



教育部高校工商管理类教学指导委员会双语教学推荐教材

工商管理经典教材·核心课系列 BUSINESS ADMINISTRATION CLASSICS

商法——商科学生用

英文版・第7版

阿历克斯·亚当斯 (Alix Adams) 著

LAW FOR BUSINESS STUDENTS

Seventh Edition

PEARSON

// 中国人民大学出版社



工商管理经典教材・核心课系列

BUSINESS ADMINISTRATION CLASSICS

商法——商科学生用

英文版・第7版

阿历克斯・亚当斯 (Alix Adams) 著

LAW FOR BUSINESS STUDENTS

Seventh Edition •

常州大字山书的藏书章

中国人民大学出版社 北京 ·

图书在版编目(CIP)数据

商法: 第7版: 英文/亚当斯著. 一北京: 中国人民大学出版社, 2014.6 工商管理经典教材. 核心课系列 ISBN 978-7-300-18750-1

I. ①商··· II. ①亚··· III. ①商法-高等学校-教材-英文 IV. ①D913.99

中国版本图书馆 CIP 数据核字 (2014) 第 119484 号

教育部高校工商管理类教学指导委员会双语教学推荐教材 工商管理经典教材・核心课系列

商法---商科学生用(英文版・第7版)

阿历克斯·亚当斯 著

出版发行 中国人民大学出版社

社 址 北京中关村大街31号

话 010-62511242(总编室)

010-82501766(邮购部)

010-62515195(发行公司)

址 http:// www. crup. com. cn XX

http://www.ttrnet.com(人大教研网)

销 新华书店 经

电

刷 涿州市星河印刷有限公司 印

规 格 215 mm×275 mm 16开本

张 26.5 插页2 印

字 数 728 000 邮政编码 100080

010-62511770 (质管部)

010-62514148(门市部)

010-62515275(盗版举报)

次 2014年6月第1版 版

ED 次 2014年6月第1次印刷

负责调换

定 价 49.00元

侵权必究 印装差错 版权所有

随着我国加入 WTO,越来越多的国内企业参与到国际竞争中来,用国际上通用的语言思考、工作、交流的能力也越来越受到重视。这样一种能力也成为我国各类人才参与竞争的一种有效工具。国家教育机构、各类院校以及一些主要的教材出版单位一直在思考,如何顺应这一发展潮流,推动各层次人员通过学习来获取这种能力。双语教学就是这种背景下的一种尝试。

双语教学在我国主要指汉语和国际通用的英语教学。事实上,双语教学在我国教育界已经不是一个陌生的词汇了,以双语教学为主的科研课题也已列入国家"十五"规划的重点课题。但从另一方面来看,双语教学从其诞生的那天起就被包围在人们的赞成与反对声中。如今,依然是有人赞成有人反对,但不论是赞成居多还是反对占上,双语教学的规模和影响都在原有的基础上不断扩大,且呈大发展之势。一些率先进行双语教学的院校在实践中积累了经验,不断加以改进;一些待进入者也在模仿中学习,并静待时机成熟时加入这一行列。由于我国长期缺乏讲第二语言(包括英语)的环境,开展双语教学面临特殊的困难,因此,选用合适的教材就成为双语教学成功与否的一个重要问题。我们认为,双语教学从一开始就应该使用原版的各类学科的教材,而不是由本土教师自编的教材,从而可以避免中国式英语问题,保证语言的原汁原味。各院校除应执行国家颁布的教学大纲和课程标准外,还应根据双语教学的特点和需要,适当调整教学课时的设置,合理选择优秀的、合适的双语教材。

顺应这样一种大的教育发展趋势,中国人民大学出版社同众多国际知名的大出版公司,如麦格劳-希尔出版公司、培生教育出版公司等合作,面向大学本科生层次,遴选了一批国外最优秀的管理类原版教材,涉及专业基础课,人力资源管理、市场营销及国际化管理等专业方向课,并广泛听取有着丰富的双语一线教学经验的教师的建议和意见,对原版教材进行了适当的改编,删减了一些不适合我国国情和不适合教学的内容;另一方面,根据教育部对双语教学教材篇幅合理、定价低的要求,我们更是努力区别于目前市场上形形色色的各类英文版、英文影印版的大部头,将目标受众锁定在大学本科生层次。本套教材尤其突出了以下一些特点:

