

NINTH EDITION

Democracy Under Pressure

An Introduction to the American Political System



MILTON C. CUMMINGS, JR. DAVID WISE

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An Introduction to the American Political System

BRIEF NINTH EDITION

2002 ELECTION UPDATE

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The Johns Hopkins University

David Wise

Author and Political Analyst



Australia • Canada • Mexico • Singapore • Spain United Kingdom • United States

ABOUT THE AUTHORS



MILTON C. CUMMINGS, JR. is an award-winning educator who received his undergraduate degree from

Swarthmore College in Pennsylvania. After two years of graduate study in England, where he was a Rhodes Scholar at Oxford University, he earned a Ph.D. in political science at Harvard University.

Professor Cummings worked for six years at the Brookings Institution in Washington, D.C., where he did research and writing on American government and politics. He then joined the political science faculty at The Johns Hopkins University in Baltimore, Maryland. For 15 years, he also served as a consultant on NBC News, where he specialized in the network's television coverage of United States congressional elections. He is also a member of the Research Advisory Council of the Center for Arts and Culture.

At Johns Hopkins, Professor Cummings has been honored with numerous awards. These include the George Owen Teaching Award, the Edward H. Griffin Award, and several other citations for outstanding teaching. He has also received fellowships and grants for research from the Social Science Research Council, the National Science Foundation, the Ford Foundation, and the Guggenheim Foundation.

Professor Cummings is the author or editor of an extensive number of scholarly articles and books, including *The Image of the Federal Service; Congressmen and the Electorate; The Patron State: Government and the Arts in Europe, North America, and Japan;* and, of course, *Democracy Under Pressure.*



DAVID WISE is a political writer based in Washington. He is the author or coauthor of ten books on government

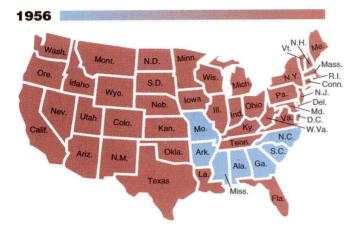
and politics and is a leading writer on intelligence, espionage, and government secrecy. His articles have appeared in *The New York Times Magazine* and many other major publications.

He is former chief of the Washington bureau of the *New York Herald Tribune* and was a regular weekly commentator on CNN for six years.

A native New Yorker and graduate of Columbia College, he joined the *Herald Tribune* in 1951 and served as the newspaper's White House correspondent before becoming chief of the Washington bureau. He is a former Fellow of the Woodrow Wilson International Center for Scholars in Washington, D.C., and for two years he lectured in political science at the University of California at Santa Barbara.

His recent book, *Spy: The Inside Story of How the FBI's Robert Hanssen Betrayed America* (Random House, 2002), received high praise from reviewers. He is also the author of *Nightmover: How Aldrich Ames Sold the CIA to the KGB for \$4.6 Million*, which was excerpted in *TIME* magazine. He is coauthor of *The Invisible Government*, a number-one best-seller about the Central Intelligence Agency that has been widely credited with bringing about a reappraisal of the role of the CIA in a democratic society.

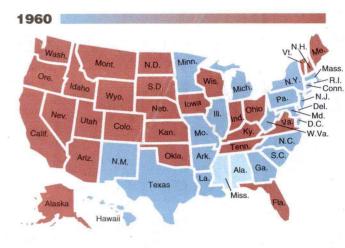
He has received several awards, including, in 1974, the George Polk Memorial Award for his book *The Politics of Lying*. He is married and has two sons.



Electoral votes*

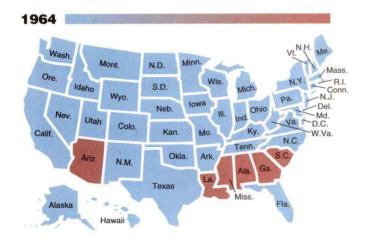
Stevenson (D) 74
Eisenhower (R) 457

*An Alabama Democratic elector cast his vote for Walter Jones, making the official count: Eisenhower, 457; Stevenson, 73; Jones, 1.



