



# TOPLEY AND WILSON'S PRINCIPLES OF BACTERIOLOGY AND IMMUNITY

FOURTH EDITION

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IN TWO VOLUMES

*VOLUME I*



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BACTERIOLOGY AND IMMUNITY

To  
K. T. AND J. W.

## PREFACE TO THE FOURTH EDITION

THE nine years that have elapsed since the publication of the last edition of this book have witnessed the development of our subject at a rate unequalled since the first invasion of Pasteur, Koch, and their associates into the unexplored world of microbiology. Admittedly systematic bacteriology has made no great strides, in spite of the efforts of the taxonomists to resurvey the uncertain ground on which they tread; but in most other fields activity has been intense. The study of variation in bacteria, bacteriophages, and viruses, with the discovery of the phenomena of recombination and transduction, has opened up the entirely new field of bacterial genetics. Our knowledge of cellular morphology has been advanced by the electron physicist and of cellular metabolism by the biochemist; and a number of new techniques have been devised to solve the mystery of how the viruses reproduce themselves. Powerful new chemotherapeutic and antibiotic agents have appeared in rapid succession—so rapid that it has become impossible to keep pace with the often profound changes they are provoking in both clinical medicine and epidemiology. The reproduction in book form of these and numerous other advances in our knowledge has proved a task that has been not only onerous, but has at times seemed almost impertinent to attempt. Within the compass imposed on us by the conventional exigencies of publication the feat is virtually impossible; and no one could be more aware of the shortcomings of our joint effort than we are ourselves. To follow the continuous and ever-increasing flow of scientific papers, to catch at the fragment of truth they contain, to free it from the error and unsubstantial dross in which it is sometimes embedded, and to weave it into a simple clearly defined pattern demands a combination of insight and imaginative skill to which we ourselves lay no claim. The pattern we have woven is one in which the strands at times are left loose or inextricably intermingled, or in which the very substance of the cloth is missing. All that we can offer as an excuse for our temerity is the consistency of treatment and emphasis and the uniform standard of presentation that are possible only when a book is written by a single author or by two or three authors working in close collaboration.

With this apologia let us say that, though the book was originally intended for the general student of medicine and biology, its character has gradually changed, till now this claim can no longer be justified. The great expansion of knowledge during the last twenty-five years has forced us to deal more and more with the bacteriology of infection in man and animals, and to treat this at increasingly

greater length. The result has been twofold. In the first place much of interest to the non-medical bacteriologist has had to be omitted; and in the second such a mass of detail has had to be included as to render parts of the text frankly unsuitable for the undergraduate medical student. On the other hand, we hope it will prove of correspondingly greater value to the post-graduate student of human and veterinary medicine, to the teacher of bacteriology and immunity and to the research worker. Partly with this hope in mind, we have added considerably to the number of references, realizing that, though many trivial ones must have been included and some important ones inadvertently overlooked, the limited bibliography we do present should be sufficient to enable serious workers to get quickly into touch with the main contributions to the subject they are studying.

In spite of all the help we have received, and the care we have taken to eliminate errors of one sort and another, there must still be mistakes, and we should be most grateful if readers would be good enough to point them out to us so that they may be corrected at the first opportunity.

In conclusion, we would express our thanks to those who have assisted us in various ways. Among those to whom we owe a debt of gratitude, we should like to mention our various colleagues, particularly Dr. S. T. Cowan, Dr. A. Felix, Dr. B. A. D. Stocker, Dr. N. W. Pirie, Professor W. T. J. Morgan, Dr. R. R. Race, Dr. F. O. MacCallum, Dr. R. E. O. Williams, Dr. Joan Taylor, Dr. Charles Cockburn, and Dr. J. H. Humphrey for providing us with technical information or for criticizing portions of the text; Professor E. T. C. Spooner, Professor J. C. Cruickshank, Professor S. P. Bedson, Dr. A. C. Cunliffe, Professor R. Lovell, Dr. C. G. Pope, Dr. F. Kauffmann, Dr. S. E. Jacobs, Dr. Sibyl Horner, and Dr. Frances Shattock for their helpful discussion on individual problems; Dr. E. H. DeLamater, Dr. J. Hillier, Dr. Stuart Mudd, Professor R. G. E. Murray, Dr. C. E. Robinow, Mr. J. E. Smiles, Dr. W. van Iterson, and Dr. A. Isaacs for furnishing new illustrations; Miss Jeannette Taylor, Miss Betty Whyte, and Mrs. Dorothy Patman for tracing and checking some of the references; Dr. B. Moore and Miss Madeleine Barry for generously offering to read the page proofs; and Miss Shirley Jones and Miss Maureen Fenton for the arduous duty of typing the manuscript.

