



# 软件工程

实践者的研究方法

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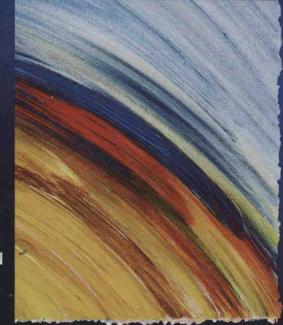
Bruce R. Maxim

英文精编版・第8版)

Eighth Edition

# Software Engineering

A PRACTITIONER'S APPROACH



Roger S. PRESSMAN

Bruce R. MAXIM



机械工业出版社 China Machine Press 经典原版书库

# 软件工程

实践者的研究方法

(英文精编版・第8版)

. Postware Engineering

A Practitioner's Approach (Eighth Edition - English Abridgement)



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# 出版者的话

文艺复兴以来,源远流长的科学精神和逐步形成的学术规范,使西方国家在自然科学的各个领域取得了垄断性的优势;也正是这样的优势,使美国在信息技术发展的六十多年间名家辈出、独领风骚。在商业化的进程中,美国的产业界与教育界越来越紧密地结合,计算机学科中的许多泰山北斗同时身处科研和教学的最前线,由此而产生的经典科学著作,不仅擘划了研究的范畴,还揭示了学术的源变,既遵循学术规范,又自有学者个性,其价值并不会因年月的流逝而减退。

近年,在全球信息化大潮的推动下,我国的计算机产业发展迅猛,对专业人才的需求日益迫切。这对计算机教育界和出版界都既是机遇,也是挑战;而专业教材的建设在教育战略上显得举足轻重。在我国信息技术发展时间较短的现状下,美国等发达国家在其计算机科学发展的几十年间积淀和发展的经典教材仍有许多值得借鉴之处。因此,引进一批国外优秀计算机教材将对我国计算机教育事业的发展起到积极的推动作用,也是与世界接轨、建设真正的世界一流大学的必由之路。

机械工业出版社华章公司较早意识到"出版要为教育服务"。自1998年开始,我们就将工作重点放在了遴选、移译国外优秀教材上。经过多年的不懈努力,我们与Pearson,McGraw-Hill,Elsevier,MIT,John Wiley & Sons,Cengage等世界著名出版公司建立了良好的合作关系,从他们现有的数百种教材中甄选出Andrew S. Tanenbaum,Bjarne Stroustrup,Brain W. Kernighan,Dennis Ritchie,Jim Gray,Afred V. Aho,John E. Hopcroft,Jeffrey D. Ullman,Abraham Silberschatz,William Stallings,Donald E. Knuth,John L. Hennessy,Larry L. Peterson等大师名家的一批经典作品,以"计算机科学丛书"为总称出版,供读者学习、研究及珍藏。大理石纹理的封面,也正体现了这套丛书的品位和格调。

"计算机科学丛书"的出版工作得到了国内外学者的鼎力相助,国内的专家不仅提供了中肯的选题指导,还不辞劳苦地担任了翻译和审校的工作;而原书的作者也相当关注其作品在中国的传播,有的还专门为其书的中译本作序。迄今,"计算机科学丛书"已经出版了近两百个品种,这些书籍在读者中树立了良好的口碑,并被许多高校采用为正式教材和参考书籍。其影印版"经典原版书库"作为姊妹篇也被越来越多实施双语教学的学校所采用。

权威的作者、经典的教材、一流的译者、严格的审校、精细的编辑,这些因素使我们的图书有了质量的保证。随着计算机科学与技术专业学科建设的不断完善和教材改革的逐渐深化,教育界对国外计算机教材的需求和应用都将步入一个新的阶段,我们的目标是尽善尽美,而反馈的意见正是我们达到这一终极目标的重要帮助。华章公司欢迎老师和读者对我们的工作提出建议或给予指正,我们的联系方法如下:

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# ADAPTER'S FOREWORD

### Purpose

The original of this book is an excellent work of Dr. Pressman and Dr. Maxim. The 8th edition emphasizes on the new software engineering process and techniques, and is definitive on basically all the subjects that are listed by the Software Engineering Body of Knowledge (SWEBOK, http://www.swebok.org/). The extensive examples, references and online exercises are also quite helpful to the students. There are numbers of universities and colleges in China that are taking this book as their textbooks and keep tracking through its different versions.

As the idea of bilingual teaching is promoted in the higher education institutes in China, more and more schools are getting interested in this book. However, over nine hundred pages of the original book make it too difficult for a Chinese student with an average English reading ability to comprehend the fundamentals of software engineering in a semester. In order to introduce this book to more Chinese college students, a compression of the original book is made to better fit the book into the syllabus of an undergraduate course with only the core contents, and to reduce the students' reading load.

### What's Compressed

We noticed that MobileApps and security engineering are the two very important new concepts added into this version. However due to the limited class time we finally decide to keep only the security engineering and leave mobile applications to the graduate courses. Similar to what we have done to the 7th edition, basically we would concentrate on the fundamental concepts of common frameworks, and leave WebApps, MobileApps, metrics and measurements, and some advanced topics to the graduate level courses.

