SECOND EDITION

INTRODUCTION to

FORENSIC PSYCHOLOGY

Research and Application

CURT R. BARTOL - ANNE M. BARTOL



SECOND EDITION

INTRODUCTION to

FORENSIC PSYCHOLOGY

Research and Application

CURT R. BARTOL - ANNE M. BARTOL



Los Angeles . London . New Delhi . Singapore

Copyright @ 2008 by Sage Publications, Inc.

All rights reserved. No part of this book may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying, recording, or by any information storage and retrieval system, without permission in writing from the publisher.

For information:



Sage Publications, Inc. 2455 Teller Road Thousand Oaks, CA 91320 E-mail: order@sagepub.com Sage Publications India Pvt. Ltd. B 1/I 1 Mohan Cooperative Industrial Area Mathura Road, New Delhi 110 044 India

Sage Publications Ltd. 1 Oliver's Yard 55 City Road London EC1Y 1SP United Kingdom Sage Publications Asia-Pacific Pte, Ltd. 33 Pekin Street #02-01 Far East Square Singapore 048763

Printed in the United States of America.

Library of Congress Cataloging-in-Publication Data

Bartol, Curt R., 1940-

Introduction to forensic psychology: research and application/Curt R. Bartol, Anne M. Bartol.—2nd ed.

p. cm.

Includes bibliographical references and index.

ISBN 978-1-4129-5830-1 (cloth)

1. Forensic psychology. I. Bartol, Anne M. II. Title.

This book is printed on acid-free paper.

RA1148.B37 2008 614'.15--dc22

2007052835

08 09 10 11 12 10 9 8 7 6 5 4 3 2 1

Acquisitions Editor: Jerry Westby Editorial Assistant: Eve Oettinger Production Editor: Karen Wiley Copy Editor: Teresa Herlinger C&M Digitals (P) Ltd. Typesetter: Andrea Martin Proofreader: Curt R. Bartol Indexer: Cover Designer: Candice Harman

Marketing Manager: Jennifer Reed Banando

SECOND EDITION

FORENSIC PSYCHOLOGY

Dedicated to Shannon
Sunnier than the sun, brighter than the stars, deeper than the sea

此为试读,需要完整PDF请访问: www.ertongbook.com



Preface

This text is designed to be a core text in courses in forensic psychology, including those enrolling criminal justice majors and social work majors. However, the book is also addressed to general readers and mental health professionals seeking a basic overview of the field. Although many people associate forensic psychology with criminal profiling, crime scene investigations, and serial murder, the field is much broader in scope. In fact, forensic psychology is an engaging yet difficult field to survey because of its topical diversity, wide range of application, and very rapid growth. For our purposes, forensic psychology will refer *broadly* to the production and application of psychological knowledge and research findings to the civil and criminal justice systems. The book is organized around five major subareas of the field: (1) police psychology, (2) psychology and law or legal psychology, (3) the psychology of crime and delinquency, (4) victimology and victim services, and (5) correctional psychology (including institutional and community corrections for both adults and juveniles). Victimology and victim services represent the newest areas in which forensic psychologists are beginning to participate in increasing numbers.

The text concentrates on the *application* side of the field, focusing on research-based forensic practice. Throughout the book, we emphasize the professional application of psychological knowledge, concepts, and principles to both the civil and criminal justice systems. The topics included in the text are largely dictated by what forensic psychologists and psychologists practicing in forensic settings do on a day-to-day basis. Consequently, we cover such subjects as threat assessment for schools, child custody evaluations, competency evaluations of criminal defendants and of the elderly, counseling services to victims of crime, death notification procedures, screening and selection of law enforcement applicants, the assessment of posttraumatic stress disorder, and the delivery and evaluation of intervention and treatment programs for juvenile and adult offenders. The practice of forensic psychology involves investigations, research studies, assessments, consultation, the design and implementation of treatment programs, and expert courtroom testimony.

