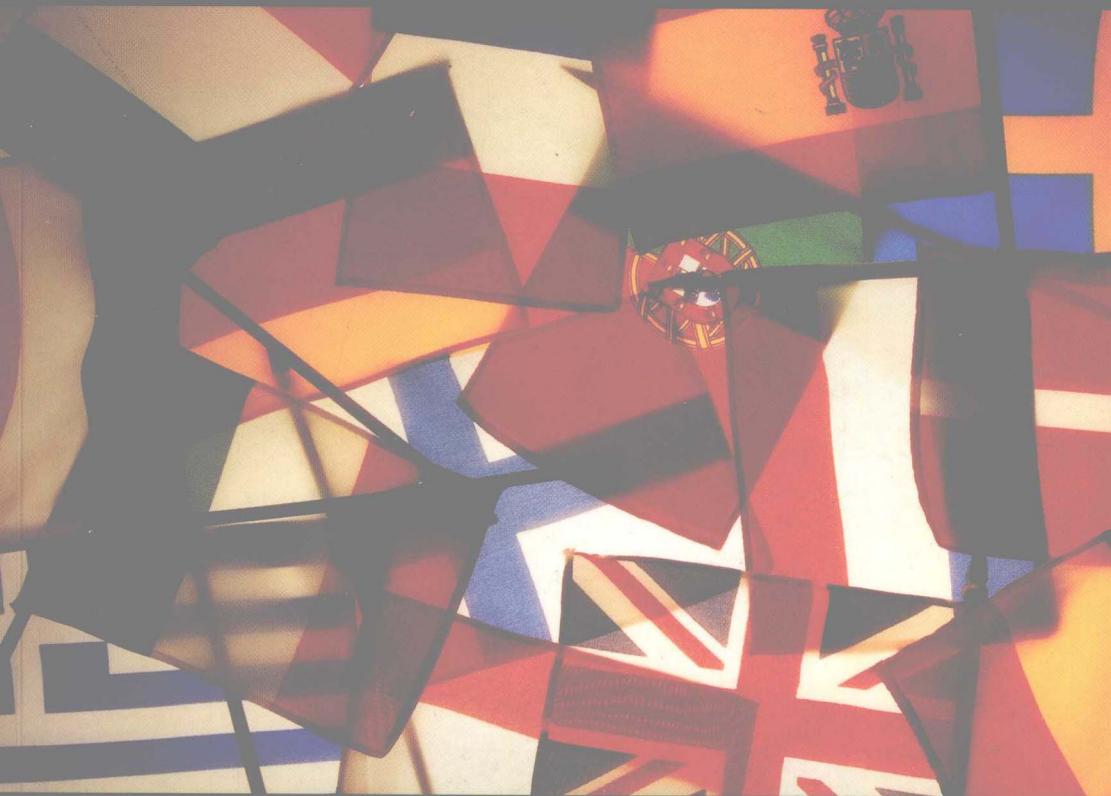


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MARK FURSE

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Mark Furse

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PREFACE

Never put anything in writing, its highly illegal and it could bite you right in the arse!!!!
(email sent by Mike Brighty, a Sales Director at Hasbro, to a colleague)

After changes upon changes, we are more or less the same.
(Paul Simon, *The Boxer*)

In May 2002, in the preface to the third edition of this work, I wrote that I intended to be working on the new edition within a year. At that time it was anticipated that a substantial reform of the way in which competition law was applied within the EC would be completed in early 2003. It is now May 2004, and that reform process is just coming to fruition, the EC Commission having published all relevant Community material, although the Office of Fair Trading in the UK has been particularly slow to produce promised new materials. It remains to be seen whether these extensive changes will in fact result in a fundamental difference in the application of competition law in the future, and my suspicion is that they will not.

