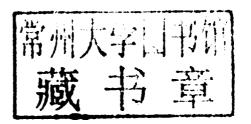
STEVEN CROWELL

Normativity
and Phenomenology
in Husserl
and Heidegger

NORMATIVITY AND PHENOMENOLOGY IN HUSSERL AND HEIDEGGER

STEVEN CROWELL

Rice University





CAMBRIDGE UNIVERSITY PRESS lge. New York. Melbourne. Madrid. Cape Town

Cambridge, New York, Melbourne, Madrid, Cape Town, Singapore, São Paulo, Delhi, Mexico City

Cambridge University Press The Edinburgh Building, Cambridge CB2 8RU, UK

Published in the United States of America by Cambridge University Press, New York

www.cambridge.org
Information on this title: www.cambridge.org/9781107035447

© Steven Crowell 2013

This publication is in copyright. Subject to statutory exception and to the provisions of relevant collective licensing agreements, no reproduction of any part may take place without the written permission of Cambridge University Press.

First published 2013

Printed and bound in the United Kingdom by the MPG Books Group

A catalogue record for this publication is available from the British Library

Library of Congress Cataloguing in Publication data Crowell, Steven Galt.

 $Normativity \ and \ phenomenology \ in \ Husserl \ and \ Heidegger \ / \ Steven \ Crowell.$

pages cm

Includes bibliographical references and index.

ISBN 978-1-107-03544-7 - ISBN 978-1-107-68255-9 (pbk.)

- 1. Husserl, Edmund, 1859-1938. 2. Heidegger, Martin, 1889-1976.
 - 3. Normativity (Ethics) 4. Phenomenology. I. Title. B3279.H94C764 2013

142′.7-dc23

2012048493

ISBN 978-1-107-03544-7 Hardback ISBN 978-1-107-68255-9 Paperback

Cambridge University Press has no responsibility for the persistence or accuracy of URLs for external or third-party internet websites referred to in this publication, and does not guarantee that any content on such websites is, or will remain, accurate or appropriate.

NORMATIVITY AND PHENOMENOLOGY IN HUSSERL AND HEIDEGGER

Steven Crowell has been for many years a leading voice in debates on twentieth-century European philosophy. This volume presents thirteen recent essays that together provide a systematic account of the relation between meaningful experience (intentionality) and responsiveness to norms. They argue for a new understanding of the philosophical importance of phenomenology, taking the work of Husserl and Heidegger as exemplary, and introducing a conception of phenomenology broad enough to encompass the practices of both philosophers. Crowell discusses Husserl's analyses of first-person authority, the semantics of conscious experience, the structure of perceptual content, and the embodied subject, and shows how Heidegger's interpretation of the self addresses problems in Husserl's approach to the normative structure of meaning. His volume will be valuable for upper-level students and scholars interested in phenomenological approaches to philosophical questions in both the European and the analytic traditions.

STEVEN CROWELL is Joseph and Joanna Nazro Mullen Professor of Philosophy at Rice University. He is the author of Husserl, Heidegger, and the Space of Meaning (2001), and editor of The Prism of the Self: Philosophical Essays in Honor of Maurice Natanson (1995), Transcendental Heidegger (with Jeff Malpas, 2007), and The Cambridge Companion to Existentialism (Cambridge, 2012).

In memoriam
John Haugeland (1945–2010)
philosopher and friend

ACKNOWLEDGMENTS

Of the thirteen chapters in this volume, Chapter 1 and Chapter 10 are published here for the first time. The others are reprinted here, in slightly altered form, by permission of their original publishers:

