

Quotient Space Based Problem Solving

A Theoretical Foundation of Granular Computing

基于商空间的问题求解 粒度计算的理论基础

张铃 张钺 著

Ling Zhang and Bo Zhang

清华大学出版社





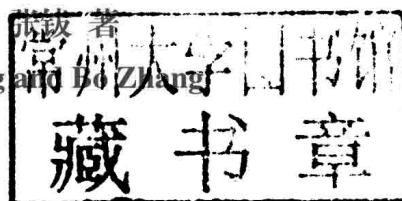
Quotient Space Based Problem Solving

A Theoretical Foundation of Granular Computing

基于商空间的问题求解 粒度计算的理论基础

张铃 张钊 著

Ling Zhang and Bo Zhang



清华大学出版社
北京

内容简介

本书针对人类问题求解的特点建立一个基于商空间的数学模型,这个模型也是分层多粒度计算的理论基础。该理论能有效地解析目前已有的多粒度分析方法,如小波分析、分形几何和模糊集理论等;不仅适用于以问题求解为代表的深人思考的行为,同时也适用于人类的感知,如视觉信息处理等。

本书共分7章和2个附录。第1章讲述问题的描述方法,关键是不同粒度世界的描述。第2章讲述分层与多粒度计算,重点是其数学模型,多粒度计算与计算复杂性、模糊分析的关系,以及它的应用。第3章多粒度计算中信息合成的数学模型,并由此导出合成的原则和方法。第4章多粒度世界中的推理,包括推理模型,不确定性与粒度的关系,推理网络、定性推理与模糊推理等。第5章自动空间规划,包括装配序列的自动产生,运动规划中的几何与拓扑方法,降维法及其应用。第6章介绍统计启发式搜索方法,分析它的理论、计算复杂性、算法的实现,这种算法的特点及其与多粒度计算的关系。第7章商空间问题求解理论的推广,包括将理论推广到非等价关系,该理论与小波分析与分形几何的关系,以及在系统分析中的应用。最后,在附录中介绍若干与本书内容关系密切的数学内容,主要是统计推断与点集拓扑的某些概念和结论,供不熟悉这部分数学内容的读者阅读时参考。

本书是从事计算机、人工智能、模式识别以及粒计算等领域的科学工作者的有益参考书。

本书封面贴有清华大学出版社防伪标签,无标签者不得销售。

版权所有,侵权必究。侵权举报电话:010-62782989 13701121933

This edition of **Quotient Space Based Problem Solving** by Ling Zhang and Bo Zhang is published by arrangement with **ELSEVIER INC.**, a Delaware corporation having its principal place of business at 360 Park Avenue South, New York, NY 10010, USA.

English reprint edition copyright © 2014 by **ELSEVIER INC.** and **TSINGHUA UNIVERSITY PRESS**.
All Rights Reserved.

图书在版编目(CIP)数据

基于商空间的问题求解: 粒度计算的理论基础=Quotient space based problem solving: a theoretical foundation of granular computing: 英文/张铃, 张钺著. -北京: 清华大学出版社, 2014

ISBN 978-7-302-38495-3

I. ①基… II. ①张… ②张… III. ①商空间—研究—英文 IV. ①O151.2

中国版本图书馆 CIP 数据核字 (2014) 第 260924 号

责任编辑: 薛 慧

封面设计: 何凤霞

责任印制: 刘海龙

出 版 者: 清华大学出版社

网 址: <http://www.tup.com.cn>, <http://www.wqbook.com>

地 址: 北京清华大学学研大厦 A 座 邮 编: 100084

社 总 机: 010-62770175

邮 购: 010-62786544

投稿与读者服务: 010-62776969, c-service@tup.tsinghua.edu.cn

质量反馈: 010-62772015, zhiliang@tup.tsinghua.edu.cn

印 装 者: 三河市中晟雅豪印务有限公司

发 行 者: 全国新华书店

开 本: 190mm×235mm

印 张: 24.75

版 次: 2014 年 12 月第 1 版

印 次: 2014 年 12 月第 1 次印刷

定 价: 120.00 元

产品编号: 062183-01

基于商空间的问题求解

粒度计算的理论基础

Quotient Space Based Problem Solving

A Theoretical Foundation of Granular Computing

Preface

The term problem solving is used in many disciplines, sometimes with different perspectives. As one of the important topics in artificial intelligence (AI) research, it is a computerized process of human problem-solving behaviors. So the aim of problem solving is to develop techniques that program computers to find solutions to problems that can properly be described.

