WATER RESOURCES ASSESSMENT—

METHODOLOGY & TECHNOLOGY SOURCEBOOK

by

LARRY W. CANTER

WATER RESOURCES ASSESSMENT—

METHODOLOGY & TECHNOLOGY SOURCEBOOK

by

LARRY W. CANTER

Director and Professor School of Civil Engineering and Environmental Science University of Oklahoma Norman, Oklahoma



PREFACE

This book summarizes the work performed under Purchase Order No. DACW39-78-M1603 titled, "Literature Review on Methods of Environmental Impact Assessments," dated February, 1978, between the U.S. Army Engineer Waterways Experiment Station (WES) and the author. The research was sponsored by the Office, Chief of Engineers, U.S. Army, Washington, D.C., and directed by the Environmental Laboratory, WES. The objective was to prepare a comprehensive review and evaluation of methodologies and technologies that are used directly or that have potential application to environmental impact assessment and/or impact assessment and alternative evaluation studies for water resources programs and projects.

The literature was reviewed in accordance with six time periods: 1960-70, 1971-73, 1974, 1975, 1976, and 1977-78. The 1960-70 period encompasses pre-NEPA literature, while the latter five cover the period since NEPA passage. The latter five periods were chosen so as to limit the reviewed references to no more than 60 in a period. Each reviewed reference was evaluated relative to 12 criteria: interdisciplinary team, assessment variables, baseline studies, impact identification, critical impacts, importance weighting, scaling or ranking, impact summarization, documentation, public participation, and conflict management and resolution.

A total of 254 references were examined, with 176 meeting one criterion or more. The first section of the book summarizes the references according to the 12 criteria. Salient features of references having potential useable information for water resources assessments are then summarized by time period. The 176 references meeting one or more of the 12 entrance criteria are summarized in Appendices A through F according to time period. For example, Appendix A contains information on the 16 pertinent references from the 1960-70 period, and Appendix B addresses the 37 germain references from the 1971-73 period. Abstracts of the 78 references not meeting any entrance criteria are in Appendix It should be noted that just because a reference did not meet any criterion does not mean it has no value in environ-It may simply reflect non-orientamental impact assessment. tion to water resources projects.

This book is intended for use by professionals working on environmental impact studies. Even though the orientation is to water resources, the book is of general value due to the large number of general methodologies and technologies described. The book could also be used in upper division or graduate level courses dealing with environmental impact assessments/statements.

The author expresses his gratitude to the College of Engineering, University of Oklahoma, for its support during the preparation of this book. Special acknowledgement is given to Ms. Sue Richardson and Dr. Stan West of the Environmental Resources Division, WES, for their professional advice in conjunction with the literature survey. In addition, the author acknowledges Mrs. Edna Rothschild, Mrs. Kristi Smith, Ms. Susan Wilkerson and Mrs. Carol Holloway for their typing assistance in the preparation of this manuscript. Special thanks are extended to Ms. Jerry Lawrence for her efforts in the preparation of the author and subject indexes. Finally, the author thanks his wife and three sons for their encouragement in the process of developing this book.

Larry W. Canter



LARRY W. CANTER, P.E., is Director and Professor, School of Civil Engineering and Environmental Science, University of Oklahoma, Norman. Dr. Canter received his PhD in Environmental Health Engineering from the University of Texas, MS in Sanitary Engineering from the University of Illinois, and BE in Civil Engineering from Vanderbilt University. Before

joining the faculty of the University of Oklahoma in 1969, he was on the faculty of Tulane University and was a sanitary engineer in the U.S. Public Health Service.

Dr. Canter has published several books, including Handbook of Variables for Environmental Impact Assessment (Ann Arbor Science Publishers, 1979), and is the author of numerous papers, research reports and chapters.

His research includes environmental impact assessment, groundwater pollution control, and solid hazardous waste management. Dr. Canter has been project director or co-director of some 20 externally sponsored research projects at the University of Oklahoma, and conducts courses on environmental impact assessment.

