# Contemporary Issues in International Arbitration and Mediation

The Fordham Papers 2013

Arthur W. Rovine *Editor* 

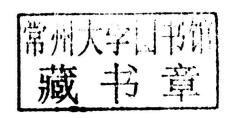


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their time and effort so that their special knowledge, skills and experience may be preserved in permanent form for the enlightenment of others.

Arthur W. Rovine
Conference Director

# **Contributors**

#### Arthur W. Rovine

has been serving as an arbitrator in international cases under NAFTA, ICSID, PCA and ICC/AAA/ICDR since his retirement from the law firm of Baker & McKenzie as of July 1, 2005. He is also Director of the Fordham Law School annual Conference on International Arbitration and Mediation and is Adjunct Professor of Law at Fordham Law School. He is Editor of the annual volume Contemporary Issues in International Arbitration and Mediation: The Fordham Papers, published by Brill/Nijhoff.

Mr. Rovine was President of the American Society of International Law (2000–2002) and Chairman of the International Law Section of the American Bar Association (1985–1986). He was a member of the Board of Editors of the American Journal of International Law (1977–1987), and has been a member of the Council on Foreign Relations since 1987. He was Chairman of the International Law Committee of the Association of the Bar of the City of New York (2009–2011), and is a member of the ABCNY International Commercial Disputes Committee. He is a member of the Arbitration Committee of the Center for Public Resources, and a Fellow of the American Bar Foundation. He represented the ABCNY in the recently-completed revision of the UNCITRAL Arbitration Rules.

After joining Baker & McKenzie (1983), Mr. Rovine represented major clients in international arbitrations, including investor/state cases at the Iran-U.S. Claims Tribunal in the Hague (where he had argued cases as the first U.S. Agent), the UN Compensation Commission in Geneva, the ICC, AAA, Stockholm Institute, ad hoc arbitrations, and related international litigations in U.S. Federal Courts.

Prior to joining Baker & McKenzie, Mr. Rovine served in the Office of the Legal Adviser in the U.S. Department of State from 1972 to 1983. He established the Digest of United States Practice in International Law (1972–1974), and was then named Assistant Legal Adviser for Treaty Affairs (1975–1981). In that capacity he was responsible for the international law, constitutional law, and U.S. foreign relations law issues involved in many treaties, agreements, and legislation, including the Algiers Accords with Iran, the termination of the Mutual Defense Treaty with Taiwan, the Taiwan Relations Act, the Panama Canal Treaties, the Egypt-Israel Peace Treaty, several human rights treaties, succession of states with respect to treaties, and the President's treaty powers.

Mr. Rovine was then appointed the first United States Agent to the Iran-U.S. Claims Tribunal in the Hague from 1981 to 1983. In that capacity, and working

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with the Iranian Agent, European arbitrators and the Dutch Government, he helped establish the Tribunal, adapt the UNCITRAL Rules for the Tribunal, and helped develop Tribunal administrative procedures, privileges and immunities, payment mechanisms, etc. Mr. Rovine then argued cases at the Tribunal on behalf of the U.S. Government against the government of Iran.

#### Arif Hyder Ali

is the co-chair of Weil's International Arbitration practice, which consists of some 30 partners and associates spread across the Firm's 21 offices. Mr. Ali is based in the Firm's Washington, DC office. He is also an adjunct professor of law at Georgetown University, where he teaches international arbitration. From 2007 to 2012 he was an honorary lecturer and member of the Global Faculty of the University of Dundee's Centre for Energy, Mining and Petroleum Law and Policy.

Mr. Ali has represented parties from the United States and Canada, Central and South America, Europe, the Middle East and North Africa, and across Asia in international commercial and investment arbitrations under many of the major international and regional arbitral regimes. He has also represented parties before inter-governmental tribunals, including the US-Iran Claims Tribunal and the United Nations Compensation Commission. He has served as lead counsel in arbitrations covering a broad range of industries and economic activity, including foreign direct investment; privatization; the construction, operation and commercialization of thermal, nuclear, and hydro power plants; oil and gas pipeline construction and concession-related matters; mining concessions; gas pricing disputes; natural resource exploitation projects and contracts; the development and operation of tourism and hospitality projects; project finance and development agreements; contract stabilization and renegotiation issues; patents and trademarks; top-level and second-level domain names; and information technology-related disputes. A number of his cases have set important precedents and are the subject of academic commentary.

Mr. Ali frequently sits as an arbitrator and has also served as a mediator in a wide variety of international disputes, involving the governing laws of different jurisdictions and under various institutional regimes.