One of the major goals of the text is to expose readers to the many careers related to forensic psychology. From our years of teaching, it has become apparent that an overriding concern of many students is to discover what kinds of employment opportunities are available in their chosen major or favorite subject area. In an effort to answer some of these questions, most chapters contain boxed features that provide examples of the various careers in forensic psychology or include samples of employment ads that describe the educational and other requirements for the various

positions. The first chapter is especially focused on the career base of forensic psychology. Because students are often drawn to the word *forensic* without realizing the many branches of practice contained within the label, the chapter first covers the forensic sciences *in general*. It then moves on to the various levels of graduate training, internships, and fellowships relevant to forensic *psychology*. It will become very clear to aspiring forensic psychologists at the outset that they will have to pursue several years of graduate education (perhaps even postdoctoral training) and, in many cases, certification and licensure to practice. However, we also wish to emphasize that forensic psychology can be an enormously rewarding career filled with the personal satisfaction of knowing that one can make a difference in the lives of others.

Another major goal of the text is to emphasize the multicultural perspective that is an integral part of the day-to-day work of forensic psychologists. Well-trained forensic psychologists recognize that ethnic and racial sensitivity is critical to successful practice, and they know they must be constantly vigilant to the injustices that can result from a monocultural perspective. Therefore, throughout the book, we have tried to pay careful attention to the issue of cultural diversity, as well as to relevant issues involving mental and physical disabilities, sexual orientation, and gender discrimination.

Numerous topics in this book deserve far more attention than we have been able to give them here; other topics relevant to forensic practice are left uncovered. We have not reviewed extensively the empirical research or covered the legal and clinical ground that would be expected in a more advanced text. Nevertheless, we hope that this introductory material will prompt readers to explore topics of interest in more depth. The text should serve as an overview of the field of forensic psychology and an invitation to learn more about this very attractive and exciting career option.

Some features of the text should make it relatively accessible, even to readers without extensive background in psychology. Key terms appear in boldface in the text narrative (their definitions can be found in the glossary), and lists of key concepts and review questions appear at the end of each chapter. Every chapter begins with a list of objectives to help students obtain a sense of what is ahead. The text includes extensive references that will aid readers to find more material on the subject areas covered. Material in boxes not only provides more information about career options but also should prompt discussion on contemporary issues relevant to the practice of forensic psychology. For example, there are boxes relating to physician-assisted suicide, the mentally ill and homeless, sexual harassment, school shootings, expert testimony, and cognitive-behavioral treatment. Some boxes highlight decisions of the U.S. Supreme Court that are relevant to the practice of forensic psychology.

The second edition includes a number of changes, many of which were made at the recommendation of peer reviewers. The only structural change was a slight shift of chapters, with court-related chapters (Chapters 4, 5, and 6) moved up to follow the chapters on police and investigative psychology (Chapters 2 and 3). As mentioned above, we also added objectives at the beginning of each chapter. Review questions, some of which have been modified, now appear at the end of each chapter rather than at the end of the book as they did in the first edition.

The chapter on criminal behavior now includes more developmental and biological material, reflecting contemporary research interests, and less biologically based historical content, much of which is now irrelevant and out-of-date. We have also deleted much of the material on the Uniform Crime Reports (UCR), preserving only enough to provide students with a basic understanding of this FBI program. In the policing chapter, we have de-emphasized the first—and now antiquated—trend in police psychology. In addition to these changes, a number of topics have been either expanded or added. These include date rape, especially pertaining to college students; the relationship between mental disorders and crime and violence; sexual deviance; death penalty mitigation; restorative justice initiatives; arson and typologies of juvenile firesetters; sexual harassment; and criminal sentencing.

Acknowledgments

We are very grateful to the 12 academic and professional colleagues who reviewed the first edition of the book and offered detailed, chapter-by-chapter commentary and suggestions for revision. The reviewers were

Jacqueline Pope-Tarrence Western Kentucky University	Mary Ann Hooten Troy University	Robert P. Delprino Buffalo State College SUNY
	Lee Ellen Ayers	
Dale V. Doty	Southern Oregon	Dave Houston
Monroe Community	University	University of Memphis
College	1 11 11 16 - 26	Description of the same
	Jackie Helfgott	Russ Espinoza
Kelly Goodness	Seattle University	Ball State University
University of Dallas		
	Dennis Longmire	Robert Schug
Theresa A. Lichtman George Mason University	Sam Houston State University	Cal State Los Angeles

Jerry Westby, Sage executive editor, kept us on task with his gentle reminders that a second edition of the book was needed. He also arranged for an extensive review process that was invaluable in revising the book. If Jerry had not skillfully prodded, this new edition would probably still be in abeyance. Production editor Karen Wiley was always attentive to our needs and orchestrated the many behind-the-scenes activities of which we remain blissfully unaware. Our copy editor, Teresa Herlinger, surely ranks among the best we have known. Copy editors are wonderful people; they appear suddenly when authors believe a book is completed and manage to lead it gently, but firmly, to the *real* finish line. We have appreciated Teresa's professionalism, responsiveness, patience, and friendly e-mails. Finally, we thank the other folks at Sage who were involved in the final production and whose work is indispensable.