The chapters that we would take to the graduate level and hence would be excluded in the compressed version are: Chapter 16 (Pattern-based Design), Chapter 17 (WebApp Design), Chapter 18 (MobileApp Design), Chapter 20 (Review Techniques), Chapter 25 (Testing Web Applications), Chapter 26 (Testing MobileApps), Chapter 28 (Formal Modeling and Verification), Chapter 36 (Maintenance and Reengineering), and Part Five (Advanced Topics). Chapter 30 (Product Metrics) is considered advanced as well, except that the first Section 30.1 (A Framework for Product Metrics) is suitable for the undergraduates to learn. Thus we plan to move Section 30.1 to Chapter 21 (Software Quality Assurance) and add it to be Section 21.10.

Other compressions are made to keep everything consistent with the compressed 7th edition:

All the sections and sub-sections related to WebApp and MobileApps will be removed.
 They are: Section 11.5 (Requirements Modeling for Web and Mobile Apps), Section 14.5 (Component-level Design for Mobile Apps), Section 15.5 (WebApp and Mobile

Interface Design), Sections 22.5-22.6 (Test Strategies for WebApps, and Test Strategies for MobileApps), Section 29.4 (Configuration Management for Web and MobileApps), Section 32.2.6 (WebApp Project Metrics), and Section 34.5.4 (Scheduling for WebApp and Mobile Projects).

- 2. In Chapter 3, Section 3.5 (Process Assessment and Improvement) and Section 4.4-4.5 (Personal and Team Process Models, Process Technology) are considered as the advanced topics and hence will not appear in the compressed version.
- 3. The content of Chapter 7 (Principles that Guide Practice) will eventually be introduced in the following chapters. We would remove this chapter to save the students some reading time.
- 4. In Chapter 8, Sections 8.2.5-8.2.6 (Nonfunctional Requirements, and Traceability), and Sections 8.6-8.8 (Negotiating Requirements, Requirements Monitoring, and Validating Requirements) are considered as the advanced topics and hence will not appear in the compressed version.
- 5. In Chapter 23, Sections (and sub-sections) 23.4.4 (Graph Matrices), 23.6.1 (Graph-Based Testing Methods), 23.6.4 (Orthogonal Array Testing), 23.8-23.10 (Testing Documentation and Help Facilities, Testing for Real-time Systems, and Patterns for Software Testing) are considered as the advanced topics and hence will not appear in the compressed version.
- 6. We believe that it would be enough to simply introduce some basic concepts on metrics and estimation to the undergraduates. Hence we plan to remove Sections 32.4-32.6 (Metrics for Process and Projects - Integrating Metrics within the Process, Metrics for Small Organizations, and Establishing a Software Metrics Program), and Sections 33.9-33.10 (Specialized Estimation Techniques and the Make/Buy Decision).

## Acknowledgments

We feel grateful to Roger S. Pressman and Bruce R. Maxim, the authors of the original book, and McGraw Hill, the original publisher, for allowing us to compress the original 941page book into about six hundred pages. It is their understanding and generosity that make it possible for more Chinese students to enjoy this distinguished book. Since the compression is made by simply removing some chapters or sections, we hope to keep as far as possible the elegancy of Dr. Pressman and Dr. Maxim's writing style.

Thanks to everyone at China Machine Press who have put in great effort to make this kind of cooperation possible.

The compression is made according to my own experience in teaching and practicing in software engineering. It is certainly wild open for discussions and suggestions on further improvements. Your comments are important to us, and would be very much appreciated.

> Yue Chen **Zhejiang University** (chenyue@cs.zju.edu.cn)

# PREFACE

hen computer software succeeds—when it meets the needs of the people who use it, when it performs flawlessly over a long period of time, when it is easy to modify and even easier to use—it can and does change things for the better. But when software fails—when its users are dissatisfied, when it is error prone, when it is difficult to change and even harder to use—bad things can and do happen. We all want to build software that makes things better, avoiding the bad things that lurk in the shadow of failed efforts. To succeed, we need discipline when software is designed and built. We need an engineering approach.

It has been almost three and a half decades since the first edition of this book was written. During that time, software engineering has evolved from an obscure idea practiced by a relatively small number of zealots to a legitimate engineering discipline. Today, it is recognized as a subject worthy of serious research, conscientious study, and tumultuous debate. Throughout the industry, software engineer has replaced programmer as the job title of preference. Software process models, software engineering methods, and software tools have been adopted successfully across a broad spectrum of industry segments.

Although managers and practitioners alike recognize the need for a more disciplined approach to software, they continue to debate the manner in which discipline is to be applied. Many individuals and companies still develop software haphazardly, even as they build systems to service today's most advanced technologies. Many professionals and students are unaware of modern methods. And as a result, the quality of the software that we produce suffers, and bad things happen. In addition, debate and controversy about the true nature of the software engineering approach continue. The status of software engineering is a study in contrasts. Attitudes have changed, progress has been made, but much remains to be done before the discipline reaches full maturity.

The eighth edition of *Software Engineering: A Practitioner's Approach* is intended to serve as a guide to a maturing engineering discipline. The eighth edition, like the seven editions that preceded it, is intended for both students and practitioners, retaining its appeal as a guide to the industry professional and a comprehensive introduction to the student at the upper-level undergraduate or first-year graduate level.

The eighth edition is considerably more than a simple update. The book has been revised and restructured to improve pedagogical flow and emphasize new and important software engineering processes and practices. In addition, we have further enhanced the popular "support system" for the book, providing a comprehensive set of student, instructor, and professional resources to complement the content of the book. These resources are presented as part of a website (www.mhhe.com/pressman) specifically designed for *Software Engineering: A Practitioner's Approach*.

**The Eighth Edition.** The 26 chapters of the eighth edition are organized into four parts. This organization better compartmentalizes topics and assists instructors who may not have the time to complete the entire book in one term.

