Energy Security, Equality, and Justice

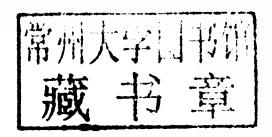
Benjamin K. Sovacool, Roman V. Sidortsov, and Benjamin R. Jones





Energy Security, Equality, and Justice

Benjamin K. Sovacool, Roman V. Sidortsov, and Benjamin R. Jones







First published 2014 by Routledge 2 Park Square, Milton Park, Abingdon, Oxon OX14 4RN

and by Routledge 711 Third Avenue, New York, NY 10017

Routledge is an imprint of the Taylor & Francis Group, an informa business

© 2014 Benjamin K. Sovacool, Roman V. Sidortsov, and Benjamin R. Jones

The right of Benjamin K. Sovacool, Roman V. Sidortsov, and Benjamin R. Jones to be identified as author of this work has been asserted by him/her in accordance with sections 77 and 78 of the Copyright, Designs and Patents Act 1988.

All rights reserved. No part of this book may be reprinted or reproduced or utilized in any form or by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying and recording, or in any information storage or retrieval system, without permission in writing from the publishers.

Trademark notice: Product or corporate names may be trademarks or registered trademarks, and are used only for identification and explanation without intent to infringe.

British Library Cataloguing-in-Publication Data
A catalogue record for this book is available from the British Library

Library of Congress Cataloging-in-Publication Data Sovacool, Benjamin K.

Energy security, equality and justice / Benjamin K. Sovacool, Roman V. Sidortsov, Benjamin R. Jones.

pages cm

Includes bibliographical references and index.

Power resources—Moral and ethical aspects.
 Energy policy—Moral and ethical aspects.
 Distributive justice.
 Sidortsov, Roman V.
 Jones, Benjamin R.
 Title.

TJ163.2.S692 2014 174'.933379—dc23

2013025436

ISBN: 978-0-415-81519-2 (hbk) ISBN: 978-0-415-81520-8 (pbk) ISBN: 978-0-203-06634-8 (ebk)

Typeset in Garamond by Apex CoVantage, LLC



Energy Security, Equality, and Justice

This book applies concepts from ethics, justice, and political philosophy to five sets of contemporary energy problems cutting across time, economics,

politics, geography, and technology.

In doing so, the authors derive two key energy justice principles from modern theories of distributive justice, procedural justice, and cosmopolitan justice. The *prohibitive principle* states that "Energy systems must be designed and constructed in such a way that they do not unduly interfere with the ability of people to acquire those basic goods to which they are justly entitled." The *affirmative principle* states that "If any of the basic goods to which people are justly entitled can only be secured by means of energy services, then in that case there is also a derivative entitlement to the energy services." In laying out and employing these principles, the book details a long list of current energy injustices ranging from human rights abuses and energy-related civil conflict, to energy poverty and pervasive and growing negative externalities.

The book illustrates the significance of energy justice by combining the most up-to-date data on global energy security and climate change, including case studies and examples from the electricity supply, transport, and heating and cooking sectors, with appraisals based on centuries of thought about the meaning of justice in social decisions.

Benjamin K. Sovacool is Director of the Danish Center for Energy Technology at AU-Herning and a Professor of Business and Social Sciences at Aarhus University in Denmark. He is also Associate Professor of Law at Vermont Law School and Director of the Energy Security and Justice Program at their Institute for Energy and the Environment.

Roman V. Sidortsov is currently a Senior Global Energy Fellow at the Institute for Energy and the Environment of Vermont Law School, as well as a Doctoral Researcher at the Scott Polar Research Institute, Cambridge University, United Kingdom.

Benjamin R. Jones is currently a Senior Global Energy Fellow at the Institute for Energy and the Environment of Vermont Law School, as well as a Doctoral Candidate in the Faculty of Law at the University of Victoria, Canada.

"Many aspects of growing worldwide energy consumption have sparked debate and discussion, but very little attention has been paid to the social and ethical dimensions of this issue – despite the fact that these aspects are certain to a play an increasingly critical role as doubts arise over the adequacy and desirability of existing supplies. Now, thanks to Messrs. Sovacool, Sidortsov, and Jones, we have a thoughtful, comprehensive assessment of this important topic."

