Evolving Constitutions of International Organizations



Evolving Constitutions of International Organizations

A Critical Analysis of the Interpretative Framework of the Constituent Instruments of International Organizations

by

TETSUO SATO

Faculty of Law Hitotsubashi University Japan



KLUWER LAW INTERNATIONAL THE HAGUE / LONDON / BOSTON

ISBN 90-411-0202-7

Published by Kluwer Law International, P.O. Box 85889, 2508 CN The Hague, The Netherlands.

Sold and distributed in the U.S.A. and Canada by Kluwer Law International, 675 Massachusetts Avenue, Cambridge, MA 02139, U.S.A.

In all other countries, sold and distributed by Kluwer Law International, P.O. Box 85889, 2508 CN The Hague, The Netherlands.

Printed on acid-free paper

All Rights Reserved
© 1996 Kluwer Law International
Kluwer Law International incorporates the publishing programmes of
Graham & Trotman Ltd, Kluwer Law and Taxation Publishers,
and Martinus Nijhoff Publishers.

No part of the material protected by this copyright notice may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying, recording, or by any information storage and retrieval system, without written permission from the copyright owner.

Printed in the Netherlands

EVOLVING CONSTITUTIONS OF INTERNATIONAL ORGANIZATIONS

International Law in Japanese Perspective

VOLUME 3

Series Editor Shigeru Oda

The titles published in this series are listed at the end of this volume.

Preface

In the present book, I have advocated an emerging doctrine of the interpretative framework of constituent instruments as the constitutions of international organizations. I have argued that, under the influences of the inherent dynamism of international organizations, the interpretative framework of the constituent instruments of international organizations is not the same as that of ordinary treaties, and that the interpretative framework regulated by the law of international organizations deviates from the interpretative framework regulated by the law of treaties as codified by the Vienna Convention on the Law of Treaties. I have presented, as an alternative interpretative framework to that of ordinary treaties, a doctrine of constituent instruments as the constitutions of international organizations rather than as the (founding) treaties. In advocating this new doctrine of the interpretative framework, I have been careful not to attempt to construct a fragile house of cards. As the current doctrines and practices of States and international organizations do not support such a construction, the best way would be to construct a moderate but solid doctrine which could be sufficiently justified by actual practices and which could be further improved upon and refined, but even this has yet to be made.

In my opinion, the interpretative framework as constitutions differs from that as treaties in two respects: (1) on the quantitative aspect of the teleological extent admitted, in the sense that constituent instruments are interpreted within the teleological framework so that their efficient functioning and effective activities could be assured and promoted, and that predominant consideration is given to the "efficiency" and "effectiveness" of international organizations to the extent that the teleological reasoning deviates from the textual interpretative framework in the law of treaties; (2) on the qualitative aspect of legal significance possessed by the practice of the organs of international organizations, in the sense that the practice of international organizations affects, in feedback, the interpretations of constituent instruments so that it gives them an evolutionary nature, and that this "subsequent practice" of the organs is given the legal value which deviates qualitatively from the textual interpretative framework in the law of treaties. Certainly each of these two aspects has sometimes been referred to in different contexts by other writers. These two, however, have never been, with sufficient development and refinement, synthesized in this new doctrine of constituent instruments as the constitutions of international organizations.

In the field of international organizations where amendments of their constituent instruments are as exceptional as those of the United Nations, it is through the process of interpretation and application of constituent instruments that political evolutions and changes will be transformed into legal arguments. In this sense interpretation could be qualified as the "concept charnière (hinging concept)" between politics and the law.

We have seen that military enforcement measures were applied to Iraq in 1991 in a manner close to, if not the same as, that expected by the Charter. A series of "authorizations" of the use of force by peace keeping forces and/or Member States were allowed. An international tribunal to prosecute those responsible for serious violations of international humanitarian law were established both for former Yugoslavia and Rwanda. All of these activities were authorized under Chapter VII of the United Nations Charter. We must analyze and judge the legality of these activities in the light of the relevant provisions of Chapter VII. Here the possible conclusions reached are closely related to the position one might take in understanding the legal nature of Chapter VII and the Charter of the United Nations itself.