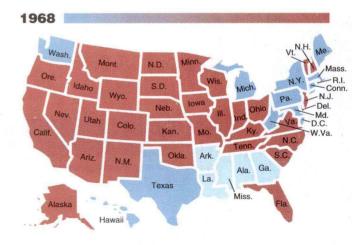
Electoral votes

Kennedy (D) 303
Nixon (R) 219
Byrd (D) 15



Electoral votes

Johnson (D) 486
Goldwater (R) 52



Electoral votes†

Humphrey (D) 191
Nixon (R) 302

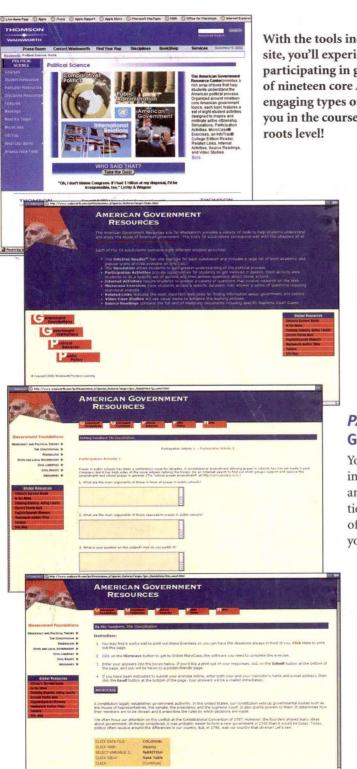
Wallace (American Independent) 45

[†]A North Carolina Republican elector cast his vote for George Wallace, making the official count: Nixon, 301; Humphrey, 191; Wallace, 46.

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Start for



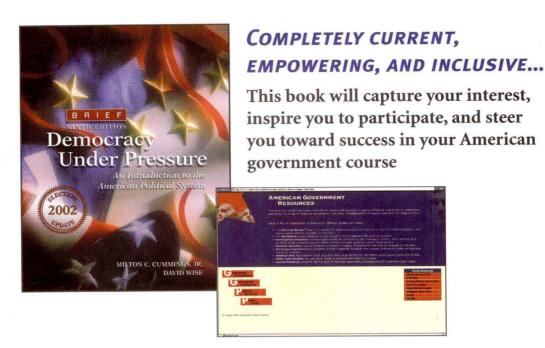
Also available . . . this book's Companion Web Site AT THE WADSWORTH POLITICAL SCIENCE RESOURCE CENTER

http://politicalscience.wadsworth.com/cummingsbr9/update/

Designed exclusively for this Ninth Edition 2002 Election Update, the book's Companion Web Site is fully integrated with the textbook, and takes you to the most current information on the Web, through major election coverage sites, news sources, political organizations, and other resources related to the

American government course. It includes chapter-by-chapter links to the sites referenced in the textbook's Web icons and to other sites directly related to text material. You'll also find the glossary from the textbook and a selection of self-assessment questions from the printed Study Guide. New InfoTrac College Edition activities are provided for each chapter of the book.





Join more than one million students who have mastered the fundamentals of American government from this remarkable book! Milton C. Cummings, Jr. and David Wise's respected **Democracy Under Pressure: An Introduction to the American Political System, Ninth Edition, 2002 Election Update** includes the latest 2002 congressional election results, as well as a comprehensive examination of the impact and effects of September 11th on the various institutions and policies of American government. You won't find a more interesting presentation or more current, accurate scholarship than in this best-seller!