Michael Klare, Five College Professor of Peace & World Security Studies, Hampshire College, USA

"A brilliant and much-needed contribution to one of the most pressing issues of our time: meeting global energy needs in ethically defensible ways. Sovacool and his co-authors have distinguished themselves yet again. A must-read for anyone interested in energy."

Kristin Shrader-Frechette, O'Neill Family Endowed Professor, University of Notre Dame, USA

"This book's perspective is a vital one in the age of climate change; it will become more vital as the impacts of our energy choices harm more people in the future, especially the world's poor. It will be essential reading for anyone interested in the role of energy in the modern world, especially those concerned about the resulting injustices and how to reduce them."

Paul G. Harris, Chair Professor of Global and Environmental Studies, Hong Kong Institute of Education

"Energy Security, Equality and Justice proposes the novel and important idea that energy security should be construed widely to encompass principles derived from modern theories of distributive justice, procedural justice, and cosmopolitan justice. This is a provocative and pathbreaking book that permits us to think about the debate over the transition to a low-carbon energy system in terms of current energy injustices whether human rights abuses and energy-related civil conflicts or the social and class character of energy poverty. A timely and important book."

Michael Watts, Class of 63 Professor at the University of California, Berkeley, USA

Acronyms and abbreviations

\$ refers to United States dollars unless otherwise noted

AEC U.S. Atomic Energy Commission BTC Baku-Tbilisi-Ceyhan oil pipeline

BTUs British Thermal Units

CBDR common but differentiated responsibilities

CIA U.S. Central Intelligence Agency

CNPC Chinese National Petroleum Corporation

CO₂ carbon dioxide

DECC U.K. Department of Energy and Climate Change

DOE U.S. Department of Energy EIA environmental impact assessment

EITI Extractive Industries Transparency Initiative EPA U.S. Environmental Protection Agency

EJ exajoules

EU European Union

FPIC free, prior, and informed consent

GDP gross domestic product GRP gross regional product

GJ gigajoules GHG greenhouse gas GW gigawatt GWh gigawatt-hours

IAEA International Atomic Energy Agency

IAP indoor air pollution

IEA International Energy Agency
IMF International Monetary Fund

ITDB IAEA's Incident and Trafficking Database

kW kilowatts kWh kilowatt-hour

LNG Liquefied Natural Gas

MIT Massachusetts Institute of Technology

MTVF mountaintop mining with valley fill operations

MW megawatt

xii Acronyms and abbreviations

MWh megawatt-hours

NASA U.S. National Aeronautics and Space Administration

NERSA National Energy Regulator of South Africa

NGO nongovernmental organization

NO_x nitrogen oxides

OECD Organization of Economic Cooperation and Development

OPEC Organization of Petroleum Exporting Countries

PLN Perusahaan Listrik Negara

PM particulate matter
PSI Paul Scherrer Institute

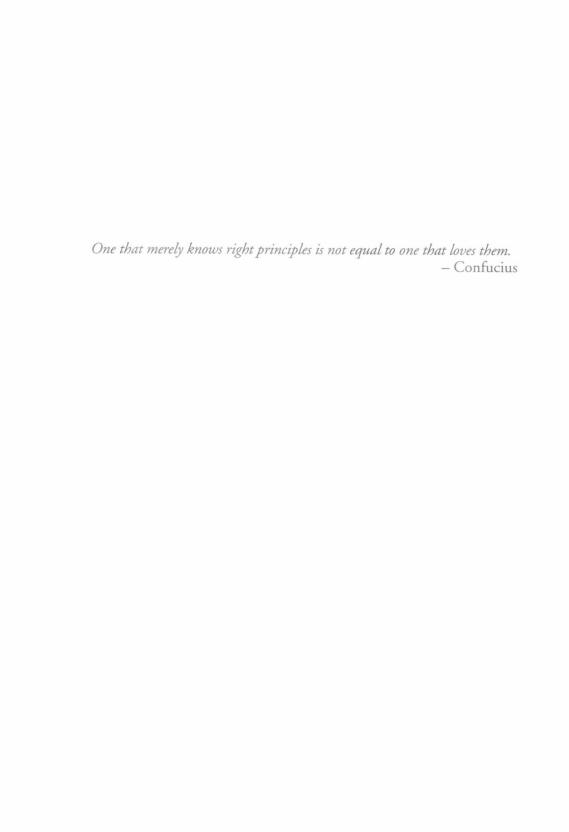
PUCHA Public Utility Holding Company Act

