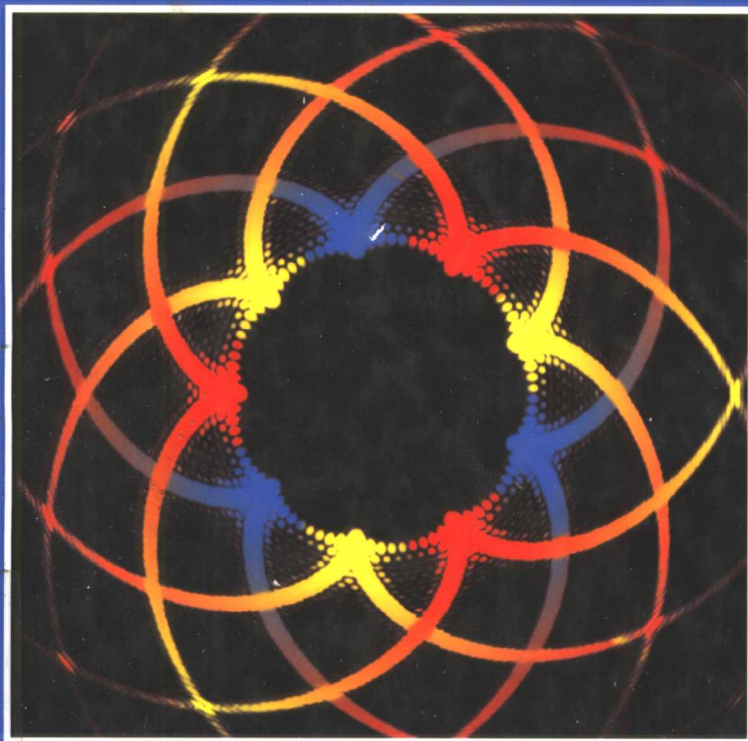


# Characterization of Nanophase Materials

Edited by Zhong Lin Wang



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Zhong Lin Wang

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# List of Symbols and Abbreviations

$a$	lattice parameter
$A$	area of an electrode
$A_d$	area on the CRT display
$A(\mathbf{K})$	aperture function
$A(k)$	backscattering amplitude
$A_s$	area scanned on the sample
$B$	magnetic field
$c$	lattice parameter
$c$	spring constant of the cantilever
$c$	elastic constant
$C_0$	capacitance of the empty cell used for transfer function measurement, $C_0 = \epsilon_0 A/d$ .
$C_{1/2}$	capacities
$C_{dl}$	double-layer capacitance
$C_f$	sensitivity constant derived from the Sauerbrey relationship
$c_j$	concentration of species $j$
$c_j^*$	bulk concentration of species $j$
$C_s$	spherical aberration coefficient
$d$	distance
$d$	resonator thickness
$d$	separation between two parallel electrode in an impedance measurement
$d$	thickness
$D$	Debye-Waller factor
$D_j$	diffusion coefficient of species $j$
$D(\mathbf{K})$	transmission function of the detector
$D_Q$	dissipation coefficient corresponding to the energy losses during oscillation
$E$	photoelectron energy
$E$	polarization of the emitted light
$E$	voltage or electric potential
$\Delta E$	Stark shift
$E_0$	accelerating voltage
$E_o$	threshold energy
$E_{1/2}$	half-wave potential (in voltammetry)
$E_b$	biexciton binding energy
$E_i$	initial potential
$E_p$	peak potential
$\Delta E_p$	$ E_p^A - E_p^C $ in CV
$E_{p/2}$	potential where $I = I_p/2$ in LSV or CV
$F$	electric field
$F$	faraday constant, $F = 96,485$ C/equiv
$F$	net force
$\Delta f$	lens defocus
$\Delta f$	measured frequency change