Between the appearance of the first and second edition of this text, we have experienced many good changes. Fortunately, the most important constant in our lives continues to be the joy and contentment we get from our immediate family: Gina, Ian, Soraya, Jim, Kai, Madeleine, Darya, and Shannon. Once again, we acknowledge their love, encouragement, and fun-loving spirit.

Contents

Preface	xvii
I. INTRODUCTION	
I. Forensic Psychology: Introduction and Overview	2
The Forensic Sciences	3
Example of Forensic Science: Forensic Entomology	4
Another Example: Questioned Documents	5
Computer Evidence Recovery	6
Forensic Psychology: An Overview	7
Forensic Psychology Compared to Forensic Psychiatry	12
Careers in Psychology	13
Education and Training	14
Graduate Training: Master's Level	14
Graduate Training: Doctoral Level	15
Licensure	15
Employment	16
The Applied Specialties	16
Forensic Psychology as a New Specialty	18
Educational and Training Requirements in Forensic Psychology	18
Police Psychology: An Overview	19
Psychology of Crime and Delinquency	21
Forensic School Psychology	22
Victimology and Victim Services	23
Legal Psychology	25
Family Forensic Psychology	26
Correctional Psychology: An Overview	27
Defining Forensic Psychology: A Recap	29
Summary and Conclusions	30
Key Concepts	31
Questions for Review	31

II. POLICE AND INVESTIGATIVE PSYCHOLOGY

2. Police Psychology: History and Practice	34
Trends in Police Psychology	37
Beginnings of the Profession	37
The First Trend: Mental and Aptitude Testing	38
The Second Trend: Personality Assessment	40
Extent of Psychological Testing in Law Enforcement Agencies	40
Research on Personality Assessment	42
Situational Testing	47
Personality Measures of Police Corruption	48
Conclusions of Personality Testing for	
Law Enforcement Personnel	49
The Third Trend: Stress Management	51
Occupational Stressors in Law Enforcement	52
Types of Police Stress	52
Summary	54
The Fourth Trend: Fairness in Testing	55
Gender Issues in Policing	55
The Americans with Disabilities Act of 1990	57
Special Circumstances in Policing	59
Excessive Force	59
Dealing With the Mentally Disordered	62
Postshooting Traumatic Reactions	64
Fitness-for-Duty Evaluations	65
Police Suicide	67
Special Unit Evaluations	67
Hostage-Taking Incidents	68
Police Interrogation and False Confessions	71
Summary and Conclusions	75
Key Concepts	77
Questions for Review	78
3. Investigative Psychology	79
Profiling: The Psychological Sketch	80
Criminal Profiling	82
Dynamic and Static Risk Factors in Prediction	84
Additional Crime Scene Concepts	86
The Psychological Autopsy	88
Geographical Profiling and Geographical Mapping	91
Why Is Profiling So Inaccurate?	93
The Polygraph	96
A Brief History of the Polygraph	96
Current Usage of the Polygraph	97
Traditional Procedures Used by Polygraphers	98