- 保持英文原版教材的特色。本套双语教材根据国内教学实际需要,对原书进行了一定的改编,主要是删减了一些不适合教学以及不符合我国国情的内容,但在体系结构和内容特色方面都保持了原版教材的风貌。专家们的认真改编和审定,使本套教材既保持了学术上的完整性,又贴近中国实际;既方便教师教学,又方便学生理解和掌握。
- 突出管理类专业教材的实用性。本套教材既强调学术的基础性,又兼顾应用的广泛性;既侧重让学生掌握基本的理论知识、专业术语和专业表达方式,又考虑到教材和管理实践的紧密结合,有助于学生形成专业的思维能力,培养实际的管理技能。

- ●体系经过精心组织。本套教材在体系架构上充分考虑到当前我国在本科教育 阶段推广双语教学的进度安排,首先针对那些课程内容国际化程度较高的学科进行 双语教材开发,在其专业模块内精心选择各专业教材。这种安排既有利于我国教师 摸索双语教学的经验,使得双语教学贴近现实教学的需要;也有利于我们收集关于 双语教学教材的建议,更好地推出后续的双语教材及教辅材料。
- 篇幅合理,价格相对较低。为适应国内双语教学内容和课时上的实际需要,本套教材进行了一定的删减和改编,使总体篇幅更为合理;而采取低定价,则充分考虑到了学生实际的购买能力,从而使本套教材得以真正走近广大读者。
- 提供强大的教学支持。依托国际大出版公司的力量,本套教材为教师提供了配套的教辅材料,如教师手册、PowerPoint 讲义、试题库等,并配有内容极为丰富的网络资源,从而使教学更为便利。

本套教材是在双语教学教材出版方面的一种尝试。我们在选书、改编及出版的过程中得到了国内许多高校的专家、教师的支持和指导,在此深表谢意。同时,为使我们后续推出的教材更适于教学,我们也真诚地期待广大读者提出宝贵的意见和建议。需要说明的是,尽管我们在改编的过程中已加以注意,但由于各教材的作者所处的政治、经济和文化背景不同,书中内容仍可能有不妥之处,望读者在阅读时注意比较和甄别。

徐二明 中国人民大学商学院

Preface

Over 30 years of teaching law on a variety of further and higher education courses from GCSE to post-graduate level taught me much about the difficulties experienced by students in grasping legal concepts. It can be particularly hard for students following an intensive course of which law forms only one part. Hopefully, this text will meet their needs. I have tried to make it accessible, without over-simplification of the subject matter.

I have aimed to express the law, as far as possible, in accessible terms for the lay person and with a light touch, in the hope that it may not only instruct its readers, but also entertain them a little as well and maybe encourage them to pursue further study of the law. It is a subject that I love and would like to encourage others to love it at least a little.

Many thanks to all at Pearson who have helped me in the creation of this edition, especially my publisher Zoe Botterill. I greatly value her continuing support and practical assistance. Particular thanks also to editorial assistant Gabriella Playford for all her help.



Photo: Alix Adams

Last but definitely not least, many thanks to my partner Cherry Potts. As ever, her emotional support and encouragement have been crucial to the editing process. She has also given me lots of patient practical assistance and the technical support crucial to a somewhat Luddite author, for whom some aspects of computer use remain a mystery.

Alix Adams

简明目录

前言 …		(i)
第Ⅰ篇	导言: 开启学习之旅	
第1章	学习技巧	(3)
第2章	法的本质	(15)
第3章	法的制定	(23)
第4章	法律纠纷的解决	(43)
かπを	人目外 心面外互化物更素外	
	合同法、代理法及货物买卖法 合同法: 要约与承诺 ····································	(01)
第5章		
第6章	合同法:对价、目的及相对性	
第7章	合同条款	
第8章	合同瑕疵:虚假陈述、错误、胁迫及不正当影响	
第9章	更多瑕疵: 违法、无行为能力	
第 10 章		
第 11 章		
第 12 章		
第13章	货物销售: 所有权转移、交付及违约救济	(200)
第Ⅲ篇	侵权法	
第14章	缺陷产品的侵权责任	(219)
第 15 章	瑕疵履行的侵权责任	(235)
第16章		
hehe wit hehe	A TABLE A	
第Ⅳ扁	公司法导论	
第 18 章		
第 19 章		
第 20 章		
第 21 章	公司会议和股东参与	(350)
第Ⅴ篇	知识产权法的一般原则	
	成文法上的知识产权保护:著作权、外观设计、专利及商标	(363)
第 23 章		

