Studies of Brain Function



Eberhart Zrenner

Neurophysiological Aspects of Color Vision in Primates



Eberhart Zrenner

Neurophysiological Aspects of Color Vision in Primates

Comparative Studies on Simian Retinal Ganglion Cells and the Human Visual System

With 71 Figures



Springer-Verlag Berlin Heidelberg New York 1983 Priv.-Doz. Dr. med. habil. EBERHART ZRENNER
Max-Planck-Institut für Physiologische und Klinische Forschung,
W. G. Kerckhoff-Institut
Parkstraße 1, 6350 Bad Nauheim/FRG

ISBN 3-540-11653-2 Springer-Verlag Berlin Heidelberg New York ISBN 0-387-11653-2 Springer-Verlag New York Heidelberg Berlin

Library of Congress Cataloging in Publication Data. Zrenner, Eberhart, 1945 – Neurophysiological aspects of color vision in primates. (Studies of brain function; v. 9) Bibliography: p. Includes index. 1. Color vision. 2. Neurophysiology. 3. Physiology, Comparative. 4. Primates—Physiology. 1. Title. II. Series. [DNLM: 1. Color perception—Physiology. 2. Neurons—Physiology. 3. Primates. 4. Retina—Cytology. W1 ST937KF v.9 / WW 150 Z91n] QP483.Z74 1983 599.8′041823 82-16922

This work is subject to copyright. All rights are reserved, whether the whole or part of the material is concerned, specifically those of translation, reprinting, re-use of illustrations, broadcasting, reproduction by photocopying machine or similar means, and storage in data banks. Under § 54 of the German Copyright Law where copies are made for other than private use a fee is payable to "Verwertungsgesellschaft Wort", Munich.

© by Springer-Verlag Berlin Heidelberg 1983. Printed in Germany.

The use of registered names, trademarks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

Offsetprinting and binding: Konrad Triltsch, Graphischer Betrieb, Würzburg 2131/3130-543210

Studies of Brain Function, Vol. 9

Coordinating Editor
V. Braitenberg, Tübingen

Editors

H. B. Barlow, Cambridge

H. Bullock, La Jolla

E. Florey, Konstanz

O.-J. Grüsser, Berlin-West

A. Peters, Boston

Studies of Brain Function

Volumes already published in the series:

W. Heiligenberg
 Principles of Electrolocation and Jamming Avoidance in Electric Fish
 A Neuroethological Approach

1 11 1 4 4

- W. Precht
 Neuronal Operations in the Vestibular System
- 3 J. T. Enright
 The Timing of Sleep and Wakefulness
 On the Substructure and Dynamics of the Circadian
 Pacemakers Underlying the Wake-Sleep Cycle
- 4 *H. Braak*Architectonics of the Human Telencephalic Cortex
- 5 H. Collewijn The Oculomotor System of the Rabbit and Its Plasticity
- M. Abeles
 Local Cortical Circuits
 An Electrophysiological Study
- 7 G. Palm
 Neural Assemblies
 An Alternative Approach to Artificial Intelligence
- 8 J. Hyvärinen
 The Parietal Cortex of Monkey and Man

To my wife Claudia, to Christoph Daniel Frederik * 5.2.1981, and his grandparents

Preface

"To explain all nature is too difficult a task for any one man or even for any one age.

Tis much better to do a little with certainty, and leave the rest for others that come after you, than to explain all things..."

Sir Isaac Newton (1642–1727)

This book describes and discusses some new aspects of color vision in primates which have emerged from a series of experiments conducted over the past 8 years both on single ganglion cells in monkey retina and on the visually evoked cortical potential in man: corresponding psychophysical mechanisms of human perception will be considered as well. An attempt will be made to better understand the basic mechanisms of color vision using a more comprehensive approach which takes into account new mechanisms found in single cells and relates them to those found valid for the entire visual system. The processing of color signals was followed up from the retina to the visual cortex and to the perceptual centers, as far as the available techniques permitted.

Since the neurophysiological link between the physiological function of neurons and visual perceptions is still missing, it cannot be my intention to speculate on the neuronal basis of certain perceptions. However, in several cases the reverse approach was taken, namely to detect in the perceptive phenomena the action of the neuronal mechanisms of single cells, which beyond any doubt contribute to building up such perceptions as simultaneous color contrast, flicker-induced colors, brightness enhancement, transient tritanopia, and many others. Attention is also given to review articles and publications which enable the reader to gain information about matters which at first sight are not directly related to color vision but are crucial to the understanding of some mechanisms described here.