Countermeasures	98
Research on the Polygraph	100
Forensic Hypnosis	100
Identifying the Offender: Other Procedures	104
Identifying the Face	104
Unconscious Transference	106
Own-Race Bias (ORB)	106
Pretrial Identification Methods	107
Lineups and Photospreads	107
Summary and Conclusions	109
Key Concepts	110
Questions for Review	111
III. PSYCHOLOGY AND THE COURTS	
4. Consulting and Testifying	114
Court Structure and Jurisdiction	115
Civil and Criminal Courts	119
The Judicial Process	120
The Pretrial Stage	121
The Trial Stage	123
The Disposition Stage	124
The Appellate Stage	124
Trial Consultation	128
Scientific Jury Selection	129
Witness Preparation	130
The Voir Dire	131
Trial Consultation: The Main Concerns	132
Expert Testimony	132
Expert Certification	133
Legal Standards for the Admission of Scientific Evidence	134
The Confidentiality Issue Ultimate Issue or Ultimate Opinion Testimony	137 139
Surviving the Witness Stand	141
The Assessment of Risk	142
Communication of Risk	144
Risk Assessment Instruments	145
Summary and Conclusions	147
Key Concepts	148
Questions for Review	149
5. Consulting With Criminal Courts	150
Preventive Detention	152
Criminal Competencies	152
Legal Standard for Competency	153

Evaluating Adjudicative Competence	155
Competency Assessment Instruments	157
Assessment of Malingering	159
Restoration to Competence	161
Drugs and the Incompetent Defendant	163
Insanity	164
Assessment of Criminal Responsibility	167
Insanity Trials	168
Treatment of Defendants Found Not Guilty	
by Reason of Insanity	168
Sentencing Evaluations	170
Risk Assessment	171
Capital Sentencing	173
Sex Offender Sentencing	176
Civil Commitment of Sexually Violent Predators	177
Summary and Conclusions	180
Key Concepts	181
Questions for Review	182
6. Consulting With Juvenile and Civil Courts	183
Juvenile Courts	184
Historical Background	185
Supreme Court Decisions	188
Juvenile Assessment: An Overview	189
Assessment of Competence to Waive Miranda Rights	191
False Confessions	193
Evaluating Adjudicative Competence	194
MacArthur Juvenile Competence Study	195
The Lawyer-Client Relationship	196
Amenability to Rehabilitation	198
Waiver Decisions	198
Disposition Decisions	199
Conducting the Evaluation	200
Family and Probate Courts	202
Child Custody Evaluations	202
Child Custody Standards	204
Methods of Evaluation in Child Custody Cases	205
Visitation Risk Assessments	206
Research on Custody Arrangements	207
Decisional Competency	207
Testamentary Capacity	207
Competence to Consent to Treatment	208
Measure of Competence to Consent to Treatment	208
Incapacitation: Special Condition	209
Involuntary Civil Commitment	211

Outpatient Civil Commitment	212
Role of Forensic Psychologists	213
Other Civil Disputes	214
Sexual Harassment	215
Psychological Measures of Sexual Harassment	217
Private Life Issues in Sexual Harassment Cases	218
Employment Compensation and Personal Injury Claims	218
Summary and Conclusions	220
Key Concepts	222
Questions for Review	222
IV. CRIMINAL PSYCHOLOGY	
7. The Development of Habitual Criminal Behavior	224
The Juvenile Offender	226
Definition of Juvenile Delinquency	226
The Nature and Extent of Juvenile Offending	227
The Uniform Crime Reports	229
The Developmental Perspective	231
Developmental Theory	231
The Moffitt Theory	231
Coercion Developmental Model	233
Developmental Factors in the Formation of	
Crime and Delinquency	235
Attention Deficit/Hyperactivity	
Disorder (ADHD) and Delinquency	235
Conduct Disorders	237
Cognitive Ability and Crime	239
Intelligence	239
Language Development	241
Self-Regulation Skills	242
Executive Functions	243
Deficient Interpersonal Skills and Peer Rejection	243
Biological Factors	244
Temperament	244
Genetic Influences	245
Brain Chemistry	245
Hormones and Aggression	246
Other Social Developmental Influences	246
School Violence	247
Threat Assessment	247
Juvenile Firesetting	250
Developmental Stages of Firesetting	251
Firesetting Typologies	252
The Criminal Psychopath	252