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# PART I

## GENERAL BACTERIOLOGY

### CHAPTER 1

#### HISTORICAL OUTLINE

IN the study of any branch of science, an acquaintance with the historical development of knowledge is an important element in a clear understanding of our present conceptions. To the student of bacteriology such a basis is essential. It is almost true to say that the clue to the present position of bacteriology is the curious fact that there have been no bacteriologists. From Pasteur onwards, the great majority of investigators have been more interested in what bacteria do than in what they are, and much more interested in the ways in which they interfere with man's health or pursuits than in the ways in which they function as autonomous living beings. The relations of bacteria to disease, to agriculture, and to various commercial processes, have presented problems which pressed for solution; and, as a result, we have witnessed a reversal of the normal process. We have seen the development of an applied science of bacteriology, or rather its application along many divergent lines, without the provision of any general basis of purely scientific knowledge. The essential interlocking of pure and applied science has, of course, been in evidence here as elsewhere. The necessity for being able to recognize a bacterium which has been shown to be of importance in some province of human affairs, or of determining the way in which its harmful or beneficial action is brought about, has led to an intensive study of many aspects of bacterial morphology and physiology; but, in general, it may be said that the study of bacteria themselves has been carried out *en passant*, that amount of knowledge being acquired, or searched for, which would afford adequate data for the solution of some problem in applied bacteriology. Gradually the general structure of our knowledge has been added to, and gaps have been filled. Many of those who have started from some particular application have been led far afield by that desire for knowledge, altogether apart from its technical application, which is the essence of science itself. But this mode of construction has given to the general body of existing bacteriological knowledge a curious patchiness and indefiniteness which are puzzling to the student, and which must be realized and allowed for in any attempt to present the subject as a whole. There can be no question of any future reconstruction *ab initio*. The history of a science is largely a history of technique, and the foundations of bacteriological technique, which presents many peculiar difficulties, have been well and truly laid by those who have worked in this field since

the middle of the nineteenth century. The pure bacteriologist of the future will owe a lasting debt to those who have worked on the applied side, and his investigations will necessarily be based upon the knowledge gained by the medical or agricultural bacteriologist. The study of immunology, for instance, has supplied a body of facts, and an armoury of technical methods, which no bacteriologist can neglect, and which will inevitably give to future bacteriological research certain peculiarities of outlook and special methods of attack.