LIST OF TABLES

S	-	1	Criteria for Inclusion of Methodology/Technology (M/T)	4
S	-	2	Considerations in Evaluating the Salient Feature(s) of Methodology/Technology (M/T)	5
S	-	3	Summary of References Reviewed in this Study	5
s	-	4	Summary of Results Relative to 12 Entrance Criteria	6
S	-	5	Methodologies/Technologies Containing Information on Interdisciplinary Team	8
s	-	6	Methodologies/Technologies Containing Information on Assessment Variables	8
S	-	7	Methodologies/Technologies Containing Information on Field Studies	9
s	-	8	Methodologies/Technologies Containing Information on Impact Identification	10
S	-	9	Methodologies/Technologies Containing Information on Prediction of Changes	11
s	-	10	Methodologies/Technologies Containing Information on Critical Impacts	11
S	-	11	Methodologies/Technologies Containing Information on Importance Weights	12
S	-	12	Methodologies/Technologies Containing Information on Scaling or Ranking Procedures	13
s	_	13	Methodologies/Technologies Containing Information on Impact Summarization and Assessment	14

S	-	14	Methodologies/Technologies Containing Information on Documentation	15
s	-	15	Methodologies/Technologies Containing Information on Public Participation	16
S	-	16	Methodologies/Technologies Containing Information on Conflict Management and Resolution	16
S	-	17	Methodologies/Technologies with Salient Features Related to General Environmental Impact Assessment Concerns	18
S	-	18	Methodologies/Technologies with Salient Features Related to Assessment Variables	22
S	-	19	Methodologies/Technologies with Salient Features Related to Environmental Indices and Functional Curves	25
S	-	20	Methodologies/Technologies with Salient Features Related to Impact Prediction for the Physical-Chemical Environment	27
S	-	21	Methodologies/Technologies with Salient Features Related to Impact Prediction for the Biological Environment	30
S	-	22	Methodologies/Technologies with Salient Features Related to Aesthetics	33
S	-	23	Methodologies/Technologies with Salient Features Related to Impact Prediction for the Socio-Economic Environment	34
S	-	24	Methodologies/Technologies with Salient Features Related to Interaction Matrices	37
s	-	25	Methodologies/Technologies with Salient Features Related to Descriptive Checklists	40
s	-	26	Methodologies/Technologies with Salient Features Related to Scaling and Ranking, Weighting, and Associated Weighting- Scaling and Weighting-Ranking Checklists	42
s	-	27	Methodologies/Technologies with Salient Features Related to Public Participation and Conflict Resolution	50

1	Review Form for Potential Methodology/Technology	57
2	Entrance Criteria for Potential Methodology/Technology	58
3	Components of WRAM	60
4	Considerations in Identifying Advantages or Limitations of Salient Feature(s) of Methodology/Technology	61
5	List of Methodologies and Technologies Reviewed from the 1960-70 Period	62
6	Summary of 1960-70 Methodologies and Technologies Relative to Entrance Criteria	64
7	Salient Features of 1960-70 Methodologies/Technologies	65
8	Summary of Conclusions Regarding Pertinent References from 1960-70 Period	67
9	List of Methodologies and Technologies Reviewed from the 1971-13 Period	69
10	Summary of 1971-73 Methodologies and Technologies Relative to Entrance Criteria	75
11	Salient Features of 1971-73 Methodologies/ Technologies	77
12	Summary of Conclusions Regarding Pertient References from 1971-73 Period	81
13	Two Examples of Range Approach for Scaling Used in Dee, et al. (1973)	82
14	Concept of an Environmental Baseline Matrix as Described in Fischer and Davies (1973)	85
15	List of Methodologies and Technologies Reviewed from the 1974 Period	90