From 1993 to 1996, he was a section chief at the UN Compensation Commission, a special division of the UN Security Council, and from 2000 to 2001, he served as senior counsel at the World Intellectual Property Organization Arbitration and Mediation Center. His working languages are English, Spanish, and French. He is also conversant in Urdu, Hindi, Bengali, and Portuguese.

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#### Gary L. Benton

is the founder and Chairman of the Silicon Valley Arbitration & Mediation Center (SVAMC) in Palo Alto, CA. He was previously a partner with Pillsbury Winthrop LLP and Coudert Brothers LLP.

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has been in full time practice as an international arbitrator and mediator since 2003, handling a wide variety of international cases (practised at the English Commercial Bar 1980 to 2003), specialising in maritime law, international trade and transportation, insurance & reinsurance, oil & gas, energy, international finance and banking, joint ventures, distributorship and franchise, Information technology and telecommunications and all types of commercial and international disputes. Her cases frequently involve complex issues, cross border disputes, disputes with an international flavour and high value disputes (up to £200 million). Many of her cases involve technical issues requiring detailed expert evidence of a trade, engineering or scientific nature.

#### Andrea K. Bjorklund

is the L. Yves Fortier Chair in International Arbitration and International Commercial Law at McGill University Faculty of Law. She previously taught at UC Davis School of Law. She has taught a variety of courses in such areas as international arbitration and litigation, international trade, international investment, public international law, international business transactions, conflict of laws, and contracts. She is Chair of the Academic Council of the Institute of Transnational Arbitration, co-rapporteur of the International Law Association's Study Group on the Role of Soft-Law Instruments in International Investment Law and an adviser to the American Law Institute's project on restating the U.S. law of international commercial arbitration. She also serves as Director of Studies for the American Branch of the International Law Association.

### Nigel Blackaby

is partner and head of the US arbitration practice of Freshfields Bruckhaus Deringer US LLP, based in Washington, DC.

He focuses on commercial and investment arbitrations in Latin America. He represented CMS Energy, Suez, Total and National Grid in their victories against Argentina and was lead arbitration counsel in BG Group v Argentina—the first investment treaty case before the US Supreme Court. He also has

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active cases in Bolivia, Brazil, Chile, Ecuador, Paraguay and Venezuela in the energy and mining sectors.

Nigel was president of the IBA Subcommittee on Investment Arbitration. He is co-author of Redfern and Hunter on International Arbitration, Guide to ICSID Arbitration and International Arbitration in Latin America. He is adjunct professor of arbitration at American University Washington College of Law.

#### David Bristow, QC

is a member of Team Resolution, a Toronto based alternative dispute resolution (ADR) group which provides ADR services consisting of mediation, arbitration, early neutral evaluations, mini-trials, med-arb and private appeals from arbitral awards and trial judgments in civil actions.

He has a wealth of experience in commercial and construction arbitration and mediation as well as other alternative dispute resolution proceedings, and in civil litigation in all levels of court from trial to the Supreme Court of Canada.

David as cited by the American Arbitration Association as a world-renowned specialist in the field of arbitration and ADR and was named in the *International Who's Who of Business Lawyers, Commercial Mediation* as one of the 10 most recommended mediators.

He is a former counsel to Fraser Milner Casgrain, a national Canadian law firm, where he headed the Construction Law Group, and is a co-author of the leading text on the subject of construction law in Canada, and contributing author to the American Arbitration Associations book on International Arbitration Practice.

# James H. Carter

is a Senior Counsel in the New York office of Wilmer Cutler Pickering Hale and Dorr LLP, where he serves both as counsel and as an arbitrator. Mr. Carter has participated in more than 150 international commercial and investment arbitration cases. He is a graduate of Yale College and Yale Law School, attended Cambridge University as a Fulbright Scholar and served as law clerk to Hon. Robert P. Anderson of the U.S. Court of Appeals for the Second Circuit. Mr. Carter is a Vice Chairman of the New York International Arbitration Center, a former Chairman of the Board of Directors of the American Arbitration Association and a former President of the American Society of International Law. He is also a former Chair of the American Bar Association Section of International Law and Practice and served as Chair of its Committee on International Commercial Arbitration. Mr. Carter has chaired both the International Affairs Council and the Committee on International Law of the

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Association of the Bar of the City of New York, as well as the International Law Committee of the New York State Bar Association. He has served as a member of the London Court of International Arbitration and Vice President of its North American Council and is a member of the Court of Arbitration for Sport.

#### John R. Crook

is a judge on NATO's Administrative Tribunal and teaches international arbitration at George Washington University Law School. He recently completed a term as vice-president of the American Society of International Law and for many years edited the American Journal of International Law's section on Contemporary U.S. Practice Relating to International Law. Crook has written extensively on dispute settlement and serves as an arbitrator and a consultant in NAFTA, ICSID, and other international claims proceedings. He was a member of the Eritrea-Ethiopia Claims Commission, which successfully addressed extensive claims stemming from the two states' 1998–2000 war.

