Graduate Texts in Mathematics

123

Numbers

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Numbers

With an Introduction by K. Lamotke Translated by H.L.S. Orde Edited by J.H. Ewing

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Preface to the English Edition

A book about numbers sounds rather dull. This one is not. Instead it is a lively story about one thread of mathematics—the concept of "number"—told by eight authors and organized into a historical narrative that leads the reader from ancient Egypt to the late twentieth century. It is a story that begins with some of the simplest ideas of mathematics and ends with some of the most complex. It is a story that mathematicians, both amateur and professional, ought to know.

Why write about numbers? Mathematicians have always found it difficult to develop broad perspective about their subject. While we each view our specialty as having roots in the past, and sometimes having connections to other specialties in the present, we seldom see the panorama of mathematical development over thousands of years. Numbers attempts to give that broad perspective, from hieroglyphs to K-theory, from Dedekind cuts to nonstandard analysis. Who first used the standard notation for π (and who made it standard)? Who were the "quaternionists" (and can their zeal for quaternions tell us anything about the recent controversy concerning Chaos)? What happened to the endless supply of "hypercomplex numbers" or to quaternionic function theory? How can the study of maps from projective space to itself give information about algebras? How did mathematicians resurrect the "ghosts of departed quantities" by reintroducing infinitesimals after 200 years? How can games be numbers and numbers be games? This is mathematical culture, but it's not the sort of culture one finds in scholarly tomes; it's lively culture, meant to entertain as well as to inform.

This is not a book for the faint-hearted, however. While it starts with material that every undergraduate could (and should) learn, the reader is progressively challenged as the chapters progress into the twentieth century. The chapters often tell about people and events, but they primarily tell about mathematics. Undergraduates can certainly read large parts of this book, but mastering the material in late chapters requires work, even for mature mathematicians. This is a book that can be read on several levels, by amateurs and professionals alike.

The German edition of this book, Zahlen, has been quite successful. There was a temptation to abbreviate the English language translation by making it less complete and more compact. We have instead tried to produce a faithful translation of the entire original, which can serve as a scholarly reference as well as casual reading. For this reason, quotations

are included along with translations and references to source material in foreign languages are included along with additional references (usually more recent) in English.

Translations seldom come into the world without some labor pains. Authors and translators never agree completely, especially when there are eight authors and one translator, all of whom speak both languages. My job was to act as referee in questions of language and style, and I did so in a way that likely made neither side happy. I apologize to all.

Finally, I would like to thank my colleague, Max Zorn, for his helpful advice about terminology, especially his insistence on the word "octonions" rather than "octaves."

March 1990 John Ewing

Preface to Second Edition

The welcome which has been given to this book on numbers has pleasantly surprised the authors and the editor. The scepticism which some of us had felt about its concept has been dispelled by the reactions of students, colleagues and reviewers. We are therefore very glad to bring out a second edition—much sooner than had been expected. We have willingly taken up the suggestion of readers to include an additional chapter by J. Neukirch on p-adic numbers. The chapter containing the theorems of Frobenius and Hopf has been enlarged to include the Gelfand-Mazur theorem. We have also carefully revised all the other chapters and made some improvements in many places. In doing so we have been able to take account of many helpful comments made by readers for which we take this opportunity of thanking them. P. Ullrich of Münster who had already prepared the name and subject indexes for the first edition has again helped us with the preparation of the second edition and deserves our thanks.

Oberwolfach, March 1988

Authors and Publisher

Preface to First Edition

The basic mathematical knowledge acquired by every mathematician in the course of his studies develops into a unified whole only through an awareness of the multiplicity of relationships between the individual mathematical theories. Interrelationships between the different mathematical disciplines often reveal themselves by studying historical development. One of the main underlying aims of this series is to make the reader aware that mathematics does not consist of isolated theories, developed side by side, but should be looked upon as an organic whole.

The present book on numbers represents a departure from the other volumes of the series inasmuch as seven authors and an editor have together contributed thirteen chapters. In conversations with one another the authors agreed on their contributions, and the editor endeavored to bring them into harmony by reading the contributions with a critical eye and holding subsequent discussions with the authors. The other volumes of the series can be studied independently of this one.