Since the primary object of this book is color vision, mechanisms subserving spatial resolution as well as light and dark adaptation will only be discussed as far as they interrelate with color vision. The well-known psychophysical and electrophysiological data on trichromatic color vision are touched upon only briefly; the reader interested in gaining a broader view of the subject is therefore referred to the

VIII Preface

following sample of comprehensive books, chapters, reviews and articles, which have appeared over the last 25 years: Judd (1943), Pitt (1944), Boynton (1960), Trendelenburg (1961), Linksz (1964), MacNichol (1964), Schober (1964), Graham (1965), Jung (1965, 1973, 1978), De Valois and Abramov (1966), Wyszecki and Stiles (1967), Baumann (1968), Le Grand (1968), Sheppard (1968), Creutzfeld and Sakmann (1969), Wright (1969), Ripps and Weale (1969), Brindley (1970), Cornsweet (1970), Motokawa (1970), Abramov (1972), Rushton (1972a), Walraven (1972), Daw (1973), MacNichol et al. (1973), Rodieck (1973), Davson and Graham (1974), De Valois and De Valois (1975), Davson (1976), Scheibner (1976a,b), Hurvich (1977, 1981a,b), Verriest and Frey (1977), Baumgartner et al. (1978), Stiles (1978), Wasserman (1978), Boynton (1979), Dodt (1979), Robinson (1980), Gouras and Zrenner (1981b), Mollon (1982).

Nevertheless, the attempt is made in the introduction (Chap. 1) to summarize the pertinent information contained in these publications, including some historical backgrounds.

The questions raised by the newly discovered (or less known) mechanisms in single simian ganglion cells and visually evoked cortical potentials will, however, be described in depth. It is to be hoped that they can provide the basis for a more comprehensive understanding of the processes involved in primate color vision.

For a survey of the data and concepts presented in the following, the reader is referred to the summary at the end of the book.

Bad Nauheim, October 1982

Eberhart Zrenner

Acknowledgments

The experiments described in this paper were performed in the last 8 years with the support of several institutions: the Max-Planck-Institute for Physiological and Clinical Research, W.G. Kerckhoff-Institute, Bad Nauheim, F.R.G. (Dir.: Prof. Dr. R. Thauer, Prof. Dr. E. Dodt, Prof. Dr. E. Simon, Prof. Dr. W. Schaper); the University Eye Clinic Frankfurt (Dir.: Prof. Dr. W. Doden); the National Institutes of Health, National Eye Institute Bethesda, U.S.A. (Dir.: Dr. C. Kupfer, Dr. J. Kinoshita) and the Columbia University New York (Prof. Dr. P. Gouras). The collaboration with the several institutions was made possible by a generous Fogarty fellowship award (No. F05TW 2429-01 and F05TW 2429-02) granted by the Public Health Service of the United States of America for the years 1977 and 1978 and by funds for travel made available by the Deutsche Forschungsgemeinschaft for continuing the collaboration in 1979, 1980, and 1981.

I would like to express my deepest thanks to Prof. Dr. E. Dodt for his continuing support and wise advice; to Prof. P. Gouras, who first introduced me to the fascinating world of single cells in his laboratory in Bethesda (1977/1978), for most inspiring collaboration during the following years; to Prof. Dr. Ch. Baumann, who raised many crucial questions and who encouraged me to begin and to finish this work; to Prof. Dr. H. Scheibner, who provided the positive criticism which enabled me to improve some of my evaluations and interpretations; to Prof. O.-J. Grüsser for many valuable suggestions on the final version of the manuscript: to Prof. V. Braitenberg for supporting publication of the manuscript in Studies of Brain Function; to Dr. R. Nelson, who provided enlightening comments on Chapters 1 and 4, especially regarding the membrane model in Fig. 4.10, and who, together with Prof. Helga Kolb gave me the link to anatomy; to Dr. H. Krastel, who provided very valuable suggestions from a clinical viewpoint, as well as to all other scientists with whom I collaborated over the years, studying

another piece of the picture: Drs. H. Abe, V. Gavriysky, Marie-Luise Hoffmann, E. Jankov, R. Klingaman, M. Kojima, C.J. Krüger, H.-J. Langhof, R.P. Schuurmans, Ch. Teping, and Marion Wienrich. Special thanks are also due to Dr. O. Ludwig and to Mr. K. Rockenfeller for solving statistical problems; to Ing. grad. Monika Baier, Mrs. Brita Maschen. Dipl.-Ing. J. Abellan and Mrs. G. Eckl for their dedicated technical assistance, to Mr. W. Klein for developing most excellent electronic equipment, to Mr. M. Wasserhess and the workshop of the Kerckhoff-Institute for creating beautiful precision machinery, to Miss Hedwig Buschtöns for help in searching for literature, to Mrs. Marie-Luise Dolleck for art work; special thanks are due to Mrs. Marianne Granz for excellent performance on the many unusual tasks involved in our studies, to Mrs. Heide Breitenfelder for her expert and dedicated work of typing the manuscript.