These and other similar activities will continue in the future in both the United Nations and other international organizations. We are expected to analyze and judge the legality of these activities in the light of the relevant provisions in their constituent instruments.

The emerging doctrine of the interpretative framework of constituent instruments as the constitutions of international organizations, which has gradually formed since 1950s and is now more or less established, will give a useful perspective in understanding the possible evolution of international organizations in the present and the future.

Tetsuo SATO

Kunitachi, Tokyo October 1995

Acknowledgements

This monograph is a shortened, although somewhat revised, version of my book *Kokusai Soshiki no Sôzôteki Tenkai* (*Creative Evolution of International Organizations*) (Keiso Shobô, 1993) [in Japanese], which was submitted to Hitotsubashi University as a dissertation for the degree of Ph. D. in 1993.

I dedicate this monograph to two eminent professors of international law who both passed away several years ago: Professor Takeshi Minagawa of Hitotsubashi University and Professor Leo Gross of the Fletcher School of Law and Diplomacy. I owe much to them.

First of all, I would like to express my gratitude to His Excellency Shigeru Oda, Judge of the International Court of Justice and its Vice-President during 1991-1994 for recommending that my monograph be published as one of the new series under his general editorship, *International Law in Japanese Perspective*.

The idea of and the basis for the present monograph dates back to my Master's thesis submitted to Hitotsubashi University in 1980 under the supervision of Professor Minagawa. I later had the opportunity of studying as a Fulbright grantee at the Fletcher School of Law and Diplomacy, Tufts University during 1981-1983 under the supervision of Professor Leo Gross as well as Professor Alfred P. Rubin. After developing the original idea during these and later years, I published three articles on this issue in English: "Constituent Instruments of International Organizations and Their Interpretative Framework --- Introduction to the Principal Doctrines and Bibliography", 14 Hitotsubashi Journal of Law & Politics 1-22 (1986); "Status of Constituent Instruments of International Organizations in the Law of Treaties --- With Particular Reference to the Notion 'Relevant Rules of the Organization'", 16 id. 25-47 (1988); "An Emerging Doctrine of the Interpretative Framework of Constituent Instruments as the Constitutions of International Organizations", 21 id. 1-63 (1993). This idea was developed further in the article "Kokusai Soshiki Setsuritsu Bunsho no Kaishaku Purosesu (I), (II), (III)" ("Interpretation Process of Constituent Instruments of International Organizations (I), (II), (III)")[in Japanese], 16 Hogaku Kenkyu (Law & Politics (Hitotsubashi University Research Series)) 45-186 (1986), 19 id. 3-180 (1989), 21 id. 71-336 (1990). For this latter article, I was awarded the honor of the 24th (1991) Mine'ichiro Adachi Memorial Prize in Japan. The above-mentioned book in Japanese is based on this article.

In the course of the examination for the doctoral degree, I had the benefit of the invaluable advice of the three examiners, Professors Jun'ichi Akiba, Wakamizu Tsutsui and Yoshio Otani. I was also guided by the helpful suggestions of Professor Hisaji Uchida of Daito Bunka University in his excellent review of my book published in 93 *Kokusaiho Gaiko Zassi (The Journal of International Law and Diplomacy)* 194-99 (1994). Furthermore, Professors Jean Combacau of l'Université Paris - II and Aleth Manin of Paris - I (at that time) kindly read and commented on my English article during my period of research at l'Université Paris - II during 1990-1992. In gathering materials for my research, I was assisted by Ms. Y. Kamijo, research assistant of Hitotsubashi University, Professor Y. Saito of Asia University and Mr. Y. Kawamura in the Legal Office of the United Nations. The English in my monograph was greatly improved by the efforts of Professors Beverly I. Nelson, R. Siani and John Middleton of Hitotsubashi University.