Chapter 2 appeared in A Companion to Phenomenology and Existentialism, ed. Hubert Dreyfus and Mark Wrathall (Oxford: Blackwell, 2006, pp. 9-30); Chapter 3 appeared as "Heidegger and Husserl: The Matter and Method of Philosophy," in A Companion to Heidegger, ed. Hubert Dreyfus and Mark Wrathall (Oxford: Blackwell, 2005, pp. 49-64); Chapter 4 was originally titled "Phenomenology and the First-Person Character of Philosophical Knowledge," and was published in Modern Schoolman 84 (January and March 2007), pp. 131-48; Chapter 5 appeared in Synthese 160 (2008), pp. 335-54; Chapter 6 was originally published in Contemporary Kantian Metaphysics: New Essays on Space and Time, ed. Roxana Baiasu, Graham Bird, and A. W. Moore (Houndmills: Palgrave Macmillan, 2012, pp. 81-106), and is reproduced with permission of Palgrave Macmillan; Chapter 7 appeared as "Husserl's Subjectivism: The 'ganz einzigen "Formen" of Consciousness and the Philosophy of Mind," in Philosophy, Phenomenology, Sciences: Essays in Commemoration of Edmund Husserl, ed. Carlo Ierna, Hanne Jacobs, and Filip Mattens (Dordrecht: Springer, 2010, pp. 363-89); Chapter 8 was originally published in Inquiry: An Interdisciplinary Journal of Philosophy 44(4) (December 1, 2001), pp. 433-54, and is reprinted here with permission of the publisher, Taylor & Francis Ltd.; Chapter 9 appeared as "Conscience and Reason: Heidegger and the Grounds of Intentionality," in Transcendental Heidegger, ed. Steven Crowell and Jeff Malpas (Stanford University Press, 2007, pp. 43-62), © 2007 by the Board of Trustees of the Leland Stanford Jr. University and is used with permission of Stanford University Press; Chapter 11 appeared as "Sorge or Selbstbewußtsein? Heidegger and Korsgaard on the Sources of Normativity," in European Journal of Philosophy 15(3) (2007), pp. 315–33, and is reprinted here with permission of Blackwell Publishing; Chapter 12 originally appeared under

the title "Reason and Will: Husserl and Heidegger on the Intentionality of Action," in *Heidegger und Husserl. Heidegger-Jahrbuch* 6 (2012, pp. 249–68), ed. Alfred Denker and Holger Zabrowski, and is reprinted here with kind permission of Verlag Karl Alber, part of Verlag Herder GmbH, Freiburg im Breisgau; and Chapter 13 was published as "Heidegger on Practical Reasoning: Morality and Agency," in *Practical Rationality: Scope and Structures of Human Agency*, ed. Ana Marta González and Alejandro G. Vigo (Hildesheim: Georg Olms Verlag, 2010, pp. 49–74).

Beyond these formal acknowledgments, I have benefitted immensely, in a variety of ways, from a large number of individuals and institutions during the decade or so in which I was working on these papers. My greatest debt of gratitude, however, is to John Haugeland, to whom this book is dedicated. It was reading his work that got me thinking in a new way about what the "topic" of phenomenology is, and his friendship over many years sustained my efforts to work within the horizon that his thinking opened up. His writings are exemplary of what truly independent philosophical reflection can accomplish, and his voice is sorely missed.

I am very fortunate to have been the beneficiary of Rice University's enlightened policy on research leave and its generous support of the kind of travel that allowed me to present these chapters to audiences in many countries. I would especially like to thank former Dean of Humanities, Gary Wihl, and the current Dean, Nick Shumway, who encouraged and sustained this project. I would also like to acknowledge my colleagues in the Department of Philosophy. It is a blessing to work in a department where the standard of judgment is not whether something is "analytic" or "continental" but whether it is engaging philosophy.

I have learned much from my friends in other departments as well, in conversation with whom I have been able to say things in less formal (and so, more revealing) ways. In particular, John Zammito and I have engaged in a long-standing discussion over normativity and naturalism that has led me again and again to re-think my position. I do not expect this book to settle the matter. Christian Emden, Uwe Steiner, Cary Wolfe, and Harvey Yunis have been welcome intellectual interlocutors and sanity-maintaining friends. Finally, I am grateful to my students: Matthew Burch, Irene McMullin, Matthew Schunke, and William Smith. We worked together on these issues in seminar for some years, and I learned a tremendous amount from each of them.

The idea for the book emerged in a series of lectures on "Reason in Heidegger" that I delivered at the Chinese University of Hong Kong in 2007 as part of the inaugural *Symposia Phaenomenologica Asiatica*

Master Class in Phenomenology for Asian Scholars. I am deeply grateful to the Edward Cheng Foundation Asian Centre for Phenomenology, and its director, my friend Cheung Chan-fai, for providing me this opportunity, and to the participants in the Master Class for the insights they shared with me.

