

The Measure of American Elections

Edited by
Barry C. Burden and Charles Stewart III

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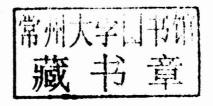
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THE MEASURE OF AMERICAN ELECTIONS

Policy making in the realm of elections is too often grounded in anecdotes and opinions rather than in good data and scientific research. To remedy this, *The Measure of American Elections* brings together a dozen leading scholars to examine the performance of elections across the United States, using a data-driven perspective. This book represents a transformation in debates about election reform, away from partisan and ideological posturing and toward using scientific analysis to evaluate the conduct of contemporary elections. The authors harness the power of newly available data to document all aspects of election administration, ranging from the registration of voters to the counting of ballots. They demonstrate what can be learned from giving serious attention to data, measurement, and objective analysis of American elections.

Barry C. Burden is a professor of political science at the University of Wisconsin–Madison. He is the author of *Personal Roots of Representation* (2007) and coauthor of *Why Americans Split Their Tickets* (2002, with David C. Kimball). Burden has written or cowritten more than thirty-five articles in peer-reviewed journals, including the *American Political Science Review*, the *American Journal of Political Science*, *Legislative Studies Quarterly*, *Public Opinion Quarterly*, and *Electoral Studies*.

Charles Stewart III is the Kenan Sahin Distinguished Professor of Political Science and the former head of the political science department at the Massachusetts Institute of Technology. He is the author of *Budget Reform Politics* (1989) and *Analyzing Congress* (2nd ed., 2011) and the coauthor of *Fighting for the Speakership* (2013, with Jeffrey Jenkins). Stewart's writing has appeared in the *American Journal of Political Science*, the *Journal of Politics*, *Political Research Quarterly*, Legislative Studies Quarterly, the Election Law Journal, and Harvard Law Review.

CAMBRIDGE STUDIES IN ELECTION LAW AND DEMOCRACY

Recent developments have pushed elections scholarship in new directions. As a result, interdisciplinary work has flourished and political scientists and law professors have developed a more sophisticated sense of the relationship between law and politics. This series seeks to create an intellectual road map for the field, one that systematically examines the issues confronting both mature and emerging democracies. It will chart those new intellectual paths to spur interdisciplinary work, to identify productive ways in which scholars' research agendas connect to policy makers' reform agendas, and to disseminate this body of work to the growing audience interested in the intersection of law, politics, and democracy.

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Acknowledgments

Although our names are listed on the cover of the book, *The Measure of American Elections* is the product of many people and institutions. This book would have been impossible to produce just a few years ago because of a lack of data and intellectual community. Things have changed in dramatic fashion over the past decade.

The contemporary study of U.S. election administration can trace its origins to the meltdown in Florida following the 2000 presidential election. The recounts and legal disputes that took place over the thirty-five days between Election Day and the Supreme Court's resolution in *Bush v. Gore* illuminated just how little systematic knowledge existed about how states and localities manage elections.

The academic community responded in part through the creation of the CalTech-MIT Voting Technology Project (VTP) in 2001 and launch of the *Election Law Journal* in 2002. These new initiatives helped bring together scholars from multiple fields and established connections among scholars and election officials, the legal community, and advocates. The CalTech-MIT collaboration also led to the creation of a new metric, the "residual vote," to evaluate how successfully ballots were being counted.

The Help America Vote Act (HAVA) of 2002, passed into law as a direct response to the 2000 election, created the Election Assistance Commission and an invaluable source of data: the Election Administration and Voting Survey (EAVS). The EAVS has improved significantly since its first administration in 2004. It has become an indispensable source of data on election administration, covering everything from voter registration to provisional ballots and voting technology.

With growing availability of data came further initiatives to use those data to evaluate election administration. An opening salvo was the publication of Heather Gerken's The Democracy Index in 2009. The book proposed an index that would rank states based on how well they conducted elections. Among others, the book inspired the Election Initiatives team at the Pew Charitable Trusts to assemble an advisory group of scholars, election officials, and other experts to consider development of a similar index. (Charles Stewart III was heavily involved in that effort.) After two years of study and development, the group issued the first ever Election Performance Index (EPI). An elaboration of Gerken's initial proposal, the EPI produced performance scores for the states in the 2010 and 2012 elections. The scores drew on indicators from the EAVS, the Census Bureau, existing Pew reports, and the Survey of the Performance of American Elections (SPAE), funded by Pew and developed by the VTP research team. Release of the EPI was testament to the power of data to reveal truths about election performance and serve as a value diagnostic for improving elections.