In the early stage of AI, symbolists play a dominant role. They believe that all human cognitive behaviors, including problem solving, can be modeled by symbolic representation and reasoning and do not advocate the use of strict mathematical models. The most general approach to tackle problem-solving processes is “generation and test”. Applying an action to an initial state, a new state is generated. Whether the state is the goal state is tested; if it is not, repeat the procedure, otherwise stop and the goal is reached. This principle imitates human trial-and-error behaviors in problem solving sufficiently. The principle has widely been used to build AI systems such as planning, scheduling, diagnosis, etc. and to solve a certain kind of real problems. Therefore, the heuristic and scratch method is misunderstood as a unique one in AI for many people. We believe that more and more modern sciences such as mathematics, economics, operational research, game theory and cybernetics would infiltrate into AI when it becomes mature gradually. Over the years, we devoted ourselves to introducing mathematics to AI. Since 1979 we have introduced statistical inference methods to heuristic search, topological dimension reduction approach to motion planning, and relational matrix to temporal planning. Due to the introduction of these mathematical tools, the efficiency and performance of AI algorithms have been improved significantly. There are two main trends in AI research recently. One is attaching importance to the usage of modern scientific methods, especially mathematics; the other is paying attention to real-world problem solving. Fortunately, our efforts above are consistent with these new trends.

Based on these works, we explored further the theoretical framework of problem solving. Inspired by the following basic characteristics in human problem solving, that is, the ability to conceptualize the world at different granularities, translate from one abstraction level to the others easily and deal with them hierarchically, we establish an algebraically quotient space model to represent the multi-granular structures of the world so that it's easy for computers to deal with them hierarchically. Certainly, this model can simulate the above characteristics of

human problem-solving behaviors in a certain extent. We expect more human characteristics to merge into the model further. The system is used to describe the hierarchical and multi-granular structure of objects being observed and to solve the problems that are faced in inference, planning, search, etc. fields. Regarding the relation between computers and human problem solvers, our standpoint is that the computer problem solver should learn some things from human beings but due to the difference between their physical structures they are distinguishing.

Already 20 years has passed since the English version of the book published in 1992. Meanwhile, we found that the three important applied mathematical methods, i.e., fuzzy mathematics, fractal geometry and wavelet analysis, have a close connection with quotient space based analysis. Briefly, the representational method of fuzziness by membership functions in fuzzy mathematics is equivalent to that based on hierarchical coordinates in the quotient space model; fractal geometry rooted in the quotient approximation of spatial images; and wavelet analysis is the outcome of quotient analysis of attribute functions. The quotient space theory of problem solving has made new progress and been applied to several fields such as remote sensing images analysis, cluster analysis, etc. In addition, fuzzy set and rough set theories have been applied to real problems for managing uncertainty successively. The computational model of uncertainty has attracted wide interest. Therefore, we expanded the quotient space theory to non-equivalent partition and fuzzy equivalence relation. We explored the relation between quotient space theory and fuzzy set (rough set) theory. The quotient space theory is also extended to handling uncertain problems. Based on these works, we further proposed a new granular computing theory based on the quotient space based problem solving. The new theory can cover and solve problems in more domains of AI such as learning problems so as to become a more general and universal theoretical framework. The above new progress has been included in the second version of the book.

The quotient space based problem solving that we have discussed mainly deals with human deliberative behaviors. Recently, in perception, e.g., visual information processing, the multi-level analysis method is also adopted. So the quotient space model can be applied to these fields as well. But they will not be involved in the book.

There are seven chapters and two addenda in the book. In Chapter 1, we present a quotient space model to describe the world with different grain-sizes. This is the theoretical foundation throughout the book and is the key to problem solving and granular computing. The principle of “hierarchy” as an important concept has been used in many fields such as control, communication theory. In Chapter 2, we discuss the principle starting with the features of the human problem-solving process and pay attention to its mathematical modeling and relation to computational complexity. In Chapter 3, we discuss synthetic methods that involve the inverse of top-down hierarchical analysis, that is, how to combine the information from different viewpoints and different sources. Since synthetic method is one of main measures for human