Timely material support, allowing me to extend a sabbatical at a crucial juncture in the development of this project, was provided by a fellowship from the American Council of Learned Societies. Though this is not exactly the book I promised them, it is a book, and central chapters in it could not have been completed without help from the ACLS. I am very grateful for the privilege of working under its aegis.

The papers published here, almost without exception, were delivered as conference papers, often several times, and they went through changes each time based on the valuable feedback I received from those in the audience. Though these fellow contributors are too numerous to mention, I am grateful for the serious criticisms that came my way. Beyond that, ongoing exchanges with Dan Dahlstrom, John Drummond, Burt Hopkins, Thomas Sheehan, Charles Siewert, Laszlo Tengelyi, Alejandro Vigo, and Dan Zahavi have been particularly important. Their voices will be heard throughout this volume, and I thank each of them for their generosity. More recently, conversations with Denis McManus have opened some new horizons of the project, which I hope to follow up in the future.

An expression of gratitude of a different sort is owed to the current and former directors of the International Society for Phenomenological Studies – William Blattner and Mark Wrathall – and to the members of the Society who, since 1999, attended its meetings. Most, though not all, of the chapters in this volume were aired at those meetings, and that has had a tremendous impact on their final shape. With Hubert Dreyfus, whose work serves as both an inspiration and a foil for my own, Mark Wrathall founded the Society and directed it during its first years, creating a context for cordial yet penetrating philosophical exchange. Upon assuming the directorship, William Blattner maintained those virtues and introduced others. This kind of contribution is rarely given the acknowledgment it is due, but I deeply appreciate what I have gained from those efforts.

Bill Blattner also belongs on the list of those from whom I have learned the most in the Society's exchanges. Not only is his own work exemplary for its acuity and clarity, but his comments on an early version of Chapter 8 were a timely source of encouragement. Similar things can be said of several participants who are also good friends. With Mark Okrent I have

had an exchange that rivals in length my *Auseinandersetzung* with Jack Zammito, and each time we take up our common themes anew I come away deeply enriched. I have also appropriated shamelessly from conversations with, and writings of, Taylor Carman, Dave Cerbone, Charles Guignon, Alastair Hannay, Stephan Käufer, Sean Kelly, Rebecca Kukla, Cristina Lafont, Mark Lance, Jonathan Lear, Leslie MacAvoy, Jeff Malpas, Wayne Martin, Robert Pippin, John Richardson, Joe Rouse, Ted Schatzki, Joe Schear, Iain Thomson, Joan Wellman, and Kate Withy.

If the first word of thanks and dedication goes to John Haugeland, the last word – for which words are not enough – belongs to my wife, Liz Howard Crowell. The gift of her graceful presence, good cheer, keen intellect, and love provides me with a home where I can pursue my peculiar obsessions with clarity and purpose.

CITATION CONVENTIONS FOR THE WORKS OF HUSSERL AND HEIDEGGER

References to the works of Husserl and Heidegger are found in the text according to the following abbreviations, which refer to the *Husserliana* series and the *Gesamtausgabe*, respectively. In the text, the German pagination is given first, followed by the English pagination (when I have consulted a translation). In the case of *Sein und Zeit* (GA 2), I have first given the *Gesamtausgabe* pagination, followed by the pagination to the seventh German edition published by Max Niemeyer Verlag (found in the margins both of the *Gesamtausgabe* edition and the English translation), and finally the pagination to the English translation by Macquarrie and Robinson. I have, however, altered all translations as I see fit, without comment. In cases where the texts in question are not yet found in a volume of the respective collected works, the reference will be found in the list of references.

Edmund Husserl

- Hua 1 Cartesianische Meditationen und Pariser Vorträge, ed. S. Strasser. The Hague: Martinus Nijhoff, 1963; Cartesian Meditations: An Introduction to Phenomenology, trans. D. Cairns. The Hague: Martinus Nijhoff, 1969.
- Hua 2 Die Idee der Phänomenologie. Fünf Vorlesungen, ed. W. Biemel. The Hague: Martinus Nijhoff, 1958; The Idea of Phenomenology, trans. W. P. Alston and G. Nakhnikian. The Hague: Martinus Nijhoff, 1964.
- Hua 3 Ideen zu einer reinen Phänomenologie und phänomenologischen Philosophie, Erstes Buch: Allgemeine Einführung in die reine Phänomenologie, ed. W. Biemel. The Hague: Martinus Nijhoff, 1950; Ideas Pertaining to a Pure Phenomenology and to a Phenomenological Philosophy, First Book, trans. F. Kersten. The Hague: Martinus Nijhoff, 1983.