It is customary, in summarizing the history of bacteriology, at least in relation to medicine, to refer to the conception advanced by Fracastorius of Verona (1546), concerning a *contagium vivum* as the possible cause of infective disease, and to the views advanced by von Plenciz (1762) on the specificity of disease, based on a belief in its microbial origin. A concrete science is, however, seldom advanced to any considerable extent by arguments, however ingenious, which are propounded without appeal to experiment, or to wide and detailed observation; and the absence of any real progress until the middle of last century is sufficient evidence that the views of Fracastorius, von Plenciz and others have acquired their main significance from knowledge gathered by later generations, rather than from their inherent fertility. The construction and use of the compound microscope was an essential prerequisite to the study of microbial forms, and the reported observation by Kircher (1659) of minute worms in the blood of plague patients forms, perhaps, the earliest attempt at direct microscopical observation in this field. It is, however, more than doubtful whether Kircher could have seen plague bacilli, or indeed any bacterial forms, with the apparatus which he had at his disposal. To van Leeuwenhoek (1683) must be ascribed the credit of placing the science of microbiology on the firm basis of direct observation (Dobell 1932). This Dutch maker of lenses developed an apparatus and technique (Cohen 1937) which enabled him to observe and describe various microbial forms with an accuracy and care which still serve as a model for all workers in this field. He observed, drew, and measured with considerable approximation to truth large numbers of minute living organisms, including bacterial and protozoal forms. It is, perhaps, somewhat surprising that this marked advance was not followed by further rapid progress in our knowledge of bacteria and their activities. Such progress was, however, impossible without further developments in technique. The world of minute living things, opened to morphological study by van Leeuwenhoek, was seen to be peopled by a multitude of dissimilar forms, whose interrelationships it was impossible to determine without preliminary isolation; and, so far as bacteria were concerned, this isolation was not accomplished until the problem of artificial cultivation was solved, almost two hundred years later.

The real development of bacteriology as a subject of scientific study dates from the middle of the nineteenth century, and is the direct outcome of the work of Louis Pasteur (1822-95). Isolated observations of microbial parasites, by Brassi, Pollender, Davaine and others, have priority in particular instances, just as Schultze, Schroeder and Dusch and others initiated technical methods which Pasteur applied to his own researches. But it was Pasteur and his pupils who settled the fundamental questions at issue, and developed a technique which made possible the cultivation and study of bacteria.

Trained as a chemist, Pasteur was led to the study of microscopic organisms by his observations on the phenomena of fermentation. His early studies on the structure of the tartrates, and on molecular asymmetry, had led him to believe

that the property of optical activity possessed by certain organic compounds was characteristic of substances synthesized by living things, as contrasted with substances synthesized in the laboratory. It was known that small amounts of an optically active substance, amyl alcohol, were formed during the fermentation of sugar. Since it was impossible to regard the molecule of amyl alcohol as derived from the molecule of sugar by any simple break-down process, he was led to the conclusion that the optically active molecule of the sugar was first broken down to relatively simple substances, which experience had shown to be without optical

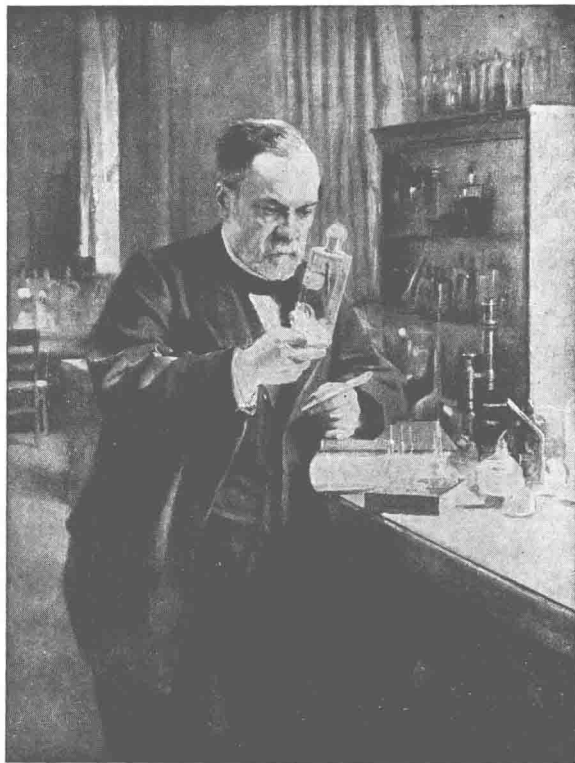


FIG. 1.—LOUIS PASTEUR (1822–1895).

activity, and that from such inactive substances the optically active amyl alcohol was synthesized. For Pasteur this was evidence of the presence and activity of living things, and he therefore started on his study of fermentation with a strong *a priori* leaning towards the microbial theory of fermentation, and away from the then dominant hypothesis of Liebig. He was prepared to adopt the theories already propounded by Cagniard-Latour in 1836, and by Schwann in 1837, concerning the living nature of the yeast globules, which were always to be found in sugar solutions undergoing alcoholic fermentations, and which had been described by van Leeuwenhoek in 1680.