During nearly three decades in the U.S. State Department's Office of the Legal Adviser, Crook was the second U.S. Agent at the Iran-United States Claims Tribunal, was deeply involved in creating the UN Compensation Commission, and appeared in several cases before the International Court of Justice. From 2000–2004, he was General Counsel of the Multinational Force and Observers, an international organization that operates an 1800-soldier peacekeeping force in Egypt's Sinai Desert.

#### Hélène de Kovachich

is member of the Tribunal administratif du Québec since 2006 (President 2008–2013). She became a member of the Québec Bar (LL.B.) in 1986 and of the Ordre des Administrateurs Agréés (Chartered Administrator) in 2003.

Me de Kovachich was a tax litigator with the Ministère du Revenu du Québec and then with Stikeman Elliott in Montreal. In 1994, she founded Groupe Option Mediation specializing in mediation and arbitration. For many years, she acted as mediator or arbitrator in numerous domestic and international disputes, involving Canada, Asia or Africa; as well, she has trained, lectured on and promoted alternative dispute resolution methods.

She has been involved in many ADR cases (arbitration, mediation, neutral evaluation, partnering, facilitation and judicial mediation) and developed an expertise in designing conflict management systems.

She has lectured for many associations, law societies and universities nationally and internationally, on ADR, ethics, participative justice and administrative law.

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She conceived and assisted in the implementation of judicial mediation programs in the Court of Appeal and the Superior Court of Québec, as well as in administrative tribunals.

She has also given instruction in judicial mediation to judges of various courts in Québec, as well as to judges from other jurisdictions around the world, including France, Mexico, Morocco, Serbia, Mali and Cambodia. She took part, in a mission to study the feasibility of justice shelters, in Haiti so as to offer first-line justice, as part of the justice support programme operated in Haiti by the UN related International Organisation of the Francophonie.

M° de Kovachich was chair of the National Alternative Dispute Resolution Section of the Canadian Bar Association (2003–2004) and led many ADR projects including those pertaining to the development and acceptance of the Canadian Commercial Model Law based on the UNCITRAL conciliation model law.

She has written many articles on ADR and on administrative tribunals and is the co-author of "Le guide pratique de la médiation", Carswell, 1997, which remains a reference work on the subject.

She was appointed "Distinguished Fellow" of the International Academy of Mediators at Harvard University in 2006.

She received the award for teaching quality from the law faculty of University of Sherbrooke in September 2007.

She was a founding member and executive member of the Board of "Mediators Beyond Borders" (2006–2008).

She is a founding and present member of the Canadian Conference of Judicial Mediation.

 $M^{\rm e}$  de Kovachich has served as member of the Administrative Justice Council (2008–2013). She has co-chaired the fifth international conference of the Canadian Council of Administrative justice in May 2010.

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# Rudolf Dolzer

received his legal education in Germany (Heidelberg Univ.) and in the United States (Harvard Law School). From 1992–1996 he was Director General in the Office of the Federal Chancellor in Bonn.

He has written extensively for thirty years on foreign investment law. In 1995 he published, together with Margrete Stevens, the book on "Bilateral Investment Treaties". In 2008 he authored the book on "Principles of International Investment Law" together with Christoph Schreuer; the second edition appeared in November 2012.

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For 25 years, he has acted in numerous arbitrations as an expert and as an arbitrator.

Rudolf Dolzer has taught at the Chinese Academy of Social Sciences, Michigan Law School, Cornell Law School, Massachusetts Institute of Technology, Yale Law School, University Paris 1 (Sorbonne), Instituto de Empresa Business School and the Southern Methodist University Dedman Law School. Between 1996 and 2009, he was Director of the Institute for International Law at the University of Bonn. In August 2010 Prof. Dolzer gave lectures at The Hague Academy of International Law on "The Evolution of Oil and Gas Law from an International Perspective."

#### Christopher R. Drahozal

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Professor Drahozal has written extensively on the law and economics of arbitration. He has authored a casebook on commercial arbitration published by Lexis Publishing (now in its third edition) and a co-edited a book on empirical research on international commercial arbitration published by Kluwer Law International. His articles have appeared in the Journal of Legal Studies, the Journal of Empirical Legal Studies, Law and Contemporary Problems, the Vanderbilt Law Review, the Illinois Law Review, and the International Review of Law and Economics, among others. He has made presentations on arbitration law and practice throughout the United States, Canada, Europe, and Asia.

Prior to teaching, Professor Drahozal was in private law practice in Washington, D.C., and served as a law clerk for the Iran-U.S. Claims Tribunal, the United States Supreme Court, and the United States Court of Appeals for the Fifth Circuit.