SO₂ sulfur dioxide

T&D electric transmission and distribution

UK United Kingdom
UN United Nations
US United States

USGS U.S. Geological Survey WHO World Health Organization



Acknowledgments

The Energy Security and Justice Program at Vermont Law School's Institute for Energy and the Environment investigates how to provide ethical access to energy services and minimize the injustice of current patterns of energy production and use. It explores how to equitably provide available, affordable, reliable, efficient, environmentally benign, proactively governed, and socially acceptable energy services to households and consumers. One track of the program focuses on lack of access to electricity and reliance on traditional biomass fuels for cooking in the developing world. Another track analyzes the moral implications of existing energy policies and proposals, with an emphasis on the production and distribution of negative energy externalities and the impacts of energy use on the environment and social welfare.

This book is one of three produced by the Program. The first, Energy Security, Equality, and Justice, maps a series of prominent global inequalities and injustices associated with modern energy use, and presents the affirmative and prohibitive justice principles. The second, Energy and Ethics: Justice and the Global Energy Challenge, presents a preliminary energy justice conceptual framework and examines eight case studies illustrating countries and communities that have overcome energy injustices. The third, Global Energy Justice: Principles, Problems, and Practices, matches eight philosophical justice ideas with eight energy problems, and examines how these ideals can be applied in contemporary decision making.

The authors are most grateful to Professor Aleh Cherp and the Central European University in Budapest, Hungary, for an Erasmus Mundus Visiting Fellowship with the Erasmus Mundus Master's Program in Environmental Sciences, Policy, and Management (MESPOM), which has supported elements of the work reported here. We must state that any opinions, findings, and conclusions or recommendations expressed in this book are our own, however, and do not necessarily reflect the views of Vermont Law School, the Institute for Energy and Environment, Aarhus University, Cambridge University, or Central European University.

More specifically, and lastly, messengers Sovacool, Sidortsov, and Jones thank Stefanie Sidortsova for truly excellent copyediting. Professor Sovacool thanks his family – Lilei, Ethan, Zachary, and Cooper – for their continued

love and incredible patience. Mr. Sidortsov expresses his gratitude to Stefanie and Max for tolerating his absence on the weekends, to Svetlana Sidortsova and Valeriy Sidortsov for the wonderful childhood memories, and to Roxana and Dean Bacon for keeping his head straight. Mr. Jones wishes to thank Aimee, Adelaide, and Marguerite for their patience and love.

Dr. Benjamin K. Sovacool is Director of the Danish Center for Energy Technology at AU-Herning and a Professor of Business and Social Sciences at Aarhus University in Denmark. He is also Associate Professor of Law at Vermont Law School and Director of the Energy Security and Justice Program at their Institute for Energy and the Environment. Professor Sovacool works as a researcher, consultant, and teacher on issues pertaining to renewable energy and energy efficiency, the politics of large-scale energy infrastructure, designing public policy to improve energy security and access to electricity, and building adaptive capacity to the consequences of climate change. He is a Contributing Author to the Intergovernmental Panel on Climate Change's (IPCC) forthcoming Fifth Assessment (AR5), and a former Eugene P. Wigner Fellow at the Oak Ridge National Laboratory, as well as the recipient of large research grants from the MacArthur Foundation and Rockefeller Foundation, among others. He has repeatedly consulted for the Asian Development Bank, United Nations Development Program, and United Nations Economic and Social Commission for Asia and the Pacific on energy poverty, governance, and security issues. He is also the author of more than 250 peer-reviewed academic articles, book chapters, and reports and the author, coauthor, editor, or coeditor of 16 books, including *The Routledge Handbook of Energy Security* and The National Politics of Nuclear Power, both published with Routledge. He received his PhD in science and technology studies from the Virginia Polytechnic Institute & State University in Blacksburg, Virginia.