I wish to thank sincerely all of the above and those whom I have failed to mention for their help and advice during the past fifteen or so years in developing the idea which originally came to me at the very beginning of my career as an international lawyer.

Finally, I am very pleased to record my deep gratitude to my parents, Shigeo and Tsuyako, and to my dear wife, Yoko, and two little daughters, Maori and Marumi.

T.S.

Abbreviations

ACC Amazonian Cooperation Council
ADB Asian Development Bank

AfDB African Development Bank

A. F. D. I. Annuaire Français de Droit International
ALADI Latin American Integration Association
ARABSAT Arab Corporation for Space Communications
ASEAN Association of South East Asian Nations

BEU Benelux Economic Union

CAEU Council of Arab Economic Unity

CARICOM Caribbean Community

CARIFTA Caribbean Free Trade Association

CACEU Central African Customs and Economic Union
CMEA Council for Mutual Economic Assistance
COMECON Council for Mutual Economic Assistance

EAC East African Community
EC European Communities

ECOWAS Economic Community of the West-African States

ECR Reports of the Court of Justice of the European Communities

(European Court Reports)

EFTA European Free Trade Association

ESA European Space Agency

FAO Food and Agricultural Organization of the United Nations

GATT General Agreement on Tariffs and Trade IAEA International Atomic Energy Agency

IBRD International Bank for Reconstruction and Development

ICAO International Civil Aviation Organization

ICJ International Court of Justice

IDA International Development Association
IDB Inter-American Development Bank

IFAD International Fund for Agricultural Development

IFC International Finance Corporation
ILC International Law Commission
I. L. M. International Legal Materials
ILO International Labour Organisation

IMCO Inter-Governmental Maritime Consultative Organization

IMF International Monetary Fund IMO International Maritime Organization

INTELSAT International Telecommunication Satellite Organization

INTERSPUTNIK International System and Organization of Space Communications

INMARSAT International Maritime Satellite Organization

ITO International Trade Organization

ITU International Telecommunication Union

LAES Latin American Economic System
LAFTA Latin American Free Trade Association
LAIA Latin American Integration Association

LAS League of Arab States
LN League of Nations

NATO North Atlantic Treaty Organization
OAS Organization of American States
OAU Organization of African Unity

OECD Organization for Economic Co-operation and Development
Recueil des cours
Recueil des cours de l'Académie de droit international

R. G. D. I. P. Revue Général de Droit International Public

UEAC Union of Central African States

UN United Nations

U. N. C. I. O. United Nations Conference on International Organization

(San Francisco, 1945)

UNESCO United Nations Educational, Scientific and Cultural Organization

UNIDO United Nations Industrial Development Organization

UPU Universal Postal Union
WEU Western European Union
WHO World Health Organization

WIPO World Intellectual Property Organization
WMO World Meteorological Organization

WTO Warsaw Treaty Organization or World Trade Organization

Note: As for the other abbreviations, this book generally conforms to the style of *A Uniform System of Citation* (15th ed. 1991) published by the Harvard Law Review Association.

Table of Contents

Preface	xiii
Acknowledgements	XV
Abbreviations	xvii
Chapter One Introduction	1
Section 1 The Problem	3
Section 2 The Doctrines	4
Section 3 The Purpose and Constitution of the Present Book	11
1. The Purpose of the Present Book	11
2. The Structure of the Present Book	12
Section 4 Possible Contributions for the Future	13
Chapter Two Treaty Interpretation and Constituent	
Instruments	17
Section 1 The Legal Nature of the Rules of Treaty Interpretation Section 2 The Framework of Treaty Interpretation — An Analysis of Articles 31 and 32 of	19
the Vienna Convention on the Law of Treaties	22
(1) The General Rule of Interpretation	22
(2) The Principle of Effectiveness	25
(3) Subsequent Practice	30
Section 3 An Overview of Principal Doctrines of the Interpretative	0.0
Framework of Constituent Instruments	33
Chapter Three An Analysis of the Jurisprudence of	
the International Court of Justice	39
Section 1 The Method of Analysis 1. The Importance of the Jurisprudence of the International Court	41
of Justice	41
2. The Purpose of our Analysis	41
3. The Method of our Analysis	41
4. Objectivity in the Analysis	42
Section 2 Questions Relating to the Structures and Activities of	
the United Nations	42
1. Conditions of Admission of a State to Membership in the United	
Nations (Article 4 of the Charter) [1948]	42