- Hua 4 Ideen zu einer reinen Phänomenologie und phänomenologischen Philosophie, Zweites Buch: Phänomenologische Untersuchungen zur Konstitution, ed. M. Biemel. The Hague: Martinus Nijhoff, 1952; Ideas Pertaining to a Pure Phenomenology and to a Phenomenological Philosophy, Second Book, trans. R. Rojcewicz and A. Schuwer. The Hague: Martinus Nijhoff, 1989.
- Hua 5 Ideen zu einer reinen Phänomenologie und phänomenologischen Philosophie, Drittes Buch: Die Phänomenologie und die Fundamente der Wissenschaften, ed. M. Biemel. The Hague: Martinus Nijhoff, 1952.
- Hua 6 Die Krisis der Europäischen Wissenschaften und die transzendentale Phänomenologie. Eine Einleitung in die phänomenologische Philosophie, ed. W. Biemel. The Hague: Martinus Nijhoff, 1954; The Crisis of European Sciences and Transcendental Phenomenology, trans. D. Carr. Evanston, IL: Northwestern University Press, 1970.
- Hua 9 *Phänomenologische Psychologie*, ed. W. Biemel. The Hague: Martinus Nijhoff, 1962.
- Hua 11 Analysen zur passiven Synthesis (1918–1926), ed. M. Fleischer. The Hague: Martinus Nijhoff, 1966; Analyses Concerning Passive and Active Synthesis, trans. A. Steinbock. Dordrecht: Kluwer, 2001.
- Hua 17 Formale und transzendentale Logik: Versuch einer Kritik der logischen Vernunft, ed. P. Janssen. The Hague: Martinus Nijhoff, 1974; Formal and Transcendental Logic, trans. D. Cairns. The Hague: Martinus Nijhoff, 1969.
- Hua 18 Logische Untersuchungen, Erster Band. Prolegomena zur reinen Logik, ed. E. Holenstein. The Hague: Martinus Nijhoff, 1975; "Prolegomena to Pure Logic," in Logical Investigations, vol. I, trans. J. N. Findlay. London: Routledge & Kegan Paul, 1970.
- Hua 19/1 Logische Untersuchungen, Zweiter Band, Erster Teil, ed.
 U. Panzer. The Hague: Martinus Nijhoff, 1984; Logical Investigations, vols. I and II, trans. J. N. Findlay. London: Routledge & Kegan Paul, 1970.
- Hua 19/2 Logische Untersuchungen, Zweiter Band, Zweiter Teil, ed.
 U. Panzer. The Hague: Martinus Nijhoff, 1984; Logical Investigations, vol. II, trans. J. N. Findlay. London: Routledge & Kegan Paul, 1970.

- Hua 25 Aufsätze und Vorträge (1911–1921), ed. T. Nenon and H. R. Sepp. Dordrecht: Martinus Nijhoff, 1987; "Philosophy as Rigorous Science," in *Phenomenology and the Crisis of Philosophy*, trans. Q. Lauer. New York: Harper & Row, 1965.
- Hua 28 Vorlesungen über Ethik und Wertlehre 1908–1914, ed. U. Melle. Dordrecht: Kluwer, 1988.
- Hua 36 Transcendentaler Idealismus: Texte aus dem Nachlass (1908–1921), ed. R. D. Rollinger and R. Sowa. Dordrecht: Kluwer Academic Publishers, 2003.
- Hua 37 Einleitung in die Ethik. Vorlesungen Sommersemester 1920 und 1924, ed. H. Peucker. Dordrecht: Kluwer.
- Hua 38 Wahrnehmung und Aufmerksamkeit: Texte aus dem Nachlass (1893–1912), ed. T. Vongehr and R. Giuliani. Dordrecht: Springer, 2004.