In a time of rapid change, the authors continue to focus not only on the very considerable achievements of the American system of government but on its shortcomings too—giving you a look at the reality as well as the rhetoric of American democracy. Cummings and Wise focus on the gaps, where they exist, between American myths and American realities and the political system's promise and performance. Connected *page-by-page* to many great technological tools that are yours FREE when you buy this book, *Democracy Under Pressure* includes:

- ★ InfoTrac® College Edition (See page 8)
- ★ The American Government Resource Center (see pages 2 3)
- ★ The book's companion Web site (see page 3)



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- ★ Analysis of the latest economic developments, including the recent recession and recovery, the impact of the September 11th attacks on travel-related industries, the funding of the ongoing war on terrorism, the passage of major tax cuts and their impact on the federal budget, and the general crisis of confidence experienced in the business community as a result of the Enron scandal
- ★ The latest information on anthrax attacks on the Capitol and elsewhere, violence in the Middle East, campaign finance reform, and the proposed privatization of Social Security



The 2002 Election Update connects you to its multimedia teaching and learning tools with total integration! Throughout the book, you'll find Web icons in the margins that direct you to Web sites related to specific topics, issues, or individuals presented in the text.

and that it could rise to more than 300 million

According to one study of population patterns in the United States, if the projection some experts were realized, "we would have close to one billion people in the United States one hundred years from now."18 Although the authors of the study added that birth control and other factors made it unlikely that such a staggering total will be reached by that time, they estimated that the United States could support a population of a billion without people pushing one another into the oceans

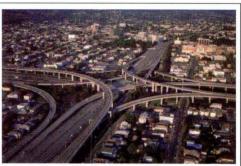
How the nation has expanded from a population of about 4 million in 1790, and what the future may hold, can be charted with 2025, as shown in Table 1-1. This dramatic

increase in numbers of people—the "population explo-sion"—is taking place around the world. It raises ques-tions that governments must ponder. Will there be enough food to eat? Enough room to live? Enough oil and water and other natural resources to meet human ty's future needs? Will the environment be destroyed?



Online for more information about Census Bureau statistics, see: www.census.gov

An interesting profile of the American public can be sketched with statistics, as presented in Table 1-2, that answer the question "Who are we?" A portrait of national origins can also be drawn. The great successive waves of immigration placed a stamp of diversity on America; even third- and fourth-generation Americans may think of themselves as "Irish" or "Italian."



An earlier census survey indicated that the ancestry groups of Americans included the following: German, 23.3 percent; Irish, 15.6 percent; English, 13.1 percent; African American, 12 percent; Hispanie, 8.6 percent; Italian, 6.0 percent; French, 4.1 percent; Polish, 4.0 per-cent; Asian Americans, 2.9 percent; Dutch, 2.5 percent; Scottish, 2.2 percent; and Native American, 0.08 percent. The United States is also a nation of more than 87.4 million Protestants, 61.2 million Catholics, 5.5 million Muslims, 5.3 Eastern Orthodox church members, 4 million Jews, and 1.3 million Hindus.

KEY TERMS

uick Start for Studying

public opinion, p. 170 political opinion, p. 171 political socialization, p. 171 reference groups, p. 176 primary groups, p. 176 secondary groups, p. 176 exit polls, p. 178 universe, p. 179 random sample, p. 179 cluster sampling, p. 180 quota sample, p. 180 bandwagon effect, p. 181 political culture, p. 182

CHAPTER HIGHLIGHTS

- Public opinion is the expression of attitudes about government and politics. All governments are
- based, to some extent, on public opinion.

 + Political socialization is the process by which a person acquires a set of political attitudes and forms
- opinions about political and social issues.

 Many factors influence the opinions people hold. Among the most important are differences in social class, occupation, and income; religion, sex, race, and ethnic factors; sectional and geographic differences; and the views of reference groups. There are two kinds of reference groups: primary groups (such as friends, office associates, or a social club) and secondary groups (such as labor unions or fraternal, professional, or religious groups).
- + In addition, mass media-television, radio newspapers, the Internet, online computer service providers, and other media—have a major impact on public opinion.

 + The qualities of public opinion
- sity, and stability—may be measured by political polls.

 + Political polls, often useful as a guide to voter sentiment, are a standard part of political campaigns. sentiment, are a standard part of political campaigns. They measure opinion by taking a random sample of a larger population, or universe. Due to the mathematical law of probability, the results of a poll usually reflect the opinions of the larger group. Although generally reliable, polls are sometimes wrong and do not essarily predict the outcomes of elections.