The changes in competition law over the past two years have been numerous. From the top down, the EC has fundamentally redefined the relationship between Community and national law (a development dealt with here in Chapter 3—and reflected in the change in title of the book). As part of this process the application of the law relating to agreements has been substantially changed, the investigative procedure has been updated, and new rules have been introduced in relation to the penalties and remedies. A new EC Merger Control regulation has been produced, and other instruments, including the block exemption regulation of technology transfer, have been revised. All of these developments are dealt with here. In the UK the Competition Act 1998 has begun to bite, and there have been a number of decisions and appeals, some of which have received substantial publicity. At the time of writing the OFT is engaged in a high-profile investigation of alleged price fixing within the private schools sector. In 2002 the Enterprise Act 2002 was enacted (a move only presaged in the last edition of this book). This has resulted in changes to some aspects of the Competition Act, and to the institutional structure of competition law enforcement in the UK. It also brought into place new regimes in relation to merger control, and to the investigation of markets wherein competitive failures might be identified. More controversially a new cartel offence has been created, and for the first time in the modern era people may be imprisoned for anti-competitive activity implemented in the UK.

All of these developments have necessitated substantial rewriting of much of this book. There are two entirely new chapters, and merger control has been expanded and split into two chapters, both of which are in effect also new. Another six chapters have been substantially rewritten and some of the material has been reordered. The scheme of the book now is to deal with basic issues and jurisdiction

in the first four chapters. This means that international issues are dealt with earlier than has been the case before, although this seems to be their logical position in the text. Procedural matters are dealt with in Chapters 5 through 8, and the ‘new Regulation 17’, Regulation 1/2003, is prominently dealt with here. Agreements, including the cartel offence, are dealt with in Chapters 8–12, while Chapters 13–17 deal with dominance, and market failure. Merger control is dealt with in Chapters 18–20, and finally the common law of competition in England and Wales is discussed in Chapter 21. In each of the three substantive areas discussion of the law is preceded by an introduction of some of the relevant economics. My guiding principle throughout the preparation of this new edition has been to present a picture of the law as it is today, not a full historical discourse. This book is, as has always been the case, designed primarily for those coming to competition law for the first time, and is not intended to be the final word in any area, although I hope that it is more than sufficient for the purposes of undergraduate students or those committed to private study of this vibrant area of law. I hope also that the emphasis on economics, an understanding of which I believe to be essential for those studying the subject, will not be off-putting to students of law.

I owe thanks to a number of people, and to three groups in particular. First, to former colleagues and students at the University of Westminster where I worked from 1986 to 2002, and the Nicolas Copernicus University, Torun, Poland, where I was employed in 2003, and in particular Dr Małgorzata Nesterowicz. Professor Susan Nash has contributed indirectly to Chapter 12, which deals with the new cartel offence, and her generosity in sharing expertise is much appreciated. In the village of Ebrington, my home in the Cotswolds, I must thank for their fellowship over the last two years Jeremy, Colin, Andy, Lynn, Joan, and Graham—thanks guys. Finally I am happy to acknowledge the support of my new colleagues at the University of Glasgow, and the exceptional students with whom I have been privileged to share my first year of what I hope will be many here. While it is unfair to single out some to the exclusion of others I must in particular acknowledge the help, support, and companionship of Professor Noreen Burrows, and Maria Fletcher. Both have read parts of this book and their comments have improved it. Jonathan Galloway has improved Chapter 4 with his comments on an earlier draft. The staff at OUP have been exceptionally patient, and, as usual, helpful and professional. As is always the case, any errors and omissions are my sole responsibility. I would welcome comments on the text in this book, which may be addressed to me at: m.furse@law.gla.ac.uk.

All author royalties from this edition are being paid to the Saraswati Project, which is based in Bhaktapur in Nepal. As well as being breathtakingly beautiful, Nepal is desperately poor, and has been ravaged by a long-running insurgency. The last time I returned from the country I was fortunate to meet, during the course of a long delay, Ms Gerd Baetens, a Belgian teacher, who in the best tradition of individual charity has established a programme to assist in particular children, by giving them, and their parents, a chance to study, supporting their educational needs and where necessary providing assistance with food, shelter, and healthcare.

As with the best of such programmes the ultimate aim is to provide recipients with the skills to live independently.

The law in this edition is intended to be up to date as at 1 May 2004.

Mark Furse
The University of Glasgow
May 2004

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