Roman V. Sidortsov is a Senior Global Energy Fellow at the Institute for Energy and the Environment at Vermont Law School in the United States, where he teaches oil and gas development and renewable energy courses. He is also a doctoral researcher at the Scott Polar Research Institute at the University of Cambridge in the United Kingdom. Mr. Sidortsov has taught at Irkutsk State Academy of Law and Economics in Russia and at Marlboro College Graduate School's Managing for Sustainability program in the United States. Prior to returning to academia, Mr. Sidortsov practiced law in Russia as in-house counsel for an American nonprofit organization, and in the United States as a transactional attorney. His research focuses on legal and policy issues related to the development of environmentally sustainable energy systems, risk governance in the oil and gas sector, and Arctic offshore oil and gas exploration and extraction, with a special emphasis on the Russian Federation, Norway, and the United States. Mr. Sidortsov serves as a member of the U.S. Academic Team in the Energy Law Partnership of the U.S.-Russia

Bilateral Presidential Commission's Energy Working Group and a member of the Extractive Industries Working Group at the Arctic Centre, University of Lapland. He received his first law degree (Bachelor's and Master's) in the Russian Federation from Irkutsk State University and his Juris Doctor and LL.M degrees from Vermont Law School.

Benjamin R. Jones is a Senior Global Energy Fellow at the Institute for Energy and the Environment at Vermont Law School in the United States, where he teaches graduate courses on energy law and policy and climate change adaptation. He is also a PhD candidate at the University of Victoria in the Faculty of Law. His research looks at the implications of property rights and international investment agreements for the development of domestic energy policy, with a focus on Canada and the European Union. Mr. Jones's original academic training was in philosophy, which he studied at Concordia University and McGill University in Montreal, Canada, and at the Katholieke Universiteit Leuven in Belgium. After finishing his studies, he obtained his commercial pilot's license and moved to northern Canada, where for almost a decade he flew bush planes and worked as an administrator on an Indian reserve. Mr. Jones received his Juris Doctor from Vermont Law School and an MPhil in Environmental Policy from the University of Cambridge.

Contents

	Acronyms and abbreviations Illustrations Epigraph Acknowledgments	xii xv xvi
1	The global energy system beyond technology and economics – Unveiling our not-so-shiny energy world 1 – Statistical snapshot of the global energy system 4 – Energy et al. defined 10 – A road map of chapters to come 14	1
2	Deciphering energy justice and injustice - Introduction 21 - The energy system and social justice: An overview 23 - Philosophical framework for energy justice 29 - From assumptions to principles 42 - Conclusion 48	21
3	The temporal dimension: externalities and climate change – Introduction 54 – Externalities and energy burdens 57 – Conclusion 76	54
4	The economic dimension: inequality, poverty, and rising prices - Introduction 84 - Energy inequality 85 - Resource depletion and rising energy prices 100 - Conclusion 107	84

vi	i Contents		
	0		 Fag. 17

5	The sociopolitical dimension: corruption, authoritarianism, and conflict	115
	 Introduction 115 Energy profits, corruption, and politics 117 Conventional energy systems and authoritarianism 121 Energy and human rights abuses 125 Energy and military conflict 130 Conclusion 135 	
6	The geographic dimension: uneven development and environmental risks	141
	 Introduction 141 Uneven economic development 142 Uneven distribution of externalities and risks 150 Conclusion 154 	
7	The technological dimension: efficiency, reliability, safety, and vulnerability	160
	 Introduction 160 Energy technology in the developing world 161 Energy technology in the developed world 168 From structural problems to path dependence 179 Conclusion 189 	
8	Towards a more just and secure energy future	197
	 Introduction 197 Conclusion 1: Technology cannot solve our energy problems 198 Conclusion 2: We are each responsible for energy injustice 199 Conclusion 3: We need energy policymaking directed by justice principles 200 Conclusion 4: An energy justice "checklist" can guide energy decisions 203 Conclusion 209 	
	Index	211