 * In presidential elections, often only a little more
- than half the people of voting age—and sometimes less than half—bother to vote. In off-year elections for Congress, usually well under half of the voting-age
- Americans have not always sought to express their opinions or to bring about political change through lawful or peaceful means. If the political sys-tem fails to respond to the demands placed on it, or if participation is slow to bring about change, individuals or groups may vent their anger aga
- in violent ways.

 * Modern political candidates and leaders are behaviours for measuring and in highly attuned to techniques for measuring and influencing public opinion. Political polls, television commercials, Internet Web sites, and professional campaign

managers are all part of the efforts at mass persua employed today.

Public opinion in a democracy may be seen as a broad but flexible framework for policy making, setting certain limits within which government may act.

SUGGESTED WEB SITES

http://www.gallup.com

Since 1935 the Gallup Organization has conducted surveys to measure public opinion on various issues. Its polls cover five subject areas: Politics and Elections, Business, Social Issues and Policy, Managing, and

http://dailynews.yahoo.com/h/zo/nm/

Yahoo! Public Opinion Headlines
Yahoo! and Reuters news service list and provide the text of stories that report public opinion on topics ranging from politics to everyday life.

http://www.msnbc.com

Provides viewers with opinion articles and transcripts of programs, and allows visitors to the Web site to participate in MSNBC polls and to register their views on a Bulletin Board System (BBS) or through the

SUGGESTED READING

Bennett, Linda, and Bennett, Stephen. Living with Leviathan: Americans Coming to Terms with Big Government (University of Kansas Press, 1990) A detailed examination of Americans' changing attitudes toward the expanding role of government in social and economic life.

Bennett, Stephen, and Rademacher, Eric, eds. After the Boom: The Politics of Generation X (Rowman & Littlefield, 1997). A series of essays, including several by members of Generation X, examining the social and political thought and behavior of a younger segment of the population that will be

younger segment of the population that will be increasingly important in the coming years. Cantril, Albert H., and Cantril, Susan Davis. Reading Mixed Signals: Ambivalence in American Public Opinion About Government (Johns Hopkins University Press, 1999) An insightful study of the seemingly paradoxical attitudes toward govern-ment in the United States: Americans express dis-trust for government, and say that its size should be reduced, but when it comes to concrete issues such as the environment, care for the elderly and young, and health care, they believe that government should be doing more

			Projected	
1980	1990	1998	2010	2025
226.5	249.4	270.5	297.7	335
167	187	NA	NA	NA
60	62	NA	NA	NA
32	40.7	47.5	58.2	72.8
195	208.7	223	239.5	262.2
30	33	35.2	37	38
45	46	53	NA	NA
10	13.8	14.7	NA	NA

atic Government and a Changing Society 19



In addition, an annotated list of significant Web resources

appears at the end of every chapter.

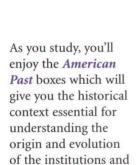
CHAPTER 6 PUBLIC OPINION

The political process, history, and the importance of participation ...

IT'S ALL HERE IN THE 2002 ELECTION UPDATE

You'll get inspired with the Making a Difference boxes which urge you to participate in your government. These insightful and motivating features provide real-world examples of how individual initiative can change the political process for the better.

Comparing Government boxes will help you understand and appreciate the American political system as they illustrate alternative approaches to common processes, institutions, and issues.



the controversies

we inherit today.

MAKING A DIFFERENCE

Start

Comparing Governments LIBEL: A TOWN CALLED "SUE"

In the political struggle over ratification of the Constitution, the Antifederalists argued that the document was incomplete without an enumeration of the rights of the people:

People, and very wisely too, like to be express and explicit about their essential rights, and not to be forced to claim them on the precarious . . . tenure of inferences and general principles . . . we discern certain rights, as the freedom of the press, and the trial by jury, which the people of England and of America of course believe to be sacred, and essential to their political happiness.

Perhaps it would be better to enumerate the particular essential rights the people are entitled to. . Freedom of the press is a fundamental right, and ought not to be restrained by any taxes, duties, or in any manner whatever. Why should not the people, in adopting a federal constitution, declare this.