Part 1. The Process, presents a variety of different views of software process, considering all important process models and addressing the debate between prescriptive and agile process philosophies. Part 2, Modeling, presents analysis and design methods with an emphasis on object-oriented techniques and UML modeling. Part 3, Quality Management, presents the concepts, procedures, and methods that enable a software team to assess software quality, conduct SQA procedures, and apply an effective testing strategy and tactics. Part 4, Managing Software Projects, presents topics that are relevant to those who plan, manage, and control a software development project. Continuing in the tradition of past editions, a series of sidebars is used throughout the book to present the trials and tribulations of a (fictional) software team and to provide supple-mentary materials about methods and tools that are relevant to chapter topics.

Acknowledgments. Special thanks go to Tim Lethbridge of the University of Ottawa who assisted us in the development of UML and OCL examples, and developed the case study that accompanies this book, and Dale Skrien of Colby College, who developed the UML tutorial in Appendix 1. Their assistance and comments were invaluable. In addition, we'd like to thank Austin Krauss, Senior Software Engineer at Treyarch, for providing insight into software development in the video game industry. We also wish to thank the reviewers of the eighth edition: Manuel E. Bermudez, University of Florida; Scott DeLoach, Kansas State University; Alex Liu, Michigan State University; and Dean Mathias, Utah State University. Their in-depth comments and thoughtful criticism have helped us make this a much better book.

Special Thanks. BRM: I am grateful to have had the opportunity to work with Roger on the eighth edition of this book. During the time I have been working on this book my son Benjamin shipped his first MobileApp and my daughter Katherine launched her interior design career. I am quite pleased to see the adults they have become. I am very grateful to my wife, Norma, for the enthusiastic support she has given me as I filled my free time with working on this book.

RSP: As the editions of this book have evolved, my sons, Mathew and Michael, have grown from boys to men. Their maturity, character, and success in the real world have been an inspiration to me. Nothing has filled me with more pride. They now have children of their own, Maya and Lily, who start still another generation. Both girls are already wizards on mobile computing devices. Finally, to my wife Barbara, my love and thanks for tolerating the many, many hours in the office and encouraging still another edition of "the book."

> Roger S. Pressman Bruce R. Maxim

# ABOUT THE AUTHORS

Roger S. Pressman is an internationally recognized consultant and author in software engineering. For more than four decades, he has worked as a software engineer, a manager, a professor, an author, a consultant, and an entrepreneur.

Dr. Pressman is president of R. S. Pressman & Associates, Inc., a consulting firm that specializes in helping companies establish effective software engineering practices. Over the years he has developed a set of techniques and tools that improve software engineering practice. He is also the founder of Teslaccessories, LLC, a start-up manufacturing company that specializes in custom products for the Tesla Model S electric vehicle.

Dr. Pressman is the author of nine books, including two novels, and many technical and management papers. He has been on the editorial boards of *IEEE Software* and *The Cutter IT Journal* and was editor of the "Manager" column in *IEEE Software*.

Dr. Pressman is a well-known speaker, keynoting a number of major industry conferences. He has presented tutorials at the International Conference on Software Engineering and at many other industry meetings. He has been a member of the ACM, IEEE, and Tau Beta Pi, Phi Kappa Phi, Eta Kappa Nu, and Pi Tau Sigma.

Bruce R. Maxim has worked as a software engineer, project manager, professor, author, and consultant for more than thirty years. His research interests include software engineering, human computer interaction, game design, social media, artificial intelligence, and computer science education.

Dr. Maxim is associate professor of computer and information science at the University of Michigan—Dearborn. He established the GAME Lab in the College of Engineering and Computer Science. He has published a number of papers on computer algorithm animation, game development, and engineering education. He is coauthor of a best-selling introductory computer science text. Dr. Maxim has supervised several hundred industry-based software development projects as part of his work at UM-Dearborn.

Dr. Maxim's professional experience includes managing research information systems at a medical school, directing instructional computing for a medical campus, and working as a statistical programmer. Dr. Maxim served as the chief technology officer for a game development company.

Dr. Maxim was the recipient of several distinguished teaching awards and a distinguished community service award. He is a member of Sigma Xi, Upsilon Pi Epsilon, Pi Mu Epsilon, Association of Computing Machinery, IEEE Computer Society, American Society for Engineering Education, Society of Women Engineers, and International Game Developers Association.

# TABLE OF CONTENTS

CHA	PTER 1	THE NATURE OF SOFTWARE 1	
1.1	The Natur	re of Software 3	
	1.1.1	Defining Software 4	
	1.1.2	Software Application Domains 6	
	1.1.3	Legacy Software 7	
1.2	The Chang	nging Nature of Software 9	
	1.2.1	WebApps 9	
	1.2.2	Mobile Applications 9	
	1.2.3	Cloud Computing 10	
	1.2.4	Product Line Software 11	
PROBLEA	AS AND POINTS	TO PONDER 12	
FURTHER	READINGS AND	d information sources 12	
CHA	PTER 2	SOFTWARE ENGINEERING 14	
2.1	Defining th	he Discipline 15	
2.2	The Softwo	vare Process 16	
	2.2.1	The Process Framework 17	
	2.2.2	Umbrella Activities 18	
	2.2.3	Process Adaptation 18	
2.3		Engineering Practice 19	
	2.3.1	The Essence of Practice 19	
	2.3.2	General Principles 21	
2.4		Development Myths 23	
2.5	How It All	Starts 26	
PROBLEA	AS AND POINTS	to ponder 27	
FURTHER	READINGS AND	d information sources 27	
NO TY THE	CATTIATAT	RE PROCESS 29	
1112	OOT I WAK	TE PROCESS 24	
CHA	PTER 3	SOFTWARE PROCESS STRUCTURE 30	
3.1	A Generic	c Process Model 31	
3.2	Defining a	Framework Activity 32	
3.3	Identifying	g a Task Set 34	
3.4	Process Pa	atterns 35	
PROBLEM	AS AND POINTS	TO PONDER 37	
		D INFORMATION SOURCES 38	