	(1) The Reasoning of the Majority Opinion	43
	(2) The Reasoning of the Dissenting Opinion of Judges Basdevant,	
	Winiarski, McNair and Read	44
	(3) Some Comments	46
2.	Competence of the General Assembly for the Admission of a State	
	to the United Nations [1950]	48
	(1) The Reasoning of the Majority Opinion	48
	(2) The Reasoning of the Dissenting Opinion of Judge Alvarez	50
	(3) Some Comments	50
3.	Reparation for Injuries Suffered in the Service of the	
	United Nations [1949]	52
	(1) The Reasoning of the Majority Opinion	52
	(2) The Reasoning of the Dissenting Opinion of Judge Hackworth	55
	(3) Some Comments	57
4.	Effect of Awards of Compensation made by the United Nations	
	Administrative Tribunal [1954]	59
	(1) The Reasoning of the Majority Opinion	60
	(2) The Reasoning of the Dissenting Opinion of Judge Hackworth	61
	(3) Some Comments	62
5.	Certain Expenses of the United Nations (Article 17, Paragraph 2,	
	of the Charter) [1962]	65
	(1) The Reasoning of the Majority Opinion	65
	(2) The Reasoning of the Separate Opinion of	
	Judge Sir Percy Spender	71
	(3) The Reasoning of the Dissenting Opinion of Judge Winiarski	74
	(4) Some Comments	75
	on 3 Questions Relating to South West Africa	79
1.	International Status of South West Africa [1950]	79
	(1) The General Question and Question (a)	80
	(1) The Reasoning of the Majority Opinion	80
	(2) The Reasoning of the Separate Opinion of Judge McNair	82
	(3) The Reasoning of the Separate Opinion of Judge Read	83
	(2) Question (b)	84
	(1) The Reasoning of the Majority Opinion	85
	(2) The Argument of Judge de Visscher and the Refutation	
	of the Majority Opinion	85
	(3) Question (c)	87
	(4) Some Comments	87
2.	Voting Procedure on Questions relating to Reports and Petitions	
	concerning the Territory of South West Africa [1955]	90
	(1) The Reasoning of the Majority Opinion	90
	(2) Some Comments	92
3.	Admissibility of Hearings of Petitioners by the Committee on	
	South West Africa [1956]	94
	(1) The Reasoning of the Majority Opinion	94
	(2) The Reasoning of the Separate Opinion of Judge Lauterpacht	95