Martin Heidegger

- GA 1 Frühe Schriften, ed. F.-W. von Herrmann. Frankfurt: Klostermann, 1978.
- GA 2 Seinund Zeit, ed. F.-W. von Herrmann. Frankfurt: Klostermann, 1977; Being and Time, trans. J. Macquarrie and E. Robinson. New York: Harper & Row, 1962.
- GA 3 Kant und das Problem der Metaphysik, ed. F.-W. von Herrmann. Frankfurt: Klostermann, 1976; Kant and the Problem of Metaphysics, trans. R. Taft. Bloomington: Indiana University Press, 1997.
- GA 5 Holzwege, ed. F.-W. von Herrmann. Frankfurt: Klostermann, 1976; Off the Beaten Track, trans. and ed. J. Young and K. Haynes. Cambridge University Press.
- GA 7 Vorträge und Aufsätze, ed. F.-W. von Herrmann. Frankfurt: Klostermann, 1976.
- GA 9 Wegmarken, ed. W.-F. von Herrmann. Frankfurt: Klostermann, 1976; Pathmarks, ed. W. McNeill. Cambridge University Press, 1998.
- GA 14 Zur Sache des Denkens, ed. F.-W. von Herrmann. Frankfurt: Klostermann, 2007; On Time and Being, trans. J. Stambaugh. New York: Harper & Row, 1972
- GA 17 Einführung in die phänomenologische Forschung, ed. F.-W. von Herrmann. Frankfurt: Klostermann, 1994; Introduction

- to Phenomenological Research, trans. D. O. Dahlstrom. Bloomington: Indiana University Press, 2005.
- GA 19 Platon: Sophistes, ed. I. Schüßler. Frankfurt: Klostermann, 1992; Plato's Sophist, trans. R. Rojcewicz and A. Schuwer. Bloomington: Indiana University Press, 1997.
- GA 20 Prolegomena zur Geschichte des Zeitbegriffs, ed. P. Jaeger. Frankfurt: Klostermann, 1979; History of the Concept of Time: Prolegomena, trans. Th. Kisiel. Bloomington: Indiana University Press, 1985.
- GA 24 Die Grundprobleme der Phänomenologie, ed. F.-W. von Herrmann. Frankfurt: Klostermann, 1975; The Basic Problems of Phenomenology, trans. A. Hofstadter. Bloomington: Indiana University Press, 1982.
- GA 25 Phänomenologische Interpretationen von Kants Kritik der reinen Vernunft, ed. I. Görland. Frankfurt: Klostermann, 1977; Phenomenological Interpretation of Kant's Critique of Pure Reason, trans. P. Emad and K. Maly. Bloomington: Indiana University Press, 1997.
- GA 26 Metaphysische Anfangsgründe der Logik, ed. K. Held. Frankfurt: Klostermann, 1978; Metaphysical Foundations of Logic, trans. M. Heim. Bloomington: Indiana University Press, 1984.
- GA 31 Vom Wesen der Menschlichen Freiheit: Einleitung in die Philosophie, ed. H. Tietjen. Frankfurt: Klostermann, 1982.
- GA 56/57 Zur Bestimmung der Philosophie, ed. B. Heimbüchel. Frankfurt: Klostermann, 1987.
- GA 61 Phänomenologische Interpretationen zu Aristoteles: Einführung in die phänomenologische Forschung, ed. W. Bröcker and K. Bröcker-Oltmanns. Frankfurt: Klostermann, 1985.

CONTENTS

	Acknowledgments page ix Citation conventions for the works of Husserl and Heidegger xiii
	Introduction 1
	PART I Transcendental philosophy, phenomenology, and normativity 7
1	Making meaning thematic 9
2	Husserlian phenomenology 31
3	The matter and method of philosophy 58
	PART II Husserl on consciousness and intentionality 79
4	The first-person character of philosophical knowledge 81
5	Phenomenological immanence, normativity, and semantic externalism 101
6	The normative in perception 124
7	Husserl's subjectivism and the philosophy of mind 147
	PART III Heidegger, care, and reason 167
8	Subjectivity: locating the first-person in <i>Being and Time</i> 169
9	Conscience and reason 191
10	Being answerable: reason-giving and the ontological meaning of discourse 214