PART ONE

CHAP	TER 4 PROCESS MODELS 39
4.1	Prescriptive Process Models 40
	4.1.1 The Waterfall Model 40
	4.1.2 Incremental Process Models 42
	4.1.3 Evolutionary Process Models 44
	4.1.4 Concurrent Models 48
	4.1.5 A Final Word on Evolutionary Processes 50
4.2	Specialized Process Models 51
	4.2.1 Component-Based Development 52
	4.2.2 The Formal Methods Model 52
4.6	4.2.3 Aspect-Oriented Software Development 53
4.3	The Unified Process 54
	4.3.1 A Brief History 55
	4.3.2 Phases of the Unified Process 55
4.4	Product and Process 57
PROBLEMS	and points to ponder 59
FURTHER F	readings and information sources 59
CHAP	TER 5 AGILE DEVELOPMENT 60
5.1	What Is Agility? 62
5.2	Agility and the Cost of Change 62
5.3	What Is an Agile Process? 63
	5.3.1 Agility Principles 64
	5.3.2 The Politics of Agile Development 65
5.4	Extreme Programming 66
	5.4.1 The XP Process 66
	5.4.2 Industrial XP 69
5.5	Other Agile Process Models 71
	5.5.1 Scrum 72
	5.5.2 Dynamic Systems Development Method 73
	5.5.3 Agile Modeling 74
	5.5.4 Agile Unified Process 76
5.6	A Tool Set for the Agile Process 77
PROBLEMS	AND POINTS TO PONDER 78
FURTHER R	eadings and information sources 79
CHAP	TER 6 HUMAN ASPECTS OF SOFTWARE ENGINEERING 81
6.1	Characteristics of a Software Engineer 82
6.2	The Psychology of Software Engineering 83
6.3	The Software Team 84
6.4	Team Structures 86
6.5	Agile Teams 87
	6.5.1 The Generic Agile Team 87
	6.5.2 The XP Team 88
6.6	The Impact of Social Media 89
6.7	Software Engineering Using the Cloud 91
6.8	Collaboration Tools 92
6.9	Global Teams 93

PROBLEMS AND POINTS TO PONDER 94 FURTHER READINGS AND INFORMATION SOURCES 95

### PART TWO

MOD	ELING 9	97
CHA	PTER 7	UNDERSTANDING REQUIREMENTS 98
7.1	Requireme	ents Engineering 99
7.2	- Anna 10	ng the Groundwork 105
	7.2.1	Identifying Stakeholders 106
	7.2.2	Recognizing Multiple Viewpoints 106
	7.2.3	Working toward Collaboration 107
	7.2.4	Asking the First Questions 107
7.3	Eliciting Re	equirements 108
	7.3.1	Collaborative Requirements Gathering 109
	7.3.2	Quality Function Deployment 112
	7.3.3	Usage Scenarios 112
	7.3.4	Elicitation Work Products 113
	7.3.5	Agile Requirements Elicitation 114
	7.3.6	Service-Oriented Methods 114
7.4	Developin	g Use Cases 115
7.5	-	ne Analysis Model 120
	7.5.1	Elements of the Analysis Model 120
	7.5.2	Analysis Patterns 123
	7.5.3	Agile Requirements Engineering 124
	7.5.4	Requirements for Self-Adaptive Systems 124
7.6	Avoiding	Common Mistakes 125
PROBLEM	MS AND POINTS	TO PONDER 125
FURTHER	R READINGS AN	d other information sources 126
CHA	PTER 8	REQUIREMENTS MODELING: SCENARIO-BASED
		METHODS 128
8.1	Requireme	ents Analysis 129
	8.1.1	Overall Objectives and Philosophy 130
	8.1.2	Analysis Rules of Thumb 131
	8.1.3	Domain Analysis 132
	8.1.4	Requirements Modeling Approaches 133
8.2		Based Modeling 135
	8.2.1	Creating a Preliminary Use Case 135
	8.2.2	Refining a Preliminary Use Case 138
0.0	8.2.3	Writing a Formal Use Case 139

PROBLEMS AND POINTS TO PONDER 144

8.3.2

8.3

FURTHER READINGS AND INFORMATION SOURCES 145

UML Models That Supplement the Use Case 141 8.3.1 Developing an Activity Diagram 142 Swimlane Diagrams 143

