a number of questions: Who are the "parties with a stake in the offence"? How do they come to a collective resolution? What does it mean to "deal with the aftermath of an offence"? What "implications for the future" should be considered? While answers to those questions must be worked out in specific contexts, Susan Sharpe (1998), a Canadian, has proposed five key principles of restorative justice that help round out Marshall's definition. The following is adapted from her work (1998: 7-12).

-First, restorative justice invites full participation and consensus. This means that victims and offenders are involved, but it also opens the door to others who feel that their interests have been affected (for example, neighbours who have been indirectly harmed by the crime). The invitation to participate underscores the benefits of voluntary involvement, although, of course, offenders may participate in order to avoid traditional criminal processes.

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- —Second, restorative justice seeks to heal what is broken. A central question asked in any restorative process is "What does the victim need to heal, to recover, to regain a sense of safety?" Victims may need information; they may need to express anger toward the person who has harmed them; they may need reparation. Offenders, too, may need healing; they may need release from guilt or fear; they may need resolution of underlying conflicts or problems that led to the crime; and they may need an opportunity to make things right.
- —Third, restorative justice seeks full and direct accountability. Accountability does not simply mean that offenders must face the fact that they have broken the law; they must also face the people they have harmed and see how their actions have damaged others. They should expect to explain their behaviour so that the victim and community can make sense of it. They should also expect to take steps to repair that harm.
- —Fourth, restorative justice seeks to reunite what has been divided. Crime causes divisions between people and within communities. That is one of the most profound harms that it causes. Restorative processes work toward reconciliation of the victim and offender, and reintegration of both into the community. A restorative perspective holds that the "victim" and "offender" roles should be temporary, not permanent. Each should be drawn toward a future in which they are free of their past, no longer defined primarily by the harm they may have caused or suffered.
- —Finally, restorative justice seeks to strengthen the community in order to prevent further harms. Crime causes harm, but crime may also reveal pre-existing injustices. These can be as localised as a long-term dispute between the "offender" and the "victim" that erupted into criminal behaviour. It can be as systemic as racial and economic inequities that, while not excusing the offender's behaviour, must be addressed in order to strengthen the community and make it a just and safe place to live (Morris 1994).

HALLMARK PROGRAMMES OF RESTORATIVE JUSTICE

The processes that Tony Marshall describes, and the principles that Susan Sharpe outlines, have been demonstrated for thousands of years in informal, customary traditions. More recently they have been implemented in a variety of ways within and alongside the criminal justice system. However, three programmes have become hallmarks of restorative justice processes: victim offender mediation, conferencing, and circles. The chapters in this book cover aspects of each of these and we only mention them briefly here.¹

¹ Two other programmes have been acknowledged as potentially restorative outcomes: restitution and community service. This book does not include a discussion of these.

Victim Offender Mediation

The first contemporary restorative process was victim offender mediation. In its prototypical form, this involves bringing together victims and their offenders along with a mediator who coordinates and facilitates the meeting. In the course of this meeting, victims describe their experiences with the crime and the effect it has had on them and offenders explain what they did and why, answering questions the victim may have. When both victim and offender have had their say, the mediator will help them consider ways to make things right. In some European countries, mediation does not necessarily involve a direct meeting between the two parties. Instead, the mediator conducts shuttle negotiation with each party until an agreement on restitution is reached. Such an approach satisfies some restorative principles, but not as many as a direct meeting. In other countries, particularly in North America, victim offender mediation increasingly involves others who have also been affected by the offence or who are present to provide support to the principal parties. Paul McCold in his chapter describes victim offender mediation in more detail (as well as other restorative justice processes) and Mark Umbreit, Robert Coates and Betty Vos review, from the victims' point of view, the research that has examined the extent to which victim offender mediation has met restorative justice goals and outcomes. They demonstrate that across a variety of sites and cultures, victims who choose to participate are satisfied with both the process and the outcomes reached. Elmar Weitekamp in his chapter offers a European perspective. Victim offender mediation (or offender victim mediation as it is in Germany) has a long history there as the preferred way of meeting victims' needs.

Conferencing

Conferencing was developed in New Zealand and was, in part, a reflection of aspects of the traditional processes of the Maori people, the indigenous population of New Zealand. Conferencing has been further adapted as it has been used in other countries, and there are now several versions of conferencing found in New Zealand, Australia, Asia, Southern Africa, North America and Europe. Conferencing involves not only the primary victim and offender, but also secondary victims (such as family members or friends of the victim) as well as supporters of the offender (such as family members and friends). These people are involved because they have also been affected in some way by the offence, and because they care about one of the primary participants. They may also participate in carrying out the final agreement. The conference facilitator arranges the meeting and makes sure that everyone present is able to participate fully, but does not play a role in the substantive discussions. Some forms of conferencing are "scripted", which means that the facilitator follows a prescribed pattern in guiding discussion by the participants. Other conferences work within a general