problem solving we present a mathematical model and induce the corresponding synthetic rules and methods from the model. Although there have been several inference models in AI, the model presented in Chapter 4 is a new network-based one. The new model can carry out inference at different abstraction levels and integrates deterministic, non-deterministic and qualitative inferences into one framework. And the synthetic and propagation rules of network inference are also introduced. In Chapter 5, the application of quotient space theory to spatial planning is presented. It includes robot assembly sequences and motion planning. For example, in motion planning instead of widely adopted geometry-based planning we pay attention to a topology-based one that we propose, including its principles and applications. The statistically heuristic search algorithms are presented in Chapter 6, including theory, computational complexity, the features and realization of the algorithms, and their relation to hierarchical problem-solving principles and multi-granular computing. In Chapter 7, the original equivalence relation based theory is expanded to including tolerant relations and relations defined by closure operations. Also, a more general quotient space approximation principle is presented. Finally, the basic concepts and theorems of mathematics related to the book are introduced in addenda, including point set topology and statistical inference.

The authors gratefully acknowledge support by National Key Basic Research Program (973 Program) of China under Grant Nos. 2012CB316301, 2013CB329403, National Natural Science Foundation under Grant No. 60475017. Many of the original results in the book were found by the authors while working on these projects.

Contents

Preface	vii
Chapter 1 Problem Representations	1
1.1. Problem Solving	1
1.1.1. Expert Consulting Systems	2
1.1.2. Theorem Proving	2
1.1.3. Automatic Programming	2
1.1.4. Graphical Representation	3
1.1.5. AND/OR Graphical Representation	3
1.2. World Representations at Different Granularities	5
1.2.1. The Model of Different Grain-Size Worlds	5
1.2.2. The Definition of Quotient Space	7
1.3. The Acquisition of Different Grain-Size Worlds	8
1.3.1. The Granulation of Domain	8
1.3.2. The Granulation by Attributes	9
1.3.3. Granulation by Structures	11
1.4. The Relation Among Different Grain Size Worlds	13
1.4.1. The Structure of Multi-Granular Worlds	13
1.4.2. The Structural Completeness of Multi-Granular Worlds	15
1.5. Property-Preserving Ability	21
1.5.1. Falsity-Preserving Principle	21
1.5.2. Quotient Structure	32
1.6. Selection and Adjustment of Grain-Sizes	32
1.6.1. Mergence Methods	33
Example 1.15	34
1.6.2. Decomposition Methods	34
1.6.3. The Existence and Uniqueness of Quotient Semi-Order	41
1.6.4. The Geometrical Interpretation of Mergence and Decomposition Methods	42
1.7. Conclusions	43

Chapter 2 Hierarchy and Multi-Granular Computing	45
2.1. The Hierarchical Model	45
2.2. The Estimation of Computational Complexity	48
2.2.1. The Assumptions	48
2.2.2. The Estimation of the Complexity Under Deterministic Models	49
2.2.3. The Estimation of the Complexity Under Probabilistic Models	54
2.3. The Extraction of Information on Coarsely Granular Levels	62
2.3.1. Examples	64
2.3.2. Constructing $[f]$ Under Unstructured Domains	65
2.3.3. Constructing $[f]$ Under Structured Domains	67
2.3.4. Conclusions	77
2.4. Fuzzy Equivalence Relation and Hierarchy	77
2.4.1. The Properties of Fuzzy Equivalence Relations	77
2.4.2. The Structure of Fuzzy Quotient Spaces	84
2.4.3. Cluster and Hierarchical Structure	86
2.4.4. Conclusions	88
2.5. The Applications of Quotient Space Theory	88
2.5.1. Introduction	88
2.5.2. The Structural Definition of Fuzzy Sets	90
2.5.3. The Robustness of the Structural Definition of Fuzzy Sets	95
2.5.4. Conclusions	102
2.6. Conclusions	102
Chapter 3 Information Synthesis in Multi-Granular Computing	105
3.1. Introduction	105
3.2. The Mathematical Model of Information Synthesis	106
3.3. The Synthesis of Domains	108
3.4. The Synthesis of Topologic Structures	109
3.5. The Synthesis of Semi-Order Structures	110
3.5.1. The Graphical Constructing Method of Quotient Semi-Order	110
3.5.2. The Synthesis of Semi-Order Structures	112
3.6. The Synthesis of Attribute Functions	117
3.6.1. The Synthetic Principle of Attribute Functions	117
3.6.2. Examples	120
3.6.3. Conclusions	126
Chapter 4 Reasoning in Multi-Granular Worlds	129
4.1. Reasoning Models	129
4.2. The Relation Between Uncertainty and Granularity	133