Contents

	Preface	i
Part 1 Introdu	ction: Getting started	1
	1 Study skills	3
	Introduction Starting to study Good study habits Writing law assignments Revision and examination technique Conclusion	3 4 5 7 11 14
	2 The nature of law	15
	Introduction What is law? The characteristics of English law Why do we need law? The differences between criminal and civil law Changing the law Essential legal terms	15 16 16 17 18 20 21
	3 How the law is made	23
	Introduction European law Parliament The courts The Human Rights Act 1998 (HRA 1998)	23 24 27 30 37
	4 Resolving legal disputes	43
	Introduction The court system Bringing a case in the civil courts The Woolf reforms 1999 Settlement out of court Payment into court and offers to settle Civil litigation procedures	43 44 48 49 49 50

			CONTENTS	iii
		The trial of the case Executing the judgment Alternative dispute resolution (ADR) The tribunal system		52 52 53 55
Part 2	Law of consale of goo	tract, agency and ds	ļ	59
	5	The law of contract: offer and acceptance		61
		Introduction The essentials of a binding contract The offer The acceptance		61 62 63 70
	6	The law of contract: consideration, intention and privity		77
		Introduction Consideration Intention to create legal relations Privity of contract		77 78 86 88
	7	The terms of the contract		92
		Introduction Express and implied terms The relative importance of contractual terms Limitation and exclusion of liability A comparison of UCTA 1977 and the Unfair Terms in Consumer Contracts Regulations 1999 Reform of unfair contract terms legislation		92 93 94 97 109 110
	8	Defects in the contract: misrepresentation, mistake, duress and undue influence	1	113
		Introduction Misrepresentation Mistake Duress and undue influence		113 114 121 127
	9	More defects: illegality and incapacity	1	134
		Introduction Illegality Contractual incapacity		134 135 141
	10	Discharge of the contract and remedies for breach	1	146
		Introduction Discharge of contracts Remedies for breach of contract		146 147 159

CONTENTS

	1 1	The law of agency	1/2
		Introduction	172
		The creation of agency	173
		The rights and duties of the agent	178
		The rights and duties of the principal	181
		Termination of agency	182
		Some common types of specialist agents	183
	12	Sale of goods: the contract and its terms	186
		Introduction	186
		The sale of goods contract	187
		The terms implied by the Sale of Goods Act 1979	189
		Implied conditions in other Acts	197
	13	Sale of goods: transfer of ownership, performance	
		and remedies for breach of contract	200
		Introduction	200
		Statutory rules governing transfer of title	
		from seller to buyer	201
	,	Transfer of title by non-owner	205
		The passage of risk	207
		Performance of the contract	207
		Remedies for breach of the sale of goods contract	209
Part 3	The law of	tort	217
arcs	THE IOW OF		2 17
	14	Tort liability for defective goods	219
		Introduction	219
		Negligence liability	220
		The Consumer Protection Act 1987 (Part I)	226
	¥	The consumer Protection Flee 1997 (Fairery	220
	15	Tort liability for defective services	235
		Introduction	235
		Part 1 – problematic duty situations	236
		Part 2 – breach of duty	257
		Proving consequent damage	261
	16	Tort liability for premises	269
		Introduction	269
		The occupier's liability to people	
	,	on the premises	270
		Duties of an occupier to people	
		outside the premises	277
		Defences in tort	286
		Vicarious liability	290

		CONTENTS v
Part 4	Introduction to company law	295
	17 Business organisation	297
	Introduction Legal personality, incorporation	297
	and limited liability	298
	The sole trader	299
	The partnership The registered company	299 303
	The impact of the Human Rights Act 1998 (HRA 1998)	303
	on business organisations	311
	In conclusion	312
	18 Forming a registered company	315
	Introduction	315
	Promoting the company Forming the company	316 316
	Buying a company 'off the shelf'	323
	19 Running the company: raising	
	and maintaining capital	326
	Introduction	326
	Share capital Loan capital	327 331
	20 Daily management of the company: functions of directors, secretary and auditors	335
	Introduction	335
	The directors	336
	The company secretary The auditors of the company	345 347
	Insider dealing	348
	21 Company meetings and shareholder	
	participation	350
	Introduction	350
	General meetings	351
	Protecting the rights of minority shareholders	355
Part 5	General principles of intellectual	
	property law	361
	22 Statutory intellectual property protection:	
	copyright, designs, patents and trade marks	363
	Introduction	363
	Statutory regulation of intellectual property	364

CONTENTS

	The impact of EC law and international treaties on intellectual property rights	376
23	Common law protection of intellectual property: passing off, malicious falsehood and breach of confidence	379
	Introduction Passing off Malicious falsehood Breach of confidence and protection of privacy Remedies for passing off, malicious falsehood and breach of confidence	379 380 383 384
	Appendix 1: Additional resources Appendix 2: Worth thinking about? and quiz solutions	393 397

PART 1

Introduction: Getting started

Study skills

Introduction

This chapter, which aims to help you to acquire the skills essential to the successful study of law is divided into four sections:

- 1 starting to study;
- 2 good study habits;
- 3 writing law assignments;
- 4 revision and examination technique.