## XII *List of Symbols and Abbreviations*

$f_0$	frequency of a quartz resonator prior to a mass change
$F'(d)$	force gradient
$F(\vec{k})$	structure amplitude
$f(s)$	scattering factor
$\text{FT}[V_p(\mathbf{b})]$	Fourier transform of the crystal potential
$F_z$	attractive force
$\mathbf{G}$	reciprocal lattice vector
$h$	piezoelectric stress constant
$H$	total Hamiltonian
$I$	transmitted intensity
$I$	tunneling current
$I_o$	incident beam intensity
$I_0(\mathbf{x})$	intensity distribution of the incident probe
$I_0(\Delta)$	integrated intensity of the low-loss region including the zero-loss peak for an energy window $\Delta$
$i_f$	faraday current
$I_N(s)$	power scattered per unit solid angle in the direction defined by $s$
$I_p$	peak current
$i_r$	current during reversal step
$I_{\text{SE}}$	total integrated SE intensity
$I(\mathbf{X})$	image intensity
$j$	coordination shell index
$j$	imaginary unit, $j = (-1)^{1/2}$
$\mathbf{J}$	net electronic angular momentum
$J_0$	exchange current density
$J_{ij}$	exchange energy constants
$J_n$	Bessel functions of order $n$ .
$k$	electron wave-vector
$k$	spring constant
$K$	anisotropy energy
$\vec{K}$	wavevector of the scattered wave
$K_0$	cut-off wave-vector
$\vec{K}_0$	wavevector of the incident wave
$k^o$	standard heterogeneous rate constant
$k_F$	Fermi wave vector
$l$	length
$L$	average escape-depth
$\mathbf{L}$	total orbital angular momentum
$L_d$	thickness of a Nernst diffusion layer
$m$	electron mass
$M$	magnetization
$M$	magnification
$\Delta m$	mass change
$M_r(\omega)$	modulus function, $M_r(\omega) = [\varepsilon_r(\omega)]^{-1}$
$MW$	apparent molar mass ( $\text{g mol}^{-1}$ )
$M_{\mu\nu}$	tunneling matrix element
$n$	density
$n$	number of electrons involved in an electrochemical process
$N$	number of identical atoms in the same coordination shell
$p$	momentum

$P(\mathbf{b}, \Delta z)$	propagation function
$P_{l,m}$	associated Legendre function
$P_j$	depolarization factors for the three axes A, B, C of the nanorod with $A > B = C$
$Q$	charge
$Q(\mathbf{b}, z + \Delta z)$	phase grating function of the slice
$Q_{dl}$	charge due to double layer charging
$Q(\mathbf{K})$	Fourier transform of the object transmission function
$q(\mathbf{x})$	transmission function of the object
$r$	distance between absorbing and neighbor atoms
$R$	gas constant
$R$	radius
$R$	resistance
$R_b$	bulk resistance of a electrolyte
$R_{ct}$	resistance to charge transfer at electrolyte-electrode interfaces
$r_m$	radius
$r_{mn}$	distance between atom $m$ and atom $n$
$R_{mt}$	steady state mass-transfer resistance
$\mathbf{S}$	total spin angular momentum
$S_o^2(k)$	amplitude reduction factor due to many-body effects
$\mathbf{S}_i$	spin operator of $i^{\text{th}}$ electron
$t$	time
$T$	absolute temperature
$T$	material thickness
$T_1$	energy relaxation
$T_2$	dephasing time
$T_c$	Curie temperature
$T(\mathbf{K})$	transfer function of the microscope
$t_{\text{obj}}(x, y)$	inverse Fourier transform of $T(\mathbf{K})$
$I(\mathbf{x})$	amplitude distribution of the incident probe
$\mathbf{u}$	reciprocal space vector
$U$	tunneling voltage
$U_0$	acceleration voltage
$v$	linear potential scan rate
$v$	electron velocity
$V$	volume
$V_m$	molar volume
$V_p(\mathbf{b})$	hickness-projected potential of the crystal
$W$	distance between tip and sample
$\mathbf{X}$	beam position
$\Delta x$	rms atomic displacement
$x_0$	impact parameter
$Y(\omega)$	$Y(\omega) = [Z(\omega)]^{-1}$ , admittance function
$\Delta z$	displacement of the cantilever and piezo
$z_i$	charge carried by species $i$ signed units of electronic charge
$Z_w$	Warburg impedance
$Z(\omega)$	impedance function
$\alpha, \beta$	angle
$\alpha, \beta$	parameters
$\alpha_a, \alpha_c$	anodic and cathodic charge transfer coefficient