While it is impossible to name here all those who have helped us by their comments, we should nevertheless like to mention particularly Herr Gericke (of Freiburg) who helped us on many occasions to present the historical development in its true perspective.

K. Peters (at that time with Springer-Verlag) played a vital part in arranging the first meeting between the publisher and the authors. The meetings were made possible by the financial support of the Volkswagen Foundation and Springer-Verlag, as well as by the hospitality of the Mathematical Research Institute in Oberwolfach.

To all of these we extend our gratitude.

Oberwolfach, July 1983

Authors and Editor

Contents

Preface	to the English Edition	V
Preface	to Second Edition	vii
Preface	to First Edition	ix
Introduc	ction, K. Lamotke	1
	. From the Natural Numbers, to the Complex umbers, to the p -adics	7
	r 1. Natural Numbers, Integers, and Rational Numbers. Mainzer	9
0	storical	9
\$2. Na 1.	Egyptians and Babylonians. 2. Greece. 3. Indo-Arabic rithmetical Pratice. 4. Modern Times atural Numbers Definition of the Natural Numbers. 2. The Recursion heorem and the Uniqueness of N. 3. Addition, Multiplication	14
§3. Th	nd Ordering of the Natural Numbers. 4. Peano's Axioms the Integers The Additive Group Z. 2. The Integral Domain Z.	19
	The Order Relation in Z he Rational Numbers	22
1. Referen	Historical. 2. The Field \mathbb{Q} . 3. The Ordering of \mathbb{Q} ces	23
Chapter	r 2. Real Numbers. K. Mainzer	27
§1. Hi 1. Th	istorical HIPPASUS and the Pentagon. 2. EUDOXUS and the heory of Proportion. 3. Irrational Numbers in Modern fathematics. 4. The Formulation of More Precise Definitions	27
§2. D	the Nineteenth Century EDEKIND Cuts The Set R of Cuts. 2. The Order Relation in R.	36

xii Contents

	3. Addition in \mathbb{R} . 4. Multiplication in \mathbb{R}	
$\S 3.$	Fundamental Sequences	39
	1. Historical Remarks. 2. CAUCHY's Criterion for	
	Convergence. 3. The Ring of Fundamental Sequences.	
	4. The Residue Class Field F/N of Fundamental Sequences	
	Modulo the Null Sequence. 5. The Completely Ordered Residue	
126	Class Field F/N	
$\S4.$	Nesting of Intervals	43
	1. Historical Remarks. 2. Nested Intervals and Completeness	
$\S 5$.	Axiomatic Definition of Real Numbers	46
	1. The Natural Numbers, the Integers, and the Rational	
	Numbers in the Real Number Field. 2. Completeness Theorem.	
	3. Existence and Uniqueness of the Real Numbers	-
Refe	erences	51
-		
Cha	pter 3. Complex Numbers. R. Remmert	55
0.1	Committee Committee Management	F 0
§1.	Genesis of the Complex Numbers	56
	1. CARDANO (1501–1576). 2. BOMBELLI (1526–1572).	
	3. DESCARTES (1596–1650), NEWTON (1643–1727)	
	and Leibniz (1646–1716). 4. Euler (1707–1783).	
	5. Wallis (1616–1703), Wessel (1745–1818) and	
	Argand (1768–1822). 6. Gauss (1777–1855).	
	7. CAUCHY (1789–1857). 8. HAMILTON (1805–1865).	
6.0	9. Later Developments	G.E.
$\S 2$.	The Field C	65
	1. Definition by Pairs of Real Numbers. 2. The Imaginary	
	Unit i. 3. Geometric Representation. 4. Impossibility of	
	Ordering the Field \mathbb{C} . 5. Representation by Means	
0.0	of 2 × 2 Real Matrices	71
§3.	Algebraic Properties of the Field C	71
	1. The Conjugation $\mathbb{C} \to \mathbb{C}$, $z \mapsto \bar{z}$. 2. The Field	
	Automorphisms of C. 3. The Natural Scalar Product	
	$Re(w\bar{z})$ and Euclidean Length $ z $. 4. Product Rule and	
	the "Two Squares" Theorem. 5. Quadratic Roots and	
0.4	Quadratic Equations. 6. Square Roots and n th Roots Geometric Properties of the Field \mathbb{C}	78
$\S4.$		10
	1. The Identity $\langle w, z \rangle^2 + \langle iw, z \rangle^2 = w ^2 z ^2$. 2. Cosine Theorem	
	and the Triangle Inequality. 3. Numbers on Straight Lines	
	and Circles. Cross-Ratio. 4. Cyclic Quadrilaterals and Cross-Ratio. 5. PTOLEMY's Theorem. 6. WALLACE's Line.	
6 =	The Groups $O(\mathbb{C})$ and $SO(2)$	85
§5.	1. Distance Preserving Mappings of \mathbb{C} . 2. The Group $O(\mathbb{C})$.	00
	3. The Group $SO(2)$ and the Isomorphism $S^1 \to SO(2)$.	
	σ . The Group $\mathcal{O}(2)$ and the isomorphism $\mathcal{O} \to \mathcal{O}(2)$.	