Since, however, the production of amyl alcohol had been especially noted in

fermenting brews in which lactic acid also appeared, lactic fermentation was the reaction which Pasteur first selected for experimental study, though he had already made numerous observations on material from the vats of the breweries of Lille. He was probably influenced by the fact that the observations of van Helmholtz (1843) had already indicated that the alcoholic fermentation was due to the yeast itself or to some other organized material. Helmholtz had shown that the substance, whatever it might be, which was responsible for initiating alcoholic fermentation, would not pass through membranes that allowed the passage of organic substances in solution but held back particles in suspension. This experiment, successful with alcoholic fermentation, failed with many other ferments and fermentable liquids. Pasteur's mind was naturally addicted to generalization, and his interest lay in the phenomenon of fermentation as a general type of reaction, rather than in one kind of fermentation in particular. It was therefore natural that he should at first neglect the field in which the battle was more evenly balanced between the purely chemical conceptions of Liebig, and the biological theories of Cagniard-Latour, Schwann and Helmholtz, and turn to the field in which Liebig's views had never been successfully attacked. Pasteur's first memoir was published in 1857, and in it he declared the lactic ferment to be a living organism, far smaller than the yeast-cell, but which could be seen under the microscope, could be observed to increase in amount when transferred from one sugar solution to another, and had very decided preferences as regards the character of the medium in which it was allowed to develop; so that, for instance, by altering the acidity of the medium one could inhibit or accelerate its growth and activity. In this memoir Pasteur laid the first foundations of our knowledge of the conditions which must be fulfilled for the cultivation of bacteria.

These studies on fermentation occupied Pasteur almost continuously from 1855 to 1860, and he returned to them again at intervals during later years. He was able to show that the fermentation of various organic fluids was always associated with the presence of living cells, and that different types of fermentation were associated with the presence of microscopic organisms which could be distinguished from one another by their morphology and by their cultural requirements. Thus, at this early stage, the idea of specificity entered into bacteriology.

It was impossible for Pasteur to pursue these studies without facing the problem of the origin of these minute living organisms, which he regarded as the essential agents of all fermentations. At this time (1859) there were two opposed schools of thought with regard to the genesis of microbial forms of life. One school, deriving their concepts from the great naturalists of antiquity, believed in the spontaneous generation of living things from dead, and especially from decomposing organic matter. It is of little interest to remember the vague terms in which such conceptions were clothed; but one tendency may be noted, which did not escape the astute mind of Pasteur. The species of animals or plants believed to arise by spontaneous generation were diminishing in number, and the average size of those organisms still included in this category was getting smaller and smaller. In the beginning, the supporters of spontaneous generation were prepared to attribute this mode of origin to relatively large animals. Van Helmont, in the sixteenth century, offered a prescription for making mice. It needed the experiments of Redi (1688) to substitute, for the idea that worms were spontaneously generated in decomposing meat, the truth that these worms were the

larvæ of flies, and that their appearance could be very simply prevented by protecting the meat with gauze, through which the flies could not pass to deposit their eggs. The discovery by Leeuwenhoek of the world of microbial organisms gave a powerful stimulus to the somewhat decadent theory. Here, at all events, were living things which obeyed no known law of reproduction, and whose existence seemed to lend support to a belief which had long been accepted by eminent authorities, and which had thereby acquired a natural prestige.

From the start of his inquiry, Pasteur leaned towards the opposing school of those who believed that spontaneous generation was a myth, that these microscopic organisms, like other living things, were reproduced in some way from similar pre-existing cells. He had already convinced himself that these organized cells were the active agents of fermentation. Clearly then they could not arise *de novo* during the changes for which they were themselves responsible, but must have been introduced from without. Their striking specificity, maintained through repeated transferences from one specimen of fermentable fluid to another of the same kind, was strong evidence in favour of their autonomous reproduction. Here again Pasteur had tentatively adopted the correct solution before starting his experimental inquiry, but the main interest of his part in the controversy lies in the consummate skill with which he developed methods which enabled him to give clear demonstrations where others had left doubt and confusion, and which determined the main rules of a technique which made possible the cultivation and study of bacteria.