	(3) The Reasoning of the Joint Dissenting Opinion	97
	(4) Some Comments	98
4.	South West Africa Cases [1962 and 1966]	99
	(1) The Reasoning of the 1962 Judgment	100
	(2) The Reasoning of the 1966 Judgment	102
	(3) Some Comments	105
5.	Legal Consequences for Sates of the Continued Presence of	
	South West Africa in Namibia (South West Africa) notwithstanding	
	Security Council Resolution 276 (1970) [1971]	109
	(1) The Reasoning of the Majority Opinion	110
	(2) The Reasoning of the Dissenting Opinion of Judge Fitzmaurice	112
	(3) Some Comments	114
Section	on 4 Questions Relating to Specialized Agencies	118
1.	Constitution of the Maritime Safety Committee of the	
	Inter-Governmental Maritime Consultative Organization [1960]	118
	(1) The Reasoning of the Majority Opinion	119
	(2) The Reasoning of the Dissenting Opinions	122
	(3) Some Comments	122
2.	Appeal relating to the Jurisdiction of the ICAO Council [1972]	124
	(1) The Reasoning of the Majority Opinion	124
	(2) The Reasoning of the Separate and the Dissenting Opinions	127
	(3) Some Comments	128
3.	Interpretation of the Agreement of 25 March 1951 between	
	the WHO and Egypt [1980]	130
	(1) The Reasoning of the Majority Opinion	131
	(2) Some Comments	133
Sectio	n 5 Questions Relating to Judgments of the Administrative	
	Tribunals	137
1.	Judgments of the Administrative Tribunal of the International	
	Labour Organization upon Complaints made against the United	
	Nations Educational, Scientific and Cultural Organization [1956]	138
	(1) The Reasoning of the Majority Opinion	138
	(2) The Reasoning of the Dissenting Opinions	140
	(3) Some Comments	140
2.	Application for Review of Judgement No. 158 of the United	
	Nations Administrative Tribunal [1973]	141
	(1) The Reasoning of the Majority Opinion	141
	(2) The Reasoning of the Separate and Dissenting Opinions	144
	(3) Some Comments	144
3.	Application for Review of Judgement No. 273 of the United	
	Nations Administrative Tribunal [1982]	146
	(1) The Reasoning of the Majority Opinion	146
	(2) The Reasoning of the Separate and Dissenting Opinions	149
	(3) Some Comments	149
Sectio	n 6 Conclusion	149
	1 Introduction	140

2. The Primary Importance of the Treaty (Constituent Instrument) Text	153
The Guiding Principle and the Various Concrete Methods of	
Interpretation	154
4. The Existence of a Confrontation with Respect to the Interpretative	
Framework of Constituent Instruments	155
5. Some Other Points of Issue	156
1. The Relevance of the Organic Factor	156
2. The Problem of Inter-Temporal Law	157
3. The Distinction between the Internal and the External Functions	
of International Organizations	157
4. Who has the Power to Authoritatively Interpret the Constituent	
Instrument of an International Organization	159
5. The Lack of Consistency in the Reasoning of Some Judges	159
Chapter Four	
Instruments	
 Organs of International Organizations as Principal 	
Interpreters of Constituent Instruments	161
Section 1 The Method of Analysis	163
Section 2 The United Nations	164
(1) Travaux Préparatoires of the San Francisco Conference	164
(2) Discussions in the International Court of Justice	167
(3) Differences in the Doctrine	170
(4) Toward Uniformity and Stability in Charter Interpretations	175
(1) The Ambiguous Importance of Consent	175
(2) Failed Attempts to Establish a Judicial Control	177
(3) Non-Use of the Advisory Competence of the Court and	4 600 0
its Impact upon Charter Interpretations	178
Section 3 Specialized Universal International Organizations (Except	
Economic International Organizations)	181
(1) Preliminary Analysis	181
(2) Mechanisms of Interpretation and Dispute Settlement	183
(3) Practice and Evaluation	185
(1) Non-Use of Judicial Organs	185
(2) The Evaluation of the Mechanisms of Interpretation and	
Dispute Settlement	186
(3) Toward Uniformity and Stability in Interpretations	188
Section 4 Economic International Organizations	192
(1) Introduction	192
(2) Mechanisms of Interpretation and Dispute Settlement	193
(1) Money and Finance	193
(2) Commerce	196
(a) The International Trade Organization	196
(b) The General Agreement on Tariffs and Trade	197
(c) The European Free Trade Association	198