As the EPI was coming to fruition, we convened a conference at MIT in the summer of 2012 that brought together the expert scholars who appear as contributors in this volume. They were asked to produce "white papers" on specific measures that had been considered for inclusion in the EPI. The careful scrutiny applied to those measures helped inform which elements appeared in the EPI and led to this edited volume. It is testimony to the integrity of the authors of the chapters that follow that all approached their intellectual task impartially and without anticipating what the Pew EPI development team wanted to hear. It is testimony to the rigor and thoughtfulness of the Pew Election Initiative team that they supported this project without hesitation from start to finish.

The burgeoning science of election administration continues to develop. The EAVS provides more complete and higher-quality data with each election cycle. The SPAE has now gathered survey-based measures for multiple elections. These two sources, along with the Current Population Survey, permit tracking of indicators over time in a way that was never before possible. States and localities are improving the transparency, reliability, and validity of the data they collect. A second edition of the EPI will be released in close chronological proximity to the publication of this volume, updating the analysis to include the 2012 presidential election. President Obama's bipartisan Commission on Election Administration's report, issued in December

2013, further highlighted the power of scientific analysis of election data to identify concerns and best practices for improving elections. Indeed, the Commission was given advance access to this book manuscript and heard testimony from many of its contributors.

There are many people to thank for their involvement in the project. The twelve experts who contributed to the volume represent the best in scholarship on election administration. We are grateful for their contributions. David Kimball, Martha Kropf, Michael McDonald, and Quin Monson provided excellent oral and written feedback as discussants at the MIT conference where the chapters were first presented. Stephen Pettigrew, a PhD student at Harvard, provided superb research assistance in support of the entire project. Justin de Benedictis-Kessner and James Dunham, PhD students at MIT, pitched in to provide invaluable assistance during the summer of 2012. Data-hungry researchers at Pew were especially helpful in providing an interface between the data sources and state election officials. Aleena Oberthur and Andreas Westgaard deserve a special note of commendation. Daniel Guenther of MIT helped to facilitate the production process.

The Election Initiatives team at the Pew Charitable Trusts has been pivotal in advancing the study of election administration. Their financial support made the EPI possible, facilitated the MIT conference, and has underwritten other valuable data-driven projects, including dissemination of election information to voters and modernization of voter registration. In particular, we single out David Becker, Sean Greene, and Zachary Markovits for their efforts to bring together scholars, practitioners, and advocates as part of a continual effort to improve elections.

This book is published as part of the Cambridge Studies in Election Law and Democracy series. We appreciate the endorsement of the series' editors, Guy-Uriel Charles, Heather Gerken, and Michael Kang. Finally, we thank the Press's law editor, John Berger, for his support and assistance with the project.

Contents

List	of Figures	page 1X
List	of Tables	xiii
List	of Contributors	XV
Ack	nowledgments	xvii
1.	Introduction to the Measure of American Elections BARRY C. BURDEN AND CHARLES STEWART III	1
2.	Registration and Voting: A View from the Top BARRY C. BURDEN	40
3.	Voter Registration: The Process and Quality of Lists STEPHEN ANSOLABEHERE AND EITAN HERSH	61
4.	Provisional Ballots MICHAEL J. HANMER AND PAUL S. HERRNSON	91
5.	Mail Ballots in the United States: Policy Choice and Administrative Challenges CHRISTOPHER B. MANN	113
6.	Voting from Abroad: Evaluating UOCAVA Voting THAD E. HALL	141
7-	Polling Place Practices and the Voting Experience ROBERT M. STEIN AND GREGG VONNAHME	166
8.	Disability and Election Policies and Practices LISA SCHUR AND DOUGLAS KRUSE	188

9.	The Performance of Election Machines and the Decline of Residual Votes in the United States CHARLES STEWART III	223
10.	Voter Confidence as a Metric of Election Performance PAUL GRONKE	248
11.	Election Data Transparency LONNA RAE ATKESON	271
Арр	endix	299
Refe	rences	333
Inde	X	347