Neglecting for the moment the vaguer conceptions of the pre-experimental era, the position in 1859 was as follows. Needham, an Irish priest, had published in 1745 a memoir describing the spontaneous generation of microbial organisms in closed flasks of putrescible fluids which had been heated to destroy pre-existing life. His views were strongly supported by the celebrated naturalist Buffon in 1749. An Italian abbot, Spallanzani, countered in 1769 with the publication of a series of admirable experiments in which he criticized Needham's results, and showed that, with longer heating, the fluid in such flasks remained clear and sterile. This controversy narrowed into a dispute as to the nature of the principle which survived short periods of heating, but was destroyed by long heating in flasks hermetically sealed. For Spallanzani the principle was a living germ, for Needham it was a "vegetative force," resident in the air, or perhaps in the putrescible fluid. In any case such argument was sterile, and although it was generally admitted that the honours remained with Spallanzani, no final judgment was pronounced.

At this time oxygen was regarded as an element of quite peculiar power and significance, and the experiments of Appert (1810) on the preservation of foodstuffs, by heating and hermetical closure of the containing vessels, followed by a weighty expression of opinion by Gay-Lussac, had led to a general belief that the exclusion of this gas was the essential factor in ensuring the absence of fermentation. Schwann (1837) showed that the air in a flask containing a putrescible fluid, which had been sterilized by boiling, could be renewed by drawing in air which had passed through a glass tube immersed in a bath of fusible alloy kept at high temperature, and by this means he demonstrated that the presence of oxygen alone would not cause the appearance of micro-organisms in the fluid. Unfortunately, in the same memoir, Schwann reported other experiments, in which he introduced heated and unheated air into flasks containing a sterilized solution

of sugar in a watery extract of yeast, by inverting the flasks over a mercury bath and admitting the air through the mercury seal. Here his results, as regards the occurrence of fermentation, were altogether uncertain, and his conclusions lost much of their force. Helmholtz (1844) confirmed certain of Schwann's observations. Schultze (1836) had already obtained similar results by admitting to his flasks air which had been drawn through strong potash solutions or through concentrated sulphuric acid. Schroeder and Dusch (1854) showed that the active principle could be removed from the air by drawing it through cotton-wool. This last method was a real advance, since the incoming air had not been subjected to high temperatures, nor to strong chemical reagents. Unfortunately another element of doubt was introduced. Schroeder and Dusch relied, for their preliminary sterilization, on a short period of heating to the boiling-point. They experimented with four kinds of material—water containing meat, malt of beer, milk, and meat without the addition of water. With the first two materials their results were quite uniform: the fluids remained unaltered. With the last two materials fermentation usually occurred. They concluded that there were two kinds of decomposition associated with the presence of living organisms, the one spontaneous, needing only the presence of oxygen, the other requiring some additional principle, which could be removed from the air by filtration through cotton-wool.

This, then, was the position when Pasteur began his investigations in 1859. In a series of admirable memoirs, starting in 1860 and continuing for more than four years, he went over the ground already covered, added new and illuminating experiments of his own devising, and terminated the controversy by clear and decisive demonstrations. He showed that the material removed from air by passage through cotton-wool, or through similar filters, contained organized particles which were neither crystals nor starch granules, but which were similar in appearance to the spores of moulds. By introducing these particles into flasks of sterilized organic material, he demonstrated that they were capable of giving rise to the growth of numerous kinds of living organisms. Using other methods, he showed that the air in different situations differed in its content of these germs; that they were numerous in the streets of cities, less numerous in the air of country uplands, rare in the quiet air of closed and uninhabited rooms or cellars, where the dust had deposited and remained undisturbed, and very rare in the pure air of the high Alps, above the level of human habitation. He showed that Schwann's failures were due to his use of mercury, from the surface of which his fluid had acquired the germs, which had settled on it from the air. He showed that the failures of Schroeder and Dusch were due to the inadequate sterilization of their material. He also showed that certain animal fluids, such as blood or urine, known to be eminently liable to undergo putrefaction, could be collected in such a way as to remain permanently unaltered (see Vallery-Radot 1919).