Analytical table of contents

1	The global energy system beyond technology and economics	1
	Unveiling our not-so-shiny energy world 1 Statistical snapshot of the global energy system 4 Electricity 6 Transport 7 Heating and cooking 9 Energy et al. defined 10 A road map of chapters to come 14 The temporal dimension: Externalities and climate change 15 The economic dimension: Inequality, poverty, and rising prices 15 The sociopolitical dimension: Corruption, authoritarianism, and energy conflict 16 The geographic dimension: Uneven development and environmental risks 16 The technological dimension: Efficiency, reliability, safety, and vulnerability 16	
2	Deciphering energy justice and injustice	21
	Introduction 21 The energy system and social justice: An overview 23 Energy and distributive justice 23 Energy and procedural justice 26 Energy and cosmopolitan justice 28 Philosophical framework for energy justice 29 Assumption 1: Every human being is entitled to the minimum of basic goods of life that is still consistent with respect for human dignity 30	

Assumption 2: The basic goods to which every person is entitled include the opportunity to develop the characteristically human capacities needed for a flourishing human life 35 Assumption 3: Energy is only an instrumental good – it is not an end in itself 38 Assumption 4: Energy is a material prerequisite for many of the basic goods to which people are entitled 41 From assumptions to principles 42 The prohibitive principle 42 The affirmative principle 46 Conclusion 48	
The temporal dimension: externalities and climate change	54
Introduction 54 Externalities and energy burdens 57 Climate change 59 Extracting fossil fuels and uranium 64 The legacy of nuclear waste 69 Air pollution and respiratory health 71 Conclusion 76	
The economic dimension: inequality, poverty, and rising prices	84
Introduction 84 Energy inequality 85 Sustainable development and affordable energy 85 Unequal distribution of energy services 88 Fuel poverty 91 Energy poverty and drudgery 94 Resource depletion and rising energy prices 100 Fossil Fuels: A finite resource 101 Rising energy prices and volatile markets 103 Conclusion 107	
The sociopolitical dimension: corruption, authoritarianism, and conflict	115
Introduction 115 Energy profits, corruption, and politics 117	

Money rules the world 117 Social marginalization and political instability 119 Conventional energy systems and authoritarianism 121 Nuclear power and public participation 121 Secrecy and democracy 123 Energy and human rights abuses 125 Energy and military conflict 130 Armed conflict, terrorism, and civil war 130 Energy resources and interstate war 132 The technology of modern warfare 133 Conclusion 135 The geographic dimension: uneven development and environmental risks 141 Introduction 141 Uneven economic development 142 Beyond oversimplified dichotomies 142 Where transmission lines end 143 Up, but mostly down 145 Community displacement 146 Uneven distribution of externalities and risks 150 Three worlds in one country 150 Climate refugees 152 Conclusion 154 The technological dimension: efficiency, reliability, safety, and vulnerability 160 Introduction 160 Energy technology in the developing world 161 Efficiency 162 Reliability 162 Safety 162 Vulnerability and nuclear proliferation 165 Energy technology in the developed world 168 Efficiency 168 Reliability 168 Safety 170 Vulnerability and nuclear proliferation 177 From structural problems to path dependence 179

Efficiency 179

x Analytical table of contents

Reliability 180
Safety 182
Vulnerability and nuclear proliferation 185
Path dependence and carbon lock-in 188
Conclusion 189

8 Towards a more just and secure energy future

197

Introduction 197

Conclusion 1: Technology cannot solve our energy problems 198
Conclusion 2: We are each responsible for energy injustice 199
Conclusion 3: We need energy policymaking directed by
justice principles 200
Conclusion 4: An energy justice "checklist" can guide
energy decisions 203
Conclusion 209

此为试读,需要完整PDF请访问: www.ertongbook.com