4.3. Reasoning (Inference) Networks (1)	135
4.3.1. Projection	138
4.3.2. Synthesis	140
4.3.3. Experimental Results.	146
4.4. Reasoning Networks (2).	147
4.4.1. Modeling	151
4.4.2. The Projection of AND/OR Relations	152
4.4.3. The Synthesis of AND/OR Relations	155
4.4.4. Conclusion	160
4.5. Operations and Quotient Structures.	160
4.5.1. The Existence of Quotient Operations	162
4.5.2. The Construction of Quotient Operations	164
4.5.3. The Approximation of Quotient Operations	172
4.5.4. Constraints and Quotient Constraints	176
4.6. Qualitative Reasoning	181
4.6.1. Qualitative Reasoning Models	182
4.6.2. Examples	182
4.6.3. The Procedure of Qualitative Reasoning.	186
4.7. Fuzzy Reasoning Based on Quotient Space Structures	187
4.7.1. Fuzzy Set Based on Quotient Space Model.	187
4.7.2. Fuzzified Quotient Space Theory	189
4.7.3. The Transformation of Three Different Granular Computing Methods	190
4.7.4. The Transformation of Probabilistic Reasoning Models.	191
4.7.5. Conclusions	191
Chapter 5 Automatic Spatial Planning	193
5.1. Automatic Generation of Assembly Sequences	194
5.1.1. Introduction	194
5.1.2. Algorithms	195
5.1.3. Examples	199
5.1.4. Computational Complexity	202
5.1.5. Conclusions	204
5.2. The Geometrical Methods of Motion Planning	205
5.2.1. Configuration Space Representation	205
5.2.2. Finding Collision-Free Paths	206
5.3. The Topological Model of Motion Planning	207
5.3.1. The Mathematical Model of Topology-Based Problem Solving.	208
5.3.2. The Topologic Model of Collision-Free Paths Planning.	210

5.4. Dimension Reduction Method	216
5.4.1. Basic Principle	216
5.4.2. Characteristic Network	221
5.5. Applications	230
5.5.1. The Collision-Free Paths Planning for a Planar Rod	231
5.5.2. Motion Planning for a Multi-Joint Arm	237
5.5.3. The Applications of Multi-Granular Computing	242
5.5.4. The Estimation of the Computational Complexity	246
Chapter 6 Statistical Heuristic Search	249
6.1. Statistical Heuristic Search	251
6.1.1. Heuristic Search Methods	251
6.1.2. Statistical Inference	254
6.1.3. Statistical Heuristic Search	256
6.2. The Computational Complexity	259
6.2.1. SPA Algorithms	259
6.2.2. SAA Algorithms	262
6.2.3. Different Kinds of SA	264
6.2.4. The Successive Algorithms	266
6.3. The Discussion of Statistical Heuristic Search	267
6.3.1. Statistical Heuristic Search and Quotient Space Theory	267
6.3.2. Hypothesis I	268
6.3.3. The Extraction of Global Statistics	271
6.3.4. SA Algorithms	279
6.4. The Comparison between Statistical Heuristic Search and A* Algorithm ..	280
6.4.1. Comparison to A*	280
6.4.2. Comparison to Other Weighted Techniques	283
6.4.3. Comparison to Other Methods	292
6.5. SA in Graph Search	294
6.5.1. Graph Search	294
6.5.2. AND/OR Graph Search	295
6.6. Statistical Inference and Hierarchical Structure	296
Chapter 7 The Expansion of Quotient Space Theory	299
7.1. Quotient Space Theory in System Analysis	299
7.1.1. Problems	300
7.1.2. Quotient Space Approximation Models	300
7.2. Quotient Space Approximation and Second-Generation Wavelets	303
7.2.1. Second-Generation Wavelets Analysis	303
7.2.2. Quotient Space Approximation	305