viii CONTENTS

PART IV Phenomenology and practical philosophy 237

11 The existential sources of normativity 239

12 Husserl and Heidegger on the intentionality of action 261

13 Heidegger on practical reasoning, morality, and agency 282

References 304 Index 315

Introduction

This book outlines and defends a new understanding of the philosophical importance of phenomenology, taking the work of Husserl and Heidegger as exemplary. The crux of this understanding lies in the connection between normativity and meaning, a connection that has been extensively explored in certain strands of analytic philosophy but has not been sufficiently appreciated in the phenomenological tradition. In one sense this is odd, since meaning (in the form of an analysis of intentionality) has been central to that tradition from the beginning. In another sense, however, it is perfectly understandable, since neither Husserl nor Heidegger (nor most of their followers) identified the theme of phenomenology specifically with meaning (Sinn). Rather, Husserl understood phenomenology to be a science of consciousness, while Heidegger understood it to be an approach to being. At the same time, both Husserl and Heidegger argued that phenomenology transformed the sense of previous philosophical concepts, so it is not altogether clear how we are to understand terms like "consciousness" and "being" in their writings. As I have argued in Husserl, Heidegger, and the Space of Meaning (2001), and continue to argue in this volume, a careful look at the particular descriptions, analyses, and interpretations offered by each shows that it is phenomenology's focus on the transcendental conditions of the constitution or disclosure of meaning that upsets our understanding of traditional philosophical topics in the ways that exercised Husserl and Heidegger. It thereby also allows us to appreciate why the analytic treatments alone are not enough.

The closer examination of the space of meaning in its character as a norm-governed phenomenon, and of the self or subject capable of experiencing such meaning, is the primary aim of this book. That examination yields a conception of phenomenology that sees in it neither a one-off product of a largely defunct continental metaphysical tradition, nor an appendage that deals with marginal cases of "what it is like" to experience something. The phenomenology I have in view offers a deep and compelling approach to problems of philosophy. In this volume, issues in

philosophy of mind, moral psychology, and philosophy of action provide the primary focus for illustrating this claim.

Before going further, a word should be said about the concept of "norm" that is in play here. The term is often used in a narrow sense, according to which a norm is an explicitly formulated rule - whether conventional or rationally derived - that serves as the basis for determining whether something (an action, mainly) is permissible or obligatory. When the term is understood in this way, the idea that normativity is central to Husserlian and Heideggerian phenomenology may well appear perverse. But there is a wider sense according to which a norm is anything that serves as a standard of success or failure of any kind, and it is in this sense that I understand the term here. Thus a legislated statute is a norm, as are rules of games like chess or baseball; but "unspoken" rules, satisfaction conditions, cultural mores, manners, what is "normally" done - in short, whatever it is that measures our speech and behavior - are also norms. Kant links the "exemplary universality" of our experience of the beautiful with the normative by invoking the "presence of a rule that we cannot state," and we can understand Platonic eide as norms in this sense as well: as ideal exemplars, they stand in relation to the things that share their names as standards for being those things. Like phenomenological "essences," such exemplars are not rules in any sense, but they possess a kind of normative claim that precludes our thinking of them simply as entities that turn up in the world, whether as part of the latter's causal nexus, as social facts, or as elements of the subject's psychological outfitting. It is this that makes the normative a basic concern in phenomenology, since it belongs squarely within the scope of the latter's distinctive sort of anti-naturalism (or anti-objectivism).

Thus the normative is found wherever we can speak of rules, measures, standards, exemplars, ideals, concepts, and so on; wherever distinctions between better and worse, success and failure, can be made. I don't pretend that discriminating between these various sorts of norm is not philosophically important; on the contrary, there is already a robust literature that essays this task, and if my argument goes through, tracing the differences and interconnections among these ways in which the space of meaning is constituted is a significant item on the phenomenological agenda. One example will be found in Chapter 10, where the distinction between the good and the right is touched upon. For the purposes of the general argument, however, only the wider concept of normativity is necessary.

The normative is at stake in the accounts of intentional content or meaning offered in both analytic and phenomenological traditions, and