# XIV *List of Symbols and Abbreviations*

$\beta$	asymmetry parameter for a one-electron process
$\chi(k)$	EXAFS oscillations
$\chi(\mathbf{K})$	aberration function of the objective lens
$\chi(T)$	magnetic susceptibility
$\chi(\sigma t)$	tabulated number
$\Delta$	defocus value
$\delta$	temporal phase angle between the charging current and the total current
$\epsilon_0$	absolute permittivity (or the permittivity of free space)
$\epsilon_m$	dielectric constant
$\epsilon_Q$	dielectric constant of quartz
$\epsilon_r$	relative permittivity of a material
$\epsilon_r'$	dielectric constant
$\epsilon(\omega)$	dielectric function
$\phi$	tilt angle between $\mu$ and sample plane
$\phi$	total photoelectron phase shift
$\Phi$	workfunction
$\phi(k)$	total phase shift
$\phi(r)$	electronic ground state wave function
$\phi(\mathbf{x})$	projected specimen potential along the incident beam direction
$\lambda$	wavelength
$\lambda(k)$	photoelectron mean free path
$\mu$	absorption coefficient
$\mu$	paramagnetic atom
$\mu$	transition dipole vector
$\mu_o$	atomic absorption coefficient
$\mu_B$	Bohr magneton
$\mu(E)$	absorption coefficient associated with a particular edge
$\Delta\mu(E)$	change in the atomic absorption across the edge
$\mu_o(E)$	absorption coefficient of an isolated gold atom
$\mu_{Exc.}$	exciton dipole moment
$\mu_Q$	shear modulus of AT-cut quartz
$\mu_S$	net surface dipole moment
$v_{tr}$	transverse velocity of sound in AT-cut quartz ( $3.34 \times 10^4 \text{ m s}^{-1}$ )
$\theta$	angle between emission polarization and projection of $\mu$ onto the sample plane
$\theta$	scattering angle
$\theta$	temporal phase angle
$\theta_B$	Bragg diffraction angle
$\rho_Q$	density of quartz
$\rho_S$	density of states of sample
$\rho_S(z, E)$	local density of states of the sample
$\rho_T$	density of states of tip
$\sigma$	atomic scattering cross-section
$\sigma$	interaction constant
$\sigma$	total Debye-Waller factor (including static and dynamic contributions)
$\sigma_{i,el}$	ionic conductivity ( $\Omega^{-1}\text{cm}^{-1}$ ) of an electrolyte
$\sigma_A(\Delta, \beta)$	energy and angular integrated ionization cross-section
$\sigma_{ext}$	total extinction coefficient
$\tau$	forward step duration time in a double-step experiment



$\tau$	relaxation time
$\omega$	angular frequency
$\Omega$	atomic volume
$\Psi$	total electronic wave function
$\Psi(\mathbf{K})$	exit wave function
$\Psi(\mathbf{K}, \mathbf{X})$	amplitude function
$\psi(\mathbf{u})$	Fourier transform of the wave
$\Psi(x, y)$	transmitted wave function
ADF	annular dark-field
AE	Auger electron
AFM	atomic force microscopy
bcc	body-centered cubic
BF	bright-field
CA	chronoamperometry
CB	conduction band
CBED	convergent beam electron-diffraction
CCD	charge coupled device
CCM	constant current mode
CE	counter electrode
CEND	coherent electron nanodiffraction
CHA	concentric hemispherical analyzer
CHM	constant height mode
CID	chemical interface damping
C.L	cathodoluminescence
CMA	cylindrical mirror analyzer
CP	cross-polarization
CPR	current pulse relaxation
CRT	cathode-ray-tube
CTAB	cetyltrimethylammonium bromide
CTAC	cetyltrimethylammonium chloride
CTF	contrast transfer function
CV	cyclic voltammetry
DAS	dynamic-angle spinning
dec	decahedron
DiI	1,1'-dioctadecyl-3,3,3',3'-tetramethylindocarbocyanine
DFA	Debye function analysis
DOR	double-rotation
DSTEM	dedicated scanning transmission electron microscopy
EDS	energy dispersive x-ray spectroscopy
EELS	energy-loss spectroscopy
EFM	electric force microscopy
EF-TEM	energy-filtered transmission electron microscopy
ELD	electroless deposition
ELNES	energy-loss near edge structure
EQCM	electrochemical quartz crystal microbalance
EXAFS	extended x-ray absorption fine structure
fcc	face-centered-cubic
FEG	field-emission gun
FE-SAM	field emission scanning Auger microscope