Figures

2.1.	Registration and turnout rates in the states, 2008 and 2010	page 50
2.2.	Standard deviation of state voter turnout, 1980-2012	52
2.3.	Scatterplots of registration and turnout rates in 2008 and 2010	53
2.4.	Effects of voting laws on turnout in 2008 and 2010	56
3.1.	The accuracy of mailing addresses on voter registration files	71
3.2.	Birth date coverage on state voter files	74
3.3.	The distribution of voters' birthdays on registration files	75
3.4.	Discrepancies between voters counted as having voted and	
	ballots counted	77
3.5.	Identified deceased voters on registration lists	79
3.6.	The proportion of obsolete records on registration files	80
3.7.	Registered voters without a listed registration date	82
3.8.	Registered voters with a registration date listed as January 1	83
3.9.	Registration records estimated as undeliverable or deadwood,	
	or both	84
4.1.	Provisional ballot usage by state in 2012	102
4.2.	Provisional ballot usage by state in 2010	104
4.3.	Provisional ballot usage and acceptance rates by state in 2010	106
4.4.	Provisional ballot usage and acceptance rates by state in 2008	107
4.5.	Provisional voting usage rates in 2008 compared with 2010	
	by state	108
4.6.	Provisional voting acceptance rates in 2008 compared with	
	2010 by state	109
5.1.	Proportion of ballots cast by mail among total ballots cast in	
	2008, 2010, and 2012, by mail voting system	127
5.2.	Proportion of unreturned mail ballots among ballots sent in	
	2008, 2010, and 2012, by mail voting system	130

5.3.	ballots returned in 2008, 2010, and 2012, by mail voting system	133
5.4.	Proportion of mail ballots rejected because of missed deadline	
	among mail ballots returned in 2008, 2010, and 2012, by mail	
	voting system	134
5.5.	Proportion of mail ballots rejected because of no signature	
	among mail ballots returned in 2008, 2010, and 2012, by mail	
	voting system	135
6.1.	Number of ballots sent to UOCAVA voters by LEOs,	
_	2008 and 2010	154
6.2.	Relationship between UOCAVA ballot return rates,	-6-
_	2008 and 2010	161
6.3.	Relationship between UOCAVA ballot rejection rates,	162
6.	2008 and 2010	102
6.4.	Comparison of absentee and UOCAVA ballot return rates,	-6-
6 =	Comparison of absentee and UOCAVA ballot return rates,	163
6.5.	2010	164
6.6.	Comparison of absentee and UOCAVA ballot rejection rates,	104
0.0.	2010	165
7.1.	Polling place lines by state in 2008	178
7.2.	Ease of finding polling place locations by state in 2008	182
7.3.	Polling place ratings by state in 2008	184
9.1.	Nationwide estimated residual vote rate in presidential	104
9.1.	elections, 1988–2012	225
9.2.	Residual vote rate by state, 1996 and 2012	227
9.3.	Voting machine usage in the United States, 1988 to 2012,	22/
7.7.	as a percentage of voters	232
9.4.	Residual vote rates among Massachusetts towns adopting	-5-
J. T.	punch cards after 1976, compared with towns that never	
	switched from hand-counted paper ballots	236
9.5.	Residual vote rate of DREs used in Sarasota County, Florida,	-)0
, ,	in the thirteenth congressional district race, 2006, as a	
	function of the date on which the machine was	
	"cleared and tested"	243
9.6.	Distribution of residual vote rates in Massachusetts towns,	. 17
	2008 and 2012 (towns with turnout greater than 200)	245
10.1.	Voter confidence across the United States	258
10.2.	Voter confidence and approval of LEO	264
10.3.	Voter confidence, poll workers, and voting technology	265

Figures	xi

10.4.	The impact of poll worker evaluations compared with other	
	influences	266
10.5.	Poll worker quality, loser's regret, and vote fraud	267
11.1.	2012 EAVS completeness rates by state	284
11.2.	2012 EAVS completeness rates by state by section	285