-Richard Henry Lee, Letters from the Federal Farmer

The Federalists argued that the Constitution as drafted protected the rights of individuals, making a bill of rights

It has been several times truly remarked that bills of rights are, in their origin, stipulations between kings and their subjects, abridgments of prerogative in favor of privilege, reservations of rights not surrendered to the prince. . . . They have no application to constitutions. . . .

I go further, and affirm that bills of rights . . . are not only unnecessary in the proposed Constitution, but would even be dangerous. They would contain various exceptions to powers not granted; and, on this very account, would afford a colorable pretext to claim more than were granted. For why declare that things shall not be done which there is no power to do? Why, for instance, should it be said that the liberty of the press shall not be restrained, when no power is given by which restrictions may be imposed?

-Alexander Hamilton, The Federalist, No. 84

states that "Congress shall make no law" abridging First Amendment freedoms, the Supreme Court has interpreted this to mean that state and local authorities cannot do so, either.)

Yet the courts have frequently placed limits on speech. Several types of expression do not enjoy constitutional immunity from government regulation. These

sion, Supreme Court Justice Oliver Wendell Holmes, Jr., had established the classic clear and present danger test to define the point at which speech loses First Amendment protection:

The most stringent protection of free speech would not protect a man in falsely shouting fire in a beater and causing a panic.

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Study Guide 0-534-62451-0



Readings in American Government, Fourth Edition Edited by Mack C. Shelley, Jamie Swift, and Steffen W. Schmidt 0-534-59269-4

Critical Thinking and American Government, Second Edition

By Kent M. Brudney, John H. Carver, and Mark E. Weber 0-15-505848-7



9-11: The Giant Awakens By Jeremy Mayer 0-534-61659-3

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0-534-24728-8

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By Michael Corbett and Barbara Norrander 0-534-58785-2

Handbook of Selected Legislation and Other Documents

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There is, without doubt, no better experience than revising a college text in government and politics to remind one of the astonishing pace of change that takes place within the American political system.

This revised ninth edition 2002 election update of *Democracy Under Pressure* reflects that rapid pace of change both at home and abroad. Since the publication of the ninth edition two years ago, the nation has experienced the horrors of the 9/11 terrorist attacks on New York and Washington, the war in Afghanistan, the debate over Iraq, a decline in economic conditions, and a sharp drop in the stock market. All of these developments are discussed in this 2002 election update, along with other important changes in American politics and government.

The startling events that occurred in just two years affected the outcome of the congressional elections of 2002, in which control of the Senate and the House of Representatives were at stake. These midterm elections are reported and analyzed in this new edition.

Even before 9/11, the changes in American society and in the political landscape were remarkable. Since the publication of the eighth edition six years ago, America had moved into a new century, survived both the millennium and the impeachment and acquittal of President William Jefferson Clinton, elected a new president in the year 2000, only after a post-election trauma finally settled by the United States Supreme Court, and experienced something like a digital revolution, as the use of the Internet increased exponentially and cell phones, laptop computers, and e-mail became a normal part of the lives of millions of Americans.

The nation's political tides changed as well with the election of George W. Bush as president. The election of Bill Clinton in 1992 had marked an end to 12 years of Republican rule in the White House. At the time, it appeared that the election of a Democratic president and Congress also meant an end to divided government. Yet within two years, the Republicans had rebounded to capture control of both the House and Senate for the first time in 40 years. Then, in 2001, the switch of a single senator returned control of the Senate to the Democrats until the Republican victory in 2002.

By 1996, Clinton, although the Democratic heir to the New Deal of Franklin D. Roosevelt, had moved markedly toward the center. A little more than two months before the election, he signed into law the Republican bill that ended the largest federal welfare program, imposed a work requirement for recipients, and shifted responsibility for welfare to the states. Republicans argued that Clinton was trying to join the GOP.

Then in 1998, the Democrats, instead of losing House seats as would normally be the case in an offyear election, actually gained five seats, and suffered no net loss in the Senate. Not since 1934 and the administration of FDR had the president's party gained seats in a midterm election, a feat the GOP matched in 2002.

