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ESCAPE -FROMFREDOM

- ERICH H. FROMM-

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ESCAPE FROM FREEDOM

If I am not for myself, who will be for me? If I am for myself only, what am I? If not now—when?

—Talmudic Saying Mishnah, Abot

Neither heavenly nor earthly, neither mortal nor immortal have we created thee, so that thou mightest be free according to thy own will and honor, to be thy own creator and builder. To thee alone we gave growth and development depending on thy own free will. Thou bearest in thee the germs of a universal life.

—Pico della Mirandola

Oratio de Hominis Dignitate

Nothing then is unchangeable but the inherent and inalienable rights of man.

-Thomas Jefferson

FOREWORD

This book is part of a broad study concerning the character structure of modern man and the problems of the interaction between psychological and sociological factors which I have been working on for several years and completion of which would have taken considerably longer. Present political developments and the dangers which they imply for the greatest achievements of modern culture-individuality and uniqueness of personality-made me decide to interrupt the work on the larger study and concentrate on one aspect of it which is crucial for the cultural and social crisis of our day: the meaning of freedom for modern man. My task in this book would be easier could I refer the reader to the completed study of the character structure of man in our culture, since the meaning of freedom can be fully understood only on the basis of an analysis of the whole character structure of modern man. As it is, I have had to refer frequently to certain concepts and conclusions without elaborating on them as fully as I would have done with more scope. In regard to other problems of great importance, I have often been able to mention them only in passing and sometimes not at all. But I feel that the psychologist should offer what he has to contribute to the understanding of the present crisis without delay, even though he must sacrifice the desideratum of completeness.

Pointing out the significance of psychological considerations in relation to the present scene does not imply, in my opinion, an overestimation of psychology. The basic entity of the social process is the individual, his desires and fears, his passions and reason, his propensities for good and for evil. To understand the dynamics of the social process we must understand the dynamics of the psychological processes operating within the individual, just as to understand the individual we must see him in the context of the culture which molds him. It is the thesis of this book that modern man, freed from the bonds of preindividualistic society, which simultaneously gave him security and limited him, has not gained freedom in the positive sense of the realization of his individual self; that is, the expression of his intellectual, emotional and sensuous potentialities. Freedom, though it has brought him independence and rationality, has made him isolated and, thereby, anxious and powerless. This isolation is unbearable and the alternatives he is confronted with are either to escape from the burden of his freedom into new dependencies and submission, or to advance to the full realization of positive freedom which is based upon the uniqueness and individuality of man. Although this book is a diagnosis rather than a prognosis—an analysis rather than a solution—its results have a bearing on our course of action. For, the understanding of the reasons for the totalitarian flight from freedom is a premise for any action which aims at the victory over the totalitarian forces.

I forego the pleasure it would be to thank all those friends, colleagues and students to whom I am indebted for their stimulation and constructive criticisms of my own thinking. The reader will see in the footnotes reference to the authors of whom I feel most indebted for the ideas expressed in this book. However, I wish to acknowledge specifically my gratitude to those who have contributed directly to the completion of this volume. In the first place, I wish to thank Miss Elizabeth Brown, who both by her suggestions and her criticisms has been of invaluable help in the organization of this volume. Furthermore, my thanks are due to Mr. T. Woodhouse for his great help in editing the manuscript and to Dr. A. Seidemann for his help in the philosophical problems touched upon in this book.

I wish to thank the following publishers for the privilege of using extensive passages from their publications: Board of Christian Education, Philadelphia, excerpts from Institutes of the Christian Religion, by John Calvin, translated by John Allen; the Columbia Studies in History, Economics, and Public Law (Columbia University Press), New York, excerpts from Social Reform and the Reformation, by Jacob S. Schapiro; Wm. B. Eerdmans Publishing Co., Grand Rapids, Mich., excerpts from The Bondage of the Will, by Martin Luther, translated by Henry Cole; Harcourt, Brace and Company, New York, excerpts from Religion and the Rise of Capitalism, by R. H. Tawney; Houghton Mifflin Company, Boston, excerpts from Mein Kampf, by Adolf Hitler; the Macmillan Company, New York, excerpts from The Civilization of the Renaissance in Italy, by Jacob Burckhardt.