Thanks are also due to all the other members of the above named institutions as well as to the Max-Planck-Institute for Biochemistry in Martinsried (Documentation Services Department) for their friendly cooperation in handling the countless problems involved in searching literature.

The deepest thanks I owe to my wife Claudia for making gray days colorful, for moral support and encouragement, making me know what I am working for.

Contents

1	Introduction
1.1	Color Vision Theories. Historical Aspects
1.2	Electrophysiological Studies Related to Color Vision 4 Recordings from Cell Populations: Electroretinogram; Visually Evoked Cortical Potential Single Cell Studies, Anatomy and Electrophysiology: Receptors; Horizontal Cells; Bipolar Cells; Amacrine Cells; Biplexiform Cells; Ganglion Cells (Early Data)
2	Methods
2.1	Methods of Single Cell Recording in Rhesus Monkeys 12 Preparation; Stimulation; Recording and Evaluation Procedure
2.2	Identification of Cone Inputs in Retinal Ganglion Cells . 15
3	Types of Retinal Ganglion Cells and Their Distribution . 18
3.1	Introductory Remarks
3.2	The Concept of Color-Opponency
3.3	The Various Types of Color-Opponent Cells

X Contents

3.4	Variations in Color-Opponency	29
	Dependence Upon Spatial Variables; Fluctuations in the Neutral Point; A Scale of Color-Opponency; Color- Opponency Varies with Retinal Eccentricity	
3.5	Spectrally Non-Opponent Ganglion Cells	37
	Spectrally Non-Opponent Tonic Ganglion Cells; Phasic Ganglion Cells; Rare Cell Types	
3.6	Distribution of Classes of Ganglion Cells Across the Retina	41
3.7	A Simplified Classification Procedure	44
3.8	Résumé: Some Implications for the Understanding of the Visual System's Function	47
	Red-Green Versus Blue-Yellow Opponency; On the Neutral Point; How Can Variations in Color-Opponency Improve Color Discrimination? Color Coding in the Retinal Periphery; The Consequences for the Circuitry of the Retinocortical Pathway: A Model; Anatomical Considerations; Brightness, Whiteness, and Color Contrast	
4	Special Properties of Blue-Sensitive Ganglion Cells	56
4 4.1	Special Properties of Blue-Sensitive Ganglion Cells Some Recent Electrophysiological and Psychophysical Data on the Blue-Sensitive Cone System	
	Some Recent Electrophysiological and Psychophysical	56
4.1	Some Recent Electrophysiological and Psychophysical Data on the Blue-Sensitive Cone System	56
4.1	Some Recent Electrophysiological and Psychophysical Data on the Blue-Sensitive Cone System	56 57
4.1	Some Recent Electrophysiological and Psychophysical Data on the Blue-Sensitive Cone System	56 57
4.1	Some Recent Electrophysiological and Psychophysical Data on the Blue-Sensitive Cone System	56 57 62
4.1 4.2 4.3	Some Recent Electrophysiological and Psychophysical Data on the Blue-Sensitive Cone System	56 57 62

4.4.2	Implications of the Backward-Inhibition Model in Terms of Membrane Properties, Ionic Action and Transmitters.	69
	The Prerequisites; The Function in the Light-Adapted and Non-Adapted State as well as Immediately After the Termination of Yellow Adaptation; The Dynamics; The Transmitter	
4.4.3	The Limitations of the Model: Feedback onto the Receptor or onto the Bipolar Cell?	75
4.4.4	Testing the Feedback Model	78
4.5	Résumé: What is Special About the Blue Cone Mechanism?	81
4.5.1	Properties of the B-Cone System: Summary	81
4.5.2	The New Model of Cone Interaction: Its Implications Linearity; How is Color Contrast Enhanced? The Model's	83
4.50	Possible Relation to Tritanopic Phenomena	
4.5.3	Comments on the Retino-Cortical Pathway of the B-Cone Mechanism	86
5	Temporal Properties of Color-Opponent Ganglion Cells .	89
	Flicker-Stimulation; Testing the "Channel" Hypothesis	
5.1	Critical Flicker Frequencies (CFF) in Tonic and Phasic Ganglion Cells	90
5.2	Influence of Stimulation Frequency on the Spectral Sensitivity Function: Loss of Color-Opponency at Higher Flicker Rates	92
5.3	The Basic Mechanism: Phase-Shift Between Center and Surround Responses	96
5.4	Latency of Center and Surround Responses	97
5.5		99

