ON LAW IN COURTS

An Introduction to Judicial Development

Case and Statute Law

PAUL J. MISHKIN CLARENCE MORRIS

University Casebook Series

ON LAW IN COURTS

An Introduction to Judicial Development of Case and Statute Law

By PAUL J. MISHKIN

CLARENCE MORRIS
Professors of Law

in the

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vii

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P7204-8z

viii

Athenian Stranger: "Your laws being wisely framed, one of the best of your laws is that which enjoins that none of the youth shall inquire which laws are wrong and which right, but all shall declare in unison, with one mouth and one voice, that all are rightly established . . .

"Well, there are no young people with us now; so we may be permitted by the law giver, old as we are, to discuss these matters among ourselves privately without offense."

-Plato, Laws, Book I

The art of free society consists first in the maintenance of the symbolic code; and secondly in fearlessness of revision, to secure that the code serves those purposes which satisfy an enlightened reason. Those societies which cannot combine reverence to their symbols with freedom of revision, must ultimately decay either from anarchy, or from the slow atrophy of a life stifled by useless shadows.

—Whitehead, Symbolism, Its Meaning and Effect p. 88 (1927)

PREFACE

"Legal Realism's" major battle has been won. Nowadays only the most professionally naive believe that courts mechanically apply prefixed law to simon-pure facts. Judges are men, and men who both wield power and exercise discretion; and many beginning law students are apparently aware of these "facts of life" even before they start law study.

Unfortunately, the lesson taught by the realists is sometimes over-learned. The recognition of judges' humanness and the rejection of mechanical theories of the judicial process is then seen as implying that judicial choice ranges entirely free and that courts are seldom, if ever, hampered in doing just precisely as they wish. Moreover, this view is often coupled with the implicit assumption that this is as things must be—that there can be no effective limits on the power of judges. From such a position, the question of proper limits of course becomes irrelevant.

We think these latter inferences wrong. We believe that judicial action functions within limits of both power and propriety—limits that are rarely narrow or rigid, but important limits nonetheless. These bounds are found in the judicial institution and its processes, in the conception of the judge's task and how it is properly done. In these materials we examine that institution and try to develop a "model" of its proper operation, range and limits. Our principal grist for this study consists of problems of law—generally in actual cases—whose proper solution demands analysis of the judicial function. In background notes, we have tried to suggest possible lines for this analysis, and at times to relate it to wider jurisprudential thinking.

We teach this entire book in a two-hour course, though in a somewhat unorthodox arrangement: the first chapter is covered in eight meetings, immediately preceding and concurrent with the beginning of all other first-year courses; the remainder is taught in the second semester of the first year. Though we prefer this pattern of scheduling, we do not think that the materials require it. Several professors at other schools have used successive mimeographed predecessors of this book in more conventionally scheduled courses, and apparently find such an arrangement workable.

PREFACE

We gladly acknowledge the editorial assistance of Mrs. Alice H. Frey, who prepared the manuscript for press and made the index.

We cannot possibly acknowledge all of our intellectual debts. The text includes many references but countless unmentioned scholars have helped us in their writings and in personal discourse. One debt, however, must be specially acknowledged. While we were preparing these materials, we were afforded opportunity to see a tentative edition of Hart and Sacks, The Legal Process: Basic Problems in the Making and Application of Law. Our explicit references to Professors Hart and Sacks' book fall far short of indicating how much we learned from them. Indeed we are no longer sure where our obligation begins and ends; having once traveled over much ground with them as guides, we can no longer see the landscape free from their influence.

PAUL J. MISHKIN
CLARENCE MORRIS

Philadelphia, Pennsylvania December, 1964

SUMMARY OF CONTENTS

Chapt	A First Introduction to the American Legal System	Page 1
2.	Judicial Law-Making—The Common Law	57
3.	Judicial Reformation of Well Established Common Law Doctrines	230
4.	Construction and Application of Statutes	318
5.	Indirect Influence of Legislation on Judicial Law-Making $_{}$	514
Inde	x	553

TABLE OF CONTENTS

			Page
CHAPTER	1.		1
		System Court Systems and Structure	3
		Procedure	5
		Excursus on Reading and "Briefing" Cases	8
		The Analysis of Decisions	11
		Problem [for oral argument]	32
		Notes on Appellate Court Decisions	37
		The Development and Effect of Legislation A. The Employers' Liability Acts	41 45
		B. Workmen's Compensation Acts	51
		Concluding Note	56
CHAPTER	2.	JUDICIAL LAW-MAKING—THE COMMON LAW	57
		Hoyt v. Mackenzie	59
		Woolsey v. Judd	65
		The Right to Privacy (Warren and Brandeis)	91
		Roberson v. Rochester Folding Box Co.	106
		Pavesich v. New England Life Ins. Co Development of the Right of Privacy in Ken-	133
		tucky	149
		Alternative Draft Opinion for Brents v.	110
		Morgan	169
		Some General Lessons and Further Thoughts	
		on the Kentucky Privacy Development	222
CHAPTER	3.	JUDICIAL REFORMATION OF WELL ESTABLISHED	
		COMMON LAW DOCTRINES	230
		Historical Development of Common Law Rules	
		Regarding Work Injuries	231
		Problem (work injury)	237
		Siragusa v. Swedish Hospital The "Dispensing Power" of the Jury	
		"Prospective Overruling" and Similar Tech-	268
		niques	272
		Molitor v. Kaneland Community Unit	-, -
		School Dist.	272

TABLE OF CONTENTS

CHAPTER 4.	CONSTRUCTION AND APPLICATION OF STATUTES General Considerations: Statutory Text and	318
	Purpose	318
	Frantz v. McBee Co.	326
	Markham v. Cabell	
	Commonwealth v. Welosky	
	Problem (juror eligibility)	
	State v. Provenzano	
	Problem (payment for job influence)	
	United States v. Evans	
	United States v. Hood	
	United States v. Dowden	
	Deriving Legislative Intent from Events Oc-	
	curring During the Enacting Process	
	Caminetti v. United States	
	Special Note on Congressional Law-Making	
	Processes	
	United States v. American Trucking Asso-	
	ciation	
	Brotherhood of Maintenance of Way Em-	
	ployes v. United States	
	Schwegmann Bros. v. Calvert Distillers	
	Corp	
	Statutory Precedents and Subsequent Legisla-	
	tive History	
	Cleveland v. United States	
	Girouard v. United States	
CHAPTER 5.	INDIRECT INFLUENCE OF LEGISLATION ON JUDICIAL	
	Law-Making	
	Problem (non-commercial use of statute)	515
	Funk v. United States	
	Problem (wire-tapping)	
	Concluding Note	549
Y 3		FF0