In the wake of the Republican losses, the Republican House speaker Newt Gingrich, who had been rebuked by his colleagues over ethical lapses, resigned as speaker and left Congress. Two years later came the extraordinarily close 2000 presidential election campaign as Vice President Al Gore and Governor George W. Bush of Texas battled for the undecided voters in a handful of key states.

The presidential race took place against a background of unprecedented prosperity, even as ordinary Americans, investing in much greater numbers in the stock market, wondered and worried whether the good times would continue to roll. They were concerned as well about the state of the nation's public schools, the high cost of prescription drugs for seniors, and the power of HMOs over the lives of millions of people.

All of these swift currents and shifts in political power are charted in this edition, which also includes a detailed case study of the presidential campaign, an analysis of significant trends in the 2000 elections, and a discussion of the turbulent aftermath, as Vice President Al Gore and George W. Bush battled for Florida's crucial 25 electoral votes—and the White House—a struggle finally brought to an end by the 5–4 decision of the Supreme Court.

As America moved into the 21st century, the world had changed as well. International terrorism posed a new danger to peace and security at home and abroad. A decade earlier, with the collapse of the Soviet Union and the Communist system, the danger of nuclear holocaust had receded. But the United States had been drawn into regional conflicts, from the Middle East to Bosnia and Kosovo. Nuclear proliferation also remained a danger to peace as more nations acquired weapons of mass destruction. NATO had engaged in its first military action when American and allied warplanes bombed Yugoslavia in 1999.

All of these issues, both domestic and international, are explored in this edition, including: the 2000 primary campaigns; the dramatic challenge to George W. Bush by Senator John McCain; the televised presidential debates and the use of television and the Internet in the campaigns; the role of professional campaign managers; and how "soft money," later banned by law, made a mockery of campaign finance laws.

With the publication of this ninth edition 2002 election update, it is more than three decades since *Democracy Under Pressure* first made its appearance. And what extraordinary years they have been: the end of the long war in Vietnam; the Watergate trauma and impeachment inquiry; the resignation and pardon of the

president of the United States; the energy crisis; the Carter years; the seizure of American hostages in Iran and in Lebanon; the Soviet invasion of Afghanistan and its withdrawal almost a decade later; the election and reelection of Ronald Reagan, a conservative Republican president pledged to increasing the nation's military strength while cutting a broad range of social programs; the Iran-contra affair; the first George Bush's one-term presidency; his triumph in the Persian Gulf War, forcing Irag's Saddam Hussein to withdraw from Kuwait; the failed coup attempt against Soviet president Mikhail S. Gorbachev, and, only four months later, Gorbachev's resignation and the end of the Soviet Union-and with it, the end of the Cold War; the rise of Boris N. Yeltsin and then Vladimir Putin as the leader of Russia; that country's first free presidential elections; the continuing search for peace in the Middle East; the assassination of Israel's prime minister, Yitzhak Rabin; in the United States, the advent of domestic terrorism in Oklahoma City; the Clinton scandals, the president's impeachment, trial, and acquittal; and the dramatic economic expansion and prosperity at home, followed by recession and economic difficulties, and the 9/11 terrorist attack on America.

The Ninth Edition, 2002 Election Update

This ninth edition election update of *Democracy Under Pressure* has been revised not only to reflect many of these kaleidoscopic events, but also to focus on the broader trends and on newer interpretations of the American political system.

As in the past, the making of public policy is discussed throughout the book (and particularly in Part Three, "The Policymakers"), and a section introducing the student to policy analysis is included in Chapter 1. This introduction to the policy process follows, in logical progression, the discussion of the concept of a political system.

The many new features, boxes, and Web sites incorporated in this edition, as well as the extensive additional resources available to instructors and students, are described elsewhere, in the special section at the beginning of the book. The Constitution is included, along with the Declaration of Independence, and two essays from *The Federalist*, as well as a list of the presidents of the United States and the votes they received.