FOREWORD II

Almost twenty-five years have passed since the first edition of this book was published. The twenty-four editions which have been published since then have been read by professionals, laymen, and especially by students, and I am happy that this publication in the Avon Library will make it more easily available to many more readers.

Escape from Freedom is an analysis of the phenomenon of man's anxiety engendered by the breakdown of the Medieval World in which, in spite of many dangers, he felt himself secure and safe. After centuries of struggles, man succeeded in building an undreamed-of wealth of material goods; he built democratic societies in parts of the world, and recently was victorious in defending himself against new totalitarian schemes; yet, as the analysis in Escape from Freedom attempts to show, modern man still is anxious and tempted to surrender his freedom to dictators of all kinds, or to lose it by transforming himself into a small

cog in the machine, well fed, and well clothed, yet not a free man but an automaton.

After twenty-five years, the question is in order whether the social and psychological trends on which the analysis of this book was based have continued to exist, or whether they have tended to diminish. There can be no doubt that in this last quarter of a century the reasons for man's fear of freedom, for his anxiety and willingness to become an automaton, have not only continued but have greatly increased. The most important event in this respect is the discovery of atomic energy, and its possible use as a weapon of destruction. Never before in history has the human race been confronted with total annihilation, least of all through the work of its own hands. Yet only a relatively short time ago, during the Cuban crisis, hundreds of millions of human beings in America and in Europe for a few days did not know whether they and their children were ever to see another day. In spite of the fact that since then attempts have been made to reduce the danger of a similar crisis, the destructive weapons still exist, the buttons are there, the men charged with pushing them when necessity seems to command it are there, anxiety and helplessness are still there.

Aside from the nuclear revolution, the cybernetic revolution has developed more rapidly than many could have foreseen twenty-five years ago. We are entering the second industrial revolution in which not only human physical energy—man's hands and arms as it were—but also his brain and his nervous reactions are being replaced by machines. In the most developed industrial countries such as the United States, new anxieties develop because of the threat of increasing structural unemployment; man feels still smaller when confronted with

the phenomenon not only of giant enterprises, but of an almost self-regulating world of computers which think much faster, and often more correctly, than he does. Another danger has increased, rather than diminished: the population explosion. Here, too, one of the products of human progress, the achievements of medicine, have produced such an increase of population, especially in the underdeveloped countries, that the increase in material production can hardly keep pace with the increasing number of people.

The giant forces in society and the danger for man's survival have increased in these twenty-five years, and hence man's tendency to escape from freedom. Yet there are also hopeful signs. The dictatorships of Hitler and Stalin have disappeared. In the Soviet bloc, especially in the smaller states, although they have remained ultra-conservative and totalitarian, a trend for increasing liberalization is clearly visible. The United States has shown itself resistant against all totalitarian attempts to gain influence. Important steps toward the political and social liberation of the Negroes have been taken, all the more impressive because of the courage and discipline of those in the forefront of the fighting for Negro freedom-both Negroes and whites. All these facts show that the drive for freedom inherent in human nature, while it can be corrupted and suppressed, tends to assert itself again and again. Yet all these reassuring facts must not deceive us into thinking that the dangers of "escape from freedom" are not as great, or even greater today than they were when this book was first published.

Does this prove that theoretical insights of social psychology are useless, as far as their effect on human development is concerned? It is hard to answer this question convincingly, and

the writer in this field may be unduly optimistic about the social value of his own and his colleagues' work. But with all due respect to this possibility, my belief in the importance of awareness of individual and social reality has, if anything, grown. I can briefly state why this is so. It becomes ever increasingly clear to many students of man and of the contemporary scene that the crucial difficulty with which we are confronted lies in the fact that the development of man's intellectual capacities has far outstripped the development of his emotions. Man's brain lives in the twentieth century; the heart of most men lives still in the Stone Age. The majority of men have not yet acquired the maturity to be independent, to be rational, to be objective. They need myths and idols to endure the fact that man is all by himself, that there is no authority which gives meaning to life except man himself. Man represses the irrational passions of destructiveness, hate, envy, revenge; he worships power, money, the sovereign state, the nation; while he pays lip service to the teachings of the great spiritual leaders of the human race, those of Buddha, the prophets, Socrates, Jesus, Mohammed—he has transformed these teachings into a jungle of superstition and idol-worship. How can mankind save itself from destroying itself by this discrepancy between intellectual-technical overmaturity and emotional backwardness?