#### Catherine H. Gibson

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#### Sandrine Giroud

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Sandrine Giroud is a Swiss qualified attorney and a member of the International Bar Association (IBA), the Society of Trust and Estate Practitioners (STEP), the Swiss Bar Association (SAV/FSA) and the Geneva Bar Association (ODA) where she sits on the Human Rights Committee. She is a regular speaker at international conferences and frequently publishes within her field of expertise, including on collective redress.

Prior to joining LALIVE, Sandrine Giroud worked as a legal adviser at the Swiss Federal Office of Justice in Berne (2006–08). Sandrine Giroud holds an LL.M. in International Dispute Settlement from the University of Geneva (2009) and a STEP Advanced Certificate in Trust Disputes from the Society of rust and Estate Practitioners (2012). She graduated magna cum laude from the University of Fribourg with a Master of Law, majoring in European Law (2003) and also attended the University of Bristol as an exchange student (2000–01) and the Human Rights Centre of the University of Nottingham (2004).'

#### Thomas D. Halket

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#### Veijo Heiskanen

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Dr. Heiskanen is member of the panels of arbitrators of the International Centre for Settlement of Investment Disputes (ICSID) and the American Arbitration Association/International Centre for Dispute Resolution.

Dr. Heiskanen has published extensively on international law and international arbitration and has taught at The Hague Academy of International Law (2002). He holds LL.M. and S.J.D. degrees from Harvard Law School and LL.B. and LL.Lic. degrees from the University of Helsinki.

#### O. Thomas Johnson

is a member of the Iran-United States Claims Tribunal in The Hague. Prior to his appointment to that tribunal in 2012, he was a partner in the law firm of Covington & Burling LLP, where his practice focused on arbitrations between States and foreign investors and land and maritime boundary disputes between States. From 2002 until 2009, Mr. Johnson was a member of the Panel of Arbitrators of the World Bank's International Centre for Settlement of Investment Disputes ("ICSID"), appointed by the United States. Since 2010, he has been an adjunct professor at the Columbia Law School, where he teaches a course on International Investment Law.

Before joining Covington & Burling, Mr. Johnson served in the Office of the Legal Adviser of the United States Department of State. He received both his B.A. and his J.D. from Stanford.

# John Judge

is an Arbitrator and Barrister with Thirty Nine Essex Street Chambers in London, England and a Resident Arbitrator and Mediator at Arbitration Place in Toronto, specializing in international and Canadian domestic arbitration. XX CONTRIBUTORS

He launched his independent practice as an arbitrator in 2013, after almost 35 years of counsel practice as a partner at Stikeman Elliott LLP in Toronto.

Mr. Judge has over thirteen years of experience in international commercial arbitration as counsel and as arbitrator. He has sat as an arbitrator and as chair on substantial international arbitrations through the ICC Paris, the London Court of International Arbitration, the ICDR (the international arm of the American Arbitration Association), and the Dubai International Arbitration Centre as well as ad hoc arbitrations. He is on the international panels for the ICDR, the Singapore International Arbitration Centre and the Kuala Lumpur Regional Centre for Arbitration. He has served as an expert adjudicator for the ICDR gTLD dispute process. Mr. Judge has also acted as a mediator to assist parties in settling their disputes in a wide variety of cases.

He has appeared as counsel before trial and appellate courts, including the Supreme Court of Canada, and also before domestic and international arbitral tribunals as well as administrative tribunals including human rights tribunals. He has acted for and against government entities. He is adept in coordinating cross-border disputes and in effectively dealing with jurisdictional issues. His experience includes shareholder and partnership disputes, corporate and commercial transactions, banking, insolvency, insurance and reinsurance claims, and civil fraud investigations and claims. He has handled a wide variety of technology disputes related to outsourcing, software development, licensing, software implementation and Internet and domain name issues. He has a broad background in real estate and complex construction project disputes, product liability and professional negligence claims.

He has broad dispute experience in the following industries: mining, oil and gas, energy; construction, engineering, infrastructure and real estate; technology and telecommunications; insurance and reinsurance; banking and investment; and transportation.

His arbitration and litigation expertise has been recognized nationally and internationally in various publications including Chambers 2012 and 2013, Dispute Resolution: Arbitration (Band One), International Who's Who of Commercial Arbitration, Canada's Lexpert, the Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada, and Best Lawyers in Canada, among others.

# Gabrielle Kaufmann-Kohler

Professor, Geneva University Law School (since 1997) (Director, Private International Law Department); Director, Geneva LLM in International Dispute Settlement (MIDS), a joint program of the Graduate Institute of International and Development Studies and Geneva Law Faculty (launched in