The controversy with Pouchet, Joly and Musset, which continued from 1860 to 1864, did not lead to the collection of many new facts, except those with regard to the unequal distribution of micro-organisms in the atmosphere; but a later dispute with Bastian, who became a veteran in the dwindling army of the supporters of spontaneous generation, was more fertile, because it caused Pasteur to reconsider some of his ideas, and to elaborate the technical methods which he had partially developed during his re-investigation of the results obtained by Schroeder and Dusch. In 1876 Bastian published a communication controverting an early



statement by Pasteur that urine, sterilized by boiling, remained free from growth on subsequent incubation. Bastian declared that, if the urine were made alkaline at the start, growth often ensued. Pasteur, on repeating the experiment, was forced to admit the truth of Bastian's statement. A careful retracing of all his steps resulted in the demonstration that fluids with an acid reaction, after sterilization at  $100^{\circ}\text{C}$ ., might remain apparently sterile because certain organisms, which remained alive, were unable to develop, while in an alkaline medium they might grow freely. It was found also that ordinary water frequently contained organisms which were not killed by heating to  $100^{\circ}\text{C}$ ., and that organisms which had become deposited on the surface of glass-ware in the dry state might withstand far higher temperatures. We know now that it is especially for those bacteria which form spores that these conditions hold true. As a result of this controversy Pasteur established the practice of heating fluid material to  $120^{\circ}\text{C}$ . under pressure for the purpose of sterilization, thus introducing the autoclave into the laboratory, and the practice of sterilizing glass-ware by dry heat at  $170^{\circ}\text{C}$ . In this connection a very important advance was made by Tyndall who, observing that actively growing bacteria are easily destroyed by boiling, and that a certain amount of time is required for bacteria in the resistant, inactive phase to pass into the growing phase in which they are heat-sensitive, introduced the method of sterilization by repeated heatings, with appropriate intervals between them. This method is still known as Tyndallization. It was first described in a letter to Huxley in 1877 (see Bulloch 1938).

While investigating the phenomenon of fermentation, and the problem of spontaneous generation, Pasteur had studied the behaviour of very various kinds of natural organic fluids and solutions, and had succeeded in growing micro-organisms on simple synthetic media. As a result he had become assured of the fact that a medium, which is eminently suitable for the growth of one bacterium or mould, may be ill-adapted for the growth of another, and that one of the primary necessities for the successful cultivation of any species of micro-organism is the discovery of a suitable medium for its growth. Quick to grasp the general significance of isolated observations, he pointed out the decisive effect which must be exercised by the selective action of various environmental factors in determining the constitution of any naturally occurring bacterial flora; and he later developed these ideas in connection with the problem of infection.

As the result of these studies Pasteur had collected a mass of facts, which enabled him to deal successfully with bacteriological problems that could not previously have been attacked. He had learned the need for the scrupulous sterilization of everything that came into contact with material which was to be submitted to bacteriological examination. He had learned the necessary methods of sterilization in the steamer, in the autoclave, in the hot-air oven, or by direct flaming, which enabled these conditions to be fulfilled. He had proved the serviceableness of the cotton-wool plug for protecting media in flasks or tubes. He had realized the importance of the constitution of the nutrient material offered to a given bacterium, of the acidity or alkalinity of that medium, and of the oxygen pressure to which it was subjected. Armed with this knowledge, he proceeded to break new ground.

Pasteur was before all else a scientist, intensely curious, and loving knowledge for its own sake, but he was also a convinced utilitarian, and a Frenchman. He desired greatly that his discoveries should benefit mankind in general, France in