Tables

1.1.	Comparing two states in 2012	page 24
3.1.	National summary statistics	69
3.2.	Pairwise correlation coefficients for Pew EPI measures	88
4.1.	Provisional voting measures in U.S. federal elections, 2004–2010	103
4.2.	Provisional ballot usage and acceptance rates across state	
	policies in 2010	110
5.1.	Definitions of systems for administering mail ballots	115
5.2.	Mail voting systems and mail voting data by state	117
5.3.	Measures of mail voting	123
6.1.	UOCAVA facility score criteria	145
6.2.	Technology use in UOCAVA voting, small and large	
	jurisdictions	147
6.3.	Data availability for EAC-based metrics of UOCAVA voting	153
6.4.	UOCAVA ballots sent out, by state by year	155
6.5.	UOCAVA ballots submitted for counting, by state by year	156
6.6.	Percent UOCAVA ballots rejected of ballots submitted, by	
	state by year	157
6.7.	Correlates for ballot return rates and ballot rejection rates	158
6.8.	2008 regressions, ballots not returned, and ballots not counted	159
6.9.	2010 regressions, ballots not returned, and ballots not counted	160
7.1.	Lines for early and Election Day voters in 2008	177
7.2.	Regression estimates for lines in 2008	181
7.3.	Ease of finding polling place by first-time and returning voter	
	in 2008	183
8.1.	Voter turnout by disability status	195
8.2.	Predicting voter turnout among eligible population	197
8.3.	Predicting voter turnout among registered voters	199
8.4.	Reported reasons for not being registered or voting	202

xiv Tables

8.5.	Predicting turnout with election practices, by disability status	211
8.6.	Predicting turnout with election practices, by type of disability	215
0_		217
8.7.	Predicting permanent illness or disability as reason for not	21
0.0	being registered	217
8.8.	Predicting registered but not voting because of illness	
	or disability	219
9.1.	Effect of voting technology on waiting time to vote, 2008 and	
	2012	229
9.2.	Effect of changing voting technology on the residual vote	
	rate, 1996–2012	239
9.3.	Effect of changing voting technology on the residual vote	
	rate in Massachusetts, 1960–2012	241
10.1.	Voter confidence levels	256
10.2.	Voter confidence and the election system	260
10.3.	Voter confidence and election audits	261
11.1.	EAVS completeness across fifteen items, 2008, 2010, and 2012	278
11.2.	EAVS completeness across all items in 2010 and 2012	282
11.3.	Google search phrases and results for states, 2012	289
11.4.	Summary of Google phrases, in percentages, 2012	290
11.5.	Availability of specific voter information on state	
	Web sites, 2012	291
11.6.	Summary of specific voter information on state Web sites	292
11.7.	How much did I like using the Web site?	294
11.8.	Are tools for looking up registration, finding a polling place	-94
11.0.	intuitive and efficient?	294
11.9.	Usability of Web site	294
A2.1.	Explaining voter turnout across the states	
A2.2.	Explaining voter registration across the states	320
A7.1.	Regression estimates for ease of finding location in 2008	321
,		322
A7.2.	Regression estimates for evaluation of polling place	
Λ	operations in 2008	323
A7.3.	Relationship between lines and evaluation of polling place in	
Α	2008	324
A7.4.	Logit estimates of polling place concerns among nonvoters in	
4.0	2008	325
A8.1.	Reliability of proposed performance measures	326
A8.2.	Validity of proposed performance measures	327
	The sources of voter confidence	329
A10.2.	The sources of voter confidence: Ordered probit results	331

Introduction to the Measure of American Elections

Barry C. Burden and Charles Stewart III

How good are American elections?

Where would one start in answering this question?

Whenever this question is posed, it is common to answer it from the position of deeply held beliefs, but rarely from the position of a systematic analysis of facts. These beliefs might arise from partisanship: a good election is one that my favored candidate wins. These beliefs might be chauvinistic: a good election is one run according to the rules of my community.

Rarely are these beliefs rooted in hard facts.

When facts intervene, they rarely are presented in a systematic fashion. Opinions about levels of voter fraud might be attributable to a viral YouTube video. Concerns about the effects of a new voter identification law might be informed by a reporter's interview with an activist who is eager to share stories about how voters she has talked with will be disenfranchised on Election Day. Satisfaction with a new electronic voting machine may be illustrated by a picture of a smiling citizen coming out of the precinct with an "I Voted" sticker stuck to her lapel. Disdain about the ability of local governments to run elections might follow from a newspaper article detailing yet another season of long lines when waiting to vote in Florida (or South Carolina or Maryland or ...). At its worst, this approach is evaluation by anecdote.

Consider instead how the question about the quality of American elections would be framed if first we asked about other policy domains: "How good are America's prisons?" or "How good are America's schools?" or "How good is America's health care system?" Some people surely would respond based on fact-free beliefs; others would respond with a random story about the experience that one's cousin had with one of these institutions. However, it would not be difficult to discover that in

1