CHA	TER 9 REQUIREMENTS MODELING: CLASS-BASED METHODS	146
9.1	dentifying Analysis Classes 147	
9.2	Specifying Attributes 150	
9.3	Defining Operations 151	
9.4	Class-Responsibility-Collaborator Modeling 154	
9.5	Associations and Dependencies 160	
9.6	Analysis Packages 161	
	AND POINTS TO PONDER 162	
	eadings and information sources 163	
CONTIL	Brain 65 And II William Cookers 100	
September 18. 1		
CHA.	TER 10 REQUIREMENTS MODELING: BEHAVIOR, PATTERNS,	
-	AND WEB/MOBILE APPS 164	
10.1	Creating a Behavioral Model 165	
10.2	Identifying Events with the Use Case 165	
10.3	State Representations 166	
10.4	Patterns for Requirements Modeling 169	
	10.4.1 Discovering Analysis Patterns 170	
	10.4.2 A Requirements Pattern Example: Actuator-Sensor 171	
PROBLEM	and points to ponder 175	
FURTHER	adings and information sources 176	
CHAI	TER 11 DESIGN CONCEPTS 177	
11.1	Design within the Context of Software Engineering 178	
11.2	The Design Process 181	
	11.2.1 Software Quality Guidelines and Attributes 181	,
	11.2.2 The Evolution of Software Design 183	
11.3	Design Concepts 184	
	11.3.1 Abstraction 185	
	11.3.2 Architecture 185	
	11.3.3 Patterns 186	
	11.3.4 Separation of Concerns 187	
	11.3.5 Modularity 187	
	11.3.6 Information Hiding 188	
	11.3.7 Functional Independence 189	
	11.3.8 Refinement 190	
	11.3.9 Aspects 190	
	11.3.10 Refactoring 191	
	11.3.11 Object-Oriented Design Concepts 191	
	11.3.12 Design Classes 192	
	11.3.13 Dependency Inversion 194	
	11.3.14 Design for Test 195	
11.4	The Design Model 196	
	11.4.1 Data Design Elements 197	
	11.4.2 Architectural Design Elements 197	
	11.4.3 Interface Design Elements 198	
	11.4.4 Component-Level Design Elements 200	
	11.4.5 Deployment-Level Design Elements 201	
PROBLEM	and points to ponder 202	

FURTHER READINGS AND INFORMATION SOURCES 203

#### CHAPTER 12 ARCHITECTURAL DESIGN 12.1 Software Architecture 205 12.1.1 What Is Architecture? 205 12.1.2 Why Is Architecture Important? 206 12.1.3 Architectural Descriptions 207 12.1.4 Architectural Decisions 208 12.2 Architectural Genres 209 12.3 Architectural Styles 210 12.3.1 A Brief Taxonomy of Architectural Styles 210 12.3.2 Architectural Patterns 215 12.3.3 Organization and Refinement 215 12.4 Architectural Considerations 216 12.5 Architectural Decisions 218 12.6 Architectural Design 219 Representing the System in Context 219 12.6.1 12.6.2 Defining Archetypes 221 12.6.3 Refining the Architecture into Components 222 12.6.4 Describing Instantiations of the System 224 12.6.5 Architectural Design for Web Apps 225 12.6.6 Architectural Design for Mobile Apps 226 12.7 Assessing Alternative Architectural Designs 226 12.7.1 Architectural Description Languages 228 12.7.2 Architectural Reviews 229 128 lessons learned 230 12.9 Pattern-based Architecture Review 230 12.10 Architecture Conformance Checking 231 12.11 Agility and Architecture 232 PROBLEMS AND POINTS TO PONDER 234 FURTHER READINGS AND INFORMATION SOURCES 234 CHAPTER 13 COMPONENT-LEVEL DESIGN 236 13.1 What Is a Component? 237 13.1.1 An Object-Oriented View 237 13.1.2 The Traditional View 239 13.1.3 A Process-Related View 242 13.2 Designing Class-Based Components 242 13.2.1 Basic Design Principles 243 13.2.2 Component-level Design Guidelines 246 13.2.3 Cohesion 247 13.2.4 Coupling 249 13.3 Conducting Component-Level Design 250 13.4 Component-Level Design for WebApps 256 13.4.1 Content Design at the Component Level 257 13.4.2 Functional Design at the Component Level 257 13.5 Designing Traditional Components 257 13.6 Component-Based Development 258 13.6.1 Domain Engineering 259 13.6.2 Component Qualification, Adaptation, and Composition 259 1363 Architectural Mismatch 261 13.64

Analysis and Design for Reuse 262

13.6.5 Classifying and Retrieving Components 262

PROBLEMS AND POINTS TO PONDER 264

FURTHER READINGS AND INFORMATION SOURCES 264

## CHAPTER 14 USER INTERFACE DESIGN 266

201.00-0-0-0-0-0-0-0-0-0-0-0-0-0-0-0-0-0-		
14.1	The Golde	n Rules 267
	14.1.1	Place the User in Control 267
	14.1.2	Reduce the User's Memory Load 268
	14.1.3	Make the Interface Consistent 270
14.2	User Interfe	ace Analysis and Design 271
	14.2.1	Interface Analysis and Design Models 271
	14.2.2	The Process 272
14.3	Interface A	analysis 274
	14.3.1	User Analysis 274
	14.3.2	Task Analysis and Modeling 275
	14.3.3	Analysis of Display Content 280
	14.3.4	Analysis of the Work Environment 280
14.4	Interface D	Pesign Steps 281
	14.4.1	Applying Interface Design Steps 281
	14.4.2	User Interface Design Patterns 283
	14.4.3	Design Issues 284
14.5	Design Evo	aluation 286
PROBLEMS	S AND POINTS	to ponder 288
FURTHER	readings and	INFORMATION SOURCES 289