Contents xiii

0	 Rational Parametrization of Properly Orthogonal 2 × 2 Matrices. Polar Coordinates and nth Roots Polar Coordinates. 2. Multiplication of Complex Numbers in Polar Coordinates. 3. DE MOIVRE's Formula. 4. Roots in Unity. 	89
Chap	ter 4. The Fundamental Theorem of Algebra. R. Remmert	97
§1.	On the History of the Fundamental Theorem 1. GIRARD (1595–1632) and DESCARTES (1596–1650). 2. LEIBNIZ (1646–1716). 3. EULER (1707–1783). 4. D'ALEMBERT (1717–1783). 5. LAGRANGE (1736–1813) and LAPLACE (1749–1827). 6. GAUSS'S Critique. 7. GAUSS'S FOUR Proofs. 8. ARGAND (1768–1822) and CAUCHY (1798–1857). 9. The Fundamental Theorem of Algebra: Then and Now. 10. Brief Biographical Notes on Carl Friedrich GAUSS	98
§2.	Proof of the Fundamental Theorem Based on Argand 1. Cauchy's Minimum Theorem. 2. Proof of the Fundamental Theorem. 3. Proof of Argand's Inequality. 4. Variant of the Proof. 5. Constructive Proofs of the Fundamental Theorem.	111
§3.	Application of the Fundamental Theorem 1. Factorization Lemma. 2. Factorization of Complex Polynomials. 3. Factorization of Real Polynomials. 4. Existence of Eigenvalues. 5. Prime Polynomials in $\mathbb{C}[Z]$ and $\mathbb{R}[X]$. 6. Uniqueness of \mathbb{C} . 7. The Prospects for "Hypercomplex Numbers."	115
Арре	endix. Proof of the Fundamental Theorem, after LAPLACE 1. Results Used. 2. Proof. 3. Historical Note	120
Chap	oter 5. What is π ? R. Remmert	123
§1.	On the History of π 1. Definition by Measuring a Circle. 2. Practical Approximations. 3. Systematic Approximation. 4. Analytical Formulae. 5. Baltzer's Definition. 6. Landau and His Contemporary Critics	124
§2.	 The Exponential Homomorphism exp: C → C[×] 1. The Addition Theorem. 2. Elementary Consequences. 3. Epimorphism Theorem. 4. The Kernel of the Exponential Homomorphism. Definition of π. Appendix. Elementary Proof of Lemma 3. 	131
§3.	Classical Characterizations of π 1. Definitions of $\cos z$ and $\sin z$ 2. Addition Theorem	137