XII Contents

5.6	Stimulus Duration Changes the Action Spectrum	101
5.7	Résumé: Possible Implication of the Transition Between Antagonism and Synergism in Color-Opponent Ganglion Cells	103
5.7.1	Hue and Brightness Can be Signalled via the Same Channel	103
5.7.2	Enhancement Occurs by Synergistic Action of Center and Surround	104
5.7.3	The Fechner-Benham Top	106
5.7.4	The Loss of Color-Opponency	109
5.7.5	Possible Consequences for Cortical Processing of Color	110
6	The Spectral Properties of the Human Visual System as Revealed by Visually Evoked Cortical Potentials (VECP) and Psychophysical Investigations	
6.1	Methods as Applied in Human Observers	113
6.2	Rods and Cones	120
5.3	Fundamental Cone Functions	125
5.3.1	Trichromatic Observers	125

Contents	XIII
Contents	

6.3.2	Dichromatic Observers	31
	Incidence; Spectral Sensitivity in the VECP; Comparison with Psychophysical Data	
6.3.3	The Peculiarities of the Blue-Sensitive Mechanism in the VECP	33
6.3.4	Monochromatic Observers 1	36
	A Case Report	
6.4	Color-Opponency in the VECP and in Psychophysical Measurements	39
6.4.1	Color-Opponency in Normal Color Vision	39
	Action Spectra	
6.4.2	Color-Opponency in Congenital Color Vision Deficiencies	.43
6.4.3	Acquired Color Vision Deficiencies	.45
6.4.3.1	Acquired Red/Green Defects	.46
	A Drug-Induced Loss of Color-Opponency; Case Report; The Implications of a Functional Loss	
6.4.3.2	Acquired Blue/Yellow Defects	51
	A Drug Affecting the Blue Cone Mechanism; Transient Tritanopia Under AR-L 115 BS; Standing Potentials Under AR-L 115 BS; The Possible Site of Action; A Speculation Based on the Calcium Hypothesis	
6.5	The Influence of Flicker Frequency on Spectral Sensitivity	58
	Electrophysiological Recordings in Man; Psychophysical Data; Flicker Studies in Normal Individuals as Compared with Flicker Responses in Protanopes and Deuteranopes	
6.6	Conclusion: To What Extent Can Visually Evoked Cortical Potentials Reveal the Function of Individual Receptor Mechanisms?	67
	Rods and Cones; The Three Spectrally Different Cone Mechanisms; Color-Opponency in Psychophysical and Electrical Data; Congenital Color Vision Deficiencies; Flicker	

XIV	Con	tents
Epilogue	* * *	173
Summary		176
References	***	178
Subject Index		209

1 Introduction

The ultimate purpose of the visual system is the detection of objects and their spatial relationship. These objects appear in a continuously changing environment concerning their brightness, their position, and distance to the observer. In this respect, the primate visual system performs with great precision a number of outstanding tasks, which to a large degree even conflict with each other. Visual perception of brightness contrasts has an enormous dynamic range from a bright sunny beach (about 10^4 cd/m⁻²) to a moonless night (about 10^{-7} cd/m⁻²) without considerably losing its time resolution, as happens with photographical material at low light levels. Moreover, small spatial details, down to a few seconds of arc, can be resolved, without sacrificing the large visual field of more than 120° .

Color vision plays an important role in carrying out these functions. Since the borders of objects often have the same luminance as their background, only the capability of discerning illuminated areas by the wavelengths of the light quanta reflected enables the visual system to detect these objects (see Cavonius and Schumacher 1966). Moreover, differences in the spectral reflection properties of objects and the background on which they are presented are often small, so that the ability to discriminate adjacent colors must be outstanding in order to permit orientation in a spectrally more or less homogeneous environment. For instance, primates living in trees, surrounded by myriads of green leaves with very similar spectral reflectance and brightness, would easily become disoriented if they had to rely on a visual system which signalled only some twenty shades of grey. Color vision expands the range of discernable light stimuli to about seven to ten million (Judd 1952) taking into consideration different degrees of brightness and saturation of the about 200 hues which we can differentiate in the sun's spectrum. To this end, nature has to make compromises; for instance, the visual system obviously does not need to analyze the entire spectrum of frequencies in a stimulus (as the auditory system can to a certain extent), but it only needs to differentiate between groups of frequencies.

How was knowledge about color vision gained in the past few centuries?