### PART THREE QUALITY MANAGEMENT 291

# CHAPTER 15 QUALITY CONCEPTS 292

15.1	What Is G	Quality? 293
15.2	Software (	Quality 294
	15.2.1	Garvin's Quality Dimensions 295
	15.2.2	McCall's Quality Factors 296
	15.2.3	ISO 9126 Quality Factors 298
	15.2.4	Targeted Quality Factors 298
	15.2.5	The Transition to a Quantitative View 300
15.3	The Softwo	are Quality Dilemma 300
	15.3.1	"Good Enough" Software 301
	15.3.2	The Cost of Quality 302
	15.3.3	Risks 304
	15.3.4	Negligence and Liability 305
	15.3.5	Quality and Security 305
	15.3.6	The Impact of Management Actions 306
15.4	Achieving	Software Quality 307
	15.4.1	Software Engineering Methods 307
	15.4.2	Project Management Techniques 307
	15.4.3	Quality Control 307
	15.4.4	Quality Assurance 308
PROBLEM	S AND POINTS	to ponder 308

FURTHER READINGS AND INFORMATION SOURCES 309

16.1	Background Issues 311	
16.2	Elements of Software Quality Assurance 312	
6.3	SQA Processes and Product Characteristics 314	
6.4	SQA Tasks, Goals, and Metrics 314	
	16.4.1 SQA Tasks 315	
	16.4.2 Goals, Attributes, and Metrics 316	
6.5	Formal Approaches to SQA 318	
6.6	Statistical Software Quality Assurance 318	
	16.6.1 A Generic Example 319	
	16.6.2 Six Sigma for Software Engineering 320	
6.7	Software Reliability 321	
	16.7.1 Measures of Reliability and Availability 321	
	16.7.2 Software Safety 322	
6.8	The ISO 9000 Quality Standards 323	
6.9	The SQA Plan 325	
6.10	A Framework for Product Metrics 325	
	16.10.1 Measures, Metrics, and Indicators 325	
	16.10.2 The Challenge of Product Metrics 326	
	16.10.3 Measurement Principles 327	
	16.10.4 Goal-Oriented Software Measurement 327	
	16.10.5 The Attributes of Effective Software Metrics 328	
ROBLEMS	S AND POINTS TO PONDER 329	
	s and points to ponder 329 readings and information sources 330	
urther r		į
urther r	READINGS AND INFORMATION SOURCES 330 PTER 17 SOFTWARE TESTING STRATEGIES 332	:
URTHER R	READINGS AND INFORMATION SOURCES 330	:
URTHER R	PTER 17 SOFTWARE TESTING STRATEGIES 332  A Strategic Approach to Software Testing 332  17.1.1 Verification and Validation 334	:
URTHER R	A Strategic Approach to Software Testing 332  17.1.1 Verification and Validation 334 17.1.2 Organizing for Software Testing 334	:
URTHER R	A Strategic Approach to Software Testing 332  17.1.1 Verification and Validation 334 17.1.2 Organizing for Software Testing 334 17.1.3 Software Testing Strategy—The Big Picture 335	
URTHER R	A Strategic Approach to Software Testing 332  17.1.1 Verification and Validation 334 17.1.2 Organizing for Software Testing 334 17.1.3 Software Testing Strategy—The Big Picture 335	
URTHER RUSHAP	A Strategic Approach to Software Testing 332  A Strategic Approach to Software Testing 332  17.1.1 Verification and Validation 334  17.1.2 Organizing for Software Testing 334  17.1.3 Software Testing Strategy—The Big Picture 335  17.1.4 Criteria for Completion of Testing 338  Strategic Issues 338	
URTHER R CHAP 17.1	A Strategic Approach to Software Testing 332  A Strategic Approach to Software Testing 332  17.1.1 Verification and Validation 334  17.1.2 Organizing for Software Testing 334  17.1.3 Software Testing Strategy—The Big Picture 335  17.1.4 Criteria for Completion of Testing 338	
URTHER R CHAP 17.1	A Strategic Approach to Software Testing 332  A Strategic Approach to Software Testing 332  17.1.1 Verification and Validation 334  17.1.2 Organizing for Software Testing 334  17.1.3 Software Testing Strategy—The Big Picture 335  17.1.4 Criteria for Completion of Testing 338  Strategic Issues 338  Test Strategies for Conventional Software 339  17.3.1 Unit Testing 339	
URTHER R CHAP 17.1	A Strategic Approach to Software Testing 332  17.1.1 Verification and Validation 334 17.1.2 Organizing for Software Testing 334 17.1.3 Software Testing Strategy—The Big Picture 335 17.1.4 Criteria for Completion of Testing 338 Strategic Issues 338 Test Strategies for Conventional Software 339 17.3.1 Unit Testing 339 17.3.2 Integration Testing 341	
URTHER R CHAP 17.1	A Strategic Approach to Software Testing 332  17.1.1 Verification and Validation 334  17.1.2 Organizing for Software Testing 334  17.1.3 Software Testing Strategy—The Big Picture 335  17.1.4 Criteria for Completion of Testing 338  Strategic Issues 338  Test Strategies for Conventional Software 339  17.3.1 Unit Testing 339  17.3.2 Integration Testing 341  Test Strategies for Object-Oriented Software 347	
URTHER R	A Strategic Approach to Software Testing 332  17.1.1 Verification and Validation 334  17.1.2 Organizing for Software Testing 334  17.1.3 Software Testing Strategy—The Big Picture 335  17.1.4 Criteria for Completion of Testing 338  Strategic Issues 338  Test Strategies for Conventional Software 339  17.3.1 Unit Testing 339  17.3.2 Integration Testing 341  Test Strategies for Object-Oriented Software 347  17.4.1 Unit Testing in the OO Context 347	
URTHER R CHAP 17.1 17.2 17.3	A Strategic Approach to Software Testing 332  17.1.1 Verification and Validation 334  17.1.2 Organizing for Software Testing 334  17.1.3 Software Testing Strategy—The Big Picture 335  17.1.4 Criteria for Completion of Testing 338  Strategic Issues 338  Test Strategies for Conventional Software 339  17.3.1 Unit Testing 339  17.3.2 Integration Testing 341  Test Strategies for Object-Oriented Software 347  17.4.1 Unit Testing in the OO Context 347  17.4.2 Integration Testing in the OO Context 347	
7.1 7.2 7.3	A Strategic Approach to Software Testing 332  17.1.1 Verification and Validation 334  17.1.2 Organizing for Software Testing 334  17.1.3 Software Testing Strategy—The Big Picture 335  17.1.4 Criteria for Completion of Testing 338  Strategic Issues 338  Test Strategies for Conventional Software 339  17.3.1 Unit Testing 339  17.3.2 Integration Testing 341  Test Strategies for Object-Oriented Software 347  17.4.1 Unit Testing in the OO Context 347	