xiv

§4.	3. The Number π and the Zeros of $\cos z$ and $\sin z$. 4. The Number π and the Periods of $\exp z$, $\cos z$ and $\sin z$. 5. The Inequality $\sin y > 0$ for $0 < y < \pi$ and the Equation $e^{i\frac{\pi}{2}} = i$. 6. The Polar Coordinate Epimorphism $p: \mathbb{R} \to S^1$. 7. The Number π and the Circumference and Area of a Circle. Classical Formulae for π . 1. Leibniz's Series for π . 2. Vieta's Product Formula for π . 3. Euler's Product for the Sine and Wallis's Product for π . 4. Euler's Series for π^2, π^4, \ldots 5. The Weierstrass Definition of π . 6. The Irrationality of π and Its Continued Fraction Expansion. 7. Transcendence of π .	142
Chap	oter 6. The p-Adic Numbers. J. Neukirch	155
§3. §4.	Numbers as Functions The Arithmetic Significance of the p-Adic Numbers The Analytical Nature of p-Adic Numbers The p-Adic Numbers rences	155 162 166 173 177
Par	B. Real Division Algebras	179
Intro	oduction, M. Koecher, R. Remmert	181
Repe	ertory. Basic Concepts from the Theory of Algebras, M. Koecher, R. Remmert	183
	1. Real Algebras. 2. Examples of Real Algebras. 3. Subalgebras and Algebra Homomorphisms. 4. Determination of All One-Dimensional Algebras. 5. Division Algebras. 6. Construction of Algebras by Means of Bases	
Cha	pter 7. Hamilton's Quaternions. M. Koecher, R. Remmert	189
Intro §1.	oduction The Quaternion Algebra \mathbb{H} 1. The Algebra \mathbb{H} of the Quaternions. 2. The Matrix Algebra \mathcal{H} and the Isomorphism $F:\mathbb{H} \to \mathcal{H}$. 3. The Imaginary Space of \mathbb{H} . 4. Quaternion Product, Vector Product and Scalar Product. 5. Noncommutativity of \mathbb{H} . The Center. 6. The Endomorphisms of the \mathbb{R} -Vector Space \mathbb{H} . 7. Quaternion Multiplication and Vector Analysis. 8. The Fundamental Theorem of Algebra for Quaternions.	189 194
§2.	The Algebra H as a Euclidean Vector Space 1. Conjugation and the Linear Form Re. 2. Properties of	206

Contents

	the Scalar Product. 3. The "Four Squares Theorem". 4. Preservation of Length, and of the Conjugacy Relation Under Automorphisms. 5. The Group S^3 of Quaternions of Length 1. 6. The Special Unitary Group $SU(2)$ and the Isomorphism $S^3 \to SU(2)$. The Orthogonal Groups $O(3)$, $O(4)$ and Quaternions 1. Orthogonal Groups. 2. The Group $O(\mathbb{H})$. CAYLEY's Theorem. 3. The Group $O(\operatorname{Im} \mathbb{H})$. Hamilton's Theorem. 4. The Epimorphisms $S^3 \to SO(3)$ and $S^3 \times S^3 \to SO(4)$. 5. Axis of Rotation and Angle of Rotation. 6. Euler's	213
	Parametric Representation of $SO(3)$.	
Chap	ter 8. The Isomorphism Theorems of FROBENIUS, HOPF and GELFAND-MAZUR. M. Koecher, R. Remmert	221
Intro	duction	221
§1.	 Hamiltonian Triples in Alternative Algebras The Purely Imaginary Elements of an Algebra. Hamiltonian Triple. 3. Existence of Hamiltonian Triples in Alternative Algebras. 	223
§2.		227
§3.		230
§4.	The Gelfand-Mazur Theorem 1. Banach Algebras. 2. The Binomial Series. 3. Local Inversion Theorem. 4. The Multiplicative Group \mathcal{A}^{\times} . 5. The Gelfand-Mazur Theorem. 6. Structure of Normed Associative Division Algebras. 7. The Spectrum. 8. Historical Remarks on the Gelfand-Mazur Theorem. 9. Further Developments	238
Chaj	pter 9. CAYLEY Numbers or Alternative Division Algebras. M. Koecher, R. Remmert	249
§1.	Alternative Quadratic Algebras 1. Quadratic Algebras. 2. Theorem on the Bilinear Form. 3. Theorem on the Conjugation Mapping. 4. The Triple Product Identity. 5. The Euclidean Vector Space \mathcal{A} and the Orthogonal Group $O(\mathcal{A})$	250
§2.	Existence and Properties of Octonions 1. Construction of the Quadratic Algebra O of Octonions.	256