Starting to study

Get rid of your misconceptions

Many of you will be studying law for the first time and as one component of a course. You may be feeling nervous about it because it feels remote or difficult and even perhaps boring. In practice (and particularly if you follow the advice in this chapter), you will probably find law much more interesting than you thought when you began your studies. Contrary to common belief, the study of law is not primarily concerned with ancient, dry and precise regulations that you have to learn by heart. Most of your studies are involved with quite modern cases, that have come to court just because the law was not precise and consequently gave rise to the dispute. This book looks at how the law applies to real-life situations, which will help you to recall the legal principles on which it is based. Effective communication of your *understanding* of those principles is the main requirement for examination success.

Remembering all the cases by name and being able to quote extracts from statutes word for word is icing on the cake – impressive, but not essential.

Law is relevant to you

Remember that the law responds and develops as required by the society within which it operates. For example, until 1935 the driving test was not compulsory because road safety had not assumed the importance it enjoys today. Then there was much less motorised traffic moving more slowly than today and consequently many fewer accidents. The law affects you personally every day of your life. For example, it determines your eligibility for a student loan, your rights to treatment under the National Health Service and your entitlements and responsibilities as a consumer, business owner, employer or employee.

Widen your horizons

Your studies will be more rewarding if you do not consider law as something to be thought about only when you are in class or reading a relevant text. The effects of many areas of the law are widely reported and discussed in the media. Such reports can help you to see how the law works (or does not work), as well as reminding you of what you have studied. Stay in touch with the news, try to look at a quality daily paper and look out for relevant TV and radio programmes. Some suggestions for resources appear in Appendix 1. The Internet is an excellent research tool. Try visiting the websites suggested by the web activity references at the end of Chapters 3–23.

Make connections

The more you study, the easier it gets. Studying law is rather like doing a large jigsaw without the help of a picture – progress is initially slow while the framework is established, but patience is rewarded. Once the picture begins to reveal itself you can see more easily how the different pieces fit together and then the task gets easier and quicker.

Try not to think of each topic as a separate entity to be 'done' and neatly filed away in the memory. Exploit the links with other related topics; this aids both recollection and understanding. Exam and assignment questions may involve a problem, raising issues about a number of different topics; the ability to see connections is vital to an effective response. To help you do this, frequent cross-references appear in the text. Pondering on the questions in the 'Worth thinking about?' boxes in each of the subsequent chapters and

maybe discussing these with your classmates will help with the process.

Read and practise applying your knowledge

Somebody (not a lawyer) once told the author that law is a very 'paper-based subject'. This made it sound a bit like origami, but nevertheless did contain some truth. Reading thoroughly and widely is essential; practising the written skills required by the examinations is also crucial.

Hopefully you will find this book accessible, but if you are new to the study of law it would take magical powers to understand it all fully at a first reading. Be prepared to go back and re-read a section that you do not understand. The chapter summaries may help you to grasp the main points of each chapter. Often it is best to try to get a general picture on the first reading of a topic that you find hard, pressing on even if you do not understand it. You will still get something from it, and on each subsequent reading it will become clearer.

Get all the writing practice that you can. Homework provides safe space to make mistakes; and it is much better to make them then rather than in the exam. Try the quizzes and assignments in this book. Prepare written answers to tutorial questions and be prepared to participate when attending them. This is much more valuable (and more fun) than listening mutely to other people's answers.



Good study habits

Create a structure for learning

Success in your studies depends more on being well organised than having a flair for the subject. Settling yourself into a reasonably structured regime is very helpful but isn't necessarily all that easy when you are starting something new, maybe away from home for the first time and excited by all the attractions on offer in this new phase of your life. Possibly all this newness is a bit daunting and you are feeling a bit anxious or homesick. Either way, some structure will help.

Taking care of yourself

This is your first priority. Remember that your brain is part of your body! This very obvious statement still needs reinforcement for many students who ignore their need for proper food and sleep and relaxation. It is easy to let these apparently simple requirements slip under the pressure of a new and taxing academic course, pursuit of a social life, and the part-time job that most students will find necessary. Many of you, particularly mature students, may have caring responsibilities that may have to take priority.

However, try to eat a balanced diet and get a reasonable amount of sleep. Be prepared to acknowledge that you may from time to time need some extra support. If you are ill, or having any other problems that hinder your studies, tell your lecturers or personal tutor what is going on, as they are often able to help. Counselling services may also be available at your university or college that can be very helpful.