7.1 7.2 7.3	A Strategic Approach to Software Testing 332  17.1.1 Verification and Validation 334  17.1.2 Organizing for Software Testing 334  17.1.3 Software Testing Strategy—The Big Picture 335  17.1.4 Criteria for Completion of Testing 338  Strategic Issues 338  Test Strategies for Conventional Software 339  17.3.1 Unit Testing 339  17.3.2 Integration Testing 341  Test Strategies for Object-Oriented Software 347  17.4.1 Unit Testing in the OO Context 347  17.4.2 Integration Testing in the OO Context 347  Validation Testing 348  17.5.1 Validation-Test Criteria 348	
7.1 7.2 7.3	A Strategic Approach to Software Testing 332  A Strategic Approach to Software Testing 332  17.1.1 Verification and Validation 334  17.1.2 Organizing for Software Testing 334  17.1.3 Software Testing Strategy—The Big Picture 335  17.1.4 Criteria for Completion of Testing 338  Strategic Issues 338  Test Strategies for Conventional Software 339  17.3.1 Unit Testing 339  17.3.2 Integration Testing 341  Test Strategies for Object-Oriented Software 347  17.4.1 Unit Testing in the OO Context 347  17.4.2 Integration Testing in the OO Context 347  Validation Testing 348  17.5.1 Validation-Test Criteria 348  17.5.2 Configuration Review 349	
CHAP 17.1 17.2 17.3 17.4	A Strategic Approach to Software Testing 332  A Strategic Approach to Software Testing 332  17.1.1 Verification and Validation 334  17.1.2 Organizing for Software Testing 334  17.1.3 Software Testing Strategy—The Big Picture 335  17.1.4 Criteria for Completion of Testing 338  Strategic Issues 338  Test Strategies for Conventional Software 339  17.3.1 Unit Testing 339  17.3.2 Integration Testing 341  Test Strategies for Object-Oriented Software 347  17.4.1 Unit Testing in the OO Context 347  17.4.2 Integration Testing in the OO Context 347  Validation Testing 348  17.5.1 Validation-Test Criteria 348  17.5.2 Configuration Review 349	
URTHER R CHAP 17.1 17.2 17.3	A Strategic Approach to Software Testing 332  A Strategic Approach to Software Testing 332  17.1.1 Verification and Validation 334  17.1.2 Organizing for Software Testing 334  17.1.3 Software Testing Strategy—The Big Picture 335  17.1.4 Criteria for Completion of Testing 338  Strategic Issues 338  Test Strategies for Conventional Software 339  17.3.1 Unit Testing 339  17.3.2 Integration Testing 341  Test Strategies for Object-Oriented Software 347  17.4.1 Unit Testing in the OO Context 347  17.4.2 Integration Testing in the OO Context 347  Validation Testing 348  17.5.1 Validation-Test Criteria 348  17.5.2 Configuration Review 349  17.5.3 Alpha and Beta Testing 349	
CHAP 17.1 17.2 17.3 17.4	A Strategic Approach to Software Testing 332  A Strategic Approach to Software Testing 332  17.1.1 Verification and Validation 334  17.1.2 Organizing for Software Testing 334  17.1.3 Software Testing Strategy—The Big Picture 335  17.1.4 Criteria for Completion of Testing 338  Strategic Issues 338  Test Strategies for Conventional Software 339  17.3.1 Unit Testing 339  17.3.2 Integration Testing 341  Test Strategies for Object-Oriented Software 347  17.4.1 Unit Testing in the OO Context 347  17.4.2 Integration Testing in the OO Context 347  Validation Testing 348  17.5.1 Validation-Test Criteria 348  17.5.2 Configuration Review 349  17.5.3 Alpha and Beta Testing 349  System Testing 350	
CHAP 17.1 17.2 17.3 17.4	A Strategic Approach to Software Testing 332  17.1.1 Verification and Validation 334 17.1.2 Organizing for Software Testing 334 17.1.3 Software Testing Strategy—The Big Picture 335 17.1.4 Criteria for Completion of Testing 338 Strategic Issues 338 Test Strategies for Conventional Software 339 17.3.1 Unit Testing 339 17.3.2 Integration Testing 341 Test Strategies for Object-Oriented Software 347 17.4.1 Unit Testing in the OO Context 347 17.4.2 Integration Testing in the OO Context 347 17.4.2 Integration Testing in the OO Context 347 17.5.1 Validation-Test Criteria 348 17.5.1 Validation-Test Criteria 348 17.5.2 Configuration Review 349 17.5.3 Alpha and Beta Testing 349 System Testing 350 17.6.1 Recovery Testing 350	
CHAP 17.1 17.2 17.3 17.4	A Strategic Approach to Software Testing 332  A Strategic Approach to Software Testing 332  17.1.1 Verification and Validation 334  17.1.2 Organizing for Software Testing 334  17.1.3 Software Testing Strategy—The Big Picture 335  17.1.4 Criteria for Completion of Testing 338  Strategic Issues 338  Test Strategies for Conventional Software 339  17.3.1 Unit Testing 339  17.3.2 Integration Testing 341  Test Strategies for Object-Oriented Software 347  17.4.1 Unit Testing in the OO Context 347  17.4.2 Integration Testing in the OO Context 347  Validation Testing 348  17.5.1 Validation-Test Criteria 348  17.5.2 Configuration Review 349  17.5.3 Alpha and Beta Testing 349  System Testing 350  17.6.1 Recovery Testing 350  17.6.2 Security Testing 351	
7.1 7.2 7.3 7.4	A Strategic Approach to Software Testing 332  17.1.1 Verification and Validation 334 17.1.2 Organizing for Software Testing 334 17.1.3 Software Testing Strategy—The Big Picture 335 17.1.4 Criteria for Completion of Testing 338 Strategic Issues 338 Test Strategies for Conventional Software 339 17.3.1 Unit Testing 339 17.3.2 Integration Testing 341 Test Strategies for Object-Oriented Software 347 17.4.1 Unit Testing in the OO Context 347 17.4.2 Integration Testing in the OO Context 347 Validation Testing 348 17.5.1 Validation-Test Criteria 348 17.5.2 Configuration Review 349 17.5.3 Alpha and Beta Testing 349 System Testing 350 17.6.1 Recovery Testing 351 17.6.2 Security Testing 351 17.6.3 Stress Testing 351	
CHAP 17.1 17.2 17.3 17.4	A Strategic Approach to Software Testing 332  17.1.1 Verification and Validation 334 17.1.2 Organizing for Software Testing 334 17.1.3 Software Testing Strategy—The Big Picture 335 17.1.4 Criteria for Completion of Testing 338 Strategic Issues 338 Test Strategies for Conventional Software 339 17.3.1 Unit Testing 339 17.3.2 Integration Testing 341 Test Strategies for Object-Oriented Software 347 17.4.1 Unit Testing in the OO Context 347 17.4.2 Integration Testing in the OO Context 347 Validation Testing 348 17.5.1 Validation-Test Criteria 348 17.5.2 Configuration Review 349 17.5.3 Alpha and Beta Testing 349 System Testing 350 17.6.1 Recovery Testing 351 17.6.3 Stress Testing 351 17.6.4 Performance Testing 352	