Near the start of each subsequent chapter after the introductory one, we have included a key question designed to stimulate critical thinking on the part of the student. In addition, other basic questions about the workings of the American political system are posed near the beginning of each chapter. The book does not, in every case, provide ready answers to those questions, but it raises them for the student's consideration and, if desired, for classroom discussion.

Many new topics and events are also explored in this edition. We discuss and explain the recent series of Supreme Court decisions giving more power to the states within the federal system. Included as well are the historic 7–2 decision upholding the 1966 *Miranda* decision, and other significant Supreme Court decisions, such as the ruling that states may not ban partial-birth abortion, that the Boy Scouts of America have the constitutional right to bar gay members, and that the federal government may place computers and other instructional equipment in parochial schools. We have also expanded our discussion of capital punishment, and the growing reevaluation of the death penalty.

We have revised and expanded our discussion of the many barriers women still face in American society, and of the bias experienced by many minority groups, including African Americans, Hispanic Americans, Asian Americans, gays and lesbians, and disabled persons. We have covered as well the controversy over affirmative action and the plans inaugurated in Florida, Texas, and California to open the state university systems to more students.

Our chapter on public opinion includes a discussion of the controversy over exit polls, the increased percentage of Americans willing to vote for minority candidates for president, and the lack of knowledge of many voters about political matters. Our chapter on the media and politics includes a new discussion of the multibillion-dollar mergers among the media giants, and the potential impact on society. The chapter on political parties reflects how national conventions have to an even greater extent become scripted, controlled, made-for-television events now that the spring primaries in effect choose the nominees long before the conventions ratify them.

The examination of the 2000 presidential campaign and its dramatic aftermath includes extensive data on money and politics, the power of television commercials, and how and why major candidates now vie for time on television talk shows and nighttime comedy hours. The chapter on voting and elections includes new data on Supreme Court decisions affecting the blanket primary and gerrymandered congressional districts, the "gender gap," and new statistics on the correlation between demographics and voting choices.

Our review of Congress has been updated to reflect the changes discussed above, and the growing importance of "holds" placed on legislation or other action in the Senate. The chapter on the presidency includes a detailed case study of President Clinton's difficulties, including the Monica Lewinsky and Paula Jones scandals, the investigation by independent counsel Kenneth W. Starr, and the impeachment drama.

Finally, in the complete, hardcover version of the book, we have revised and expanded the discussion of foreign policy to examine the importance of terrorism, globalization, the role of the United Nations in its peacekeeping missions, the expansion of NATO, the bombing of Kosovo, the renewed violence in the Middle East, and global issues including overpopulation, famine, disease. and ethnic and religious conflicts.

Goals of the Book

As the title of this book indicates, the authors recognize that the American political system is under pressure. that its ability to cope with the problems facing the nation is being questioned by many individuals and groups in our society. In such a time, we continue to believe it useful to provide a book that focuses not only on the very considerable achievements of the American system of government but on its shortcomings tooa book that focuses on the reality as well as the rhetoric of American democracy. We have tried to do this in a textbook designed for today.

In writing this book, we set three goals. First, we believe that a textbook should be lively and stimulating to read. So we have attempted to provide a text that is as clear and readable as possible without sacrificing scholarship or content.

Second, although we present American governmental and political institutions in their historical context, we have sought to relate politics and government to contemporary issues. At the same time, we have attempted to relate those contemporary issues to larger concepts.

Third, as we have indicated, we have attempted to focus on the gaps, where they exist, between American myths and American realities and the political system's promise and its performance. Students and other citizens may not be disillusioned with the principles of American democracy, but they do ask that the political system practice those principles.

In examining the structure and processes of American politics and government, we have tried to ask: How is the political system supposed to work? How does it actually work? What might be done to make it work better? At the same time, the book emphasizes the importance of each individual citizen for the quality of American society and American government. It emphasizes how one person can make a difference. It provides examples of participation in the political process by students and other citizens. It examines the responsibilities as well as the rights of citizens in a democracy.

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Milton C. Cummings, Jr. David Wise

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