As far as I can see there is only one answer: the increasing awareness of the most essential facts of our social existence, an awareness sufficient to prevent us from committing irreparable follies, and to raise to some small extent our capacity for objectivity and reason. We can not hope to overcome most follies of the heart and their detrimental influence on our imagination

and thought in one generation; maybe it will take a thousand years until man has lifted himself from a pre-human history of hundreds of thousands of years. At this crucial moment, however, a modicum of increased insight—objectivity—can make the difference between life and death for the human race. For this reason the development of a scientific and dynamic social psychology is of vital importance. Progress in social psychology is necessary to counteract the dangers which arise from the progress in physics and medicine.

No one could be more aware of the inadequacy of our knowledge than the students in this field. It is my hope that books such as this may stimulate students to devote their energies to this field by showing them the need for this type of investigation, and at the same time that we are lacking almost everything but the foundations.

I might be expected to answer one more question; should I make any extensive revisions in my theoretical conclusions after twenty-five years? I must confess that I believe that all essential elements of this analysis are still valid; that what they need is expansion and interpretation in many directions. I have tried to do some of this work myself since I wrote Escape from Freedom. In The Sane Society I amplified and deepened the analysis of contemporary society; in Man for Himself I developed the theme of ethical norms based on our knowledge of man, rather than on authority and revelation; in The Art of Loving I analyzed the various aspects of love; in The Heart of Man I followed up the roots of destructiveness and hate; in Beyond the Chains of Illusion I analyzed the relationship between the thoughts of the two great theorists of a dynamic science of man: Marx and Freud.

xviii - FOREWORD II

I hope that this edition of *Escape from Freedom* will continue to contribute to increasing the interest in the field of dynamic social psychology, and to stimulate younger people to devote their interest to a field which is full of intellectual excitement, precisely because it is only at its beginning.

Erich Fromm

CONTENTS

FOREWORD

хi

FOREWORD II

xv

CHAPTER I

Freedom—A Psychological Problem?	- 1
CHAPTER II	
The Emergence of the Individual and the	
Ambiguity of Freedom	23
CHAPTER III	
Freedom in the Age of the Reformation	39
1. Medieval Background and the Renaissance	39
2. The Period of the Reformation	63

viii - CONTENTS

CHAPTER IV

The Two Aspects of Freedom for Modern Man	103
CHAPTER V	
Mechanisms of Escape	135
1. Authoritarianism	140
2. Destructiveness	177
3. Automaton Conformity	183
CHAPTER VI	
Psychology of Nazism	205
CHAPTER VII	
Freedom and Democracy	239
1. The Illusion of Individuality	239
2. Freedom and Spontaneity	255

APPENDIX: CHARACTER AND THE SOCIAL PROCESS

275

INDEX

297

CHAPTER I

FREEDOM—A PSYCHOLOGICAL PROBLEM?

Modern European and American history is centered around the effort to gain freedom from the political, economic, and spiritual shackles that have bound men. The battles for freedom were fought by the oppressed, those who wanted new liberties, against those who had privileges to defend. While a class was fighting for its own liberation from domination, it believed itself to be fighting for human freedom as such and thus was able to appeal to an ideal, to the longing for freedom rooted in all who are oppressed. In the long and virtually continuous battle for freedom, however, classes that were fighting against oppression at one stage sided with the enemies of freedom when victory was won and new privileges were to be defended.

Despite many reverses, freedom has won battles. Many died in those battles in the conviction that to die in the struggle against oppression was better than to live without freedom. Such a death was the utmost assertion of their individuality.