16	Summary of 1974 Methodologies/ Technologies Relative to Entrance Criteria	94
17	Salient Features of 1974 Methodologies/ Technologies	95
18	Summary of Conclusions Regarding Pertient References from 1974 Period	96
19	Comparison of Numerical and Subjective Assessment MethodsAfter Battelle-Pacific Northwest Laboratories (1974)	98
20	List of Methodològies and Technologies Reviewed from the 1975 Period	103
21	Summary of 1975 Methodologies/ Technologies Relative to Entrance Criteria	108
22	Salient Features of 1975 Methodologies/Technologies	109
23	Summary of Conclusions Regarding Pertinent References from 1975 Period	111
24	Various "Publics" Using Different Media (After Bishop, 1975)	112
25	Structure of Social Well-Being Account (After Fitzsimmons, Stuart, and Wolff, 1975)	114
26	Type of Forecast (After Mitchell, et al., , 1975)	116
27	Areas of Analysis (After Mitchell, et al., 1975)	117
28	Qualities of Forecasts (After Mitchel, et al., 1975)	118
29	Resources Needed to Use the Techniques (After Mitchell, et al., 1975)	119
30	Environmental Attribute Listing (After U.S. Department of the Army, 1975)	127

31	Components and Subcomponents of the Environment (After Voorhees and Associates, 1975)	128
32	List of Methodologies and Technologies Reviewed from the 1976 Period	130
33	Summary of 1976 Methodologies/Technologies Relative to Entrance Criteria	137
34	Salient Features of 1976 Methodologies/ Technologies	138
35	Summary of Conclusions Regarding Pertinent References from the 1976 Period	142
36	Environmental Attribute Listing (After Jain, Urban, and Cerchione, 1976)	148
37	Comparison of Atmospheric Dispersion Models (After Keyes, 1976)	151
38	Assessment of Water Quality Models (After Keyes, 1976)	153
39	Urban Runoff Quality Models (For Estimating Discharges from Nonpoint Sources) (After Keyes, 1976)	154
40	Freshwater Stream (After Lower Mississippi Valley Division, 1976)	156
41	Freshwater Lake (After Lower Mississippi Valley Division, 1976)	157
42	Bottomland Hardwood Forest (After Lower Mississippi Valley Division, 1976)	158
43	Upland Hardwood Forest (After Lower Mississippi Valley Division, 1976)	159
44	Freshwater River Swamp (After Lower Mississippi Valley Division, 1976)	160
45	Freshwater Non-River Swamp (After Lower Mississippi Valley Division, 1976)	161
46	Source-Pollutant Matrix (After McElroy, et al., 1976)	162

47	Example Comparison Matrix (After Ross, 1976)	165
48	Permuted Example Comparison Matrix (After Ross, 1976)	165
49	Permuted Example Comparison Matrix (After Ross, 1976) (Inconsistent)	167
50	The Calculation of T (After Ross, 1976)	169
51	Permuted Example Group Comparison Matrix (n=100) (After Ross, 1976)	169
52	Suggested Impact Measures (After Schaenman, 1976)	170
53	List of Methodologies and Technologies Reviewed from the 1977-78 Period	185
54	Summary of 1977-78 Methodologies/ Technologies Relative to Entrance Criteria	191
55	Salient Features of 1977-78 Methodologies/ Technologies	192
56	Summary of Conclusions Regarding Pertinent References from the 1977-78 Period	195
57	List of Components, Categories, and Factors for the Environmental Quality Account (After Duke et al., 1977)	201
58	Alternative Evaluation Frameworks for Comparing Nón-Market Values (After Finsterbusch, 1977)	209
в -	1 Battelle Environmental Evaluation System for Water Quality Management	280
В -	Possible Quantitative Measures of Values Related to Quality of Life	293
в -	3 Steps in Making an Environmental Impact Statement Using Energy Diagrams	298

D - 1	on Water Quality	339
D - 2	Water Pollution from Construction ActivitiesCause/Effect Matrix	341
D - 3	Representative Army Actions Which May Have A Significant Environmental Impact	373
D - 4	Key Structural Variables in Human Community	375