17.7.2 Psychological Considerations 354 17.7.3 Debugging Strategies 355

17.7.4 Correcting the Error 357

PROBLEMS AND POINTS TO PONDER 357

FURTHER READINGS AND INFORMATION SOURCES 358

#### CHAPTER 18 TESTING CONVENTIONAL APPLICATIONS

18.1 Software Testing Fundamentals 361 18.2 Internal and External Views of Testing 363 18.3 White-Box Testing 364 18.4 Basis Path Testina 364 1841 Flow Graph Notation 364 1842 Independent Program Paths 366 18.4.3 Deriving Test Cases 368 18.5 Control Structure Testing 370 18.6 Black-Box Testing 372 1861 Equivalence Partitioning 372 18.6.2 Boundary Value Analysis 373

18.7 Model-Based Testing 374

PROBLEMS AND POINTS TO PONDER 375

FURTHER READINGS AND INFORMATION SOURCES 375

#### CHAPTER 19 TESTING OBJECT-ORIENTED APPLICATIONS 377

- 19.1 Broadening the View of Testing 378
- Testing OOA and OOD Models 379 19.2
  - 19.2.1 Correctness of OOA and OOD Models 379
  - Consistency of Object-Oriented Models 19.2.2
- 19.3 Object-Oriented Testing Strategies 382
  - 19.3.1 Unit Testing in the OO Context 382
  - Integration Testing in the OO Context 383 19.3.2
  - 19.3.3 Validation Testing in an OO Context 383
- 19.4 Object-Oriented Testing Methods 383
  - 19.4.1 The Test-Case Design Implications of OO Concepts 384
  - 1942 Applicability of Conventional Test-Case Design Methods 385
  - 19.4.3 Fault-Based Testing 385
  - 19.4.4 Scenario-Based Test Design 386
- 19.5 Testing Methods Applicable at the Class Level 386
  - 19.5.1 Random Testing for OO Classes 386
  - 19.5.2 Partition Testing at the Class Level 387
- 19.6 Interclass Test-Case Design 388
  - Multiple Class Testing 388 19.6.1
  - Tests Derived from Behavior Models 390 19.6.2

PROBLEMS AND POINTS TO PONDER 391

FURTHER READINGS AND INFORMATION SOURCES 392

#### SECURITY ENGINEERING 393 CHAPTER 20

- 20.1 Analyzing Security Requirements 394
- Security and Privacy in an Online World 395 20.2