		(d)	International Commodity Organizations	199
		(e)	Producers' Associations	201
	(3)		ther Economic International Organizations	202
		(a)	The Organization for Economic Co-Operation and	
			Development (OECD), Council for Mutual Economic	
			Assistance (CMEA, COMECON: dissolved in 1991),	
			Council of Arab Economic Unity (CAEU), Amazonian	
			Cooperation Council (ACC) and Union of Central	
			African States (UEAC)	202
		(b)	The Latin American Economic System (LAES) and	
		()	Central African Customs and Economic Union (CACEU)	203
		(c)	The United Nations Industrial Development Organization	
		(-)	(UNIDO)	203
		(d)	The Latin American Integration Association (LAIA,	
		6-7	ALADI: successor to the Latin American Free Trade	
			Association (LAFTA) and Andean Subregional Integration	203
		(e)	The Benelux Economic Union (BEU), East African	
		(-)	Community (EAC), Economic Community of West	
			African States (ECOWAS) and Caribbean Community	
			(CARICOM)	204
(3)	Ev	aluatio	on: Economic International Organizations and Judicial	201
(=)		cedur		205
(1)	Gene	ral Trends in the Mechanisms of Interpretation and Dispute	
	х.	Settle		205
(2	()		Alleged Inadequacy of Judicial Procedures	206
(3		Evalu		209
			nternational Organizations	210
(1			duction	210
(2			onal International Organizations	210
	(1)		egional International Organizations for Maintaining the	
			eace	210
	(2)	R	egional International Organizations for Comprehensive	
			urposes	211
	(3)		egional International Organizations for Promoting	
	19		ooperation	213
	(4)		valuation	214
(3			nternational Telecommunication Satellite Organization and	
			national Maritime Satellite Organization	214
	(1)		troduction	214
	(2)		ne International Telecommunication Satellite Organization	
			NTELSAT)	215
	(3)		he International Maritime Satellite Organization	
			NMARSAT)	216
	(4)		valuation	216
(4			nternational Sea-Bed Authority	217
	(1)		troduction	217

	(2) The Mechanisms for Settlement of Disputes Concerning the Interpretation or Application of Part 11 and Annex 4	217 220		
(3) Evaluation Section 6 Conclusion				
		221		
	The Variety in Interpretative Procedures	221		
	Non-Recourse to Judicial Organs and the Superiority of Political	222		
	Organs	222 224		
3.	Implications	224		
Chapter Fi	ve The Emerging Doctrine of Interpretation of			
Chapter Fi	Constituent Instruments as the Constitutions of			
		225		
	International Organizations	227		
Section 1	Constituent Instruments as the Constitutions of International			
	Organizations	229		
(1)	The Concept of Constitutions	229		
(2)	The "Caractère Constitutionnel (Constitutional Nature)" of	he ha I		
(2)	Constituent Instruments	230		
(3)	The Interpretative Framework of Constituent Instruments as the	200		
(0)	Constitutions of International Organizations	231		
Section 2	The Legal Significance of the Practice of International	20.0		
	Organizations	232		
(1)	Analysis under General International Law	233		
(2)	The Practice of the Organs of International Organizations as a	200		
	Criterion in the Interpretation of their Constituent Instruments	234		
(3)	The Evolutionary Practice of International Organizations			
	Reflected in Some Law-Making Treaties — With Particular			
	Reference to the Notion "Relevant Rules of the Organization"	240		
Section 3	In Search of the Theoretical Foundations of the Interpretative			
	Framework as the Constitutions of International Organizations	243		
(1)		244		
	1. Introduction	244		
2	2. Factors for the Dynamism	244		
3	3. The Development of Dynamism in Interpretation	245		
4	4. Some Comments	247		
(2)	The Theory of Interpretation in the Federal Constitution of			
	the U.S.A.	248		
j	1. Introduction	248		
2	2. Marshall and Holmes	249		
3	3. Recent Arguments	249		
	4. Criticism	250		
4	5. Some Comments	250		
(3)	The Theory of Institution	251		
1	. Introduction	251		
2	2. The Theory of Institution	252		
2	3. Some Comments	253		