xvi

§3.	2. The Imaginary Space, Linear Form, Bilinear Form, and Conjugation of ℚ. 3. ℚ as an Alternative Division Algebra. 4. The "Eight-Squares" Theorem. 5. The Equation ℚ = ℍ ⊕ ℍ p. 6. Multiplication Table for ℚ Uniqueness of the Cayley Algebra 1. Duplication Theorem. 2. Uniqueness of the Cayley Algebra (Zorn 1933). 3. Description of ℚ by Zorn's Vector Matrices	261
Chap	vter 10. Composition Algebras. HURWITZ's Theorem— Vector-Product Algebras. M. Koecher, R. Remmert	265
§1.	Composition Algebras 1. Historical Remarks on the Theory of Composition. 2. Examples. 3. Composition Algebras with Unit Element. 4. Structure Theorem for Composition Algebras with Unit Element	267
§2.	Mutation of Composition Algebras 1. Mutation of Algebras. 2. Mutation Theorem for Finite-Dimensional Composition Algebras. 3. Hurwitz's Theorem (1898)	272
§3.	Vector-Product Algebras 1. The Concept of a Vector-Product Algebra. 2. Construction of Vector-Product Algebras. 3. Specification of all Vector-Product Algebras. 4. Malcev-Algebras. 5. Historical Remarks	275
Chaj	oter 11. Division Algebras and Topology. F. Hirzebruch	281
§1.	The Dimension of a Division Algebra Is a Power of 2 1. Odd Mappings and Hopf's Theorem. 2. Homology and Cohomology with Coefficients in F_2 . 3. Proof of Hopf's Theorem. 4. Historical Remarks on Homology and Cohomology Theory. 5. Stiefel's Characteristic Homology Classes	281
§2.	The Dimension of a Division Algebra Is 1, 2, 4 or 8 1. The mod 2 Invariants $\alpha(f)$. 2. Parallelizability of Spheres and Division Algebras. 3. Vector Bundles. 4. Whitney's Characteristic Cohomology Classes. 5. The Ring of Vector Bundles. 6. Bott Periodicity. 7. Characteristic Classes of Direct Sums and Tensor Products. 8. End of	290
§3.	the Proof. 9. Historical Remarks Additional Remarks 1. Definition of the Hopf Invariant. 2. The Hopf Construction. 3. Adam's Theorem on the Hopf Invariants. 4. Summary. 5. Adam's Theorem About Vector Fields on Spheres	299

Cont	ents	xvii
Refe	rences	301
Part	C. Infinitesimals, Games, and Sets	303
Chap	oter 12. Nonstandard Analysis. A. Prestel	305
§1. §2.	Introduction The Nonstandard Number Domain *R 1. Construction of *R. 2. Properties of *R	305 309
§3. §4.	Features Common to \mathbb{R} and ${}^*\mathbb{R}$ Differential and Integral Calculus 1. Differentiation. 2. Integration	316 321
Epilo Refe		326 327
Chaj	pter 13. Numbers and Games. H. Hermes	329
§1.	Introduction 1. The Traditional Construction of the Real Numbers.	329
§2.	 The Conway Method. 3. Synopsis Conway Games Discussion of the Dedekind Postulates. 2. Conway's 	331
§3.	Modification of the DEDEKIND Postulates. 3. CONWAY Games Games 1. The Concept of a Game. 2. Examples of Games. 3. An	334
§4.	Induction Principle for Games On the Theory of Games 1. Winning Strategies. 2. Positive and Negative Games. 3. A Classification of Games	336
§5.	A Partially Ordered Group of Equivalent Games 1. The Negative of a Game. 2. The Sum of Two Games. 3. Isomorphic Games. 4. A Partial Ordering of Games. 5. Equality of Games	339
§6.	Games and Conway Games 1. The Fundamental Mappings. 2. Extending to Conway Games the Definitions of the Relations and Operations Defined for Games. 3. Examples	343
§7.	Conway Numbers 1. The Conway Postulates (C1) and (C2). 2. Elementary	346
§8.	Properties of the Order Relation. 3. Examples The Field of Conway Numbers 1. The Arithmetic Operations for Numbers. 2. Examples.	349
Refe	3. Properties of the Field of Numbers erences	353

xviii	Contents

Cha	pter 14. Set Theory and Mathematics. HD. Ebbinghaus
Intro	oduction
§1.	Sets and Mathematical Objects 1. Individuals and More Complex Objects. 2. Set Theoretical Definitions of More Complex Objects. 3. Urelements as Sets
§2.	Axiom Systems of Set Theory 1. The Russell Antinomy. 2. Zermelo's and the Zermelo- Fraenkel Set Theory. 3. Some Consequences. 4. Set Theory with Classes
§3.	Some Metamathematical Aspects 1. The VON NEUMANN Hierarchy. 2. The Axiom of Choice. 3. Independence Proofs
Epil	ogue
	erences
Nan	ne Index
Subj	ject Index
Por	traits of Famous Mathematicians