7.2.3. The Relation between Quotient Space Approximation and Wavelet Analysis	309
7.3. Fractal Geometry and Quotient Space Analysis	311
7.3.1. Introduction	311
7.3.2. Iterated Function Systems	311
7.3.3. Quotient Fractals	312
7.3.4. Conclusions	315
7.4. The Expansion of Quotient Space Theory	315
7.4.1. Introduction	315
7.4.2. Closure Operation-Based Quotient Space Theory	315
7.4.3. Non-Partition Model-Based Quotient Space Theory	320
7.4.4. Granular Computing and Quotient Space Theory	324
7.4.5. Protein Structure Prediction — An Application of Tolerance Relations	326
7.4.6. Conclusions	331
7.5. Conclusions	331
Addenda A: Some Concepts and Properties of Point Set Topology	333
Addenda B: Some Concepts and Properties of Integral and Statistical Inference . .	363
References	375
Index	381

Problem Representations

Chapter Outline

- 1.1 Problem Solving 1**
 - 1.1.1 Expert Consulting Systems 2
 - 1.1.2 Theorem Proving 2
 - 1.1.3 Automatic Programming 2
 - 1.1.4 Graphical Representation 3
 - 1.1.5 AND/OR Graphical Representation 3
- 1.2 World Representations at Different Granularities 5**
 - 1.2.1 The Model of Different Grain-Size Worlds 5
 - 1.2.2 The Definition of Quotient Space 7
- 1.3 The Acquisition of Different Grain-Size Worlds 8**
 - 1.3.1 The Granulation of Domain 8
 - 1.3.2 The Granulation by Attributes 9
 - 1.3.3 Granulation by Structures 11
- 1.4 The Relation Among Different Grain Size Worlds 13**
 - 1.4.1 The Structure of Multi-Granular Worlds 13
 - 1.4.2 The Structural Completeness of Multi-Granular Worlds 15
- 1.5 Property-Preserving Ability 21**
 - 1.5.1 Falsity-Preserving Principle 21
 - 1.5.2 Quotient Structure 32
- 1.6 Selection and Adjustment of Grain-Sizes 32**
 - 1.6.1 Mergence Methods 33
 - Example 1.15 34**
 - 1.6.2 Decomposition Methods 34
 - 1.6.3 The Existence and Uniqueness of Quotient Semi-Order 41
 - 1.6.4 The Geometrical Interpretation of Mergence and Decomposition Methods 42
- 1.7 Conclusions 43**

1.1 Problem Solving

The term problem solving was used in many disciplines, sometimes with different perspectives (Newell and Simon, 1972; Bhaskar and Simon, 1977). As one of the main topics in artificial intelligence (AI), it is a computerized process of human problem-solving behaviors. It has been investigated by many researchers. Some important results have been provided (Kowalski, 1979; Shapiro, 1979; Nilson, 1980). From an AI point of view, the aim of the problem solving is to develop theory and technique which enable the computers

to find, in an efficient way, solutions to the problem provided that the problem has been described to computers in a suitable form (Zhang and Zhang, 1992; 2004).

Problem-solving methods and techniques have been applied in several different areas. To motivate our subsequent discussions, we next describe some of these applications.

1.1.1 Expert Consulting Systems

Expert consulting systems have been used in many different areas to provide human users with expert advice. These systems can diagnose diseases, analyze complex experimental data and arrange production schedule, etc.

In many expert consulting systems, expert knowledge is represented by a set of rules. The conclusion can be deduced from initial data by successively using these rules.

1.1.2 Theorem Proving

The aim of theorem proving is to draw a potential mathematical theorem from a set of given axioms and previously proven theorems by computers. It employs the same rule-based deduction principle as in most expert systems.

1.1.3 Automatic Programming

Automatic programming, automatic scheduling, decision making, robotic action planning and the like can be regarded as the following general task. Given a goal and a set of constraints, find a sequence of operators (or actions) to achieve the goal satisfying all given constraints.

All the problems above can be regarded as intelligent problem-solving tasks. In order to enable computers to have the ability of finding the solution of these problems automatically, AI researchers made every effort to find a suitable formal description of problem-solving process. It is one of the central topics in the study of problem solving.

In the early stage of AI, symbolists play a dominant role. They believe that all human cognitive behaviors, including problem solving, can be modeled by symbols and symbolic reasoning. The most general approach to tackle problem solving is generation and test. Applying an action to an initial state, a new state is generated. Whether the state is the goal state is tested; if it is not, repeat the procedure, otherwise stop and the goal is reached. This principle imitates human trial-and-error behaviors in problem solving sufficiently. The principle has widely been used to build AI systems. The problem-solving process is generally represented by a graphical (tree) search or an AND/OR graphical (tree) search.