	General Behavioral Characteristics of Psychopaths Antisocial Personality Disorder and Psychopathy Prevalence of Criminal Psychopathy Offending Patterns of Criminal Psychopaths Psychological Measures of Psychopathy Core Factors of Psychopathy Juvenile Psychopathy The Female Psychopath Racial/Ethnic Differences Summary and Conclusions Key Concepts Questions for Review	253 253 254 254 256 257 258 260 261 262 264
8	Psychology of Violence and Intimidation	264 265
-51	UCR Data on Violent Crime	267
	Gender, Race, and Ethnic Differences in Criminal Violence	268
	Theoretical Perspectives on Violence	271
	The Causes of Violence	272
	The Effects of Violent Media	274
	Violent Video Games	275
	Criminal Homicide	276
	Multiple Murder	278
	Serial Killers	280
	Serial Killer Typologies	284
	Mass Murder	286
	Workplace Violence	287
	Hate or Bias Crimes	289
	Stalking: The Crime of Intimidation	291
	When Does Stalking Usually Stop?	295
	Predictions of Violence in Stalking Cases	296
	Cyberstalking	296
	Summary and Conclusions	297 299
	Key Concepts Questions for Review	300
9.	Psychology of Sexual Assault	301
	Definitions of Sexual Assault and Rape	302
	Governmental Definitions	303
	Prevalence and Incidence of Rape and Other Sexual Assaults	305
	Date Rape	305
	Demographics of Men Who Rape	306
	Typologies of Men Who Rape The Massachusetts Treatment Center Repist Typology	307
	The Massachusetts Treatment Center Rapist Typology	308 309
	Aggression Impulsivity	309
	impunity	209

Social Competence	309
Sexual Fantasies	310
Sadism	310
Naive Cognitions or Beliefs	311
The MTC:R3	313
Summary	318
The Groth Rapist Typology	318
Pedophilia	320
Definitions of Pedophilia	320
Some Demographics of Child Molesters	320
The MTC:CM3	322
The Groth Typology	325
Juvenile Sex Offenders	326
Female Juvenile Sex Offenders	328
Conclusions and Future Directions	328
Recidivism Rates of Sex Offenders	328
Age Factors	329
Recidivism of Juvenile Sex Offenders	329
Assessment of Sex Offenders	329
Assessing Deviant Sexual Arousal	331
Summary and Conclusions	332
Key Concepts	335
Questions for Review	335
V. VICTIMOLOGY AND VICTIM SERVICES	
10. Forensic Psychology and the Victims of Crime	338
Multiculturalism and Victimization	339
Victims With Disabilities	341
Empirically Supported Treatments and Multiculturalism	342
Legal Rights of Victims	343
Victims in Civil Courts	344
Victims in the Criminal Courts	344
Notification	345
Allocution	345
Compensation	346
Shield Laws	347
Restorative Justice	348
Crime Victimization Data	348
Ethnic/Minority Differences in Victimization	349
Psychological Effects of Criminal Victimization	351
Psychological Impact of Violence	351
Posttraumatic Stress Disorder (PTSD)	352
Homicide Victimization	355
Relationship of the Offender to the Victim	356

Psychological Impact of Abduction	401
Elder Abuse and Neglect	402
Roles of the Forensic Psychologist in Elder Abuse Cases	403
Summary and Conclusions	404
Key Concepts	406
Questions for Review	406
VI. CORRECTIONAL PSYCHOLOGY	
12. Correctional Psychology in Adult Settings	408
Institutional Corrections	409
Overview of Correctional Facilities	411
Legal Rights of Inmates	414
Right to Treatment	415
Right to Refuse Treatment	417
Right to Rehabilitation	418
Prison Transfers	419
Inmates With Mental Disorders	420
Privacy and Confidentiality	420
Solitary Confinement	422
Pretrial Detainees	423
Correctional Psychologists	424
Psychological Assessment in Corrections	426
Initial Inmate Screening and Classification	427
Needs Assessment	428
Release Decision Making	429
Use of Risk Assessment Instruments	429
Crisis Intervention	430
Assessment of Correctional Personnel	430
Competency to Be Executed	431
Treatment and Rehabilitation in Correctional Facilities	432
Common Psychological Treatment in Corrections	434
Behavioral Models	434
Cognitive-Behavioral Models	434
Treatment of Special Populations	435
Substance Abusing Offenders Violent Offenders	435
	436
Criminal Psychopaths Sex Offender Treatment	438
Female Offenders	439
Treatment in Jail Settings	441 442
Treatment in Jan Settings Treatment and Counseling of Correctional Officers	442
Obstacles to the Treatment of Inmates and Staff	445
Confidentiality	44.
Coercion	440
Cocicion	1.1(

此为试读,需要完整PDF请访问: www.ertongbook.com