Introduction

K. Lamotke

Mathematics, according to traditional opinion, deals with numbers and figures. In this book we do not begin, as EUCLID began, with figures but with numbers.

Mathematical research over the last hundred years has created abstract theories, such as set theory, general algebra, and topology, whose ideas have now penetrated into the teaching of mathematics at the elementary level. This development has not been ignored by the authors of this book; indeed, they have willingly taken advantage of it in that the authors assume the reader to be familiar with the basic concepts of (naive) set theory and algebra. On the other hand, a first volume on numbers should emphasize the fact that modern research in mathematics and its applications is, to a considerable extent, linked to what was created in the past. In particular, the traditional number system is the most important foundation of all mathematics.

The book that we now present is divided into three parts, of which the first, which may be regarded as the heart, describes the structure of the number-system, from the natural numbers to the complex and p-adic numbers. The second part deals with its further development to 'hypercomplex numbers,' while in the third part two relatively new extensions of the real number system are presented. The six chapters of the first part cover those parts of the subject of 'numbers' that every mathematician ought to have heard or read about at some time. The other two parts are intended to satisfy the appetite of a reader who is curious to learn something beyond the basic facts. On the whole, "the structure of number systems" would be a more accurate description of the content of this book.

We should now like to say a few words in more detail about the various contributions, the aims that the authors have set out to achieve, and the reasons that have induced us to bring them together in the form in which they are presented here.

2 Introduction

PART A

Since the end of the last century it has been customary to construct the number system by beginning with the natural numbers and then extending the structure step-by-step to include the integers, the rational numbers, the real numbers, and finally the complex numbers. That is not, however, the way in which the concept of number developed historically. Even in ancient times, the rational numbers (fractions and ratios) and certain irrational numbers (such as π , the ratio of the circumference to the radius of a circle, and square-roots) were known in addition to the natural numbers. The system of (positive) rational and irrational numbers was also described theoretically by Greek philosophers and mathematicians, but it was done within the framework of an autonomous theory of commensurable and incommensurable proportions, and it was not thought of as an extension of the natural numbers. It was not until after many centuries of working numerically with proportions that the realization dawned in the 17th century that a number is something that bears the same relationship to (the unit) one as a line segment bears to another given segment (of unit length). Negative numbers, which can be shown to have been in use in India in the 6th century, and complex numbers, which CARDAN took into consideration in 1545 as a solution of a quadratic equation, were still looked upon as questionable for a long time afterwards. In the course of the 19th century the construction that we use today began to emerge.

Each chapter contains a contribution that includes a description of the historical development of the fundamental concepts. These contributions are not intended to replace a history of the number concept, but are aimed at contributing towards a better understanding of the modern presentation by explaining the historical motivation.

In this sense, Chapter 1, §1 begins with the oldest of the representations of numbers that have been handed down to us by tradition, and leads into §2 in which the ideas involved in counting are given axiomatically following the methods introduced by DEDEKIND, by using the concepts of set-theory.

In the ensuing step-by-step construction of the number-system certain themes constantly recur. (1) The step from one stage to the next is prompted each time by the desire to solve problems that can be formulated but not solved in terms of numbers defined so far. (2) The number system of the next stage is constructed, with the help of the operations of set-theory, as an extension of the existing system designed to make the initial problem solvable. For this the following items are necessary. (3) The existing computational operations and relations must be carried over to the new system. (4) The validity of all the computational rules in the new context has to be checked. The processes (1) to (3) are always carried out, in the chapters that follow, but item (4) usually involves tedious verifications, which soon become a matter of routine. Here the authors allow themselves to carry out