FE-TEM	field-emission transmission electron microscopy
FFM	frictional force microscopy
FLDOS	local density of states near the Fermi energy
FM	frequency modulation
FMM	force modulation microscopy
FWHM	full-width-at-half-maximum
GITT	galvanostatic intermittent titration technique
GMR	giant magnetoresistance
HAADF	high-angle annular dark-field
HOMO	highest occupied molecular orbital
HOPG	highly oriented pyrolytic graphite
HRTEM	high resolution transmission electron microscopy
ico	icosahedron
IR	infrared spectroscopy
IS	impedance spectroscopy
LABF	large angle bright-field
LB	Langmuir-Blodgett
LNLS	Brazilian National Synchrotron Laboratory
LO	longitudinal-optical
LSV	linear sweep voltammetry
LTS	local tunneling spectroscopy
LT-STM	low-temperature scanning tunneling microscopy
LUMO	lowest unoccupied molecular orbital
MAS	magic angle spinning
MECS	multiple expansion cluster source
MFM	magnetic force microscopy
MIDAS	microscope for imaging, diffraction, and analysis of surfaces
MIEC	mixed ionic-electronic conductor
MTP	multiply-twinned particles
NCA	nanocrystal arrays
NCS	nanocrystal superlattices
NMR	nuclear magnetic resonance
NQ	naphthoquinone
NSOM	near-field scanning optical microscopy
OCV	open-circuit voltage
OD	optical density
ODPA	octadecylphosphonate
PCTF	phase-contrast transfer function
PEELS	parallel electron energy-loss spectroscopy
PL	photoluminescence
POA	phase object approximation
PS	polystyrene
PSD	position-sensitive detector
PSP	poly(styrenephosphonate diethyl ester)
PVK	polyvinylcarbazole
P <sup>+</sup> VP	poly(2-vinylpyridine)
QCM	quartz crystal microbalance
QCNB	quartz crystal nanobalance
QDQW	quantum-dot quantum-well
QDs	quantum dots

RE	reference electrode
REDOR	rotational-echo double resonance
ROMP	ring-opening metathesis polymerization
SA	self-assembly
SAM	scanning Auger microscopy
SAMs	self-assembled monolayers
SAXS	small-angle elastic x-ray scattering
SCAM	scanning capacitance microscopy
SE	secondary electron
SEMPA	scanning electron microscopy with polarization analysis
SEDOR	spin-echo double resonance
SES	lower case Secondary electron spectroscopy
SET	single-electron-tunneling
SFM	scanning force microscopy
SNOM	scanning near-field optical microscopy
SP	single-pulse
SPM	scanning probe microscopes
SPs	surface plasmons
STEM	scanning transmission electron microscopy
STM	scanning tunneling microscopy
STS	scanning tunneling spectroscopy
T3	2,5'''-bis(acetylthio)-5,2',5',2''-terthienyl
TAD	thin annular detector
TADBF	thin annular detector for bright-field
TADDF	thin annular detector for dark-field
TEM	transmission electron microscopy
TDS	thermal diffuse scattering
TO	truncated octahedral
TP	thiophenol
UHV	ultrahigh vacuum
VB	valence band
VOA	virtual objective aperture
WE	working electrode
WPOA	weak scattering object approximation
XANES	x-ray absorption near edge structure
XAS	x-ray absorption spectroscopy
XEDS	x-ray energy-dispersive spectroscopy
XPS	x-ray photoelectron spectroscopy
XRD	x-ray diffraction

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# 1 Nanomaterials for Nanoscience and Nanotechnology