LIST OF FIGURES

1	Procedure for Literature Review	56
2	Community Factor Profile (After Bishop et al., 1970)	·66
3	Battelle Aquatic Species and Population Assessments Tree (After Dee, et al., 1973)	83
4	Concept of Value Function Relationship Used in Raines (1972)	88
5	Steps in the KSIM Procedure (After Kruzic, 1974)	99
6	Basic Processes of Public Involvement and their Sequential Relationship to One Another (After Clark, Hendee, and Stankey, 1976)	143
7	Flow Diagram Focusing on Codinvolve Analysis (After Clark, Hendee and Stankey, 1976)	143
8	Elements of a Comprehensive Technology Assessment (After Coates, 1976a)	144
9	Nanaimo Component Interaction Matrix (After Ross, 1976)	164
10	Nanaimo Disruption Matrices (After Ross, 1976)	166
11	Structure of the Environmental Quality Account (After Canter and Hill, 1977)	197
12	Steps in Economic/Demographic Assessment Process (After Chalmers and Anderson, 1977)	199
13	Flow Diagram of Environmental Quality Assessment Methodology (After Duke, et al., 1977)	200

14	Environmental Potential (After	
	Duke, et al., 1977)	· ²⁰⁴
15	Beneficial Project Effect (After	
	Duke, et al., 1977)	204
16	Adverse Project Effect (After	
	Duke, et al., 1977)	204
17	Evaluation Guideline (After	
	Duke, et al., 1977)	204
18	Regional Output Disaggregation	
	(After Dwyer, Hatmaker, and Hewings, 1978)	205
		203
19	Regional Development Account (After Dwyer, Hatmaker, and Hewings,	
	1978)	206
20	Relationship Between ROD and WRAM	
	Models (After Dwyer, Hatmaker, and	
	Hewings, 1978)	207
21	Structure of the Real Income	
	Distribution Category (After	
	Guseman' and Dietrich, 1978)	213
22	Structure of the Life, Health and	
	Safety Category (After Guseman	010
	and Dietrich, 1978)	213
23	Structure of the Category of Educational,	
	Cultural and Recreational Opportunities and Other Community Services (After	
	Guseman and Dietrich, 1978)	213
٠,		
24	Structure of the Emergency Preparedness Category (After Guseman and Dietrich, 1978)	214
		447
25	Structure of the Community Cohesion Category (After Guseman and Dietrich, 1978)	214
		414
26	Structure of the Other Population Characteristics Category (After	
	Guseman and Dietrich, 1978)	214
	·	214
C -	1 Matrix for Environmental Impact	216

D - 1	Process of Developing Social Indicators	375
F - 1	An Example of a Network Diagram for Analyzing Probable Environmental Impacts	m 463

CONTENTS

Summary and Conclusions	
Report Organization	
Study Findings	1
General Environmental Impact	
Assessment Concerns	13
Assessment Variables, Environmental	
Indices, and Functional Curves	2
Impact Prediction for the	
Physical-Chemical, Biological,	
Aesthetic and Socio-Economic Environments	20
Interaction Matrices, Descriptive	
Checklists, Scaling and Ranking	
Checklists and Weighting-Scaling	
and Weighting-Ranking Checklists	3.5
Public Participation and Conflict	
Resolution	48
Conclusions	5:
Introduction	5
Description of Study	5.
Results of 1960-70 Period	5
Results of 1971-73 Period	69
Results of 1974 Period	89
Results of 1975 Period	10:
Results of 1976 Period	129
Results of 1977-78 Period	184
Composite List of References	220

Appendix A Information on 16 References from 1960-70 Period	247
Appendix B Information on 34 References from 1971-73 Period	265
Appendix C Information on 16 References from 1974 Period	311
Appendix D Information on 27 References from 1975 Period	335
Appendix E Information on 42 References from 1976 Period	377
Appendix F Information on 38 References from 1977-78 Period	424
Appendix G Abstracts of References Not Meeting Entrance Criteria	467
Author Index	511
Subject Index	521

SUMMARY AND CONCLUSIONS

此为试读,需要完整PDF请访问: www.ertongbook.com