*Zhong Lin Wang*

Technology in the twenty first century requires the miniaturization of devices into nanometer sizes while their ultimate performance is dramatically enhanced. This raises many issues regarding to new materials for achieving specific functionality and selectivity. Nanophase and nanostructured materials, a new branch of materials research, are attracting a great deal of attention because of their potential applications in areas such as electronics [1], optics [2], catalysis [3], ceramics [4], magnetic data storage [5, 6], and nanocomposites. The unique properties and the improved performances of nanomaterials are determined by their sizes, surface structures and inter-particle interactions. The role played by particle size is comparable, in some cases, to the particle chemical composition, adding another flexible parameter for designing and controlling their behavior. To fully understand the impacts of nanomaterials in nanoscience and nanotechnology and answer the question of why nanomaterials is so special, this chapter reviews some of the unique properties of nanomaterials, aiming at elucidating their distinct characteristics.

## 1.1 Why nanomaterials?

Nanomaterials are classified into nanostructured materials and nanophase/nanoparticle materials. The former refer to condensed bulk materials that are made of grains with grain sizes in the nanometer size range, while the latter are usually the dispersive nanoparticles. The nanometer size here covers a wide range which can be as large as 100–200 nm. To distinguish nanomaterials from bulk, it is vitally important to demonstrate the unique properties of nanomaterials and their prospective impacts in science and technology.

### 1.1.1 Transition from fundamental elements to solid states

Nanomaterials are a bridge that links single elements with single crystalline bulk structures. Quantum mechanics has successfully described the electronic structures of single elements and single crystalline bulks. The well established bonding, such as ionic, covalent, metallic and secondary, are the basis of solid state structure. The theory for transition in energy levels from discrete for fundamental elements to continuous bands for bulk is the basis of many electronic properties. This is an outstanding question in basic science. Thus, a thorough understanding on the structure of nanocrystals can provide deep insight in the structural evolution from single atoms to crystalline solids.



Nucleation and growth are two important processes in synthesizing thin solid films. Nucleation is a process in which an aggregation of atoms is formed, and is the first step of phase transformation. The growth of nuclei results in the formation of large crystalline particles. Therefore, study of nanocrystals and its size-dependent structures and properties is a key in understanding the nucleation and growth of crystals.

### 1.1.2 Quantum confinement

A specific parameter introduced by nanomaterials is the surface/interface-to-volume ratio. A high percentage of surface atoms introduces many size-dependent phenomena. The finite size of the particle confines the spatial distribution of the electrons, leading to the quantized energy levels due to size effect. This quantum confinement has applications in semiconductors, optoelectronics and non-linear optics. Nanocrystals provide an ideal system for understanding quantum effects in a nanostructured system, which could lead to major discoveries in solid state physics.

The spherical-like shape of nanocrystals produces surface stress (positive or negative), resulting in lattice relaxation (expansion or contraction) and change in lattice constant [7]. It is known that the electron energy band structure and bandgap are sensitive to lattice constant. The lattice relaxation introduced by nanocrystal size could affect its electronic properties.

### 1.1.3 Size and shape dependent catalytic properties

The most important application of nanocrystals has been in catalysis. A larger percentage of surface atoms greatly increases surface activities. The unique surface structure, electronic states and largely exposed surface area are required for stimulating and promoting chemical reactions. The size-dependent catalytic properties of nanocrystals have been widely studied, while investigations on the shape (facet)-dependent catalytic behavior are cumbersome. The recent success in synthesizing shape-controlled nanocrystals, such as the ones dominated by {100}, {111} [8] and even {110} facets [9], is a step forward in this field.

### 1.1.4 Novel mechanical properties

It is known that mechanical properties of a solid depend strongly on the density of dislocations, interface-to-volume ratio and grain size. An enhancement in damping capacity of a nanostructured solid may be associated with grain-boundary sliding [10] or with energy dissipation mechanism localized at interfaces [11]. A decrease in grain size significantly affects the yield strength and hardness [12]. The grain boundary structure, boundary angle, boundary sliding and movement of dislocations are important factors that determine the mechanical properties of the nanostructured materials. One of the most important applications of nanostructured materials is in superplasticity, the capability of a polycrystalline material to undergo extensive tensile deformation without necking or fracture. Grain boundary diffusion and sliding are the two key requirements for superplasticity.